

# FANO MANIFOLDS AND BLOW-UPS OF LOW-DIMENSIONAL SUBVARIETIES

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ABSTRACT. We study Fano manifolds of pseudoindex greater than one and dimension greater than five, which are blow-ups of smooth varieties along smooth centers of dimension equal to the pseudoindex of the manifold. We obtain a classification of the possible cones of curves of these manifolds, and we prove that there is only one such manifold without a fiber type elementary contraction.

## 1. INTRODUCTION

A smooth complex projective variety  $X$  is called **Fano** if its anticanonical bundle  $-K_X$  is ample; the **index**  $r_X$  of  $X$  is the largest natural number  $m$  such that  $-K_X = mH$  for some (ample) divisor  $H$  on  $X$ , while the **pseudoindex**  $i_X$  is the minimum anticanonical degree of rational curves on  $X$ .

By the Cone Theorem the cone  $\text{NE}(X)$  generated by the numerical classes of irreducible curves on a Fano manifold  $X$  is polyhedral. By the Contraction Theorem to each extremal ray of  $\text{NE}(X)$  is associated a contraction, i.e. a proper morphism with connected fibers onto a normal variety.

A natural question which arises from the study of Fano manifolds is to investigate - and possibly classify - Fano manifolds which admit an extremal contraction with special features: for example, this has been done in many cases in which the contraction is a projective bundle [23, 22, 21, 24, 1, 18], a quadric bundle [29] or a scroll [5, 16].

Recently, Bonavero, Campana and Wiśniewski have considered the case where an extremal contraction of  $X$  is the blow-up of a smooth variety along a point, giving a complete classification [8]. The case where the center of the blow-up is a curve has shown to be much more complicated. A complete classification in case  $i_X \geq 2$  has been obtained in [4], as a corollary of a more general theorem, where the classification of Fano manifolds with a contraction which is the blow-up of a manifold along a smooth subvariety of dimension  $\leq i_X - 1$  is achieved. For Fano

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manifolds of pseudoindex  $i_X = 1$  which are blow-ups of smooth varieties along a smooth curve, some special cases have been dealt with in the PhD thesis of Tsukioka [26] (partially published in [25]).

Considering the case when the dimension of the center of the blow-up is  $i_X \geq 2$ , the lowest possible dimension of the manifold is five; the cones of curves of such varieties are among those listed in [11], where the cone of curves of Fano manifolds of dimension five and pseudoindex greater than one were classified. Under the stronger assumption that  $r_X \geq 2$  the complete list of Fano fivefolds which are blow-ups of smooth varieties along smooth surfaces has been given in [12].

In this paper we propose a generalization of both the results in [4] and in [12], considering Fano manifolds of dimension greater than five with a contraction which is the blow-up of a manifold along a smooth subvariety of dimension  $i_X \geq 2$ .

We will first give a classification of the possible cones of curves of these varieties:

**Theorem 1.1.** *Let  $X$  be a Fano manifold of pseudoindex  $i_X \geq 2$  and dimension  $n \geq 6$ , with a contraction  $\sigma: X \rightarrow Y$ , associated to an extremal ray  $R_\sigma$ , which is a smooth blow-up with center a smooth subvariety  $B$  of dimension  $\dim B = i_X$ .*

*Then the possible cones of curves of  $X$  are listed in the following table, where  $F$  stands for a fiber type contraction and  $D_{n-3}$  for the blow-up of a smooth variety along a smooth subvariety of codimension three.*

$\rho_X$	$i_X$	$R_1$	$R_2$	$R_3$	$R_4$	
2		$R_\sigma$	$F$			(a)
2		$R_\sigma$	$D_{n-3}$			(b)
3	2,3	$R_\sigma$	$F$	$F$		(c)
3	2	$R_\sigma$	$F$	$D_{n-3}$		(d)
4	2	$R_\sigma$	$F$	$F$	$F$	(e)

We will then prove that there is only one Fano manifold satisfying the assumption of Theorem 1.1 whose cone of curves is as in case (b) - or, equivalently, which does not admit a fiber type contraction:

**Theorem 1.2.** *Let  $X$  be a Fano manifold of dimension  $n \geq 6$  and pseudoindex  $i_X \geq 2$ , which is the blow-up of another Fano manifold  $Y$  along a smooth subvariety  $B$  of dimension  $i_X$ ; assume that  $X$  does not admit a fiber type contraction.*

*Then  $Y \simeq \mathbb{G}(1,4)$  and  $B$  is a plane of bidegree  $(0,1)$ .*

We notice that, in view of the classification given in Theorem 1.1 Generalized Mukai conjecture [9, 2] holds for the Fano manifolds we are considering.

Let us point out that the assumption  $i_X \geq 2$  is essential for our methods, as well as for the ones used in [4], [11] and [12], on which they are based.

The proofs of Theorems 1.1 and 1.2 are contained in section 5 and 6. In section five we consider manifolds which possess a quasi-unsplit dominating family, proving that they are as in Theorem 1.1, cases (a) and (c)-(e).

In section six we consider manifolds which do not possess a family as above, proving first that their cone of curves is as in case (b), and then that the only such manifold is the blow-up of  $\mathbb{G}(1, 4)$  along a plane of bidegree  $(0, 1)$ .

## 2. BACKGROUND MATERIAL

**2.1. Fano-Mori contractions.** Let  $X$  be a smooth Fano variety of dimension  $n$  and let  $K_X$  be its canonical divisor. By Mori's Cone Theorem the cone  $\text{NE}(X)$  of effective 1-cycles, which is contained in the  $\mathbb{R}$ -vector space  $N_1(X)$  of 1-cycles modulo numerical equivalence, is polyhedral; a face  $\tau$  of  $\text{NE}(X)$  is called an **extremal face** and an extremal face of dimension one is called an **extremal ray**.

To every extremal face  $\tau$  one can associate a morphism  $\varphi : X \rightarrow Z$  with connected fibers onto a normal variety; the morphism  $\varphi$  contracts those curves whose numerical classes lies in  $\tau$ , and is usually called the **Fano-Mori contraction** (or the **extremal contraction**) associated to the face  $\tau$ .

An extremal ray  $R$  is called **numerically effective**, or of **fiber type**, if  $\dim Z < \dim X$ , otherwise the ray is **non nef** or **birational**. We usually denote with  $\text{Exc}(\varphi) := \{x \in X \mid \dim \varphi^{-1}(\varphi(x)) > 0\}$  the **exceptional locus** of  $\varphi$ ; if  $\varphi$  is of fiber type then of course  $\text{Exc}(\varphi) = X$ . If the exceptional locus of a birational ray  $R$  has codimension one, the ray and the associated contraction are called **divisorial**; if its codimension is bigger they are called **small**.

**2.2. Families of rational curves.** For this subsection our main reference is [15], with which our notation is coherent; for missing proofs and details see also [2, 11].

**Definition 2.1.** we define a **family of rational curves** to be an irreducible component  $V \subset \text{Ratcurves}^n(X)$  of the scheme  $\text{Ratcurves}^n(X)$  (see [15, Definition 2.11]). Given a rational curve  $f : \mathbb{P}^1 \rightarrow X$  we will call a **family of deformations** of  $f$  any irreducible component  $V \subset \text{Ratcurves}^n(X)$  containing the equivalence class of  $f$ .

We define  $\text{Locus}(V)$  to be the image in  $X$  of the universal family over  $V$  via the evaluation; we say that  $V$  is a **dominating family** if  $\overline{\text{Locus}(V)} = X$ .

*Remark 2.2.* If  $V$  is a dominating family of rational curves, then its general member is a free rational curve. In particular, by [15, II.3.7], if  $B$  is a subset of  $X$  of codimension  $\geq 2$ , a general curve of  $V$  does not meet  $B$ .

**Corollary 2.3.** *Let  $\sigma: X \rightarrow Y$  be a smooth blow-up with center  $B$  of codimension  $\geq 2$  and exceptional locus  $E$ , let  $V$  be a dominating family of rational curves in  $Y$  and let  $V^*$  be a family of deformations of the strict transform of a general curve of  $V$ . Then  $E \cdot V^* = 0$ .*

For every point  $x \in \text{Locus}(V)$ , we will denote by  $V_x$  the subscheme of  $V$  parametrizing rational curves passing through  $x$ .

**Definition 2.4.** Let  $V$  be a family of rational curves on  $X$ . We say that

- $V$  is *unsplit* if it is proper;
- $V$  is *locally unsplit* if every component of  $V_x$  is proper for the general  $x \in \text{Locus}(V)$ .

**Proposition 2.5.** [15, IV.2.6] *Let  $X$  be a smooth projective variety,  $V$  a family of rational curves and  $x \in \text{Locus}(V)$  a point such that every component of  $V_x$  is proper. Then*

- (a)  $\dim X - K_X \cdot V \leq \dim \text{Locus}(V) + \dim \text{Locus}(V_x) + 1$ ;
- (b)  $-K_X \cdot V \leq \dim \text{Locus}(V_x) + 1$ .

*Remark 2.6.* The assumptions on  $V$  in [15, IV.2.6] are slightly different, but the same proof works for the statement above.

In case  $V$  is the unsplit family of deformations of an extremal rational curve of minimal degree, Proposition 2.5 gives the fiber locus inequality:

**Proposition 2.7.** [13, 28] *Let  $\varphi$  be a Fano-Mori contraction of  $X$  and  $E$  its exceptional locus; let  $F$  be an irreducible component of a (non trivial) fiber of  $\varphi$ . Then*

$$\dim E + \dim F \geq \dim X + l - 1$$

where  $l = \min\{-K_X \cdot C \mid C \text{ is a rational curve in } F\}$ . If  $\varphi$  is the contraction of an extremal ray  $R$ , then  $l$  is called the **length of the ray**.

**Definition 2.8.** We define a **Chow family of rational curves**  $\mathcal{V}$  to be an irreducible component of  $\text{Chow}(X)$  parametrizing rational and connected 1-cycles.

If  $V$  is a family of rational curves, the closure of the image of  $V$  in  $\text{Chow}(X)$  is called the **Chow family associated to  $V$** . We will usually denote the Chow family associated to a family with the calligraphic version of the same letter.

**Definition 2.9.** We denote by  $\text{Locus}(\mathcal{V}^1, \dots, \mathcal{V}^k)_Y$  the set of points  $x \in X$  such that there exist cycles  $C_1, \dots, C_k$  with the following properties:

- $C_i$  belongs to the family  $\mathcal{V}^i$ ;
- $C_i \cap C_{i+1} \neq \emptyset$ ;
- $C_1 \cap Y \neq \emptyset$  and  $x \in C_k$ ,

i.e.  $\text{Locus}(\mathcal{V}^1, \dots, \mathcal{V}^k)_Y$  is the set of points that can be joined to  $Y$  by a connected chain of  $k$  cycles belonging respectively to the families  $\mathcal{V}^1, \dots, \mathcal{V}^k$ .

