Bucciarelli A., Pal R.K., Maniglio D., Quaranta A., Mulloni V., Motta A., Yadavalli V.K. Fabrication of Nanoscale Patternable Films of Silk Fibroin Using Benign Solvents, Macromolecular Materials and Engineering, 302 (7), 1700110, 2017 10.1002/mame.201700110

1	Fabrication of nanoscale patternable films of silk fibroin using benign solvents
2	
3	Alessio Bucciarelli, ^a Ramendra K. Pal, ^b Devid Maniglio, ^a Alberto Quaranta, ^a Viviana Mulloni, ^c
4	Antonella Motta, ^a Vamsi K. Yadavalli ^{b,†}
5	
6	^a Department of Industrial Engineering, University of Trento, Via Sommarive 9, 38123-Povo (TN),
7	Italy.
8	^b Department of Chemical and Life Science, Virginia Commonwealth University, 601 West Main
9	St., Richmond, Virginia 23284-3028, USA.
10	^c MicroSystems Technology Research Unit, Fondazione Bruno Kessler, Via Sommarive 18,
11	38123-Povo (TN).
12	
13	† Corresponding author E-mail: vyadavalli@vcu.edu
14	

15 Abstract

16

The silk protein fibroin is a wondrous biopolymer widely used to form structures that interface 17 18 with biological entities. In addition to tissue scaffolds, sponges, and films, biochemically modified fibroins can be used in conjunction with techniques such as photolithography and soft lithography 19 to expand their repertoire for micro and nano-fabricated systems. To date, the use of hexafluoro-20 2-isopropanol (HFIP) has been prevalent as a solvent for fibroin and fibroin "resists". However, 21 high volatility, toxicity, cost, and need for specialized disposal, render the necessity for alternative 22 solvents. In addition, for many applications such as in optics and bioelectronics, smooth, thin (~100 23 nm and below) fibroin films are a prerequisite, which are not easily achieved using HFIP. Here, 24 we present the use of formic acid (FA) as a sustainable solvent for silk fibroin and fibroin "resist" 25 materials, specifically for micro and nanoscale applications. We demonstrate the reproducible 26 formation and characterization of stable thin films of high homogeneity, smoothness and optical 27 transparency. Critically, these films can then be used for high-resolution photopatterning of 28 29 proteins using benchtop lithographic techniques. The present study indicates that FA is a relatively benign and more optimal solvent than HFIP for forming smooth, fibroin thin films, and microscale 30 architectures for the fabrication of next generation silk-based optical devices. 31

- 32
- 33

34 Keywords: Silk fibroin, photolithography, thin films, microfabrication, green solvent

35

37 **1. Introduction**

38

Over the last two decades, the protein fibroin from silkworms has attracted the attention of the 39 materials science community due to its remarkable intrinsic properties. Silk fibroin can be easily 40 processed to obtain a wide range of morphologies including micro-particles, micro-needles, 41 hydrogels, mats, membranes, sponges, and films.¹⁻⁵ According to their desired application, the 42 obtained materials can be tuned to display remarkable optical, mechanical, and biological 43 properties. For these reasons, silk fibroin has become one of the most widely used materials for 44 the development of biocompatible scaffolds and devices.⁶⁻¹² In addition to macroscale structures, 45 the possibility of creating precise patterns at the micro and nanoscales has opened up fundamental 46 and applied applications in optical devices and bioelectronics.¹³ For example, nanopatterns of 47 fibroin can ensure the interaction with light via features on the same order of magnitude as the 48 wavelength of light.¹⁴ Different techniques have been used in order to obtain such 49 micro/nanometer scale silk fibroin architectures. These include nanoimprinting,¹⁴ soft 50 lithography,¹⁵ silk transfer applied micropatterning (STAMP),¹⁶ electron-beam lithography 51 (EBL),¹⁷ breath figures,¹⁸ laser ablation,¹⁹ and photolithography.^{20, 21} However, the formation of 52 engineered structures of fibroin with controllable dimensions across multiple length scales, in a 53 high throughput, and environmentally friendly manner continues to be an ongoing challenge. 54

