

1 **Exploring vertical transmission of bifidobacteria from mother to child**

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Christian Milani¹, Leonardo Mancabelli¹, Gabriele Andrea Lugli¹, Sabrina Duranti¹, Francesca

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Turroni², Chiara Ferrario¹, Marta Mangifesta³, Alice Viappiani³, Pamela Ferretti⁴, Valentina

10

Gorfer⁵, Adrian Tett⁴, Nicola Segata⁴, Douwe van Sinderen² and Marco Ventura¹

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Laboratory of Probiogenomics, Department of Life Sciences, University of Parma, Italy¹;

13

Alimentary Pharmabiotic Centre and Department of Microbiology, Bioscience Institute, National

14

University of Ireland, Cork, Ireland²; GenProbio, Parma, Italy³; Centre for Integrative Biology,

15

University of Trento, Trento, Italy⁴, Azienda Provinciale per i Servizi Sanitari, Trento, Italy⁵

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Corresponding author. Mailing address for Marco Ventura Department of Life Sciences,

24

University of Parma, Parco Area delle Scienze 11a, 43124 Parma, Italy. Phone: ++39-521-

25

905666. Fax: ++39-521-905604. E-mail: marco.ventura@unipr.it

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27 **Abstract**

28 Passage through the birth canal and consequent exposure to the mother's microbiota is
29 considered to represent the initiating event for microbial colonization of the gastro-intestinal tract
30 of the new-born. However, a precise evaluation of such suspected vertical microbiota
31 transmission is yet to be performed. Here, we evaluated the microbiomes of four sample sets,
32 each consisting of a mother's fecal and milk sample, and corresponding infant fecal sample, by
33 means of amplicon-based profiling supported by shotgun metagenomics data of two key
34 samples. Notably, targeted genome reconstruction from microbiome data revealed vertical
35 transmission of a *Bifidobacterium breve* and *Bifidobacterium longum* subsp. *longum* strain from
36 mother to infant, a notion confirmed by strain isolation and genome sequencing. Furthermore,
37 PCR analyses targeting unique genes from these two strains highlighted their persistence in the
38 infant gut at six months. Thus, this study demonstrates the existence of specific bifidobacterial
39 strains that are common between mother and her child, thus indicative of vertical transmission,
40 and that are maintained in the infant for at least relative short time spans.

41 **INTRODUCTION**

42 Human beings may be considered as superorganisms that consist of both eukaryotic and
43 prokaryotic cells (1). It is generally accepted that not only the nuclear and mitochondrial
44 genomes are transferred to the next generation, but that such transfer may also include certain
45 members and associated genomes of the symbiotic community, whose microbial genes
46 outnumber those of the eukaryotic host by over 100-fold (2). Passage through the birth canal
47 together with breast-feeding represent very important transfer opportunities for symbionts from
48 one generation to the next. Notably, this transmission is facilitated by the maternal holobiont, i.e.
49 the organism together with its associated microbial communities, and the mother is both actively
50 and passively engaged in providing a symbiotic and perhaps long-lasting microbial community
51 to her offspring. However, each individual develops a specific microbial community by
52 adulthood, suggesting that stochastic colonization is more important than direct vertical
53 transmission. In fact, while individual strains may be directly transmitted, the majority of the
54 long-lasting community is probably not. In mammals, it is generally accepted that the fetus
55 develops in an essentially sterile environment within the amnion, and that bacterial colonization
56 of the fetus is made possible as soon as the amnion breaks prior to its delivery through the birth
57 canal (3). Bifidobacteria are amongst the first bacterial colonizers of the human gut, and have
58 been subject to extensive scientific scrutiny (4, 5). It has become clear that certain species of the
59 genus *Bifidobacterium*, e.g. *Bifidobacterium breve* and *Bifidobacterium bifidum*, are genetically
60 adapted to colonize the infant gut [for a review see (6)]. Such bacteria have evolved genetic
61 strategies that allow them to metabolize particular glycans present in human milk (7, 8) (9).
62 However, human milk not only represents a reservoir of glycan compounds that significantly
63 impact on the composition of the infant gut microbiota, but also appears to act as a repository of

64 bacteria for vertical transmission from mother to infant. In fact, the isolation of bifidobacteria
65 from human milk has been reported (9, 10), even though it is currently not known how
66 bifidobacteria reach this human bodily fluid (11). In addition, PCR-based approaches have
67 provided suggestive evidence for the occurrence of direct transmission of bifidobacterial strains
68 and other gut commensals from mother to newborn (12-14).

69 In this study, we investigated this notion of vertical transmission of bifidobacteria from mother
70 to newborn by assessing the gut/milk microbiomes of four mother-child sample sets, revealing
71 the existence of shared bifidobacterial strains.

72 **MATERIALS AND METHODS**

73 **Subject recruitment and sample collection.** The study is a pilot for a larger infant gut
74 investigation protocol approved by the Ethical Committee of the University of Trento and
75 informed written consent was obtained from the participating mothers. Four mother-infant pairs
76 were enrolled in the study; on the same day, stool samples were collected from both 3-month-old
77 infants and mothers, while a fresh breast milk sample was also collected. At the time of the first
78 sampling, breast-feeding was exclusive for mother-infant pair 2 and 4, whereas 70 % and 30 %
79 supplement of formula milk was given to the infant for pair 1 and 3, respectively. Sampling of
80 stool and milk samples was repeated following weaning six months after the first sample
81 collection. At the time of the second sampling, the infant of pair 4 was still receiving a 20 %
82 dietary supplementation of breast milk from the mother (calculated as % of total calories). All
83 subjects were healthy, which was established based on self-reporting, and had not received any
84 antibiotic or probiotic in the previous month. Stool samples consisted of 6–10 gr of fresh fecal
85 material, which was immediately frozen upon collection at -80°C until processed for DNA
86 extraction. Human milk samples were collected as previously described (9) and were
87 immediately subjected to DNA extraction.

