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# A conservative semi-implicit method for coupled surface-subsurface flows in regional scale 

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#### Abstract

SUMMARY A semi-implicit method for coupled surface-subsurface flows in regional scale is proposed and analyzed. The flow domain is assumed to have a small vertical scale as compared to the horizontal extents. Thus, after hydrostatic approximation, the simplified governing equations are derived from the Reynolds averaged Navier-Stokes equations for the surface flow, and from the Darcy's law for the sub-surface flow. A conservative free-surface equation is derived from a vertical integral of the incompressibility condition and extends to the whole water column including both, the surface and the subsurface wet domain. Numerically, the horizontal domain is covered by an unstructured orthogonal grid that may include subgrid specifications. Along the vertical direction a simple z-layer discretization is adopted. Semi-implicit finite difference equations for velocities, and a finite volume approximation for the free-surface equation, are derived in such a fashion that, after simple manipulation, the resulting discrete free-surface equation yields a single, well posed, mildly nonlinear system. This system is efficiently solved by a nested Newton type iterative method which yields simultaneously the pressure and a nonnegative fluid volume throughout the computational grid. The time step size is not restricted by stability conditions dictated by friction or surface wave speed. The resulting algorithm is simple, extremely efficient and very accurate. Exact mass conservation is assured also in presence of wetting and drying dynamics, in pressurized flow conditions, and during free-surface transition through the interface. A few examples illustrate the model applicability and demonstrate the effectiveness of the proposed algorithm. Copyright © 2015 John Wiley \& Sons, Ltd.


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KEY WORDS: free-surface flow; semi-implicit method; subgrid resolution; mildly nonlinear system; surface-subsurface flow; pressurized flow

## 1. INTRODUCTION

Numerical modelling of coupled surface-subsurface flows is an interesting task that has received considerable attention for several decades. Preliminarily, one has to choose an appropriate set of governing differential equations whose validity needs to be established in both, the surface and in the subsurface flow domain. For surface flows the choice may range from an oversimplified diffusion approximation of the Saint Venant equations to the full three-dimensional Navier-Stokes equations

[^0]with complex free-surfaces. For the sub-surface dynamic, the choice of a differential model may range from the Boussinesq equation to the three-dimensional Richards equation that includes nonhydrostatic pressure and variably unsaturated flows [1].

Numerically, surface and subsurface flows have often received separate attention and in most cases impressive results have been produced and reported. Several attempts have been made to couple surface and subsurface numerical models with different coupling strategies (see, e.g., $[2,3,4,5]$ and the numerous references therein). The simplest (non-iterative) strategy consists in applying sequentially, within each time step, the surface flow model and the subsurface model. The accuracy of this approach can be improved by adopting an iterative coupling having the objective to better approximate the boundary conditions at the interface between the surface and the subsurface region. The higher computational effort required by an iterative approach may be compensated by the better accuracy so achieved, but a rigorous convergence analysis of an iterative approach may be difficult to establish [6] and mass conservation is often inaccurate especially in presence of wetting and drying dynamics, in pressurized flow conditions, in inhomogeneous porous medium, and during free-surface transition through the interface.

The spatial domain of environmental flows in regional scale is typically characterized by having a much larger horizontal extent than the vertical one. These flows are prevalently horizontal and the hydrostatic approximation (also known as Dupuit's assumption) is assumed to be valid throughout [1]. One further assumes that the flow is confined below by an impervious bedrock, and above by a free-surface (phreatic surface or water table) which may intersect an impermeable ceiling, in which case below the ceiling the flow is said to be pressurized. With these assumptions the pressure can be conveniently expressed in terms of the free-surface elevation (or piezometric head) and the two flows, namely the surface flow and the subsurface flow, share the same (hydrostatic) pressure.

In the present investigation a conservative form of the free-surface equation is derived in such a fashion that the time rate of the water level is expressed in terms of the total balance over the entire water column and includes both, the surface and the subsurface horizontal fluxes. The resulting freesurface equation incorporates the normal velocity boundary condition at the impervious bottom, the
kinematic boundary condition at the free surface and the normal flow continuity at the interface between the surface and the subsurface flow region.

Numerically, semi-implicit methods are known to be stable at a minimal computational cost [7, $8,9,10]$. Additionally, when subgrid geometrical details are properly incorporated into a numerical model for surface flows, then significant improvements of numerical accuracy may be achieved on relatively coarse computational grids [11, 12, 13]. Similarly, efficient and highly accurate numerical methods for subsurface flows can be derived by using semi-implicit approximations that incorporate subgrid geometrical details $[14,15,16]$. Here, these methods are generalized to form a single semiimplicit algorithm that applies to coupled surface-subsurface flows, and simplifies to either a surface or a subsurface model as particular cases.

Specifically, only the velocities in the free-surface equation, and the pressure gradient, the vertical viscosity and the friction in the discrete velocity equations are approximated at the new time level. Next, a formal substitution of the unknown velocities into the discrete free-surface equation leads to a reduced mildly non linear system where the new free-surface elevations are the only unknowns. This system is iteratively solved by a properly devised converging method [14, 15] which yields, simultaneously, the new free-surface location and the corresponding nonnegative fluid volume on each water column of the horizontal computational grid. Once the new free surface (hence the pressure) is known, the horizontal velocities are readily computed from the discrete velocity equations. Finally, the new vertical component of the velocity is recovered recursively from a finite volume approximation of the incompressibility condition.

The resulting algorithm is relatively simple, extremely accurate, and numerically stable. Moreover, exact mass conservation is assured throughout also in presence of wetting and drying and during the free-surface transition from surface to the subsurface region or viceversa.

The remainder of this paper is organized as follows: the governing differential equations are first introduced and discussed in Section 2. In Section 3 the discrete flow variables are defined on a staggered computational grid that may include subgrid specifications. Then, a non linear semiimplicit method is derived in Section 4. In Section 5 a practical solution algorithm is provided.

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Several hints and remarks are outlined in Section 6 and, finally, in Section 7 this method is applied to some illustrative examples of different surface-subsurface flow problems.