We denote by  $\text{ChLocus}_m(\mathcal{V}^1, \dots, \mathcal{V}^k)_Y$  the set of points  $x \in X$  such that there exist cycles  $C_1, \dots, C_m$  with the following properties:

- $C_i$  belongs to a family  $\mathcal{V}^j$ ;
- $C_i \cap C_{i+1} \neq \emptyset$ ;
- $C_1 \cap Y \neq \emptyset$  and  $x \in C_m$ ,

i.e.  $\text{ChLocus}_m(\mathcal{V}^1, \dots, \mathcal{V}^k)_Y$  is the set of points that can be joined to  $Y$  by a connected chain of at most  $m$  cycles belonging to the families  $\mathcal{V}^1, \dots, \mathcal{V}^k$ .

**Definition 2.10.** Let  $V^1, \dots, V^k$  be unsplit families on  $X$ . We will say that  $V^1, \dots, V^k$  are **numerically independent** if their numerical classes  $[V^1], \dots, [V^k]$  are linearly independent in the vector space  $N_1(X)$ . If moreover  $C \subset X$  is a curve we will say that  $V^1, \dots, V^k$  are **numerically independent from  $C$**  if the class of  $C$  in  $N_1(X)$  is not contained in the vector subspace generated by  $[V^1], \dots, [V^k]$ .

**Lemma 2.11.** [2, Lemma 5.4] *Let  $Y \subset X$  be a closed subset and  $V$  an unsplit family of rational curves. Assume that curves contained in  $Y$  are numerically independent from curves in  $V$ , and that  $Y \cap \text{Locus}(V) \neq \emptyset$ . Then for a general  $y \in Y \cap \text{Locus}(V)$*

- (a)  $\dim \text{Locus}(V)_Y \geq \dim(Y \cap \text{Locus}(V)) + \dim \text{Locus}(V_y)$ ;
- (b)  $\dim \text{Locus}(V)_Y \geq \dim Y - K_X \cdot V - 1$ .

Moreover, if  $V^1, \dots, V^k$  are numerically independent unsplit families such that curves contained in  $Y$  are numerically independent from curves in  $V^1, \dots, V^k$  then either  $\text{Locus}(V^1, \dots, V^k)_Y = \emptyset$  or

- (c)  $\dim \text{Locus}(V^1, \dots, V^k)_Y \geq \dim Y + \sum(-K_X \cdot V^i) - k$ .

**Definition 2.12.** We define on  $X$  a relation of rational connectedness with respect to  $\mathcal{V}^1, \dots, \mathcal{V}^k$  in the following way:  $x$  and  $y$  are in  $\text{rc}(\mathcal{V}^1, \dots, \mathcal{V}^k)$ -relation if there exists a chain of rational curves in  $\mathcal{V}^1, \dots, \mathcal{V}^k$  which joins  $x$  and  $y$ , i.e. if  $y \in \text{ChLocus}_m(\mathcal{V}^1, \dots, \mathcal{V}^k)_x$  for some  $m$ .

To the  $\text{rc}(\mathcal{V}^1, \dots, \mathcal{V}^k)$ -relation it is possible to associate a fibration  $\pi: X \dashrightarrow Z$ , defined on an open subset (see [10], [15, IV.4.16]). If  $\pi$  is the constant map we say that  $X$  is  $\text{rc}(\mathcal{V}^1, \dots, \mathcal{V}^k)$ -connected.

**Definition 2.13.** A minimal horizontal dominating family with respect to  $\pi$  is a family  $V$  of horizontal rational curves such that  $\text{Locus}(V)$  dominates  $Z^0$  and  $-K_X \cdot V$  is minimal among the families with this property.

If  $\pi$  is the identity map we say that  $V$  is a minimal dominating family for  $X$ .

**Definition 2.14.** Let  $\mathcal{V}$  be the Chow family associated to a family of rational curves  $V$ . We say that  $V$  is *quasi-unsplit* if every component of any reducible cycle of  $\mathcal{V}$  is numerically proportional to the numerical class  $[V]$  of a curve of  $V$ .

We say that  $V$  is *locally quasi-unsplit* if, for a general  $x \in \text{Locus}(\mathcal{V})$  every component of any reducible cycle of  $\mathcal{V}_x$  is numerically proportional to  $V$ .

Note that any family of deformations of a rational curve whose numerical class lies in an extremal ray of  $\text{NE}(X)$  is quasi-unsplit.

**Notation:** Let  $S$  be a subset of  $X$ . We write  $N_1(S) = \langle V^1, \dots, V^k \rangle$  if the numerical class in  $N_1(X)$  of every curve  $C \subset S$  can be written as  $[C] = \sum_i a_i [C_i]$ , with  $a_i \in \mathbb{Q}$  and  $C_i \in V^i$ . We write  $\text{NE}(S) = \langle V^1, \dots, V^k \rangle$  (or  $\text{NE}(S) = \langle R_1, \dots, R_k \rangle$ ) if the numerical class in  $N_1(X)$  of every curve  $C \subset S$  can be written as  $[C] = \sum_i a_i [C_i]$ , with  $a_i \in \mathbb{Q}_{\geq 0}$  and  $C_i \in V^i$  (or  $[C_i]$  in  $R_i$ ).

**Lemma 2.15.** [6, Lemma 1.4.5], [19, Lemma 1], [11, Corollary 2.23] *Let  $Y \subset X$  be a closed subset and  $V$  a quasi-unsplit family of rational curves. Then every curve contained in  $\text{Locus}(V)_Y$  is numerically equivalent to a linear combination with rational coefficients*

$$aC_Y + bC_V,$$

where  $C_Y$  is a curve in  $Y$ ,  $C_V$  belongs to the family  $V$  and  $a \geq 0$ .

Moreover, if  $\Sigma$  is an extremal face of  $\text{NE}(X)$ ,  $Y$  is a fiber of the associated contraction and  $[V]$  does not belong to  $\Sigma$ , then

$$\text{NE}(\text{ChLocus}_m(V)_Y) = \langle \Sigma, [V] \rangle \quad \text{for every } m \geq 1.$$

*Remark 2.16.* In the quoted papers, the results are proved for unsplit families of rational curves, but they are true - with the same proofs - for quasi-unsplit ones.

### 3. DOMINATING FAMILIES AND PICARD NUMBER

We collect in this section some technical result that we will need in the proof. The first is a variation of a classical construction of Mori theory, and says that, given a family of rational curves  $V$  and a curve  $C$  contained in  $\text{Locus}(V_x)$  for an  $x$  such that  $V_x$  is proper we have  $[C] \equiv a[V]$ .

The only new remark - which already followed from the old proofs, but, to our best knowledge, was not stated - is the fact that  $a$  is a positive integer.

**Lemma 3.1.** *Let  $X$  be a smooth variety,  $V$  a family of rational curves on  $X$ ,  $x \in \text{Locus}(V)$  a point such that  $V_x$  is proper and  $C$  a curve contained in  $\text{Locus}(V_x)$ . Then  $C$  is numerically equivalent to an integral multiple of a curve of  $V$ .*

*Proof.* Consider the basic diagram

$$(3.1.1) \quad \begin{array}{ccc} p^{-1}(V_x) =: U_x & \xrightarrow{i} & X \\ p \downarrow & & \\ V_x & & \end{array}$$

Let  $C$  be a curve contained in  $\text{Locus}(V_x)$ ; if  $C$  is a curve parametrized by  $V$  we have nothing to prove, so we can suppose that this is not the case.

In particular we have that  $i^{-1}(C)$  contains an irreducible curve  $C'$  which is not contained in a fiber of  $p$  and dominates  $C$  via  $i$ ; let  $S'$  be the surface  $p^{-1}(p(C'))$ , let  $B'$  be the curve  $p(C') \subset V_x$  and let  $\nu: B \rightarrow B'$  be the normalization of  $B'$ . By base change we obtain the following diagram

$$\begin{array}{ccccc} S_B & \xrightarrow{\bar{\nu}} & U_x & \xrightarrow{i} & X \\ \downarrow & & \downarrow p & & \\ B & \xrightarrow{\nu} & V_x & & \end{array}$$

Let now  $\mu: S \rightarrow S_B$  be the normalization of  $S_B$ ; by standard arguments (see for instance [27, 1.14]) it can be shown that  $S$  is a ruled surface over the curve  $B$ ; let  $j: S \rightarrow X$  be the composition of  $i$ ,  $\bar{\nu}$  and  $\mu$ . Since every curve parametrized by  $S$  passes through  $x$  there exists an irreducible curve  $C_x \subset S$  which is contracted by  $j$ ; by [15, II.5.3.2] we have  $C_x^2 < 0$ , hence  $C_x$  is the minimal section of  $S$ .

Since every curve in  $S$  is algebraically equivalent to a linear combination with integral coefficients of  $C_x$  and a fiber  $f$ , and since  $C_x$  is contracted by  $j$ , every curve in  $j(S)$  is algebraically equivalent in  $X$  to an integral multiple of  $j_*(f)$ , which is a curve of the family  $V$ ; but algebraic equivalence implies numerical equivalence and so the lemma is proved.  $\square$

**Corollary 3.2.** *Let  $X$  be a smooth variety of dimension  $n$  and let  $V$  be a locally unsplit dominating family such that  $-K_X \cdot V = n + 1$ ; then  $X \simeq \mathbb{P}^n$ .*

*Proof.* For a general point  $x \in X$  we know that  $V_x$  is proper and  $X = \text{Locus}(V_x)$  by Proposition 2.5 (b). Therefore, by Lemma 3.1, for every curve  $C$  in  $X$  we have  $-K_X \cdot C \geq n + 1$  and we can apply [14, Theorem 1.1].  $\square$

*Remark 3.3.* The corollary also followed from the arguments in the proof of [14, Theorem 1.1].

In the rest of the section we establish some bounds on the Picard number of Fano manifolds with minimal dominating families of high anticanonical degree.

**Lemma 3.4.** *Let  $X$  be a Fano manifold of dimension  $n \geq 3$  and pseudoindex  $i_X \geq 2$  with a minimal dominating family  $W$  such that  $-K_X \cdot W > 2$ ; if  $X$  contains an effective divisor  $D$  such that  $\text{NE}(D) = \langle [W] \rangle$  then  $\rho_X = 1$ .*

*Proof.* The effective divisor  $D$  has positive intersection number with at least one of the extremal rays of  $X$ . Let  $R$  be such a ray, denote by  $\varphi_R$  the associated contraction and by  $V^R$  a family of deformations of a minimal rational curve in  $R$ . If the numerical class of  $W$  does not belong to  $R$  then  $D$  cannot contain curves whose numerical classes lie in  $R$ , therefore every fiber of  $\varphi_R$  is one-dimensional. By Proposition 2.7 this is possible only if  $l(R) \leq 2$  and therefore, since  $l(R) \geq i_X$ , it must be  $l(R) = i_X = 2$ .

Since every fiber of  $\varphi_R$  is one-dimensional we have, for every  $x \in \text{Locus}(V^R)$  that  $\dim \text{Locus}(V_x^R) = 1$  and therefore, by Proposition 2.5 (a)  $V^R$  is a dominating family. But, recalling that

$$2 = -K_X \cdot V^R < -K_X \cdot W,$$

we contradict the assumption that  $W$  is minimal.