88 **Recovery of bifidobacteria on selective media.** Fecal samples were pour-plated onto
89 *Bifidobacterium* selective agar (BSM) for selective outgrowth of bifidobacteria. The BSM
90 selective medium was prepared by the addition to MRS agar (Scharlau Chemie, Barcelona,
91 Spain) of 0.05 % (w/v) L-Cysteine hydrochloride and 50 mg mupirocin (Delchimica, Italy) per
92 litre of MRS as described previously (15). Agar plates were incubated in an anaerobic
93 atmosphere (2.99 % H_2 , 17.01 % CO_2 , and 80 % N_2) in a chamber (Concept 400; Ruskin) at
94 37°C for 24 h. Ten colonies were taken as an adequate representation of the major bacterial

95 strains cultured on a selective medium. DNA was extracted from each isolate through rapid
96 mechanic cell lysis as described previously (15) and subjected to (sub)species identification
97 through ITS amplification and DNA sequencing.

98 **ITS and 16S rRNA gene amplification.** Partial ITS sequences were amplified from extracted
99 DNA using primer pair Probio-bif_Uni/Probio-bif_Rev (16). Partial 16S rRNA gene sequences
100 were amplified from extracted DNA using primer pair Probio_Uni and/Probio_Rev, which
101 targets the V3 region of the 16S rRNA gene sequence (17). These primers were designed in
102 order to include, at their 5' end, one of the two adaptor sequences used in the Ion Torrent-
103 sequencing library preparation protocol linking a unique Tag barcode of 10 bases to identify
104 different samples. The PCR conditions used were 5 min at 95°C, 35 cycles of 30 s at 94°C, 30 s
105 at 55°C and 90 s at 72°C, followed by 10 min at 72°C. Amplification was carried out using a
106 Veriti Thermocycler (Applied Biosystems). The integrity of the PCR amplicons was analyzed by
107 electrophoresis on an Experion workstation (BioRad, UK).

108 **Ion Torrent PGM sequencing of ITS and 16S rRNA Gene-based amplicons.** PCR products
109 obtained following amplification of the ITS and 16S rRNA gene sequences were purified by
110 electrophoretic separation on an 2 % agarose gel and the use of a Wizard SV Gen PCR Clean-Up
111 System (Promega), followed by a further purification step involving the Agencourt AMPure XP
112 DNA purification beads (Beckman Coulter Genomics GmbH, Bernried, Germany) in order to
113 remove primer dimers. DNA concentration of the amplified sequence library was estimated
114 through the MultiTape system (Agilent). From the concentration and the average size of each
115 amplicon library, the amount of these DNA fragments per microliter was calculated and libraries
116 for each run were diluted to $3E^9$ DNA molecules prior to clonal amplification. Emulsion PCR
117 was carried out using the Ion OneTouch™ 200 Template Kit v2 DL (Life Technologies)

118 according to the manufacturer's instructions. Sequencing of the amplicon libraries was carried
119 out on a 316 chip using the Ion Torrent PGM system and employing the Ion Sequencing 200 kit
120 (Life Technologies) according to the supplier's instructions at GenProbio srl (Parma, Italy). After
121 sequencing, the individual sequence reads were filtered by PGM-provided software to remove
122 low quality and polyclonal sequences. Sequences matching the PGM 3' adaptor were also
123 automatically trimmed. All PGM quality-approved, trimmed and filtered data sets were exported
124 as BAM files.

125 **ITS-based Microbiota Analysis.** Fastq files obtained by sequencing of the ITS amplicons were
126 analysed using a custom script, named `bif_ITS_analysis.sh` script available at
127 (http://probiogenomics.unipr.it/sw/bif_ITS_analysis.zip). This script requires QIIME (18) to be
128 installed (or works in a QIIME virtual machine) and accepts .bam or .fastq input files containing
129 sequencing reads. Input data was processed as previously described (16). The trees were saved in
130 Phylip format and each sequence was named including the name of the OTU and the number of
131 sequences it represents. This script is easily modifiable in order to obtain a profiling based on a
132 different marker sequence, as long as a reliable database is available for such a marker sequence.

133 **Evaluation of *B. longum* subsp. *longum* BLOI2 and *B. breve* BBRI4 persistence.** DNA
134 extracted from stool or milk samples was submitted to PCR amplification using BLOI2_0636 fw
135 (5'-GAACTTGAAGGGCTGCTGGA-3') and BLOI2_0636 rev (5'-
136 CTCGGTCTTGAAGTTCGA-3') or BBR14_0962 fw (5'-GTCTCCTCTACCCGAACCT)
137 and BLOI2_0636 rev (5'-TCCTCGTTGATCCAATCCTC-3') specific primers. Each PCR
138 mixture (25 µl) contained 1.5 mM of MgCl₂; 20 mM of Tris-HCl, 50 mM of KCl; 200 µM of
139 each deoxynucleoside triphosphate; 25 pmol of each of the two primers; 1 U of Taq DNA
140 polymerase (Taq PCR Master Mix Kit-QIAGEN, UK) and 50 ng of DNA template. Each PCR

141 cycling program consisted of an initial denaturation step of 3 min at 94°C followed by
142 amplification for 35 cycles as follows: denaturation (30 sec at 94°C) annealing (30 sec at 56.5°C)
143 and extension (1 min at 72°C). The PCR reaction was completed with a single elongation step
144 (10 min at 72°C). The resulting amplicons were separated on a 0.8 % agarose gel followed by
145 ethidium bromide staining.