## 2. THE GOVERNING DIFFERENTIAL EQUATIONS

For the sake of simplicity, the three-dimensional free-surface flow of an incompressible fluid is considered to be bounded by an impervious bedrock located at $z=-h(x, y)$ and by an impermeable ceiling located at $z=c(x, y) \geq-h(x, y)$. Both $h(x, y)$ and $c(x, y)$ are assumed to be known for all $(x, y) \in \Omega$ where $\Omega$ denotes the horizontal extent of the region being investigated. Additionally, the surface and the sub-surface flow regions are separated at $z=-s(x, y)$ where the soil level $s(x, y)$ is prescribed for all $(x, y) \in \Omega$ and satisfies $-h(x, y) \leq-s(x, y) \leq c(x, y)$. The porous material is characterized by a porosity $\epsilon(x, y, z)$ and hydraulic conductivity $\mathcal{K}(x, y, z)$ that are prescribed for all $(x, y) \in \Omega$ and for all $z \in[-h(x),-s(x)]$. For notational convenience the porosity function is prolonged as $\epsilon(x, y, z)=1$ for all $z \in[-s(x, y), c(x, y)]$ and $\epsilon(x, y, z)=0$ for all $z \notin[-h(x, y), c(x, y)]$.

Note that if $s(x, y)=h(x, y)$ for all $(x, y) \in \Omega$, then the subsurface region is being neglected and only surface flow is allowed. Likewise, if $s(x, y)=-c(x, y)$ for all $(x, y) \in \Omega$, then the surface region is being neglected and only subsurface flow is permitted.

### 2.1. Governing equations for surface flows

Within a Cartesian coordinate system $(x, y, z)$ where the $x, y$-axis are horizontal and the vertical $z$ axis is oriented upward along the gravity direction, the horizontal momentum equations for surface flows are given by

$$
\begin{align*}
& \frac{\partial u}{\partial t}+u \frac{\partial u}{\partial x}+v \frac{\partial u}{\partial y}+w \frac{\partial u}{\partial z}=-g \frac{\partial \eta}{\partial x}+\frac{\partial}{\partial x}\left(\nu^{h} \frac{\partial u}{\partial x}\right)+\frac{\partial}{\partial y}\left(\nu^{h} \frac{\partial u}{\partial y}\right)+\frac{\partial}{\partial z}\left(\nu^{v} \frac{\partial u}{\partial z}\right)  \tag{1}\\
& \frac{\partial v}{\partial t}+u \frac{\partial v}{\partial x}+v \frac{\partial v}{\partial y}+w \frac{\partial v}{\partial z}=-g \frac{\partial \eta}{\partial y}+\frac{\partial}{\partial x}\left(\nu^{h} \frac{\partial v}{\partial x}\right)+\frac{\partial}{\partial y}\left(\nu^{h} \frac{\partial v}{\partial y}\right)+\frac{\partial}{\partial z}\left(\nu^{v} \frac{\partial v}{\partial z}\right) \tag{2}
\end{align*}
$$

where $u(x, y, z, t), v(x, y, z, t)$ and $w(x, y, z, t)$ are the unknown velocity components in the horizontal $x$-, $y$ - and in the vertical $z$-directions, respectively; $t$ is the time; $g$ is the gravitational acceleration; $\eta(x, y, t)$ is the free-surface elevation; and $\nu^{h}$ and $\nu^{v}$ are prescribed non-negative coefficients of horizontal and vertical viscosity, respectively.

Without loss of generality, the wind friction is neglected so that the boundary conditions at the free-surface and at the solid walls are assumed to be

$$
\left.\left.\begin{array}{l}
\nu^{v} \frac{\partial u}{\partial z}=0  \tag{3}\\
\nu^{v} \frac{\partial v}{\partial z}=0
\end{array}\right\} \text { at } z=\eta, \quad \begin{array}{l} 
\\
\\
\\
\nu^{v} \frac{\partial u}{\partial z}=\gamma u^{*} \\
\text { at }=\gamma v^{*}
\end{array}\right\} \text { at } z=-s \text { and } z=c
$$

where $\gamma(x, y, t)$ is a nonnegative bottom friction coefficient and $u^{*}$ and $v^{*}$ are the horizontal velocity components near the solid boundary.

The differential Equations (1)-(2) are the model equations that describe the surface flow within a time dependent three-dimensional domain which is identified by $(x, y) \in \Omega$ and $s(x, y)+$ $\min [\eta(x, y, t), c(x, y)]>0$.

At the initial time $t=0$ the velocities $u(x, y, z, 0), v(x, y, z, 0)$ and $w(x, y, z, 0)$ are prescribed as initial conditions. The initial fluid position is specified by prescribing $\eta(x, y, 0)$ for all $(x, y) \in \Omega$.

### 2.2. Governing equations for sub-surface flows

The horizontal velocities in the sub-surface region are given by

$$
\begin{align*}
& u=-\mathcal{K} \frac{\partial \eta}{\partial x}  \tag{4}\\
& v=-\mathcal{K} \frac{\partial \eta}{\partial y} \tag{5}
\end{align*}
$$

where $\mathcal{K}(x, y, z)$ is the nonnegative hydraulic conductivity.
The differential Equations (4)-(5) are the model equations that describe the subsurface flow within a time dependent three-dimensional domain which is identified by $(x, y) \in \Omega$ and $h(x, y)+$ $\min [\eta(x, y, t), c(x, y),-s(x, y)]>0$.

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Note that at any point $(x, y) \in \Omega$, if $-s(x, y)<\eta(x, y, t)<c(x, y)$, then the unknown function $\eta(x, y, t)$ represents the free-surface elevation. If $\eta(x, y, t) \leq-s(x, y)$ then the surface layer is dry, Equations (1)-(2) do not apply at ( $x, y$ ), and $\eta(x, y, t)$ represents the phreatic surface or water table of the subsurface wet region. Finally, if $\eta(x, y, t) \geq c(x, y)$ then $(x, y)$ is pressurized and $\eta(x, y, t)$ represents the piezometric head. In all cases the unknown function $\eta(x, y, t)$ in Equations (1)-(2) and (4)-(5) represents the local (hydrostatic) pressure.