It follows that  $[W] \in R$ , so the family  $W$  is quasi-unsplit and  $D \cdot W > 0$ ; hence  $X$  can be written as  $X = \text{Locus}(W)_D$ , and by Lemma 2.15 we have  $\rho_X = 1$ .  $\square$

**Corollary 3.5.** *Let  $X$  be a Fano manifold of dimension  $n \geq 3$  and pseudoindex  $i_X \geq 2$  which admits a minimal dominating family  $W$  such that  $-K_X \cdot W \geq n$ ; then  $\rho_X = 1$ .*

*Proof.* Let  $x \in X$  be a general point; every minimal dominating family is locally unsplit, hence  $\text{NE}(\text{Locus}(W_x)) = \langle [W] \rangle$  by Lemma 2.15.

By Proposition 2.5 we have  $\dim \text{Locus}(W_x) \geq -K_X \cdot W - 1 \geq n - 1$ , so either  $X = \text{Locus}(W_x)$  or  $\text{Locus}(W_x)$  is an effective divisor verifying the assumptions of Lemma 3.4. In both cases we can conclude that  $\rho_X = 1$ .  $\square$

**Lemma 3.6.** *Let  $X$  be a Fano manifold of dimension  $n \geq 5$  and pseudoindex  $i_X \geq 2$ , with a minimal dominating family  $W$  such that  $-K_X \cdot W = n - 1$ ; let  $U \subset X$  be the open subset of points  $x \in X$  such that  $W_x$  is unsplit. If a general curve  $C$  of  $W$  is contained in  $U$  then either a component of  $\text{Locus}(W)_C$  is a divisor and  $\rho_X = 1$  or there exists an unsplit family  $V$  such that  $-K_X \cdot V = 2$ ,  $D := \text{Locus}(V)$  is a divisor and  $D \cdot W > 0$ .*



*Proof.* Let  $C$  be a general curve of  $W$  and consider  $\text{Locus}(W)_C$ ; by Lemma 2.15 and Proposition 2.5 we have  $\text{NE}(\text{Locus}(W)_C) = \langle [W] \rangle$  and  $\dim \text{Locus}(W)_C \geq n-2$ . If  $X = \text{Locus}(W)_C$  then clearly  $\rho_X = 1$ , while if  $\text{Locus}(W)_C$  has codimension one we conclude by Lemma 3.4.

Therefore we can assume that, for a general  $C$  in  $W$ , each component of  $\text{Locus}(W)_C$  has codimension two in  $X$ . The fibration  $\pi: X \dashrightarrow Z$  associated to the open prerelation defined by  $W$  is proper, since a general fiber  $F$  coincides with  $\text{Locus}(W_x)$  for a general  $x \in F$  and  $\text{Locus}(W_x)$  is closed since  $W$  is locally unsplit.

Being  $\pi$  proper there exists a minimal horizontal dominating family  $V$  with respect to  $\pi$ ; since the general fiber of  $\pi$  has dimension  $n-2$ , then  $\dim Z = 2$ , hence for a general  $x \in \text{Locus}(V)$  we have  $\dim \text{Locus}(V_x) \leq 2$ .

It follows that  $V$  is an unsplit family, which cannot be dominating by the minimality of  $W$ , so  $\dim \text{Locus}(V_x) \geq i_X \geq 2$ , and  $D = \text{Locus}(V)$  is a divisor by Proposition 2.5. Since  $D$  dominates  $Z$  we have  $D \cdot W > 0$ .  $\square$

#### 4. FANO MANIFOLDS OBTAINED BLOWING-UP NON FANO MANIFOLDS

We start now the proof of our results. Let us fix once and for all the setup and the notation:

**4.1.**  *$X$  is a Fano manifold of pseudoindex  $i_X \geq 2$  and dimension  $n \geq 6$ , which has a contraction  $\sigma: X \rightarrow Y$  which is the blow-up of a manifold  $Y$  along a smooth subvariety  $B$  of dimension  $i_X$ . We denote by  $R_\sigma$  the extremal ray corresponding to  $\sigma$ , by  $l_\sigma$  its length and by  $E_\sigma$  its exceptional locus.*

*Remark 4.2.* The assumption on  $\dim B$  is equivalent to

$$l_\sigma + i_X = n - 1.$$

In this section we will deal with Fano manifolds as in 4.1 which are obtained as a blow-up  $\sigma: X \rightarrow Y$  of a manifold  $Y$  which is not Fano. It turns out that there is only one possibility (Corollary 4.4). We start with a slightly more general result:

**Theorem 4.3.** *Let  $X$ ,  $R_\sigma$  and  $E_\sigma$  be as in 4.1 and assume that there exists on  $X$  an unsplit family of rational curves  $V$  such that  $E_\sigma \cdot V < 0$ ; then either  $[V] \in R_\sigma$  or  $X = \mathbb{P}_{\mathbb{P}^{n-3} \times \mathbb{P}^2}(\mathcal{O}(1, 1) \oplus \mathcal{O}(2, 2))$ .*

*Proof.* Assume  $[V] \notin R_\sigma$ . Since  $E_\sigma \cdot V < 0$  then  $\text{Locus}(V) \subseteq E_\sigma$ , so  $V$  is not a dominating family.

Pick  $x \in \text{Locus}(V)$  and let  $F_\sigma$  be the fiber of  $\sigma$  through  $x$ ; we have

$$\dim E_\sigma \geq \dim \text{Locus}(V_x) + \dim F_\sigma \geq i_X + l_\sigma = n - 1,$$

so all the above inequalities are equalities; in particular we have  $\dim \text{Locus}(V_x) = i_X$  and so, by Proposition 2.5,

$$\dim \text{Locus}(V) \geq n + i_X - 1 - \dim \text{Locus}(V_x) = n - 1,$$

hence  $\text{Locus}(V) = E_\sigma$ ; therefore the above (in)equalities are true for every  $x \in E_\sigma$ .

Considering  $V$  as a family on the smooth variety  $E_\sigma$  we can write, again by Proposition 2.5 a)

$$n - 1 + i_X = \dim \text{Locus}(V) + \dim \text{Locus}(V_x) \geq -K_E \cdot V + n - 2,$$

therefore  $-K_{E_\sigma} \cdot V \leq i_X + 1$ ; on the other hand

$$-K_{E_\sigma} \cdot V = -K_X \cdot V - E_\sigma \cdot V \geq i_X + 1,$$

forcing  $-K_{E_\sigma} \cdot V = i_X + 1$  and  $E_\sigma \cdot V = -1$ .

Then on  $E_\sigma$  we have two unsplit dominating families of rational curves verifying the assumptions of [19, Theorem 1], hence  $E \simeq \mathbb{P}^{i_X} \times \mathbb{P}^{l_\sigma}$ ; in particular  $\rho_{E_\sigma} = 2$ .

Now let  $R$  be an extremal ray of  $X$  such that  $E_\sigma \cdot R > 0$ ; by [18, Corollary 2.15] the contraction  $\varphi_R$  associated to  $R$  is a  $\mathbb{P}^1$ -bundle; in particular, by Proposition 2.7, this implies that  $i_X = 2$ .

Moreover, denoted by  $V^R$  a family of deformation of a minimal rational curve in  $R$ , we have  $X = \text{Locus}(V^R)_{E_\sigma}$ , so  $\rho_X = 3$  and the description of  $X$  is obtained arguing as in the proof of Proposition 7.3 in [18].  $\square$

**Corollary 4.4.** *In the assumptions of Theorem 1.1 either  $Y$  is a Fano manifold or  $X = \mathbb{P}_{\mathbb{P}^{n-3} \times \mathbb{P}^2}(\mathcal{O}(1, 1) \oplus \mathcal{O}(2, 2))$ ,  $Y \simeq \mathbb{P}_{\mathbb{P}^2}(\mathcal{O} \oplus \mathcal{O}(1)^{n-2})$  and  $B \simeq \mathbb{P}^2$  is the section corresponding to the surjection  $\mathcal{O} \oplus \mathcal{O}(1)^{n-2} \rightarrow \mathcal{O}$ .*

*Proof.* If  $Y$  is not Fano then there exists an extremal ray  $R' \in \text{NE}(X)$  such that  $E_\sigma \cdot R' < 0$ .  $\square$

*Remark 4.5.* Note that if  $X \simeq \mathbb{P}_{\mathbb{P}^{n-3} \times \mathbb{P}^2}(\mathcal{O}(1, 1) \oplus \mathcal{O}(2, 2))$ , then  $\text{NE}(X)$  is generated by three extremal rays: one – the  $\mathbb{P}^1$ -bundle contraction – is of fiber type, while the other two are smooth blow-ups with the same exceptional locus. In particular  $\text{NE}(X)$  is as in Theorem 1.1, case (d).

**Corollary 4.6.** *Let  $X$ ,  $R_\sigma$  and  $E_\sigma$  be as in 4.1. Assume that  $Y$  is a Fano manifold and that there exists on  $X$  a family of rational curves  $V$  such that  $E_\sigma \cdot V < 0$ . Then  $-K_X \cdot V \geq l_\sigma$ ; moreover if  $V$  is unsplit then  $[V] \in R_\sigma$ .*

*Proof.* Among the irreducible components of cycles in  $\mathcal{V}$  there is at least one whose family of deformations  $V^{E_\sigma}$  is unsplit and such that  $E_\sigma \cdot V^{E_\sigma} < 0$ . By Theorem

4.3 we have that  $[V^{E_\sigma}] \in R_\sigma$ , hence

$$-K_X \cdot V \geq -K_X \cdot V^{E_\sigma} \geq l_\sigma.$$

To prove the last assertion note that, if  $V$  is an unsplit family, we can apply Theorem 4.3 directly to  $V$ .  $\square$

## 5. MANIFOLDS WITH A DOMINATING (QUASI)-UNSPLIT FAMILY

In this section we will describe the cone of curves of Fano manifolds as in 4.1 which admit a minimal dominating quasi-unsplit family of rational curves  $W$ , and such that the target of the blow-up  $\sigma: X \rightarrow Y$  is a Fano manifold.

If the family  $W$  is quasi-unsplit but not unsplit then the result can be obtained easily:

**Lemma 5.1.** *Assume that  $W$  is not unsplit; then  $\rho_X = 2$ ,  $i_X = 2$  and  $\text{NE}(X) = \langle R_\sigma, [W] \rangle$ .*

*Proof.* Since  $W$  is not unsplit we have  $-K_X \cdot W \geq 2i_X$ ; moreover, by the minimality assumption we have that  $W$  is locally unsplit. Consider the associated Chow family  $\mathcal{W}$  and the rc $\mathcal{W}$ -fibration  $\pi: X \dashrightarrow Z$ ; since a general fiber of  $\pi$  contains  $\text{Locus}(W_x)$  for some  $x$ , and by Proposition 2.5 we have  $\dim \text{Locus}(W_x) \geq -K_X \cdot W - 1 \geq 2i_X - 1$  we have

$$\dim Z \leq n + 1 - 2i_X \leq n - 1 - i_X = \dim F_\sigma,$$

where  $F_\sigma$  is a fiber of  $\sigma$ .

A family  $V^\sigma$  of deformations of a minimal curve in  $R_\sigma$  is thereby horizontal and dominating with respect to  $\pi$ ; moreover, since  $F_\sigma$  dominates  $Z$  we have that  $X = \text{Locus}(\mathcal{W})_{F_\sigma}$ , hence  $\text{NE}(X) = \langle R_\sigma, [W] \rangle$  by Lemma 2.15.  $\square$

In view of Lemma 5.1, we can assume throughout the section that  $W$  is an unsplit dominating family.