146 **16S rRNA gene-based Microbiota Analysis.** The fastq files were processed using QIIME (18)
147 as previously described (17). Quality control retained sequences with a length between 140 and
148 400 bp, mean sequence quality score >25, with truncation of a sequence at the first base if a low
149 quality rolling 10 bp window was found. Sequences with mismatched primers were omitted.

150 **Shotgun metagenomics.** Sequencing libraries for samples SS2_infant and SS4_infant were
151 prepared starting from the extracted DNA, as per manufacturer's instructions, using the Illumina
152 Nextera-XT DNA kit (Illumina inc, San Diego, CA, USA), pooled, and sequenced on the
153 Illumina HiSeq-2000 platform (100 bp paired end). We generated a total of 673,922 raw paired-
154 end reads for the SS2_infant , and 4,950,848 raw paired-end reads for the SS4_infant samples.

155 **Analysis of metagenomic datasets.** The fastq outputs were filtered for reads with quality < 25
156 and presence of human DNA, as well as reads < 80 bp. Bases were also removed from the end of
157 the reads until the average quality in a window of 5 bp was > 25. Taxonomic classification of
158 SS2_infant and SS4_infant reads was obtained using RapSearch2 software (19) for sequence
159 homology in the NCBI nr database, followed by data processing using MEGAN5 software (20).

160 **Genome reconstruction of bacterial strains from shotgun metagenomic data.** Fastq files of
161 quality- and alien DNA-filtered metagenomics datasets were used for metagenomic assembly
162 with spades assembler (21). Obtained contigs were subjected to ORF prediction using
163 PRODIGAL software (22) and taxonomic classification using RapSearch2 software (19) for

164 homology searches in the NCBI nr database, allowing identification and collection of contigs
165 encoding at least 40 % of ORFs attributed to a species of interest. These contigs were used as a
166 backbone for mapping of the metagenomic reads. Reads that successfully mapped were collected
167 in a fastq file and used as input for assembly with MIRA software (23). Generated contigs
168 encompassing at least 40 % of ORFs attributed to a species of interest and with coverage
169 diverging less than 33 % from the average were then ordered based on a reference genome.
170 Protein-encoding ORFs were predicted using Prodigal (22) and assignment of protein function to
171 predicted coding regions was performed using a custom script based on RapSearch2 software
172 (19), PFAM database (24) and the non-redundant protein database provided by the National
173 Center for Biotechnology Information. Additional bioinformatic analyses included: transfer RNA
174 genes identification using tRNAscan-SE (25) and ribosomal RNA genes detection using
175 RNAmmer (<http://www.cbs.dtu.dk/services/RNAmmer/>) and IS families finding using ISFinder
176 (<http://www-is.biotoul.fr/is.html>). Attribution of ORFs to a specific COG family was performed
177 by searching against the EggNog database (26). Prediction of ORFs putatively involved in HGT
178 events was performed by COLOMBO software with sensitivity value set at 0.9 in order to assure
179 maximum sensitivity.

180 **Targeted genome sequencing and bioinformatics analyses.** DNA extracted from the
181 bifidobacterial isolates was subjected to whole genome sequencing using MiSeq (Illumina, UK)
182 at GenProbio srl (Parma, Italy) following the supplier's protocol (Illumina, UK). Fastq files
183 obtained from targeted genome sequencing of the isolated strains were used as input for
184 assembly with MIRA software (23). Protein-encoding ORFs were predicted using Prodigal (22)
185 and assignment of protein function to predicted coding regions was performed using a custom
186 script based on RapSearch2 software (19), PFAM database (24) and the non-redundant protein

187 database provided by the National Center for Biotechnology Information. Whole genome
188 alignments between contigs obtained from assembly of metagenomics and isolate datasets were
189 obtained using MAUVE software (27) and the metagenomic genome as reference. Average
190 nucleotide identity (ANI) values based on BLAST and mummer software were calculated using
191 JSpecies (28).

192 **Pan-genome and extraction of shared and unique genes.** For all *B. breve* and *B. longum*
193 genomes used in this study a pan-genome calculation was performed using the PGAP pipeline
194 (29); the ORF content of all genomes was organized in functional gene clusters using the GF
195 (Gene Family) method involving comparison of each protein against all other proteins using
196 BLAST analysis (cut-off: E-value 1×10^{-4} and 50 % identity over at least 50 % of both protein
197 sequences), followed by clustering into protein families using MCL (graph-theory-based Markov
198 clustering algorithm) (30). Pan-genome profiles were built using all possible BLAST
199 combinations for each genome being sequentially added. Following this, the unique protein
200 families for each of the analyzed bifidobacterial genomes were classified. Protein families shared
201 between all genomes were defined by selecting the families that contained at least one single
202 protein member for each genome. Each set of orthologous proteins constituting core COGs with
203 one member per genome were aligned using MAFFT (31) and phylogenetic trees were
204 constructed using the Neighbor Joining method in Clustal W version 2.1 (32). The supertree was
205 built using FigTree (<http://tree.bio.ed.ac.uk/software/figtree/>).