### 2.3. Free-surface and interface condition

At the common interface between surface and subsurface regions continuity of mass transfer is assumed. Moreover, fluid incompressibility in both regions is assured by the following incompressibility condition

$$
\begin{equation*}
\frac{\partial u}{\partial x}+\frac{\partial v}{\partial y}+\frac{\partial w}{\partial z}=0 \tag{6}
\end{equation*}
$$

By using the Leibniz integral rule and the kinematic boundary conditions at the impervious bottom and at the free-surface, integration of the continuity equation (6) over the depth yields the following free-surface equation in conservative form

$$
\begin{equation*}
\frac{\partial}{\partial t}\left(\int_{-h}^{\eta} \epsilon d z\right)+\frac{\partial}{\partial x}\left(\int_{-h}^{\eta} u d z\right)+\frac{\partial}{\partial y}\left(\int_{-h}^{\eta} v d z\right)=0 \tag{7}
\end{equation*}
$$

Equation (7) is the vertically integrated continuity equation expressing a mass balance over the entire water column for all $(x, y) \in \Omega$ and for all $t>0$. Note that this equation includes both, the surface and the subsurface horizontal fluxes. In addition to the normal velocity boundary condition at the impervious bottom, and the kinematic boundary condition at the free surface, Equation (7) also incorporates the normal flow continuity at the interface between the surface and the subsurface flow region.


Figure 1. Discrete flow variables on a staggered orthogonal grid

## 3. UNSTRUCTURED GRID AND SUBGRID

In order to solve equations (1)-(7) numerically, the region $\Omega$ is covered by an unstructured orthogonal grid [10] consisting of a set of non-overlapping convex polygons $\Omega_{i}, i=1,2, \ldots, N_{p}$ separated by $N_{s}$ sides $\Gamma_{j}, j=1,2, \ldots, N_{s}$. Within each polygon a center must be identified in such a way that the segment joining the centers of two adjacent polygons and the side shared by the two polygons, have a non empty intersection and are orthogonal to each other (see Figure 1).

Once $\Omega$ has been covered with an unstructured orthogonal grid, each polygon $\Omega_{i}$ may have an arbitrary number of sides. Let $S_{i}$ denote the set of sides of the $i$ th polygon. The left and the right polygons which share the $j$ th internal side are identified by the indices $\ell(j)$ and $r(j)$, respectively. Moreover, $\wp(i, j)$ denotes the neighbor of polygon $i$ that shares side $j$ with the $i$ th polygon. The nonzero distance between the centers of two adjacent polygons which share the $j$ th internal side is denoted with $\delta_{j}$ and the length of each side is denoted by $\lambda_{j}, j=1,2, \ldots, N_{s}$.

Along the vertical direction a simple finite difference discretization, not necessarily uniform, is adopted. By denoting with $z_{k+\frac{1}{2}}$ a given level surface, the vertical discretization step is defined by $\Delta z_{k}=z_{k+\frac{1}{2}}-z_{k-\frac{1}{2}}, k=1,2, \ldots, N_{z}$.

The three-dimensional space discretization consists of bathymetry shaved volumes contained in prisms whose horizontal faces are the polygons at two consecutive level surfaces, and whose height is $\Delta z_{k}$. The discrete water surface elevation $\eta_{i}^{n}$ at time level $t_{n}$, assumed to be constant within each polygon, is located at the center of the $i$ th polygon.

$$
\begin{equation*}
\tilde{a}_{j, k}^{n}=\int_{\Gamma_{j}} \Delta \tilde{z}_{j, k}^{n} d \Gamma \tag{10}
\end{equation*}
$$

where $\Delta \tilde{z}_{j, k}^{n}$ is the subsurface wetted distance between $z_{k+\frac{1}{2}}$ and $z_{k-\frac{1}{2}}$ along $\Gamma_{j}$. Specifically, $\Delta \tilde{z}_{j, k}^{n}$ is given by $\Delta \tilde{z}_{j, k}^{n}=\max \left[0, \min \left(z_{k+\frac{1}{2}}, \eta_{j}^{n},-s\right)-\max \left(z_{k-\frac{1}{2}},-h\right)\right]$. Note that $0 \leq \tilde{a}_{j, k}^{n} \leq \lambda_{j} \Delta z_{k}$.

Moreover, by denoting with $a_{j, k}^{n}$ the total face wet area, namely $a_{j, k}^{n}=\bar{a}_{j, k}^{n}+\tilde{a}_{j, k}^{n}$, one has $0 \leq$ $a_{j, k}^{n} \leq \lambda_{j} \Delta z_{k}$

The horizontal surface and subsurface velocities perpendicular to each wet vertical face of the computational grid, assumed to be constants over the face, are denoted with $\bar{u}_{j, k}^{n}$ and $\tilde{u}_{j, k}^{n}$, respectively. Additionally, if the total face wet area is at least partially wet ( $a_{j, k}^{n}>0$ ), then the corresponding face average horizontal velocity is given by

$$
\begin{equation*}
u_{j, k}^{n}=\frac{\bar{u}_{j, k}^{n} \bar{a}_{j, k}^{n}+\tilde{u}_{j, k}^{n} \tilde{a}_{j, k}^{n}}{a_{j, k}^{n}} \tag{11}
\end{equation*}
$$

The positive direction for $u_{j, k}^{n}, \bar{u}_{j, k}^{n}$ and $\tilde{u}_{j, k}^{n}$ is chosen to go from $\ell(j)$ to $r(j)$.
The face average (surface and subsurface) vertical velocity is defined on each horizontal wet face of the computational grid and is denoted by $w_{i, k+\frac{1}{2}}^{n}$. The corresponding total wet area is given by

$$
\begin{equation*}
a_{i, k+\frac{1}{2}}^{n}=\int_{\Omega_{i}} \mathcal{H}\left(\min \left(\eta_{i}^{n}, c\right)-z_{k+\frac{1}{2}}\right) \mathcal{H}\left(z_{k+\frac{1}{2}}+h\right) d \Omega \tag{12}
\end{equation*}
$$

and satisfies $0 \leq a_{i, k+\frac{1}{2}}^{n} \leq P_{i}$.

## 4. FINITE DIFFERENCE - FINITE VOLUME APPROXIMATION

Equations (1)-(7) will be discretized on an unstructured orthogonal grid by using a finite difference approximation for the velocity Equations (1)-(5) and a finite volume approximation for the continuity Equations (6)-(7).