**Lemma 5.2.** *Let  $X$  be a Fano manifold with  $\rho_X = 3$ . Assume that there exists an effective divisor  $E$  which is negative on one extremal ray  $R$  of  $\text{NE}(X)$  and is nonnegative on the other extremal rays. If  $E \cdot C = 0$  for a curve  $C \subset X$  whose numerical class lies in  $\partial \text{NE}(X)$ , then  $[C]$  is contained in a two-dimensional face of  $\text{NE}(X)$  which contains  $R$ .*

*Proof.* The divisor  $E$  is not nef. Since  $E$  is effective, also  $-E$  is not nef, hence the hyperplane  $\{E = 0\}$  has nonempty intersection with the interior of  $\text{NE}(X)$  and the statement follows.  $\square$

**Lemma 5.3.** *Assume that there exists an extremal ray  $R_\tau$  such that  $[W] \notin R_\tau$  and either  $E_\sigma \cdot R_\tau > 0$  or  $E_\sigma \cdot W > 0$ . Then every fiber of the contraction  $\tau$  associated to  $R_\tau$  has dimension not greater than two. In particular  $\tau$  is either a fiber type contraction or a smooth blow-up of a codimension three subvariety, and in this case the exceptional locus of  $\tau$  is  $E_\tau = \text{Locus}(W, V^\tau)_{F_\sigma}$ , for some fiber  $F_\sigma$  of  $\sigma$ .*

*Proof.* Let  $F_\tau$  be a fiber of  $\tau$ . If  $E_\sigma \cdot R_\tau > 0$  there exists a fiber  $F_\sigma$  of  $\sigma$  which meets  $F_\tau$ ; since  $W$  is dominating we have  $F_\sigma \subset \text{Locus}(W)_{F_\sigma}$  and therefore  $F_\tau \cap \text{Locus}(W)_{F_\sigma} \neq \emptyset$ .

If else  $E_\sigma \cdot W > 0$  then  $E_\sigma \cap \text{Locus}(W)_{F_\tau} \neq \emptyset$ , so there exists a fiber  $F_\sigma$  of  $\sigma$  such that  $F_\sigma \cap \text{Locus}(W)_{F_\tau} \neq \emptyset$ ; equivalently, we have that  $F_\tau \cap \text{Locus}(W)_{F_\sigma} \neq \emptyset$ .

In both cases this intersection cannot be of positive dimension, since every curve in  $F_\tau$  has numerical class belonging to  $R_\tau$ , while every curve in  $\text{Locus}(W)_{F_\sigma}$  has numerical class contained in the cone  $\langle R_\sigma, [W] \rangle$  by Lemma 2.15. By our assumptions

$$\dim \text{Locus}(W)_{F_\sigma} \geq \dim F_\sigma + i_X - 1 = l_\sigma + i_X - 1 = n - 2,$$

hence  $\dim F_\tau \leq 2$ . Proposition 2.7 implies that  $\tau$  cannot be a small contraction; if it is divisorial, by the same inequality it is equidimensional with two-dimensional fibers, so it is a smooth blow-up by [3, Theorem 5.1].

In this last case, denoted by  $V^\tau$  a family of deformations of a minimal curve in  $R_\tau$ , we have

$$\dim \text{Locus}(W, V^\tau)_{F_\sigma} \geq n - 1,$$

hence  $E_\tau = \text{Locus}(W, V^\tau)_{F_\sigma}$ . □

**Lemma 5.4.** *Assume that  $E_\sigma \cdot W = 0$ . Let  $\pi: X \dashrightarrow Z$  be the rcW-fibration and let  $V$  be a minimal horizontal dominating family with respect to  $\pi$ . Then  $R_\sigma$ ,  $W$  and  $V$  are numerically independent. In particular  $\rho_X \geq 3$ .*

*Proof.* Since  $E_\sigma \cdot W = 0$ ,  $E_\sigma$  does not dominate  $Z$ , hence  $E_\sigma$  cannot contain  $\text{Locus}(V)$  and therefore  $E_\sigma \cdot V \geq 0$ .

Let  $\mathcal{H}$  be the pull-back to  $X$  of a very ample divisor in  $\text{Pic}(Z)$ ;  $\mathcal{H}$  is zero on curves in the family  $W$  and it is positive outside the indeterminacy locus of  $\pi$ ; in particular  $\mathcal{H} \cdot V > 0$  since  $V$  is horizontal and  $\mathcal{H} \cdot R_\sigma > 0$  since the indeterminacy locus has codimension at least two in  $X$ .

If  $[V]$  were contained in the plane spanned by  $R_\sigma$  and  $[W]$  we could write  $[V] = \alpha[V^\sigma] + \beta[W]$ , but intersecting with  $E_\sigma$  we would get  $\alpha \leq 0$ , while intersecting with  $\mathcal{H}$  we would get  $\alpha > 0$ , a contradiction which proves the lemma. □

**Proposition 5.5.** *Assume that  $E_\sigma \cdot W = 0$ . Let  $\pi$  be the rcW-fibration and let  $V$  be a minimal horizontal dominating family with respect to  $\pi$ . Then  $V$  is unsplit.*

*Proof.* Assume first that  $E_\sigma \cdot V > 0$ .

If  $V$  is not unsplit we will have, by Proposition 2.5 a) for a general  $x \in \text{Locus}(V)$ , that

$$\dim \text{Locus}(V_x) \geq 2i_X - 1 \geq 3.$$

Since  $E_\sigma \cdot V > 0$ , then  $E_\sigma \cap \text{Locus}(V_x) \neq \emptyset$ , therefore  $\text{Locus}(V_x)$  meets a fiber  $F_\sigma$  of  $\sigma$ . Moreover, since  $W$  is dominating,  $F_\sigma \subset \text{Locus}(W)_{F_\sigma}$  and so the intersection  $\text{Locus}(V_x) \cap \text{Locus}(W)_{F_\sigma}$  is not empty. By Lemma 2.11

$$\dim \text{Locus}(W)_{F_\sigma} \geq l_\sigma + i_X - 1 = n - 2,$$

so  $\text{Locus}(W)_{F_\sigma}$  contains a curve whose class is proportional to  $[V]$ , a contradiction by Lemma 5.4, since  $\text{NE}(\text{Locus}(W)_{F_\sigma}) = \langle [W], R_\sigma \rangle$ .

We will now deal with the harder case  $E_\sigma \cdot V = 0$ , assuming by contradiction that  $V$  is not unsplit.

We claim that  $E_\sigma$  has non zero intersection number with at least one component of a cycle of the Chow family  $\mathcal{V}$ . To prove the claim, consider the  $\text{rc}(W, \mathcal{V})$ -fibration  $\pi_{W, \mathcal{V}}$ ; a general fiber of  $\pi_{W, \mathcal{V}}$  contains  $\text{Locus}(V, W)_x$  for some  $x$ , so it has dimension  $\geq 3i_X - 2$ .

Since  $E_\sigma$  is not contained in the indeterminacy locus of  $\pi_{W, \mathcal{V}}$  - which has codimension at least two in  $X$  - it meets some fiber  $G$  of  $\pi_{W, \mathcal{V}}$  which, by semicontinuity, has dimension  $\geq 3i_X - 2$ . Therefore there exists a fiber  $F_\sigma$  of  $\sigma$  such that  $F_\sigma \cap G \neq \emptyset$ , and, for such a fiber we have

$$\dim(F_\sigma \cap G) \geq l_\sigma + 3i_X - 2 - n \geq 2i_X - 3 \geq 1;$$

Let  $C$  be a curve in  $F_\sigma \cap G$ ; since  $C \subset F_\sigma$  we have  $E_\sigma \cdot C < 0$ ; on the other hand, since  $C \subset G$  the numerical class of  $C$  can be written as a linear combination of  $[W]$  and of classes of irreducible components of cycles in  $\mathcal{V}$  by [2, Corollary 4.2]. Since  $E_\sigma \cdot W = 0$  we see that  $E_\sigma$  cannot have zero intersection number with all the components of cycles in  $\mathcal{V}$  and the claim is proved.

So in  $\mathcal{V}$  there exists a reducible cycle  $\Gamma = \sum_{i=1}^k \Gamma_i$  such that  $E_\sigma \cdot \Gamma_1 < 0$ . Then there exists an unsplit family  $T$  on which  $E_\sigma$  is negative and such that  $[\Gamma_1] = [T] + [\Delta]$ , with  $\Delta$  an effective rational 1-cycle.

Since  $Y$  is a Fano manifold, by Corollary 4.6 we have that  $[T] \in R_\sigma$  and  $-K_X \cdot T \geq l_\sigma$ ; therefore, for a general  $x \in \text{Locus}(V)$ , by Proposition 2.5 b)

$$\dim \text{Locus}(V_x) \geq -K_X \cdot V - 1 = -K_X \cdot (T + \Delta + \sum_{i=2}^k \Gamma_i) - 1 \geq l_\sigma + i_X - 1 = n - 2.$$

If  $\dim \text{Locus}(V_x) \geq n - 1$  then  $X = \text{Locus}(W)_{\text{Locus}(V_x)}$  and  $\rho_X = 2$  against Lemma 5.4; therefore  $\dim \text{Locus}(V_x) = -K_X \cdot V - 1 = n - 2$ , hence  $V$  is a dominating family by Proposition 2.5,  $\Gamma = \Gamma_1 + \Gamma_2$ ,  $\Delta = 0$ ,  $[\Gamma_1] \in R_\sigma$  and  $-K_X \cdot \Gamma_2 = i_X$ .

Pick a general  $x \in \text{Locus}(V)$  and let  $D := \text{Locus}(W)_{\text{Locus}(V_x)}$ . We have  $\dim D \geq n - 1$  by Lemma 2.11; moreover, since  $N_1(D) = \langle [W], [V] \rangle$  and  $\rho_X \geq 3$  by Lemma 5.4, we cannot have  $D = X$ , hence  $D$  is an effective divisor.

We will now reach a contradiction by showing that  $D$  has zero intersection number with every extremal ray of  $X$ .

Let  $\bar{V}$  be any unsplit family whose numerical class is not contained in the plane spanned by  $[W]$  and  $[V]$ ; we cannot have  $\dim \text{Locus}(\bar{V}_x) = 1$ , otherwise  $\bar{V}$  would be dominating of anticanonical degree 2, against the minimality of  $V$ . This implies that  $D \cdot \bar{V} = 0$  since  $N_1(D) = \langle [W], [V] \rangle$  implies that  $D \cap \text{Locus}(\bar{V}_x) = \emptyset$ .

It follows that  $D \cdot \Gamma_2 = 0$  and that  $D$  is trivial on every extremal ray not lying in the plane  $\langle [V], [W] \rangle$ . Since  $[V] = [\Gamma_1] + [\Gamma_2]$  and  $[\Gamma_1] \in R_\sigma$ , which is a ray not contained in the plane spanned by  $[W]$  and  $[V]$  we have that also  $D \cdot V = 0$ .