206 **Data Deposition.** The assembled *B. breve* BBRI4 and *B. longum* subsp. *longum* BLO12 genomes
207 were deposited under the accession numbers listed in Table S2. WGS data for SS2_Infant and
208 SS4_Infant, as well as bifidobacterial ITS-profiling and 16S rRNA gene-profiling data for

209 SS1_T6m, SS2_T6m, SS3_T6m and SS4_T6m sample sets are accessible through SRA study
210 accession number SRP059631.

211 **RESULTS AND DISCUSSION**

212 **Characteristics of the subjects included in the study.** The work described here represents a
213 pilot study to determine optimal experimental conditions for a much larger metagenomic survey,
214 which is aimed at exploring the gut microbial biodiversity in infants and corresponding mothers.
215 The current study enrolled four mother-infant pairs, which were selected based on the following
216 criteria: subjects had to be in good health and had not been prescribed antibiotics and were not
217 taking any probiotics. Furthermore, since we were interested in evaluating the composition of
218 bifidobacterial populations occurring in the gut of mother-child pairs, we only included mothers
219 that were exclusively or for large part breast-feeding their newborns and babies that had been
220 vaginally delivered. Conditions that were previously shown to be associated with the highest
221 densities of bifidobacteria in infants (4).

222 **Evaluation of the bifidobacterial population in the gut/milk microbiota of mother-newborn**
223 **pairs.** We assessed the composition of fecal/milk microbiota of four corresponding mother-
224 infant sets, named SS1 to SS4, using a previously described bifidobacterial ITS-profiling
225 approach (16). As outlined in Figure 1, bifidobacterial ITS-profiling of fecal samples from the
226 mother and corresponding infant revealed the presence of representatives of the *Bifidobacterium*
227 genus. Notably, members of the species *Bifidobacterium adolescentis*, *Bifidobacterium*
228 *angulatum*, *B. bifidum*, *B. breve*, *Bifidobacterium dentium*, *Bifidobacterium longum*,
229 *Bifidobacterium pseudolongum* and *Bifidobacterium thermacidophilum* were commonly detected
230 in both mother and infant fecal samples (Fig. 1). As previously suggested, human milk may
231 represent a medium for bacterial transmission from mother to newborn (33). Thus, the
232 bifidobacterial microbiota of human milk samples retrieved from each mother was also evaluated
233 by ITS-profiling analyses. As displayed in Figure 1, we observed the presence of members of the

234 *Bifidobacterium* genus in the bacterial communities residing in these milk samples, while
235 comparing these data with those obtained from the mother and infant fecal samples revealed the
236 common presence of *B. adolescentis*, *B. angulatum*, *B. breve*, *B. dentium*, *B. longum*, *B.*
237 *pseudolongum* and *B. thermacidophilum*.

238 In order to identify the possible presence of the same or a similar strain in a mother and/or milk
239 sample and a corresponding infant fecal sample, we compared for each ITS dataset the reference
240 sequence of all predicted ITS Operational Taxonomic Units (OTUs), e.g. clusters of identical
241 sequences generated by the ITS-profiling analysis protocol (16). Since the ITS sequence is
242 highly variable, the retrieval of ITS sequences from different samples displaying 100 % identity
243 would be indicative of an identical or a very closely related strain being present in such samples
244 (16). Interestingly, OTUs shared by an entire sample set ranged from 63 in SS3 to four in SS4,
245 for a total of 126 OTUs shown in Figure 2. These OTUs encompass members of the species *B.*
246 *adolescentis*, *B. angulatum*, *B. breve*, *B. longum* and *B. pseudolongum*, as well as a putative
247 novel bifidobacterial species (Fig. 2), suggesting a crucial role of these bacterial species in
248 microbiota transmission and colonization of the newborn gut. Additionally, of these 126 shared
249 OTUs, 28 are present at a high relative abundance (among the 100 most represented OTUs) in at
250 least one sample set, thus being relevant in defining the overall bifidobacterial community (Fig.
251 2). Furthermore, we observed that in infant fecal samples of SS2 and SS4 the bifidobacterial
252 population appears to predominantly (97.1 and 99.4 %, respectively) consist of OTUs that belong
253 to the *B. longum* subsp. *longum* and *B. breve* species, respectively (Fig. 1). Interestingly, the
254 OTU corresponding to the most represented *B. longum* subsp. *longum* strain in infant sample
255 SS2, named SS2 Infant_515, was also identified in the corresponding fecal and milk sample of
256 the mother (Fig. 2). The OTU corresponding to the most represented *B. breve* strain in infant

257 sample SS4, named SS4_Infant_617, was also identified in the mother's milk sample, but not in
258 the mother's fecal sample, possibly due to its low abundance falling below bifidobacterial ITS-
259 profiling limit of detection of $10E4$ CFU/gr (16) (Fig. 2). These results indicate that infant fecal
260 samples of SS2 and SS4 may be good candidates for targeted bifidobacterial genome
261 reconstruction (see below). Despite the existence of common bifidobacterial OTUs between the
262 investigated mother-child pairs, there were also various bifidobacterial OTUs that appear to be
263 uniquely present in the data set of the infant, but not in that of the corresponding mother (e.g.,
264 SS1 and SS3 datasets). This may be due to the acquisition of such bacteria from the environment
265 (e.g., during birth or from siblings) or due to the presence of these microorganisms below the
266 limit of detection in the fecal samples of the mother.

267 Notably, comparison between the OTUs identified in the datasets analyzed (SS1, SS2, SS3 and
268 SS4) revealed the presence of identical ITS OTUs in different sample sets. This observation
269 implies that these identical ITS sequences correspond to very related strains that are present in
270 different mother-infant pairs, or that the ITS profiling is unable to accurately distinguish isolates
271 below the species/subspecies level. Thus, these findings need to be confirmed through the use of
272 a more robust method to distinguish intraspecies relationships such as the use of multilocus
273 sequencing or shotgun metagenomics.