### 4.1. Finite difference approximation for surface horizontal velocities

With a semi-implicit method the terms to be discretized at the new time level are carefully selected as to obtain a stable method with a minimal computational effort $[7,8,9,10]$. Thus, the advective and the viscous terms of the momentum equations are discretized explicitly, whereas the pressure gradient in the momentum equations, and the velocities in the free-surface equation (7) are discretized implicitly. Moreover, the vertical viscosity and the wall friction terms are taken implicitly. Thus, since Equations (1)-(2) are invariant under solid rotation of the $x$ and $y$ axis on
the horizontal plane, a consistent semi-implicit finite-difference discretization for the momentum Equations (1)-(2) on each edge $\Gamma_{j}$ is taken to be

$$
\begin{align*}
& \bar{a}_{j, k}^{n} \bar{u}_{j, k}^{n+1}= \bar{a}_{j, k}^{n} F \bar{u}_{j, k}^{n}-g \Delta t \bar{a}_{j, k}^{n} \frac{\eta_{r(j)}^{n+\theta}-\eta_{\ell(j)}^{n+\theta}}{\delta_{j}}-\Delta t \gamma_{j, k}^{n} \bar{u}_{j, k}^{n+1} \\
&+ \Delta t\left\{\lambda_{j, k+\frac{1}{2}}^{n} \nu_{j, k+\frac{1}{2}}^{v} \frac{\bar{u}_{j, k+1}^{n+1}-\bar{u}_{j, k}^{n+1}}{\Delta z_{k+\frac{1}{2}}}-\lambda_{j, k-\frac{1}{2}}^{n} \nu_{j, k-\frac{1}{2}}^{v} \frac{\bar{u}_{j, k}^{n+1}-\bar{u}_{j, k-1}^{n+1}}{\Delta z_{k-\frac{1}{2}}}\right\} \\
& k=\bar{m}_{j}, \bar{m}_{j}+1, \ldots, \bar{M}_{j}^{n} \tag{13}
\end{align*}
$$

where $F$ is any stable non-linear difference operator that includes a spatial discretization of the advective and horizontal viscous terms; $\Delta t$ is the time step size; $\eta^{n+\theta}=\theta \eta^{n+1}+(1-\theta) \eta^{n}$ and $\theta \in\left[\frac{1}{2}, 1\right]$ is an implicitness parameter; $\gamma_{j, k}^{n}$ is a nonnegative wall friction coefficient which accounts for the boundary conditions (3) and is proportional to the wetted perimeter of the $(j, k)$ th vertical face at solid walls; and $\bar{m}_{j}$ and $\bar{M}_{j}^{n}$ denote the lowest and the highest surface wet vertical face, respectively. As indicated $\bar{m}_{j}$ and $\bar{M}_{j}^{n}$ depend on their spatial location $j$ and $\bar{M}_{j}^{n}$ may also change with the time level $t_{n}$ in order to account for the free-surface dynamics. Clearly, $\bar{a}_{j, k}^{n}>0$ for all $k=\bar{m}_{j}, \bar{m}_{j}+1, \ldots, \bar{M}_{j}^{n} ;$ moreover $\lambda_{j, k+\frac{1}{2}}^{n}>0$ for all $k=\bar{m}_{j}, \bar{m}_{j}+1, \ldots, \bar{M}_{j}^{n}-1$, and $\lambda_{j, \bar{m}-\frac{1}{2}}^{n}=\lambda_{j, \bar{M}+\frac{1}{2}}^{n}=0$.

A particular form for $F$ in Equation (13) can be chosen in a variety of ways, such as by using an Eulerian-Lagrangian scheme [7, 8, 9, 10], or an explicit conservative formulation [17]. Since this topic is widely covered in the literature, a specific formulation for obtaining $F \bar{u}_{j, k}^{n}$ will not be elaborated in the present study. Here, we only insist that $F$ be a stable operator in the sense that if $F$ is only conditionally stable, then that stability condition will be the stability condition required by the method being developed.

Of course, Equation (13) is defined only on wet vertical faces, i.e., where $\bar{a}_{j, k}^{n}>0$. On dry faces $\bar{u}_{j, k}^{n+1}=0$ is assumed. Thus, for any structure given to $F$, one has exactly one linear equation (13) for each unknown surface velocity $\bar{u}_{j, k}^{n+1}$.

### 4.2. Finite difference approximation for subsurface horizontal velocities

The Darcy's Equations (4)-(5) do not contain time derivatives, thus they must be satisfied at each time level. These equations are invariant under solid rotation of the $x$ and $y$ axis on the horizontal plane. Hence, within the subsurface flow region, and with a properly oriented coordinate system, a simple implicit finite difference approximation for Equations (4)-(5) is taken to be

$$
\begin{equation*}
\tilde{u}_{j, k}^{n+1}=-\mathcal{K}_{j, k} \frac{\eta_{r(j)}^{n+1}-\eta_{\ell(j)}^{n+1}}{\delta_{j}}, \quad k=\tilde{m}_{j}, \tilde{m}_{j}+1, \ldots, \tilde{M}_{j}^{n} \tag{14}
\end{equation*}
$$

where $\mathcal{K}_{j, k}$ is the face averaged hydraulic conductivity; and $\tilde{m}_{j}$ and $\tilde{M}_{j}^{n}$ denote the lowest and the highest subsurface wet vertical face, respectively. As indicated $\tilde{m}_{j}$ and $\tilde{M}_{j}^{n}$ depend on their spatial location $j$ and $\tilde{M}_{j}^{n}$ may also change with the time level $t_{n}$ in order to account for the free-surface dynamics. Clearly, $\tilde{a}_{j, k}^{n}>0$ for all $k=\tilde{m}_{j}, \tilde{m}_{j}+1, \ldots, \tilde{M}_{j}^{n}$.

Of course, Equation (14) is defined only on wet vertical faces, i.e., where $\tilde{a}_{j, k}^{n}>0$. On dry faces $\tilde{u}_{j, k}^{n+1}=0$ is assumed. Thus, for each unknown subsurface velocity $\tilde{u}_{j, k}^{n+1}$, one has exactly one linear equation (14).