To conclude it is now enough to observe that we must have  $D \cdot W = 0$ , otherwise  $\text{ChLocus}_2(W)_{\text{Locus}(V_x)} = X$ , forcing again  $\rho_X = 2$ . We have thus reached a contradiction, since the effective divisor  $D$  has to be trivial on the whole  $\text{NE}(X)$ .  $\square$

**Proposition 5.6.** *Assume that  $E_\sigma$  is trivial on every unsplit dominating family of rational curves of  $X$ . Then the cone of curves of  $X$  is generated by  $R_\sigma$  and two other extremal rays; one of them is of fiber type and it is spanned by the numerical class of  $W$ , the other is birational and the associated contraction is a smooth blow-up of a codimension three subvariety.*

*Proof.* let  $\pi$  be the  $\text{rc}W$ -fibration, and let  $V$  be a minimal horizontal dominating family with respect to  $\pi$ . By Proposition 5.5 we know that  $V$  is unsplit.

We claim that  $V$  is not a dominating family. Assume by contradiction that  $\overline{\text{Locus}(V)} = X$ .

If  $F_\sigma$  is any fiber of  $\sigma$  we have, by Lemma 2.11

$$\dim \text{Locus}(V, W)_{F_\sigma} \geq \dim F_\sigma + 2i_X - 2 = l_\sigma + 2i_X - 2 \geq n - 1.$$

Notice that, by the assumptions on the intersection numbers, we have  $\text{Locus}(V, W)_{F_\sigma} \subseteq E$ , and therefore  $\text{Locus}(V, W)_{F_\sigma} = E$ ; in particular it follows from the above inequalities that  $i_X = 2$ .

We can repeat the same arguments to show that also  $\text{Locus}(W, V)_{F_\sigma} = E$ ; hence every curve contained in  $E$  is numerically equivalent to a linear combination

$$a[V^\sigma] + b[V] + c[W]$$

with  $a, b, c \geq 0$  by Lemma 2.15, and therefore  $\text{NE}(E) = \langle R_\sigma, [V], [W] \rangle$ . In particular  $E$  has nonpositive intersection with every curve it contains.

Let  $R_\vartheta$  be an extremal ray such that  $E \cdot R_\vartheta > 0$ ; by [18, Corollary 2.15] the associated contraction  $\vartheta: X \rightarrow Y$  is a  $\mathbb{P}^1$ -bundle; the associated family  $V^\vartheta$  is dominating and unsplit and  $E \cdot V^\vartheta > 0$ , a contradiction. We have thus proved that  $V$  is not dominating.

Consider the  $\text{rc}(W, V)$ -fibration  $\pi': X \dashrightarrow Z'$ ;  $Z'$  has positive dimension since by Lemma 5.4 we have  $\rho_X \geq 3$ .

A general fiber  $F'$  of  $\pi'$  contains  $\text{Locus}(V, W)_x$  for some  $x \in \text{Locus}(V)$ , hence  $\dim F' \geq 2i_X - 1$  and thus

$$\dim Z' \leq n + 1 - 2i_X \leq l_\sigma.$$

Let  $X^0$  be the open subset of  $X$  on which  $\pi'$  is defined; since  $\dim(X \setminus X^0) \leq n - 2$ , a general fiber  $F_\sigma$  of  $\sigma$  is not contained in the indeterminacy locus of  $\pi'$ . Moreover, curves in  $F_\sigma$  are not contracted by  $\pi'$ , since, by Lemma 5.4,  $[V]$ ,  $[W]$  and  $R_\sigma$  are numerically independent. Hence  $\pi'|_{F_\sigma \cap X^0}: F_\sigma \cap X^0 \rightarrow Z'$  is a finite morphism and we have  $\dim Z' \geq \dim F_\sigma = l_\sigma$  and the above inequalities are equalities.

It follows that  $i_X = 2$ ,  $\dim Z' = l_\sigma$  and  $F_\sigma$  dominates  $Z'$ ; this implies that  $X$  is  $\text{rc}(W, V, V^\sigma)$ -connected ( $V^\sigma$  is the family of deformations of a minimal curve in  $R_\sigma$ ). More precisely  $X = \text{ChLocus}_m(W, V)_{F_\sigma}$  for some  $m$  and so, by Lemma 2.15, the numerical class of every curve in  $X$  can be written as

$$\alpha[V^\sigma] + \beta[W] + \gamma[V],$$

with  $\alpha \geq 0$ . This implies that the plane  $\langle [V], [W] \rangle$  is extremal in  $\text{NE}(X)$ .

By Corollary 4.6 we have that  $E_\sigma$  is non negative on the rays different from  $R_\sigma$ , hence, by Lemma 5.2  $[W]$  is in an extremal face with  $R_\sigma$ . Since  $[W]$  is also in an extremal face with  $[V]$  it follows that  $[W]$  spans an extremal ray of  $\text{NE}(X)$ , whose associated contraction is of fiber type.

Let  $R_\tau$  be the extremal ray of  $\text{NE}(X)$  which lies in the face contained in the plane spanned by  $[V]$  and  $[W]$ . We have  $E_\sigma \cdot R_\tau > 0$ , otherwise  $E_\sigma$  would be nonpositive on the whole cone. By Lemma 5.3 the associated contraction  $\tau$  is either of fiber type with fibers of dimension  $\leq 2$  or a smooth blow-up.

In the first case, the family of deformations  $V^\tau$  of a minimal curve in  $R_\tau$  would be a dominating family on which  $E_\sigma$  is positive. Moreover, since by Proposition 2.7, taking into account that  $\dim F_\tau \leq 2$  for every fiber of  $\tau$ , we have  $K_X \cdot V^\tau \leq 3 < 2i_X$  this family would also be unsplit, against our assumptions.

It follows that  $\tau$  a smooth blow-up of a codimension three subvariety.

We claim that  $E_\tau \cdot W > 0$ . If  $\text{Locus}(V) \subset E_\tau$  then this follows from the fact that  $V$  is horizontal dominating with respect to the contraction of the ray spanned by  $[W]$ . If  $E_\tau \cdot W = 0$ , then we will have  $E_\tau \cdot V < 0$ , hence  $\text{Locus}(V) \subset E_\tau$  and the claim is proved.

It follows that  $V^\tau$  is horizontal dominating with respect to the contraction of the ray spanned by  $[W]$ , so we can replace  $V$  by  $V^\tau$  in the first part of the proof and get that  $X$  is  $rc(W, V^\tau, V^\sigma)$ -connected.

Let  $\tau: X \rightarrow X'$  be the blow-down contraction;  $X'$  is then rationally connected with respect to the images of curves in  $W$  and in  $V^\sigma$ ; since  $\rho_{X'} = 2$  the images of curves in  $W$  are not numerically proportional to the images of curves in  $V^\sigma$ .

Let  $F_\tau$  be a general fiber of  $\tau$ , let  $A = \tau(\text{Locus}(V^\sigma)_{F_\tau})$  and  $B = \tau(\text{Locus}(W)_{F_\tau})$ . Every curve in  $A$  is numerically proportional to the image of a curve of  $V^\sigma$  and every curve in  $B$  is numerically proportional to the image of a curve of  $W$ , hence  $\dim(A \cap B) = 0$ . Since  $F_\tau$  is general and  $W$  is dominating we have  $\dim B = \dim \text{Locus}(W)_{F_\tau} \geq 2i_X - 1 = 3$ , hence  $\dim A \leq n - 3 = l_\sigma = \dim F_\sigma$ .

This implies that every fiber of  $\sigma$  meeting  $F_\tau$  is contained in  $E_\tau$ , hence that  $E_\tau \cdot R_\sigma = 0$ . Now we can show that  $\text{NE}(X) = \langle [W], R_\sigma, R_\tau \rangle$ . Assume by contradiction that there exists another extremal ray  $R$ ; since  $E_\tau \cdot R_\tau < 0$ ,  $E_\tau \cdot W > 0$  and  $E_\tau \cdot R_\sigma = 0$  we have  $E_\tau \cdot R < 0$ , but, by Lemma 5.3  $E_\tau = \text{Locus}(W, V^\tau)_{F_\sigma}$ , for some fiber  $F_\sigma$  of  $\sigma$ , hence, by Lemma 2.15  $\text{NE}(E) = \langle [W], R_\sigma, R_\tau \rangle$ .  $\square$

**Theorem 5.7.** *Let  $X$  be a Fano manifold of pseudoindex  $i_X \geq 2$  and dimension  $n \geq 6$ , with a contraction  $\sigma: X \rightarrow Y$  which is the blow-up of a Fano manifold  $Y$  along a smooth subvariety  $B$  of dimension  $i_X$ . If  $X$  admits a dominating unsplit family of rational curves  $W$  then the possible cones of curves of  $X$  are listed in the following table, where  $R_\sigma$  is the ray corresponding to  $\sigma$ ,  $F$  stands for a fiber type contraction and  $D_{n-3}$  for a divisorial contraction whose exceptional locus is mapped to a subvariety of codimension three.*

$\rho_X$	$i_X$	$R_1$	$R_2$	$R_3$	$R_4$
2		$R_\sigma$	$F$		
3	2,3	$R_\sigma$	$F$	$F$	
3	2	$R_\sigma$	$F$	$D_{n-3}$	
4	2	$R_\sigma$	$F$	$F$	$F$

*In particular Generalized Mukai conjecture (see [9, 2]) holds for  $X$ .*

*Proof.* Let  $V^\sigma$  be a family of deformations of a minimal rational curve in  $R_\sigma$ . By Proposition 5.6 we can assume that  $E_\sigma \cdot W > 0$ ; therefore the family  $V^\sigma$  is horizontal and dominating with respect to the  $rcW$ -fibration  $\pi: X \dashrightarrow Z$ .



It follows that a general fiber  $F'$  of the the  $\text{rc}(W, V^\sigma)$ -fibration  $\pi': X \dashrightarrow Z'$  contains  $\text{Locus}(W)_{F_\sigma}$  for some fiber  $F_\sigma$  of  $\sigma$ , and therefore, by Lemma 2.11

$$\dim F' \geq \dim \text{Locus}(W)_{F_\sigma} \geq l_\sigma + i_X - 1 = n - 2,$$

hence  $\dim Z' \leq 2$ .

If  $\dim Z' = 0$  then  $X$  is  $\text{rc}(W, V^\sigma)$ -connected and  $\rho_X = 2$ ; denote by  $R_\vartheta$  the extremal ray of  $\text{NE}(X)$  different from  $R_\sigma$ . We claim that in this case  $[W] \in R_\vartheta$ . In fact, if this were not the case,  $R_\vartheta$  would be a small ray by [11, Lemma 2.4], but in our assumptions we have  $E \cdot R_\vartheta > 0$ , against Lemma 5.3.

We can thus conclude that in this case  $\text{NE}(X) = \langle R_\sigma, R_\vartheta \rangle$  and that  $R_\vartheta$  is of fiber type.

If  $\dim Z' > 0$  take  $V'$  to be a minimal horizontal dominating family for  $\pi'$ ; by [2, Lemma 6.5] we have  $\dim \text{Locus}(V'_x) \leq 2$ , and therefore, by Proposition 2.5 a)

$$-K_X \cdot V' \leq \dim \text{Locus}(V'_x) + 1 \leq 3,$$

so  $V'$  is unsplit and  $i_X \leq 3$ .

Consider now the  $\text{rc}(W, V^\sigma, V')$ -fibration  $\pi'': X \dashrightarrow Z''$ : its fibers have dimension  $\geq n - 1$  and so  $\dim Z'' \leq 1$ .