274 **Genome reconstruction of bacterial strains from shotgun metagenomic data.** In order to
275 validate and precisely map the occurrence of potentially identical bifidobacterial strains in the
276 microbiome of a mother's fecal sample, and that of a corresponding sample from infant feces
277 and/or from breast milk, an in depth shotgun metagenomics analysis was applied to the SS4 and
278 SS2 infant samples. Taxonomic assignment (as based on publicly available genomic data) of
279 shotgun metagenomic reads obtained from the SS4 infant fecal sample showed that 62.92 % of

280 these reads are classified as bifidobacterial DNA, of which 69 % is taxonomically assigned to the
281 *B. breve* species, confirming what had previously been observed by means of 16S rRNA gene
282 profiling (16) (Fig. 3). These data are in good agreement with the ITS-profiling results, which
283 indicate high prevalence of a putative strain in the fecal sample corresponding to *B. breve* OTU
284 SS4_Infant_617, representing 66.8 % of the total collected ITS sequences as well as 67.2 % of
285 the reads constituting OTUs clustering together with the *B. breve* reference ITS sequence,
286 respectively (Fig. S1). Thus, the SS4 infant metagenomic dataset was exploited in order to
287 develop an optimized bioinformatics protocol for the reconstruction of a bacterial genome from
288 shotgun metagenomics data (SI). Such a protocol allows the reconstruction of a final consensus
289 genome sequence that can be entirely attributed to the *B. breve* strain being present at higher
290 abundance in the shotgun metagenomics dataset (see supplementary information for details). The
291 obtained genome corresponding to OTU SS4_Infant_617 was named *B. breve* BBRI4. Notably,
292 the ITS sequence of *B. breve* BBRI4 showed 100% identity with ITS OTU SS4_Infant_617.
293 The developed pipeline (SI) was also applied to the shotgun sequencing reads achieved from the
294 *Bifidobacterium*-rich SS2 infant fecal sample. Taxonomic classification of this dataset identified
295 that 57.4 % of the obtained microbiome reads was assignable to bifidobacterial DNA, of which
296 69.8 % was taxonomically annotated as a *B. longum* species, as previously noted at genus level
297 by 16S rRNA gene profiling (16) (Fig. 3). Furthermore, ITS-profiling demonstrated high
298 prevalence of OTU SS2_Infant_515 corresponding to a putative *B. longum* subsp. *longum* strain,
299 named BLOI2 (Fig. S1), which represents 69.9 % of the total bifidobacterial community and
300 72.1 % of the predicted *B. longum* subsp. *longum* population in this particular sample.

301 The final assembly of the *B. breve* BBRI4 genome consisted of 14 contigs with a total length of
302 2,411,812 bp, while chromosome reconstruction based on shotgun metagenomics data of *B.*

303 *longum* subsp. *longum* BLOI2 allowed the retrieval of 72 contigs corresponding to a
304 chromosome of 2,417,590 bp. In both cases, the final number of generated contigs was
305 comparable with that achieved when attempting targeted genome sequencing (34-36).

306 Comparative genomics of *B. breve* BBRI4 with 16 publicly available *B. breve* genomes led to
307 prediction of a *B. breve* pan-genome encompassing 3889 *B. breve*-specific Cluster of
308 Orthologous Genes (Bb-COGs) of which 1203 are shared by all the *B. breve* analyzed strains,
309 thus constituting the core-genome of this species. These results are consistent with observations
310 from a previous study encompassing 13 *B. breve* genomes (37). In addition, 82 Bb-COGs
311 represented the Truly Unique Genes (TUG) of *B. breve* BBRI4 (Fig. S2). Similarly, 30 genomes,
312 encompassing all publicly available *B. longum* genome sequences, were used in order to identify
313 the *B. longum* pan-genome, which consists of 6077 *B. longum*-specific COGs (Bl-COGs) of
314 which 827 appear to be shared by all 31 genomes analyzed, thus representing the core-genome.
315 The TUG of *B. longum* subsp. *longum* BLOI2 constitutes of 121 Bl-COGs (Fig. S3). Additional
316 information regarding general genome features as well as comparative genomics data of *B. breve*
317 BBRI4 and *B. longum* subsp. *longum* BLOI2 can be found in the supplementary material (SI).

318 The chromosomes of *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2 were screened for
319 genes putatively acquired by Horizontal Gene Transfer (HGT) events employing Colombo
320 software (38) settled at the maximum sensitivity and low specificity. This *in silico* analysis
321 predicted that 76.3 % of the *B. breve* BBRI4 ORFeome and 72,8 % of the *B. longum* subsp.
322 *longum* BLOI2 ORFeome was not acquired by HGT events. Notably, among the BBRI4 genes
323 that had not been predicted to have been acquired by HGT events, we identified 23 ORFs, which
324 are also encompassing the TUG of *B. breve* BBRI4 strain. These ORFs represent optimal marker
325 genes for the *B. breve* BBRI4 strain. Furthermore, of the 1469 *B. longum* subsp. *longum* BLOI2

326 ORFs putatively not involved in HGT events, 34 were observed to be unique to the BLOI2 strain
327 by comparative genomic analyses, thus representing optimal marker genes for identification of
328 this strain.