### 4.3. Finite volume approximation for the incompressibility condition

The continuity Equation (6) is approximated at the new time level $t_{n+1}$ in such a fashion that the resulting face averaged velocity field is discrete divergence-free in every control volume below the free-surface. Indeed, a volume integral of Equation (6) yields a consistent finite volume form of the incompressibility condition (6) which is given by

$$
\begin{equation*}
\left(\sum_{j \in S_{i}} \sigma_{i, j} a_{j, k}^{n} u_{j, k}^{n+1}\right)+a_{i, k+\frac{1}{2}}^{n} w_{i, k+\frac{1}{2}}^{n+1}-a_{i, k-\frac{1}{2}}^{n} w_{i, k-\frac{1}{2}}^{n+1}=0 \tag{15}
\end{equation*}
$$

where $a_{j, k}^{n}$ and $a_{i, k \pm \frac{1}{2}}^{n}$ are the total wet areas; $u_{j, k}^{n+1}$ and $w_{i, k \pm \frac{1}{2}}^{n+1}$ are the corresponding new face averaged velocities; and $\sigma_{i, j}$ is a sign function associated with the orientation of the normal velocities defined on the $j$ th vertical faces. Specifically,

$$
\sigma_{i, j}=\frac{r(j)-2 i+\ell(j)}{r(j)-\ell(j)}
$$

The vertical $k$ index in Equation (15) ranges from $m_{i}$ to $M_{i}^{n}-1$, where $m_{i}$ and $M_{i}^{n}$ denote the lowest and the highest control volume within the $i$ th water column. Incompressibility in the top ( $M_{i}^{n}$ th) control volume has to account for the free-surface dynamic and will result from a conservative approximation of the free-surface equation (7). Thus, one has exactly one linear equation (15) for each unknown vertical velocity $w_{i, k+\frac{1}{2}}^{n+1}, k=m_{i}, m_{i}+1, \ldots, M_{i}^{n}-1$.

### 4.4. Finite volume approximation for the free-surface equation

Finally, in order to close the system, a consistent discretization of the free-surface Equation (7) in flux form is obtained upon integration of Equation (7) over the $i$ th polygon. This yields the following semi-implicit finite volume approximation

$$
\begin{equation*}
V_{i}\left(\eta_{i}^{n+1}\right)=V_{i}\left(\eta_{i}^{n}\right)-\Delta t \sum_{j \in S_{i}} \sigma_{i, j}\left(\sum_{k=\bar{m}_{j}}^{\bar{M}_{j}^{n}} \bar{a}_{j, k}^{n} \bar{u}_{j, k}^{n+\theta}+\sum_{k=\tilde{m}_{j}}^{\tilde{M}_{j}^{n}} \tilde{a}_{j, k}^{n} \tilde{u}_{j, k}^{n+\theta}\right) \tag{16}
\end{equation*}
$$

where $\bar{u}_{j, k}^{n+\theta}=\theta \bar{u}_{j, k}^{n+1}+(1-\theta) \bar{u}_{j, k}^{n} ; \tilde{u}_{j, k}^{n+\theta}=\theta \tilde{u}_{j, k}^{n+1}+(1-\theta) \tilde{u}_{j, k}^{n}$; and

$$
\begin{equation*}
V_{i}\left(\eta_{i}^{n+1}\right)=\int_{\Omega_{i}}\left[\int_{-\infty}^{\eta_{i}^{n+1}} \epsilon(x, y, z) d z\right] d x d y \tag{17}
\end{equation*}
$$

is the water volume within the $i$ th water column for the specified water level $\eta_{i}^{n+1}$, for any porosity distribution $\epsilon$, and for any prescribed geometric detail $h, s$ and $c$.

Equation (16) applies to each water column identified by the corresponding polygon $\Omega_{i}$. Thus, one has exactly one equation (16) for each unknown water level $\eta_{i}^{n+1}, i=1,2, \ldots, N_{p}$. Moreover, equation (16) expresses an exact mass balance for every water column regardless of the free-surface locations $\eta_{i}^{n}$ and $\eta_{i}^{n+1}$. This equation, however, is mildly nonlinear and the nonlinearity resides in the definition of the fluid volume $V_{i}\left(\eta_{i}^{n+1}\right)$ at the left hand side of Equation (16). Note that if the fluid volume $V_{i}\left(\eta_{i}^{n+1}\right)$ is linearized as $V_{i}\left(\eta_{i}^{n+1}\right)=V_{i}\left(\eta_{i}^{n}\right)+V_{i}^{\prime}\left(\eta_{i}^{n}\right)\left(\eta_{i}^{n+1}-\eta_{i}^{n}\right)$, then Equation (16) becomes linear but mass conservation can no longer be guaranteed.


Figure 3. Graphical representation of a simple piecewise linear volume function

Figure 3 illustrates the volume variability in the very simple case of constants $h(x, y)=h_{i}$, $s(x, y)=s_{i}$ and $c(x, y)=c_{i}$ for all $(x, y) \in \Omega_{i}$, and constant porosity distribution $\epsilon(x, y, z)=\epsilon_{i}$ for all $(x, y) \in \Omega_{i}$ and for all $z \in\left[-h_{i},-s_{i}\right]$. In this case the $i$-th fluid volume remains zero for all $\eta \leq-h_{i}$, it grows linearly with slope $\epsilon_{i} P_{i}$ when $-h_{i} \leq \eta \leq-s_{i}$, it grows with a steeper slope $P_{i}$ when $-s_{i} \leq \eta \leq c_{i}$, and finally remains constant $V_{i}(\eta)=P_{i}\left[\epsilon_{i}\left(h_{i}-s_{i}\right)+s_{i}+c_{i}\right]$ when the $i$ th water column is pressurized, that is for all $\eta \geq c_{i}$. In all cases the fluid volume $V_{i}\left(\eta_{i}^{n+1}\right)$ is always a nonnegative and non decreasing function of $\eta_{i}^{n+1}$.

All together, Equations (13), (14), (15) and (16) constitute a mildly nonlinear system of at most $N_{z}\left(2 N_{s}+N_{p}\right)$ equations. This system has to be solved at each time step in order to determine the new field variables $\bar{u}_{j, k}^{n+1}, \tilde{u}_{j, k}^{n+1}, w_{i, k+\frac{1}{2}}^{n+1}$ and $\eta_{i}^{n+1}$ throughout the computational grid.