If  $\dim Z'' = 0$  we have that  $X$  is  $\text{rc}(W, V^\sigma, V')$ -connected and  $\rho_X = 3$ ; by Lemma 5.3 every extremal ray of  $X$  has an associated contraction which is either of fiber type or divisorial.

The classes  $[V^\sigma]$  and  $[W]$  lie on an extremal face  $\Sigma = \langle R_\sigma, R \rangle$  of  $\text{NE}(X)$ , since, otherwise, by [11, Lemma 2.4],  $X$  would have a small contraction, against Lemma 5.3. Let  $\mathcal{H}$  be the pull back via  $\pi$  of a very ample divisor on  $Z$ .

We know that  $\mathcal{H} \cdot W = 0$  and  $\mathcal{H} \cdot R_\sigma > 0$ , since  $V^\sigma$  is horizontal and dominating with respect to  $\pi$ . It follows that  $[W] \in R$  (and so  $R$  is of fiber type), since otherwise the exceptional locus of  $R$  would be contained in the indeterminacy locus of  $\pi$ , and thus the associated contraction would be small, contradicting again Lemma 5.3.

Assume that there exists an extremal ray  $R'$  not belonging to  $\Sigma$  such that its associated contraction is of fiber type. This ray must lie in a face of  $\text{NE}(X)$  with  $R$  by [11, Lemma 5.4].

If  $E \cdot R' > 0$  we can exchange the role of  $R$  and  $R'$  and repeat the previous argument, therefore  $R'$  lies in a face with  $R_\sigma$  and  $\text{NE}(X) = \langle R_\sigma, R, R' \rangle$ .

If  $E \cdot R' = 0$  there cannot be any extremal ray in the half-space of  $\text{NE}(X)$  determined by the plane  $\langle R', R_\sigma \rangle$  and not containing  $R$ , otherwise this ray would have negative intersection with  $E$ , contradicting Theorem 4.3. So again  $\text{NE}(X) = \langle R_\sigma, R, R' \rangle$ .

We can thus assume that every ray not belonging to  $\Sigma$  is divisorial. Let  $R'$  be such a ray, denote by  $E'$  its exceptional locus, and by  $W'$  a family of deformations of a minimal rational curve in  $R'$ .

Recalling that, for a fiber  $F'$  of the  $\text{rc}(W, V^\sigma)$ -fibration  $\pi'$  we have  $\dim F' \geq n-2$  we can write  $E' = \text{Locus}(W')_{F'}$ . By Lemma 2.15 it follows that  $\text{NE}(E') = \langle R_\sigma, R, R' \rangle$ . In particular  $E'$  cannot be trivial on  $\Sigma$ , otherwise it would be nonpositive on the whole  $\text{NE}(X)$ .

We claim that  $R$  and  $R'$  lie on an extremal face of  $\text{NE}(X)$ : if  $E' \cdot R > 0$  the family  $W'$  is horizontal and dominating with respect to  $\pi$  and so  $R'$  and  $R$  are in a face by [11, Lemma 5.4]. If else  $E' \cdot R = 0$  we have  $E' \cdot R_\sigma > 0$ . It follows that, if  $R$  and  $R'$  do not span an extremal face, there is an extremal ray  $R''$  (in the half-space determined by  $\langle R, R' \rangle$  and not containing  $R_\sigma$ ) on which the divisor  $E'$  is negative. The exceptional locus of  $R''$  must then be contained in  $E'$ , contradicting the fact that  $\text{NE}(E') = \langle R_\sigma, R, R' \rangle$ .

So we have proved that every ray not belonging to  $\Sigma$  lies in a face with  $R$ , and this implies that such a ray is unique and  $\text{NE}(X) = \langle R_\sigma, R, R' \rangle$ .

Recalling that  $E' = \text{Locus}(W')_{F'}$  and that  $\dim F' \geq n-2$  we have that every fiber of the contraction  $\varphi'$  associated to  $R'$  has dimension two; it follows that  $i_X = 2$  and that  $\varphi'$  is a smooth blow-up of a codimension three subvariety by [3, Theorem 5.1].

Finally, if  $\dim Z'' = 1$  consider a minimal horizontal dominating family  $V''$  for  $\pi''$ : in this case  $\rho_X = 4$ ,  $i_X = 2$  and both  $V'$  and  $V''$  are dominating. Let  $F_\sigma$  be a fiber of  $\sigma$ : then we can write  $X = \text{Locus}(V', V'')_{\text{Locus}(W)_{F_\sigma}}$ . By Lemma 2.15 every curve in  $X$  can be written with positive coefficients with respect to  $V^\sigma$  and  $W$ ; but  $W$ ,  $V'$  and  $V''$  play a symmetric role, so we can conclude that  $\text{NE}(X) = \langle R_\sigma, [W], [V'], [V''] \rangle$ , and all the three rays different from  $R_\sigma$  are of fiber type.  $\square$

## 6. MANIFOLDS WITHOUT A DOMINATING QUASI-UNSPLIT FAMILY

In this section we will show that the only Fano manifold as in 4.1 which does not admit a dominating quasi-unsplit family of rational curves is the blow-up of  $\mathbb{G}(1, 4)$  along a plane of bidegree  $(0, 1)$  (Theorem 6.7). In view of Theorem 5.7 this will conclude the proof of Theorem 1.1 and prove Theorem 1.2.

From now on we will thus work in the following setup:

**6.1.**  *$X$  is a Fano manifold of pseudoindex  $i_X \geq 2$  and dimension  $n \geq 6$ , which does not admit a quasi-unsplit dominating family of rational curves and has a contraction*

$\sigma: X \rightarrow Y$  which is the blow-up of a manifold  $Y$  along a smooth subvariety  $B$  of dimension  $i_X$ . We denote by  $R_\sigma$  the extremal ray corresponding to  $\sigma$ , by  $l_\sigma$  its length and by  $E_\sigma$  its exceptional locus.

In view of Corollary 4.4 we can assume that  $Y$  is a Fano manifold. We need some preliminary work to establish some properties of families of rational curves on  $X$  and  $Y$ .

**Lemma 6.2.** *Assume that  $\rho_X = 2$ . Let  $W'$  be a minimal dominating family of rational curves for  $Y$ . Then  $-K_Y \cdot W' \geq n - 1$ .*

*Proof.* Let  $W^*$  be a family of deformations of the strict transform of a general curve of  $W'$ . The family  $W^*$  is dominating and therefore, by 6.1, not quasi-unsplit. Moreover, by Corollary 2.3 we have  $E_\sigma \cdot W^* = 0$ , hence there exists a component  $\Gamma_1^*$  of a reducible cycle  $\Gamma^*$  in  $W^*$  such that  $E_\sigma \cdot \Gamma_1^* < 0$ . By Corollary 4.6 we have  $-K_X \cdot \Gamma_1^* \geq l_\sigma$ , and therefore

$$-K_Y \cdot W' = -K_X \cdot W^* \geq l_\sigma + i_X = n - 1.$$

□

**Proposition 6.3.** *Let  $X, Y, R_\sigma$  and  $E_\sigma$  be as in 6.1. Then there does not exist on  $X$  any locally unsplit dominating family  $W$  such that  $E_\sigma \cdot W > 0$ .*

*Proof.* Assume that such a family  $W$  exists; we will derive a contradiction showing that in this case  $n = 5$ .

First of all we prove that  $i_X = 2$  and that  $X$  is rationally connected with respect to the Chow family  $\mathcal{W}$  associated to  $W$  and to  $V^\sigma$ , the family of deformations of a general curve of minimal degree in  $R_\sigma$ .

Since  $E_\sigma \cdot W > 0$ , for a general  $x \in X$ , the intersection  $E_\sigma \cap \text{Locus}(W_x)$  is nonempty. On the other hand, the fact that  $E_\sigma \cdot V^\sigma < 0$  yields that the families  $W$  and  $V^\sigma$  are numerically independent, and therefore, for every fiber  $F_\sigma$  of  $\sigma$  and for a general  $x \in X$ , we have  $\dim(\text{Locus}(W_x) \cap F_\sigma) \leq 0$ .

Now, if we denote by  $F_\sigma$  a fiber of  $\sigma$  which meets  $\text{Locus}(W_x)$ , it follows that

$$2i_X - 1 \leq -K_X \cdot W - 1 \leq \dim \text{Locus}(W_x) \leq n - \dim F_\sigma \leq n - l_\sigma = i_X + 1,$$

whence  $i_X = 2$ ,  $\dim \text{Locus}(W_x) = i_X + 1 = 3$  and  $-K_X \cdot W = 2i_X = 4$ .

In particular  $\dim(E_\sigma \cap \text{Locus}(W_x)) = 2 = \dim B$ , hence  $\sigma(E_\sigma \cap \text{Locus}(W_x)) = B$  and every fiber of  $\sigma$  meets  $\text{Locus}(W_x)$ .

Let  $x$  and  $y$  be two general points in  $X$ ; every fiber of  $\sigma$  meets both  $\text{Locus}(W_x)$  and  $\text{Locus}(W_y)$ , so the points  $x$  and  $y$  can be connected using two curves in  $W$  and a curve of  $V^\sigma$ . This implies that  $X$  is  $\text{rc}(\mathcal{W}, V^\sigma)$ -connected.

Our next step consists in proving that  $\rho_X = 2$ , showing that the numerical class of every irreducible component of any cycle of  $\mathcal{W}$  lies in the plane  $\Pi$  spanned in  $N_1(X)$  by  $[W]$  and  $R_\sigma$ .

Let  $x \in X$  be a general point; by Lemma 2.11 we have

$$\dim \text{Locus}(V^\sigma)_{\text{Locus}(W_x)} \geq l_\sigma + 2i_X - 2 \geq n - 1,$$

therefore  $E_\sigma = \text{Locus}(V^\sigma)_{\text{Locus}(W_x)}$  and  $N_1(E_\sigma) = \Pi$  by Lemma 2.15.

We have already proved that  $-K_X \cdot W = 4$  and  $i_X = 2$ ; therefore every reducible cycle of  $\mathcal{W}$  has exactly two irreducible components, and the families of deformations of these components are unsplit.

Let  $\Gamma_1 + \Gamma_2$  be a reducible cycle of  $\mathcal{W}$ ; without loss of generality we can assume that  $E_\sigma \cdot \Gamma_1 > 0$ . Denote by  $W^1$  a family of deformations of  $\Gamma_1$ ; being unsplit, the family  $W^1$  cannot be dominating, hence for every  $x \in \text{Locus}(W^1)$  we have  $\dim \text{Locus}(W_x^1) \geq 2$  by Proposition 2.5. Since  $E_\sigma \cap \text{Locus}(W_x^1) \neq \emptyset$  it follows that  $\dim(E_\sigma \cap \text{Locus}(W_x^1)) \geq 1$  for every  $x \in \text{Locus}(W^1)$ , so  $[W^1] \in \Pi$ , and consequently also  $[W^2] \in \Pi$ ; it follows that  $\rho_X = 2$ .

Let now  $T_Y$  be a minimal dominating family of rational curves for  $Y$  and let  $T$  be the family of deformations of the strict transform of a general curve of  $T_Y$ . By Lemma 6.2 we have  $-K_X \cdot T = -K_Y \cdot T_Y \geq n - 1$ .