329 **Isolation and genome sequencing of *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2**
330 **strains.** In order to validate the reconstruction of *B. breve* BBRI4 and *B. longum* subsp. *longum*
331 BLOI2 genomic data from microbiomic datasets we decided to isolate these strains from fecal
332 samples of the SS4 and SS2 infants, respectively, and to subject the isolated strain to targeted
333 genome sequencing. *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2 strains were isolated
334 using a selective bifidobacterial medium (15). Selected colonies were identified by PCR
335 amplification, and subsequent sequencing of the amplicons corresponding to the ITS and marker
336 genes specific of *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2 (see above). Two
337 positively verified isolates (i.e. one isolate of *B. breve* BBRI4 and one of *B. longum* subsp.
338 *longum* BLOI2) were then subjected to whole genome sequencing employing the Illumina
339 MiSeq platform. Analysis of the assembled genome sequences was performed utilizing the
340 identical pipeline that had been applied for genome reconstruction using the shotgun
341 metagenomics datasets (see above). The genome sequencing of the *B. breve* BBRI4 isolate
342 allowed the identification of 96 contigs with an average coverage of 37 and encoding 1935
343 ORFs, while the chromosome of *B. longum* subsp. *longum* BLOI2 isolate encompasses 77
344 contigs with an average coverage of 51.86 and encoding 2047 ORFs. These genomes were used
345 to evaluate the quality of the corresponding assembly obtained from shotgun metagenomics
346 reads. Alignment of the *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2 chromosomes
347 achieved by targeted genome sequencing with those obtained from reconstruction by shotgun
348 metagenomics datasets revealed a syntenic structure and very limited differences (see below),

349 most likely attributable to DNA sequencing mistakes (Fig. S4). Moreover, the average nucleotide
350 identity based on mummer alignments (ANIm) between the genomes sequences achieved by
351 targeted genome sequencing or by microbiomic datasets for *B. breve* BBRI4 and *B. longum*
352 subsp. *longum* BLOI2 revealed identities ranging from 99.91 % to 99.92 % (99.96 % and 100.00
353 % in case of BLAST-based ANI), respectively. These data indicate the reliability of the
354 metagenomic assembly protocol for accurate reconstruction of targeted bacterial genomes. In
355 order to further confirm the reliability of the genomic data reconstructed from microbiomic
356 datasets we compared the predicted marker genes for *B. breve* BBRI4 and *B. longum* subsp.
357 *longum* BLOI2 using both genome sequencing data for each strain. Notably, the predicted
358 marker genes of these isolates show a value of identity at nucleotide level of 100 % between the
359 gene sets retrieved from targeted genome attempts and microbiomic datasets, thus confirming the
360 precise identification of *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2.

361 **Assessing the gut persistence of *B. breve* BBRI4 and *B. longum* subsp. *longum* BLOI2 strain**
362 **during the lifespan of infants.** In order to assess if *B. breve* BBRI4 and *B. longum* subsp.
363 *longum* BLOI2 strains are maintained in the gut of the SS4 and SS2 infants, respectively, at later
364 stages of life, we collected additional fecal samples from these infants six months (SS2_T6m and
365 SS4_T6m) after the initial sample collection, along with fecal and milk samples from the mother.
366 These samples were assayed by bifidobacterial ITS-profiling (16) (Fig. 3). Interestingly,
367 comparison of the results from the 16S rRNA gene-profiling of SS2_T6m and SS4_T6m with
368 taxonomy profiles of SS2 and SS4 (16) highlight a marked decrease of the relative abundance of
369 phylotypes belonging to the genus *Bifidobacterium* especially in the infant fecal samples, with
370 reduction from 56.4 % in SS2 to 2.9 % in SS2_T6m, and a reduction from 50.8 % in SS4 to 0.5
371 % in SS4_T6m (Fig. 3). This observation is indicative of microbiota evolution towards that

372 typical of adult human beings as a result of dietary changes (39). Furthermore, bifidobacterial
373 ITS-profiling allowed the identification of nine bifidobacterial species that appear to be shared
374 by the three samples constituting SS2_T6m, and 5 bifidobacterial species shared by the three
375 samples constituting SS4_T6m. Notably, *B. adolescentis*, *B. longum* subsp. *longum* and *B.*
376 *pseudolongum* that are found in these latter datasets were also shared by all samples constituting
377 SS2 and SS4 (Fig. 1 and Fig. 3). These findings suggest a high persistence of these human-gut
378 adapted bifidobacterial species in the infant and corresponding mother gut, as well as in mother's
379 milk. In addition, a manual search in the T6m sample sets for the presence of the most abundant
380 15 OTUs in SS2_Infant and SS4_Infant samples showed that one and 15 OTUs are still present
381 in SS2_T6m and in SS4_T6m, respectively (Fig. 3). Interestingly, ITS OTU SS2_Infant_515
382 corresponding to *B. longum* subsp. *longum* BLOI2 was detected in the SS2_T6m_Mother sample
383 but not in the SS2_T6m_Milk and SS2_T6m_Infant dataset (Fig. 3). This observation was
384 verified by PCR using strain-specific primer pairs based on a predicted marker gene of *B.*
385 *longum* subsp. *longum* BLOI2 encoding an ATPase domain-containing protein (BLOI2_0636)
386 (Fig. S1). Primers were tested for specificity using the NCBI tool Primer-BLAST and the NCBI
387 nt database containing all the so far sequenced bacterial genomes. Notably, these results allowed
388 the identification of this strain in all sample constituting both SS2 and SS2_T6m (Fig. S5), thus
389 suggesting that the prevalence of the BLOI2 strain was below 10E4 CFU/gr, which is the limit of
390 detection previously estimated by the bifidobacterial ITS-profiling approach in the infant fecal
391 and milk samples of SS2_T6m (16). Notably, ITS OTU SS4_Infant_617, corresponding to *B.*
392 *breve* BBRI4, is still present in the mother milk and infant fecal sample of SS4_T6m. Validation
393 through PCR using strain-specific primer pairs based on a predicted marker gene of *B. breve*
394 BBRI4, encoding a putative solute-binding component of a dipeptide ABC transporter

395 (BBRI4_0962), confirmed the persistence after six months of this strain in these two samples but
396 its absence (or below detection level) in the mother's gut (Fig. S5). These observations underline
397 the importance of initial colonization of the newborn gut of bacterial strains that may be
398 maintained during the infant lifespan. However, due to the lack of stool-samples collected at later
399 time points we cannot rule out that persistence of these bifidobacterial strains extends beyond 6
400 months or is just restricted to relative short time spans.