## 5. SOLUTION ALGORITHM

The system of $N_{z}\left(2 N_{s}+N_{p}\right)$ equations formed by (13)-(16) can be conveniently decomposed into a reduced mildly nonlinear system of $N_{p}$ equations for $\eta_{i}^{n+1}$; a set of $N_{s}$ independent linear tridiagonal systems of at most $N_{z}$ equations for the surface horizontal velocities $\bar{u}_{j, k}^{n+1} ; N_{s} N_{z}$ explicit equations for the subsurface horizontal velocities $\tilde{u}_{j, k}^{n+1}$; and a set of $N_{p}$ independent recursive formulas to derive the new vertical velocity $w_{i, k+\frac{1}{2}}^{n+1}$ from the impervious bottom to the free-surface. Specifically, equations (13)-(14) and (16) are first written in vector notation as

$$
\begin{align*}
& \mathbf{A}_{j}^{n} \overline{\mathbf{u}}_{j}^{n+1}=\mathbf{G}_{j}^{n}-g \Delta t \frac{\eta_{r(j)}^{n+\theta}-\eta_{\ell(j)}^{n+\theta}}{\delta_{j}} \overline{\mathbf{a}}_{j}^{n}  \tag{18}\\
& \tilde{\mathbf{u}}_{j}^{n+1}=-\mathbf{K}_{j}^{n} \frac{\eta_{r(j)}^{n+1}-\eta_{\ell(j)}^{n+1}}{\delta_{j}}  \tag{19}\\
& V_{i}\left(\eta_{i}^{n+1}\right)=V_{i}\left(\eta_{i}^{n}\right)-\Delta t \sum_{j \in S_{i}} \sigma_{i, j}\left[\left(\overline{\mathbf{a}}^{\top}\right)_{j}^{n} \overline{\mathbf{u}}_{j}^{n+\theta}+\left(\tilde{\mathbf{a}}^{\top}\right)_{j}^{n} \tilde{\mathbf{u}}_{j}^{n+\theta}\right] \tag{20}
\end{align*}
$$

where $\mathbf{A}_{j}^{n}$, is a symmetric, positive definite, tridiagonal matrix that includes bottom friction and vertical viscosity terms; $\overline{\mathbf{u}}_{j}^{n+1}$ and $\tilde{\mathbf{u}}_{j}^{n+1}$ are vectors containing the unknown surface velocities $\bar{u}_{j, k}^{n+1}$ and the subsurface velocities $\tilde{u}_{j, k}^{n+1}$, respectively; $\overline{\mathbf{a}}_{j, k}^{n}$ and $\tilde{\mathbf{a}}_{j}^{n}$ are vectors whose entries are the vertical face areas $\bar{a}_{j, k}^{n}$ and $\tilde{a}_{j, k}^{n}$, respectively; and $\mathbf{G}_{j}^{n}$ is a vector containing the known explicit terms in Equation (13).

Formal substitution of $\overline{\mathbf{u}}_{j}^{n+1}$ and $\tilde{\mathbf{u}}_{j}^{n+1}$ from (18) and (19) into (20) yields the following discrete free-surface equation

$$
\begin{equation*}
V_{i}\left(\eta_{i}^{n+1}\right)-\theta \Delta t \sum_{j \in S_{i}}\left\{\left[g \theta \Delta t\left(\overline{\mathbf{a}}^{\top} \mathbf{A}^{-1} \overline{\mathbf{a}}\right)_{j}^{n}+\left(\tilde{\mathbf{a}}^{\top} \mathbf{K}\right)_{j}^{n}\right] \frac{\eta_{\wp(i, j)}^{n+1}-\eta_{i}^{n+1}}{\delta_{j}}\right\}=b_{i}^{n} \tag{21}
\end{equation*}
$$

where $b_{i}^{n}$ is given by

$$
b_{i}^{n}=V_{i}\left(\eta_{i}^{n}\right)-\Delta t \sum_{j \in S_{i}} \sigma_{i, j}\left[(1-\theta)\left(\overline{\mathbf{a}}^{\top} \mathbf{u}+\tilde{\mathbf{a}}^{\top} \tilde{\mathbf{u}}\right)_{j}^{n}+\theta\left(\overline{\mathbf{a}}^{\top} \mathbf{A}^{-1} \mathbf{G}\right)_{j}^{n}\right]
$$

Equations (21) can be assembled into a sparse, mildly nonlinear system of $N_{p}$ equations for $\eta_{i}^{n+1}$. This system has a symmetric, and at least positive semi-definite linear part. Thus, in absence of ceiling $(c \equiv \infty)$, if the porosity function $\epsilon(x, y, z)$ is a non decreasing function of the vertical coordinate $z$ for all $(x, y) \in \Omega$, then the mildly nonlinear system of Equations (21) can be efficiently solved to machine accuracy by a Newton type method with quadratic convergence rate $[11,18]$.

In general, however, the volume functions $V_{i}(\eta)$ are neither concave nor convex (see Figure 3). Consequently a direct application of the Newton method to system (21) may fail to converge unless the initial guess is sufficiently close to the unknown solution [13, 14, 19]. For this reason a nested Newton type method described in Reference [15] is better suited because fast convergence can
be assured under rather general assumptions on the soil properties and geometric details (see also $[13,14,19,20,21])$.

Once the new free-surface location has been determined, Equations (18) constitute a set of $N_{s}$ linear, tridiagonal systems with at most $N_{z}$ equations each. All these systems are independent from each other, symmetric and positive definite. Thus, they can be conveniently solved by a direct method to obtain the horizontal surface velocities $\bar{u}^{n+1}$. The discrete subsurface velocities $\tilde{u}^{n+1}$ are readily determined from Equations (19).

Finally, now that the new horizontal velocities have been computed throughout, the new vertical component of the velocity can be diagnostically determined from the discrete incompressibility condition (15). This equation, in fact, can be rearranged to yield the following recursive relation for the face averaged vertical velocities in each water column

$$
\begin{align*}
w_{i, m-\frac{1}{2}}^{n+1} & =0 \\
w_{i, k+\frac{1}{2}}^{n+1} & =\frac{a_{i, k-\frac{1}{2}}^{n} w_{i, k-\frac{1}{2}}^{n+1}-\sum_{j \in S_{i}} \sigma_{i, j} a_{j, k}^{n} u_{j, k}^{n+1}}{a_{i, k+\frac{1}{2}}^{n}}, \quad k=m_{i}, m_{i}+1, \ldots, M_{i}^{n}-1 \tag{22}
\end{align*}
$$

In summary, assuming the knowledge of $\bar{u}^{n}, \tilde{u}^{n}, w^{n}$ and $\eta^{n}$ from the previous time level $t_{n}$, each time step is advanced by preliminarily determining the wet lengths $\lambda^{n}$ from (8) and the wet areas $\bar{a}^{n}, \tilde{a}^{n}$ and $a^{n}$ from (9), (10) and (12), respectively; then the mildly nonlinear system (21) is solved iteratively to obtain simultaneously the new water level $\eta^{n+1}$ and the corresponding fluid volumes $V\left(\eta^{n+1}\right)$; next, the new horizontal velocities $\bar{u}^{n+1}$ are obtained as solution of the $N_{s}$ linear tridiagonal Systems (18); the discrete subsurface velocities $\tilde{u}^{n+1}$ are readily determined from Equation (19); and, finally, the new vertical velocities $w^{n+1}$ are diagnostically determined from Equation (22).