By this last inequality, the intersection  $\text{Locus}(W_x) \cap \text{Locus}(T_x)$  for a general  $x \in X$  has positive dimension; since  $T$  is numerically independent from  $W$  – recall that  $E_\sigma \cdot T = 0$  and  $E_\sigma \cdot W > 0$  – the family  $T$  cannot be locally quasi-unsplit.

Therefore, in the associated Chow family  $\mathcal{T}$ , there exists a reducible cycle  $\Lambda = \Lambda_1 + \Lambda_2$  such that a family of deformations  $T^1$  of  $\Lambda_1$  is dominating and numerically independent from  $T$ .

The family  $T^1$ , being dominating, cannot be unsplit, hence  $-K_X \cdot T^1 \geq 4$ ; moreover, since  $T^1$  is also numerically independent from  $T$  we have  $E_\sigma \cdot T^1 > 0$ . It follows that  $E_\sigma \cdot \Lambda_2 < 0$  and so  $-K_X \cdot \Lambda_2 \geq l_\sigma$  by Lemma 4.6. Therefore

$$-K_Y \cdot T_Y = -K_X \cdot T \geq l_\sigma + 2i_X = n + 1$$

so  $Y \simeq \mathbb{P}^n$  by Corollary 3.2.

The center  $B$  of  $\sigma$  cannot be a linear subspace of  $Y$ , since otherwise  $i_X + l_\sigma = n + 1$ ; take  $l$  to be a proper bisecant of  $B$  and let  $\tilde{l}$  be its strict transform: we have

$$2 = i_X \leq -K_X \cdot \tilde{l} = n + 1 - 2l_\sigma = 4 - l_\sigma,$$

hence  $l_\sigma = 2$  and  $n = 5$ . □

**Corollary 6.4.** *Let  $X, Y, R_\sigma$  and  $E_\sigma$  be as in 6.1. Then there does not exist any family of rational curves  $V$  independent from  $R_\sigma$  such that  $V_x$  is unsplit for some  $x \in E$  and such that  $E \subseteq \overline{\text{Locus}(V)}$ .*

*Proof.* Assume by contradiction that such a family exists.

First of all we prove that  $V$  cannot be unsplit. If this is the case, since on  $X$  there are no unsplit dominating families it must be  $\overline{\text{Locus}(V)} = \text{Locus}(V) = E$ . Moreover, by Proposition 2.5 a) we have  $\dim \text{Locus}(V_x) \geq -K_X \cdot V$  for every  $x \in \text{Locus}(V)$ . We apply Lemma 2.11 a) and Proposition 2.7 to get that  $\dim \text{Locus}(V)_{F_\sigma} = n - 1$  for every fiber  $F_\sigma$  of  $\sigma$ . It follows that  $E = \text{Locus}(V)_{F_\sigma}$  and therefore  $\text{NE}(E) = \langle R_\sigma, [V] \rangle$  by Lemma 2.15.

Since  $V$  is a dominating unsplit family for the smooth variety  $E$ , by Proposition 2.5 b) we have  $-K_E \cdot V \leq \dim \text{Locus}(V_x) + 1$ , hence, by adjunction,  $E \cdot V < 0$ ; since  $V$  is numerically independent from  $R_\sigma$  it follows from Theorem 4.3 that  $Y$  is not a Fano manifold, a contradiction.

Since  $V$  is not unsplit we have  $-K_X \cdot V \geq 2i_X$  and therefore, by Proposition 2.5 b), for a point  $x \in E$  such that  $V_x$  is unsplit, we have

$$\dim \text{Locus}(V_x) \geq -K_X \cdot V - 1 \geq 2i_X - 1.$$

On the other hand, since  $V$  is numerically independent from  $R_\sigma$ , we have, for any fiber  $F_\sigma$  of  $\sigma$ , that  $\dim \text{Locus}(V_x) \cap F_\sigma \leq 0$ , hence  $\dim \text{Locus}(V_x) \leq n - l_\sigma = i_X + 1$ . It follows that  $i_X = 2$ ,  $-K_X \cdot V = 4$  and  $\dim \text{Locus}(V_x) = 3$ ; the last two equalities, by Proposition 2.5, imply that  $V$  is dominating.

Moreover, since  $-K_X \cdot V = 4$ , the family  $V$  is also locally unsplit, otherwise we would have a dominating family of lower degree, hence unsplit.

Since  $E \cap \text{Locus}(V_x)$  is not empty and we cannot have  $\text{Locus}(V_x) \subset E$  – recall that  $V_x$  is unsplit and  $V$  is independent from  $R_\sigma$ , so  $\text{Locus}(V_x)$  can meet fibers of  $\sigma$  only in points – it follows that  $E \cdot V > 0$  and we can apply Proposition 6.3.  $\square$

*Remark 6.5.* If  $C_Y \subset Y$  is a rational curve which meets the center  $B$  of the blow-up in  $k$  points and is not contained in it, then  $-K_Y \cdot C_Y \geq n - 1 + (k - 1)l_\sigma$ .

*Proof.* Let  $C$  be the strict transform of  $C_Y$ : then the statement follows from the canonical bundle formula

$$-K_X = -\sigma^* K_Y - l_\sigma E,$$

which yields

$$-K_Y \cdot C_Y = -K_X \cdot C + l_\sigma E \cdot C \geq i_X + kl_\sigma \geq n - 1 + (k - 1)l_\sigma.$$

$\square$

**Corollary 6.6.** *Let  $W_Y$  be a minimal dominating family for  $Y$  and assume that  $-K_Y \cdot W_Y = n - 1$ . Assume that there exists a reducible cycle  $\Gamma$  in  $\mathcal{W}_Y$  which meets  $B$ ; then  $\Gamma \subset B$  and  $\text{NE}(B) = \langle [W_Y] \rangle$ .*

*Proof.* Let  $\Gamma_i$  be a component of  $\Gamma$ : we know that  $-K_Y \cdot \Gamma_i < n - 1$ , so the whole cycle  $\Gamma$  has to be contained in  $B$  by Remark 6.5.

Let  $W_Y^i$  be a family of deformations of  $\Gamma_i$ ; the pointed locus  $\text{Locus}(W_Y^i)_b$  is contained in  $B$  for every  $b \in B$ , again by Remark 6.5, hence

$$-K_Y \cdot W_Y^i \leq \dim \text{Locus}(W_Y^i)_b \leq \dim B = i_X \leq i_Y,$$

where the last inequality follows from [7, Theorem 1, (iii)].

Therefore  $W_Y^i$  is unsplit and  $B = \text{Locus}(W_Y^i)_b$ , hence  $\text{NE}(B) = \langle [W_Y^i] \rangle$  by Lemma 2.15. It follows that all the components  $\Gamma_i$  of  $\Gamma$  are numerically proportional, and thus they are all numerically proportional to  $W_Y$ .  $\square$

We are now ready to prove the following

**Theorem 6.7.** *Let  $X$  be a Fano manifold of dimension  $n \geq 6$  and pseudoindex  $i_X \geq 2$ , which is the blow-up of another Fano manifold  $Y$  along a smooth subvariety  $B$  of dimension  $i_X$ ; assume that  $X$  does not admit a quasi-unsplit dominating family of rational curves. Then  $Y \simeq \mathbb{G}(1, 4)$  and  $B$  is a plane of bidegree  $(0, 1)$ .*

*Proof.* The proof is quite long and complicated; we will divide it into different steps, in order to make our procedure clearer.

**Step 1** *A minimal dominating family of rational curves on  $Y$  has anticanonical degree  $n - 1$ .*

Let  $W_Y$  be a minimal dominating family of rational curves for  $Y$ , and let  $W$  be the family of deformations of the strict transform of a general curve of  $W_Y$ .

Apply [4, Lemma 4.1] to  $W$  (note that in the proof of that lemma the minimality of  $W$  is not needed). The first case in the lemma cannot occur by Corollary 6.4, so there exists a reducible cycle  $\Gamma = \Gamma_\sigma + \Gamma_V + \Delta$  in  $\mathcal{W}$  with  $[\Gamma_\sigma]$  belonging to  $R_\sigma$ ,  $\Gamma_V$  belonging to a family  $V$ , independent from  $R_\sigma$ , such that  $V_x$  is unsplit for some  $x \in E_\sigma$ , and  $\Delta$  an effective rational 1-cycle. In particular

$$(6.7.2) \quad -K_X \cdot W \geq -K_X \cdot (\Gamma_\sigma + \Gamma_V + \Delta) \geq l_\sigma + i_X = n - 1.$$

By the canonical bundle formula and Corollary 2.3 we have that

$$-K_Y \cdot W_Y = -K_X \cdot W \geq n - 1.$$

If  $-K_Y \cdot W_Y = n + 1$  then  $Y$  is a projective space by Corollary 3.2. The center of  $\sigma$  cannot be a linear subspace, otherwise as in the proof of Proposition 6.3 we can show that  $l_\sigma = 2$  and  $n = 5$ , against the assumptions.

We can thus assume that  $-K_Y \cdot W_Y \leq n$ .

Note that, by (6.7.2), the reducible cycle  $\Gamma$  has only two irreducible components  $\Gamma_\sigma$  and  $\Gamma_V$ ; moreover the class of  $\Gamma_\sigma$  is minimal in  $R_\sigma$ , hence  $E_\sigma \cdot \Gamma_\sigma = -1$ , and  $-K_X \cdot V \leq i_X + 1$ . In particular  $V$  is an unsplit family.

Recalling that  $E_\sigma \cdot W = 0$  we get  $E_\sigma \cdot \Gamma_V = 1$ . Geometrically, a general curve of  $V$  is the strict transform of a curve in  $W_Y$  which meets  $B$  in one point; moreover, since a curve of  $W_Y$  not contained in  $B$  cannot meet  $B$  in more than one point by Remark 6.5, we have that

$$(6.7.3) \quad \sigma(\text{Locus}(V) \setminus E_\sigma) = \text{Locus}(W_Y)_B \setminus B.$$

Assume that  $-K_Y \cdot W_Y = n$ ; in this case  $\rho_Y = 1$  by Corollary 3.5.

For a general point  $y \in Y$ , we have that  $\text{Locus}(W_Y)_y$  is an effective, hence ample, divisor, so it meets  $B$ . In particular we have  $\dim \text{Locus}(W_Y)_B = n$ , and by (6.7.3) this implies that  $V$  is dominating, against the assumptions since  $V$  is unsplit. This completes step 1.

Notice that  $-K_Y \cdot W_Y = n - 1$  implies that all inequalities in (6.7.2) are equalities. In particular it follows that  $-K_X \cdot V = i_X$ .

**Step 2** *The strict transforms of curves in a minimal dominating family of rational curves on  $Y$  which meet  $B$  fill up a divisor on  $X$ .*

Let  $x$  be a point in  $E_\sigma \cap \text{Locus}(V)$  and let  $F_\sigma$  be the fiber of  $\sigma$  containing  $x$ ; since  $\dim F_\sigma + \dim \text{Locus}(V_x) \leq n$  we have

$$\dim \text{Locus}(V_x) \leq n - l_\sigma = i_X + 1.$$

By Proposition 2.5 a) we have that  $\dim \text{Locus}(V) \geq n - 2$ ; since  $V$  is an unsplit family it cannot be dominating, so we need to show that  $\dim \text{Locus}(V) \neq n - 2$ .