401 Furthermore, the mother's fecal microbiota was shown to have changed significantly at the six
402 month follow up time point, an observation confirming previous studies that had found high
403 variability in the composition of fecal microbiota during pregnancy and breast-feeding (40).

404 **CONCLUSIONS**

405 Vertical transmission of gut bacteria from mother to their offspring is considered a pivotal route
406 for microbiota establishment in newborns, although an in-depth evaluation of this process has not
407 been performed. Here, we collected and analyzed four sample sets each encompassing a mother
408 fecal and milk sample, combined with a corresponding infant fecal sample. Bifidobacterial ITS-
409 profiling coupled with shotgun metagenomic analyses allowed the identification of a common
410 bifidobacterial profile in the mother-infant pairs and revealed the presence of identical strains
411 shared between these hosts. Such data suggests the existence of a microbiota transfer process that
412 drives the acquisition and subsequent persistence of specific bacterial strains in the infant gut,
413 which appears to be influenced by the mother's gut and breast milk microbiota. Bifidobacteria
414 represent the dominant members of the gut microbiota of infants (4), thus it is not surprising to
415 identify their occurrence at high numbers in the analyzed infant stool samples. However, it is
416 interesting to note that even though bifidobacteria are generally poorly detectable in adult fecal
417 samples (4, 15), despite the finding that they have been found to persist at high levels in some

418 adult individuals (41), we were able to trace the occurrence of a specific strain being present in
419 the gut of both mother and infant. One may argue that bifidobacteria, even when they decrease in
420 relative abundance following weaning, persist following their initial transfer to the infant gut.
421 The particular route(s) used for such a transfer is (are) still unknown, although it has been
422 speculated that it is facilitated by a human milk route (42, 43), and/or by contamination of fecal
423 and vaginal samples in the partum canal (44). Very recently, the possibility of fetal colonization
424 of the infant gut through the placenta has been put forward (45). Due the small cohort of subjects
425 investigated in this study, we cannot made any statistically robust conclusions about the
426 incidence of vertical transmission of the gut microbiota from mother to child in the human
427 population. Nonetheless, our findings represent a first important molecular evidence of the
428 existence of this route for the generation of the gut microbiota in the earlier stages of life.

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436

437

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- 569

570 **Figure legends**

571 **Figure 1:** Bifidobacterial ITS-profiling of four sample sets each encompassing the fecal and
572 milk samples from a mother and a fecal sample from the corresponding infant. Panel a represents
573 a bar plot of the identified bifidobacterial population in the 12 analyzed samples. Panel b shows a
574 heat map of bifidobacterial species that appeared to be present in both infant fecal sample and
575 mother fecal sample (I-M), and/or present in the infant fecal sample and mother milk sample (I-
576 K).

577

578 **Figure 2:** Distribution of computed ITS OTUs in the four analyzed sample sets. Panel a displays
579 the 126 ITS OTUs identified as being present in an entire sample set (e.g. present in the mother
580 fecal and milk samples as well as by the infant fecal sample). The red color indicates presence of
581 a specific OTU in the three samples of a given set; the light blue color is used to highlight those
582 OTUs being among the 100 most represented in at least two members of a sample set. OTUs are
583 also clustered by taxonomic assignment and colored accordingly in the first column of the heat
584 map. Panel b shows a heat map illustrating the presence of the five most abundant OTUs of each
585 analyzed sample, and their presence or absence in the two other samples of the corresponding
586 set, accompanied by their taxonomic assignment. The most represented OTUs in the infant
587 samples of SS2 and SS4 are highlighted in blue and green, respectively.

588

589 **Figure 3:** Evaluation of gut microbiota persistence in SS2 and SS4. Panel a shows a bar plot
590 representing results from 16S rRNA gene profiling of SS2, SS2_T6m, SS4 and SS4_T6m at
591 genus level. Only taxa with relative abundance >5 % in at least one sample are indicated.
592 “Unclassified members” is abbreviated as “u.m.”. Panel b depicts the bifidobacterial taxonomic

593 profile in SS2, SS2_T6m, SS4 and SS4_T6m obtained through bifidobacterial ITS-profiling.
594 Panel c represents a heat map showing persistence of bifidobacterial OTUs (putative
595 bifidobacterial strains) in SS2 and SS4 after 6 months. The most represented OTUs in infant
596 sample of SS2 and SS4 are highlighted in blue and green, respectively.
597