## 6. HINTS AND REMARKS

It can be shown that the stability of the semi-implicit method (13)-(16) remains independent of the celerity, friction and vertical viscosity provided that $\frac{1}{2} \leq \theta \leq 1$ (see [9]). The stability does depend

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on the discretization of the advection and horizontal viscosity terms. In other words, when $F$ is stable and $\frac{1}{2} \leq \theta \leq 1$, then the resulting discrete model (13)-(16) is also stable.

It should be noted that, the size and the structure of the mildly nonlinear system (21) is independent from the vertical resolution and from the prescribed subgrid details. Subgrid, and vertical resolution affect the assembly of Equation (21) and the number of equations for horizontal and vertical velocities. Moreover, since a major part of the computational effort is required to determine the free-surface elevation from Equations (21), a detailed subgrid data and a fine vertical resolution can be adopted with an acceptable increase of the corresponding computational effort.

On the other hand, if only one vertical layer is specified ( $N_{z}=1$ ), then equations (13)-(16) simplify to a consistent approximation for the coupled surface-subsurface model governed by the two-dimensional shallow water equations for the surface flow, and by the two-dimensional Boussinesq equations for the subsurface flow. A one-dimensional model with arbitrarily shaped cross sections is also obtained from the present formulation by further arranging the unstructured orthogonal grid in such a fashion that each polygon $\Omega_{i}$ has at the most 2 neighbors.

Additionally, if/where the soil level coincides with the impervious bottom $(s(x, y)=h(x, y))$, then only surface flow is allowed and can be simulated by the present method as a particular case. This case was reported in Reference [12]. Similarly, if/where the soil level coincides with the ceiling $(s(x, y)=-c(x, y))$, then only subsurface flow is allowed and can be simulated by the present method as another particular case (see [15]).

More importantly, when this method is applied to a complex flow region which includes extended shallow areas, deep and shallow tributaries, then each section of the region gets its own correct representation without any special treatment at the interfaces.

The proposed method, whose general formulation has been presented above in its simplest form, can be further modified in many ways to simulate a variety of specific free-surface problems. As an example, sources and/or sinks can be included by simply allowing a nonzero right hand side to the continuity Equations (6)-(7). Also, additional forces such as Coriolis or baroclinic pressure can be considered by including appropriate terms to the velocity Equations (1)-(2) and (4)-(5). This implies
a reformulation of the term $F u_{j}^{n}$ in (13), hence a modified right hand side of System (21) will result. An additional advection diffusion equation may also be included to model the transport of scalar variables such as salinity, water temperature and/or pollutants.

## 7. NUMERICAL TESTS

The accuracy, the efficiency and the robustness of the proposed method for simulating surface flow problems within a subgrid environment has been already documented in the recent literature $[11,12,13,22,23]$ and will not be duplicated here. Also, the applicability of this method to simulate saturated-unsaturated flows in confined-unconfined aquifers has been shown in $[15,18,14,19]$.

In this section the applicability the above method is briefly shown on a few illustrative test cases where the interaction of surface and subsurface flows plays an important role. Specifically, the first test case concerns a one-dimensional flow and water exchange between a tidal basin and an adjacent lagoon through an interposed sandy embankment. In a second test, the overland two-dimensional flow resulting from an intense and long lasting rainfall on a V-shaped catchment basin is simulated with and without soil absorbtion. Finally, a three-dimensional computation of a dam break flow illustrates the inundation of 'porous' building located along the flood plain.

The proposed method has been implemented in a single, general purpose computer code which includes options for scalar transport, for baroclinic and for non hydrostatic pressure. The specific momentum advection operator that is used for these test cases is an Eulerian-Lagrangian extension of the explicit conservative scheme discussed in References [17, 20]. Moreover, the bottom friction coefficient adopted in these specific tests is assumed to be $\gamma=g \frac{\sqrt{\left(u^{*}\right)^{2}+\left(v^{*}\right)^{2}}}{C_{z}^{2}}$, where $C_{z}$ is the Chezy's bed roughness coefficient.

In every run the system of free-surface Equations (21) is solved to machine accuracy in order to obtain a precise mass conservation. In all tests the qualitative aspects of the computed results will be emphasized. All calculations have been performed on a laptop with an Intel i7 CPU having 2.80 GHz clock frequency and $6 G B$ of RAM.


Figure 4. Low tide, high tide, and tidal fluctuation computed at both sides of the sandy embankment

### 7.1. Flow in a tidal lagoon

In this test the flow and water exchange between an idealized tidal basin and an adjacent lagoon is simulated. The tidal basin and the lagoon are separated by a trapezoidal sandy embankment characterized by a constant porosity $\epsilon=0.3$ and hydraulic conductivity $\mathcal{K}=0.01 \mathrm{~m} / \mathrm{s}$ (see Figure 4). For the surface flow the horizontal viscosity is neglected $\left(\nu^{h}=0\right)$ and the Chezy's bed roughness coefficient is taken to be $C_{z}=50 \mathrm{~m} / \mathrm{s}$.

Observations and measurements of an experimental two-dimensional groundwater transport through the sand embankment between the wetland and the coastal area have been reported in Reference [24]). Here, for simplicity, only a (one-dimensional) longitudinal section of the model is considered.

The one-dimensional horizontal flow region $\Omega=[-2.64,2.64]$ has a total length $L=5.28 \mathrm{~m}$. This region is covered with $N_{p}=264$ uniform segments $\Omega_{i}$ of length $\lambda_{j}=\delta_{j}=2 \mathrm{~cm}$, and the implicitness parameter is set to $\theta=0.6$. A constant depth is set to $h(x)=0$; the trapezoidal sandy embankment is prescribed by specifying $s(x)=\min \left[0, \max \left(-0.33, \frac{1}{2}|x-1.04|-0.35\right)\right]$; and the ceiling is neglected $(c(x)=\infty)$.