Assume by contradiction that  $\dim \text{Locus}(V) = n - 2$ ; in this case, by Proposition 2.5 b), for every  $x \in \text{Locus}(V)$  we have  $\dim \text{Locus}(V_x) = i_X + 1$ , so for every  $x \in X$  the intersection  $\text{Locus}(V_x) \cap E_\sigma$  dominates  $B$ .

Consider a point  $x \in \text{Locus}(V) \setminus E_\sigma$ , denote by  $y$  its image  $\sigma(x)$  and consider  $\text{Locus}(W_Y)_y$ : since  $\text{Locus}(V_x) \cap E_\sigma$  dominates  $B$ , we have  $B \subset \text{Locus}(W_Y)_y$ . But cycles in  $W_Y$  passing through  $y$  and meeting  $B$  are irreducible by Corollary 6.6, so  $B \subseteq \text{Locus}(W_Y)_y$  and by Lemma 3.1 the numerical class of every curve in  $B$  is proportional to  $[W_Y]$ . This fact together with Corollary 6.6 allows us to conclude that  $B$  does not meet any reducible cycle of  $W_Y$ .

We claim that a general curve  $C$  of  $W_Y$  is contained in the open subset  $U$  of points  $y \in Y$  such that  $(W_Y)_y$  is proper. If this were not true, then  $\text{Locus}(W_Y) \setminus U$  should have codimension one, and so there would exist a family  $W_Y^1$  of deformations of an irreducible component of a cycle of  $\mathcal{W}_Y$  whose locus is a divisor; moreover this divisor should have positive intersection number with  $W_Y$ .

This last condition would imply that  $\text{Locus}(W_Y^1)$  has nonempty intersection with  $B$ , since the numerical class of any curve in  $B$  is an integral multiple of  $[W_Y]$ , but we have proved that  $B$  does not meet any reducible cycle of  $\mathcal{W}_Y$ , so we have reached a contradiction that proves the claim.

Therefore we can apply Lemma 3.6 and get that a component of  $\text{Locus}(W_Y)_C$  is a divisor, call it  $D_C$ , such that  $D_C \cdot W_Y > 0$  and moreover  $\rho_Y = 1$ , since in the other case of the quoted lemma we would find a family of rational curves of anticanonical degree two meeting  $B$ , against Remark 6.5.

Being  $\rho_Y = 1$  the effective divisor  $D_C$  is ample, hence it meets  $B$ ; therefore for a general curve  $C$  in  $W_Y$  there exists another curve of  $W_Y$  which meets both  $B$  and  $C$ ; in other words, a general curve of  $W_Y$  meets  $\text{Locus}(W_Y)_B$ , a contradiction since  $\text{Locus}(W_Y)_B$  has codimension two in  $Y$  by (6.7.3).

**Step 3** *The Picard number of  $Y$  is one.*

By (6.7.3) we have that  $\dim \text{Locus}(W_Y)_B = \dim \text{Locus}(V) = n - 1$ . This implies that  $B$  contains curves whose numerical class is proportional to  $[W_Y]$ , otherwise by Lemma 2.11 we would have  $\dim \text{Locus}(W_Y)_B = n$ .

If  $B$  does not meet any reducible cycle of  $\mathcal{W}_Y$  we can argue as in the claim in Step 2 and conclude that  $\rho_Y = 1$ .

If else  $B$  meets a reducible cycle of  $\mathcal{W}_Y$  then, by Corollary 6.6, every curve in  $B$  is numerically proportional to  $[W_Y]$ , hence  $\text{NE}(\text{Locus}(W_Y)_B) = \langle [W_Y] \rangle$  and we conclude that  $\rho_Y = 1$  by Lemma 3.4.

**Step 4** *The numerical classes of the strict transforms of curves in a minimal dominating family of rational curves on  $Y$  which meet  $B$  are extremal in  $\text{NE}(X)$ .*

Let  $D = \text{Locus}(V)$ ; by Step 2  $D$  is a divisor. Since  $E_\sigma \cdot W = 0$  and  $\text{Pic}(X) = \langle E_\sigma, D \rangle$  we have  $D \cdot W > 0$ .

Therefore  $\text{Locus}(W, V)_x = \text{Locus}(V)_{\text{Locus}(W_x)}$  is nonempty for a general  $x \in X$ , and so has dimension  $\geq n - 2 + i_X - 1 \geq n - 1$  by Lemma 2.11. It follows that  $i_X = 2$  and  $D = \text{Locus}(W, V)_x$ .

The last equality, by Lemma 2.15, yields that every curve in  $D$  is numerically equivalent to a linear combination  $a[W] + b[V]$  with  $a \geq 0$ .



This implies that  $\text{NE}(D)$  is contained in the cone spanned by  $[V]$  and by an extremal ray  $R$  of  $\text{NE}(X)$ . Since  $E_\sigma \cdot W = 0$  and  $E_\sigma \cdot V > 0$  it must be  $E_\sigma \cdot R < 0$ , so  $R = R_\sigma$  and  $\text{NE}(D) \subseteq \langle R_\sigma, [V] \rangle$ .

Let  $R_\tau$  be the extremal ray of  $\text{NE}(X)$  different from  $R_\sigma$  and denote by  $\tau$  the associated contraction. The contraction  $\tau$  is birational, since  $X$  does not admit quasi-unsplit dominating families of rational curves, therefore its fibers have dimension at least two by Proposition 2.7.

We claim that  $[V] \in R_\tau$ ; if we assume that this is not the case then  $D \cap \text{Exc}(\tau) = \emptyset$ , since otherwise  $D$  will meet a fiber  $F_\tau$  of  $\tau$ , hence  $\dim D \cap F_\tau \geq 1$ , contradicting  $\text{NE}(D) \subseteq \langle R_\sigma, [V] \rangle$ .

It follows that  $D \cdot R_\tau = 0$ , so  $D \cdot R_\sigma > 0$  (and thus  $\text{NE}(D) = \langle R_\sigma, [V] \rangle$ , since fibers of  $\sigma$  have dimension  $l_\sigma = n - 1 - i_X = n - 3 \geq 3$ , hence  $\dim(D \cap F_\sigma) > 0$  for every fiber  $F_\sigma$  of  $\sigma$ ).

Notice also that the effective divisor  $E_\sigma$  must be positive on  $R_\tau$ .

Let  $F_\sigma$  and  $F_\tau$  be two meeting fibers of the contractions  $\sigma$  and  $\tau$  respectively; we have  $\dim(F_\sigma \cap F_\tau) = 0$ , hence

$$n \geq \dim F_\sigma + \dim F_\tau \geq l_\sigma + l_\tau.$$

Therefore, recalling that  $i_X = 2$  and thus  $l_\sigma = n - 3$ , we have  $l_\tau \leq 3$ , so  $\dim \text{Exc}(\tau) \geq n - 2$  by Proposition 2.7.

In particular, if  $F_\sigma$  is a fiber of  $\sigma$  meeting  $\text{Exc}(\tau)$  we have

$$\dim(F_\sigma \cap \text{Exc}(\tau)) \geq l_\sigma - 2 \geq 1.$$

Let  $C$  be a curve in  $F_\sigma \cap \text{Exc}(\tau)$ ; since  $D \cdot R_\sigma > 0$  we have  $D \cap C \neq \emptyset$ , hence  $D \cap \text{Exc}(\tau) \neq \emptyset$ , a contradiction that proves the extremality of  $[V]$ .

**Step 5** *The contraction of  $X$  different from  $\sigma$  is the blow-up of  $\mathbb{P}^n$  along a smooth subvariety of codimension three.*

Since  $[V] \in R_\tau$  we have  $D = \text{Locus}(V) \subset \text{Exc}(\tau)$ ; being  $\tau$  birational it follows that  $D = \text{Exc}(\tau)$  and  $\tau$  is divisorial; we will denote from now on the exceptional divisor by  $E_\tau$ .

Since  $E_\tau = \text{Locus}(W, V)_x$  for a general  $x \in X$  every fiber of  $\tau$  meets  $\text{Locus}(W_x)$ , so from  $\dim(F_\tau \cap \text{Locus}(W_x)) = 0$  we derive  $\dim F_\tau \leq n - \dim \text{Locus}(W_x) \leq 2$ .

On the other hand, by Proposition 2.7, we have  $\dim F_\tau \geq 2$  for every fiber of  $\tau$ , hence  $\tau|_{E_\tau}$  is equidimensional; we can apply [3, Theorem 5.1] to get that  $\tau: X \rightarrow Z$  is a smooth blow-up.

Let  $T_Z$  be a minimal dominating family of rational curves for  $Z$  and  $T^*$  a family of deformations of the strict transform of a general curve of  $T_Z$ .

Among the families of deformations of the irreducible components of cycles in  $T^*$  there is at least one family which is dominating and locally unsplit; call it  $T$ .

By Proposition 6.3 we have  $E_\sigma \cdot T = 0$ , therefore  $T$  is numerically proportional to  $W$ ; If  $-K_X \cdot T < -K_X \cdot W$  then the images in  $Y$  of the curves in  $T$  would be a dominating family for  $Y$  of degree smaller than the degree of  $W_Y$ , a contradiction, hence  $-K_X \cdot T \geq -K_X \cdot W = n - 1$ .

Notice also that, since  $E_\tau \cdot T^* = 0$  and  $\text{Pic}(X)$  is generated by  $E_\sigma$  and  $E_\tau$  we cannot have  $T = T^*$ . In particular  $-K_X \cdot T^* \geq -K_X \cdot T + i_X$ . It follows that

$$-K_Z \cdot T_Z = -K_X \cdot T^* \geq -K_X \cdot T + i_X \geq n + 1,$$

so  $Z \simeq \mathbb{P}^n$  by Corollary 3.2 and  $T_Z$  is the family of lines in  $Z$ .

**Step 6** *Conclusion.*

Take  $l_\sigma - 2$  general sections  $H_i \in |\tau^* \mathcal{O}_{\mathbb{P}^n}(1)|$ ; their intersection  $\mathcal{I}$  is a Fano manifold of dimension five with two blow-up contractions of length two  $\sigma|_{\mathcal{I}}: \mathcal{I} \rightarrow Y'$  and  $\tau|_{\mathcal{I}}: \mathcal{I} \rightarrow \mathbb{P}^5$ .

By the classification in [11] two cases are possible: either the center of  $\tau|_{\mathcal{I}}$  is a Veronese surface or it is a cubic scroll contained in a hyperplane. The first case can be excluded observing that, in our case, the degree of  $E_\sigma$  on a minimal curve in  $R_\tau$  is one, since  $E_\sigma \cdot W = 0$  and  $E_\sigma \cdot R_\sigma = -1$ .

It follows that  $Y'$  is a del Pezzo manifold of degree five, i.e., a linear section of  $\mathbb{G}(1, 4)$ ;  $Y$  has  $Y'$  as an ample section, and therefore  $Y$  is  $\mathbb{G}(1, 4)$  by [17, Proposition A.1]. The center of  $\sigma|_{\mathcal{I}}: \mathcal{I} \rightarrow Y'$  is a plane of bidegree  $(0, 1)$  by [20, Theorem XLI].  $\square$

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