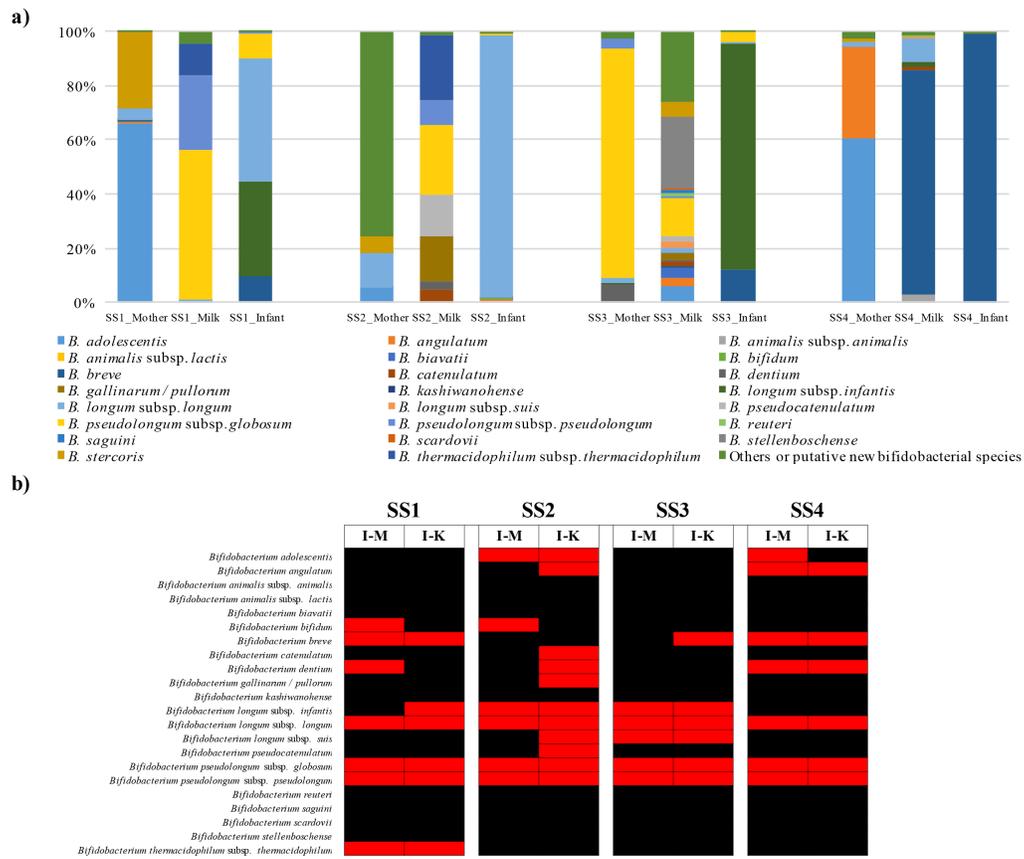


Figure 1

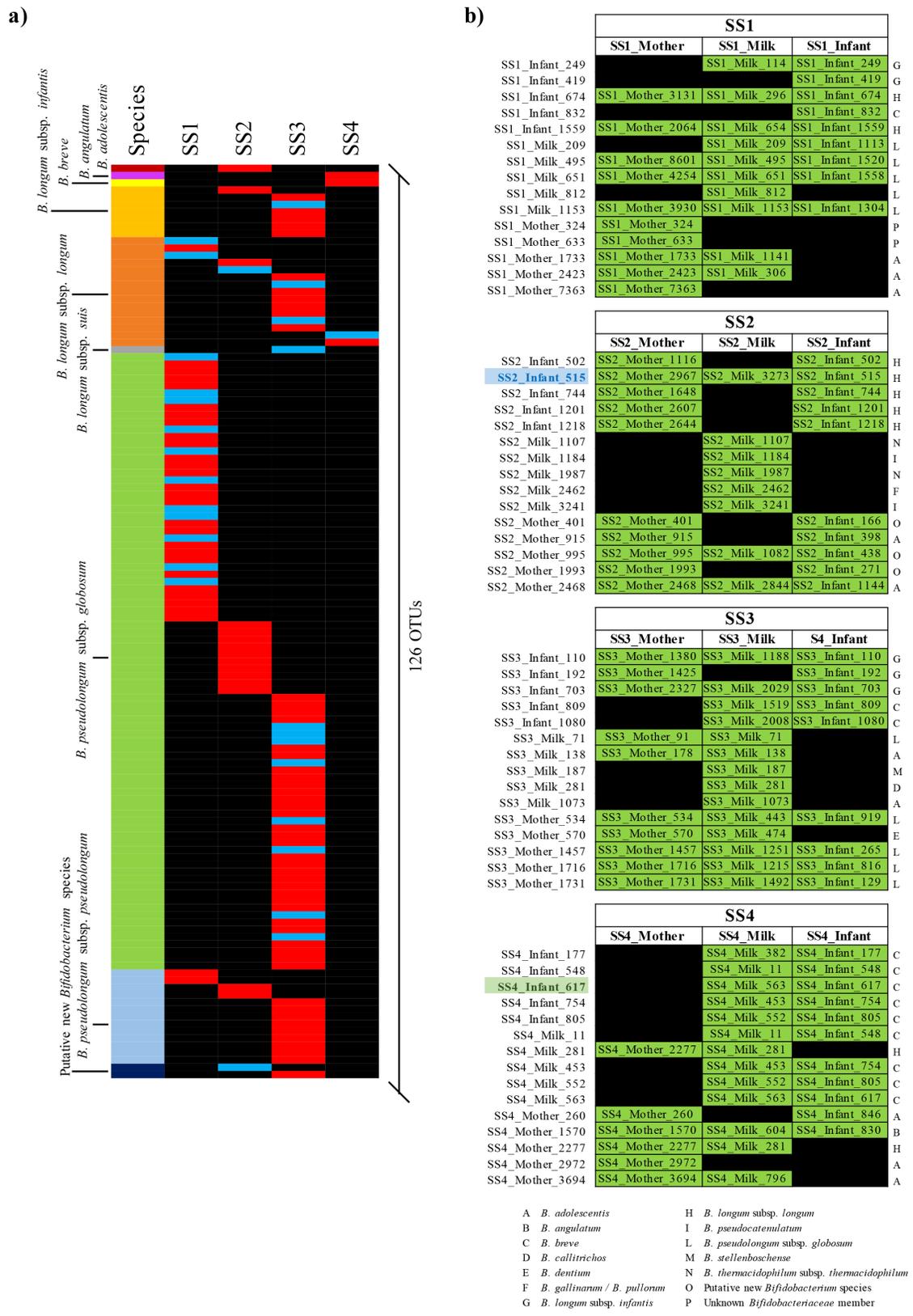


Figure 2