At the initial time $t_{0}=0$ the flow is at rest and the prescribed initial water level is set to $\eta\left(x, t_{0}\right)=0.274 m$ everywhere. Then a no flow boundary condition is prescribed at the right boundary $\left(u\left(\frac{L}{2}, t\right)=0\right)$, and a periodic (tidal) boundary condition on the free-surface elevation is prescribed at the left boundary as $\eta\left(-\frac{L}{2}, t\right)=0.214+a \cos (2 \pi t / T)$, where $T=355 s$ is the tidal period and $a=0.06 m$ is the tidal amplitude. The simulation is carried on for 10 tidal cycles with a time step size $\Delta t=5 \mathrm{~s}$. The elapsed computing time to complete the entire simulation is only $T_{c p u}=6 s$.

Figure 4 shows the water level at low and at high tide. This figure also shows the time series for the water level relative to the mean sea level in the tidal basin and in the lagoon, respectively. The water surface oscillation in the lagoon has a near $90^{\circ}$ phase lag compared with the tidal oscillation, and the average water level in the lagoon is higher than that in the tidal basin. These results are in good agreement with the measurements, and with previously computed results [2, 4, 24, 25]).

### 7.2. Overland flow over a $V$-shaped catchment

In this test the overland flow resulting from an intense and long lasting rainfall is simulated and compared with and without soil absorbtion, see References [26, 27]. The spatial V-shaped domain includes an overland region, a channel and a subsurface region characterized by constant porosity $\epsilon=0.1$ and hydraulic conductivity $\mathcal{K}=5 \times 10^{-5} \mathrm{~m} / \mathrm{s}$. For the surface flow the horizontal viscosity is neglected $\left(\nu^{h}=0\right)$ and the Chezy's bed roughness coefficient is taken to be $C_{z}=10 \mathrm{~m} / \mathrm{s}$.

The flow domain $\Omega$ consists of a $1000 m \times 1620 m$ slope which includes a 1000 m long channel of width $D=20 \mathrm{~m}$. The depth of the channel has a slope $s_{c}=0.02$ and varies from 0 m at the upstream end to 20 m at the downstream end, whereas the surface slope is $s_{s}=0.05$ in the



























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Figure 6. Three-dimensional dam break inundation
layer because in this case the subsurface region is larger permitting a larger water storage. The receding limb shows a distinct break between the overland runoff component and the baseflow component which continues for a longer period at a slower and more gradually declining rate. The elapsed computing times to complete the three simulations are $T_{c p u}=128 \mathrm{~s}, T_{c p u}=139 \mathrm{~s}$, and $T_{\text {cpu }}=144 \mathrm{~s}$, respectively.

### 7.3. Three-dimensional dam break inundation

In this last test the inundation of a flood plain after a dam break is simulated. The flow domain $\Omega$ is a rectangle of length $L=400 \mathrm{~m}$ and width $D=200 \mathrm{~m}$ partially occupied by a reservoir at the lefthand side. At the right-hand side of the reservoir a 20 m wide gate, placed symmetrically along the center line in the longitudinal direction, connects the reservoir with the flood plain, which is open only at the right-hand side. Additionally, a square building of side 12 m is centrally located in $\Omega$ (see Figure 6). This building is assumed as a porous material with a porosity $\epsilon=0.8$ and hydraulic conductivity $\mathcal{K}=50 \mathrm{~m} / \mathrm{s}$ [2]. For the surface flow the horizontal viscosity is neglected $\left(\nu^{h}=0\right)$, the vertical viscosity is set to $\nu^{v}=10^{-3} \mathrm{~m}^{2} / \mathrm{s}$, and the Chezy's bed roughness coefficient is taken to be $C_{z}=50 \mathrm{~m} / \mathrm{s}$. Initially the water depth in the reservoir is 2 m and the floodplain is dry.

Numerically, the horizontal domain $\Omega$ is covered with a uniform horizontal grid having grid size $\lambda_{j}=\delta_{j}=2 m$ and resulting in $N_{p}=20000$ control volumes for each vertical layer. Additionally,
the vertical dimension of height $H=2 m$ is discretized with 20 vertical layers having uniform thickness $\Delta z_{k}=0.1 \mathrm{~m}$, and the implicitness parameter is set to $\theta=1$. At the initial time $t_{0}=0$ the gate is instantaneously removed and the dry region, including the building, gets flooded.

This simulation is carried out for 800 time steps using a time step size $\Delta t=0.25 \mathrm{~s}$ until a final time $T=200 s$ is reached. The elapsed computing time to complete the entire simulation is $T_{c p u}=456 s$. Figure 6 shows the computed water levels at $t=140 \mathrm{~s}$. These results are in good agreement with the expectation and with previously computed results [2].

## 8. CONCLUSIONS

The governing differential equations for velocities are derived from the Navier-Stokes equations and from the Darcy's law under the assumption that the flow is prevalently horizontal so that the hydrostatic approximation is valid. A conservative form of the free-surface equation expresses the time rate of the water level in terms of both, the surface, and subsurface horizontal integral fluxes.

Numerically, an unstructured orthogonal grid, possibly including subgrid details, is chosen in such a fashion that entire flow domain is represented with the desired spatial resolution. Then an implicit finite difference approximation of the Darcy's laws provides a linear relationship between the horizontal subsurface velocities and the unknown water levels. Likewise, an appropriate semiimplicit finite difference approximation of the momentum equations provides a linear relationship between the horizontal surface velocities and the unknown water levels. A linear implicit finite volume approximation of the incompressibility condition relates the unknown horizontal velocities to the discrete vertical velocities in both, the surface and in the subsurface flow domain. Finally, the free-surface equation is approximated by a conservative semi-implicit method expressing the new water volumes in terms of the total horizontal fluxes over the entire water column.

From the computational point of view, a formal substitution of the unknown velocities into the discrete free-surface equation leads to a well posed mildly non linear system where the new freesurface elevations are the only unknowns. This reduced system can be solved iteratively and yields, simultaneously, the new free-surface location and the corresponding fluid volume on each water
column. Once the new free surface is known, the horizontal velocities are readily computed from the discrete velocity equations and, finally, the new vertical component of the velocity is recovered recursively from the discrete incompressibility condition.

The resulting method is extremely efficient, mass conservative, and the time step size is not restricted by a stability conditions dictated by surface wave speed, wall friction or vertical viscosity. Moreover, for any time step size the computed fluid volumes are assured to be everywhere nonnegative and wetting and drying for both, the surface and the subsurface flow regions, is naturally obtained at subgrid level. A few computational examples show the applicability of the proposed method to a variety of surface-subsurface flow problems.

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