55

Photolithography is a highly efficient and cost-effective technique of choice to etch patterns on flat substrates at high resolution and speed. Using photolithography, silk features with a resolution of 50 µm were demonstrated using riboflavin as a photoinitiator in a water-based fibroin solution.²⁰ Feature resolution closer to 1 µm was obtained by biochemically modifying regenerated fibroin to obtain a photo-crosslinkable fibroin conjugate, which was patterned using UV exposure on a

fibroin film through a photomask.²¹ Fibroin films produced by solvent casting solutions have a β-61 sheet conformation with short range ordered structure,²²⁻²⁴ responsible for superior physical 62 properties of regenerated fibroin.²⁵ In most reports to date, both fibroin itself, and the fibroin resist 63 are noted to be insoluble in water. While regenerated silk fibroin (rSF) can be used to form aqueous 64 solutions,²⁶ it is difficult to achieve highly concentrated and stable aqueous solutions owing to self-65 assembly induced gelation.²⁷ Consequently, high resolution processing involving fibroin typically 66 necessitates the use of hexafluoroisopropanol (HFIP) as an organic solvent. However, HFIP is not 67 an optimal solvent owing to its acute oral, dermal and inhalation toxicity, high cost and need for 68 careful disposal.²⁸ As a fluorinated alcohol, HFIP needs to be handled using the same disposal 69 protocols as pesticides. Further, its high volatility makes it difficult to work with spin coating 70 processes, and to obtain a uniform film thickness. 71

72

The use of alternative ("green") solvents for fibroin processing is therefore of great interest, 73 74 principally for forming stable films, and for micro and nanopatterning. Formic acid (FA) is the simplest organic acid that is also environmentally friendly and easily disposed. While it needs to 75 be handled with similar care, the vapor pressure at 20° C (4.67 kPa) is much lower than that of 76 77 HFIP (16 kPa), ensuring a slower evaporation. This allows use of this solvent in film deposition that require maintaining a liquid phase for longer times. FA has been previously used alone, or in 78 combination with salt for fibroin dissolution.²⁹ However to date, no systematic studies have been 79 80 conducted regarding the possibility of using FA as a solvent for photolithography, and specifically, 81 their use in forming nanoscale films, and micro and nanoscale structures.

82

83 In this work, we report on the use of FA as a suitable solvent to form nanoscale films of fibroin as

well as high resolution structures. While thicker (several µm) films of fibroin may be formed using 84 casting,³⁰ producing thin (100-1000 nm) and ultrathin (1-100 nm) films is challenging.³¹ In 85 86 microelectronics applications involving photoresists, spin coating is a well-known method to produce films with uniform and controlled thickness.³² For instance, spin coating of fibroin in an 87 ionic liquid was used to form a film with thickness of 480±30nm and surface roughness of 19 nm. 88 A thickness in the range of 10-80 nm was obtained using a spin assisted layer-by-layer process 89 (SA-LbL).^{31, 33} Calibration curves for aqueous fibroin solutions showed the dependence between 90 film thickness and spin process parameters (concentration, rpm, time).¹³ Most recently, a self-91 standing membrane of silk nanofibrils with a thickness down to 40 nm was reported.³⁴ However, 92 these techniques lack the possibility of creating complex patterns/structures following film 93 formation. 94

95

Herein, a fibroin protein photoresist is used in combination with spin coating to obtain uniform 96 97 and stable nanoscale films. Using photolithography, patterning on nanoscale films is demonstrated to obtain precise, high resolution, microscale architectures. We present characterization of the 98 films along with a comparison between films obtained with FA vs. those using HFIP as a solvent. 99 100 Both solvents result in similar film morphology, roughness and mechanical properties at the nanoscale as observed using atomic force microscopy. However, using spin-coating, FA can form 101 102 more stable and uniform films with controllable thickness in comparison to HFIP. Given the low 103 cost and environmentally friendly nature of FA, we propose the use of this solvent for high tech 104 applications in using fibroin for optical and bioelectronics applications.

105

106 2. Experimental Section

108 2.1. Synthesis of fibroin photocrosslinkable photoresist

Fibroin extraction from the silk worm (Bombyx mori) cocoon was conducted following established 109 protocols.²⁶ The photocrosslinkable fibroin "photoresist" was synthesized using the method 110 previously described.²¹ Briefly, fibroin protein was completely solubilized in 1M solution of LiCl 111 (Sigma Aldrich) in DMSO (99.9%, Fisher Scientific). After the addition of a stoichiometric 112 quantity of 2-isocyanoethyl methacrylate (IEM, Sigma Aldrich), the solution was maintained 113 under stirring for 5 hours at 60° C to allow the conjugation reaction. The entire procedure was 114 conducted in an anhydrous environment under continuous nitrogen flux. The solution was poured 115 into an excess of cold ethanol in order to precipitate the fibroin with methacrylate conjugated side 116 groups. The collected methacrylate protein was washed and centrifuged three times in a mixture 117 of 50% cold 200 proof ethanol (Koptec) and 50% acetone (Alfa Aesar). The final product (fibroin 118 protein photoresist (FPP)) was obtained after 48 hours of lyophilisation. In this work, the terms 119 FPP, fibroin, and photo-fibroin are used interchangeably as referring to the same material.²¹ 120

121

122 **2.2.** Surface treatment and functionalization

Fibroin architectures were formed on silicon and glass substrates. To obtain a covalent adhesion 123 of the protein to the surface, functionalization with acrylate groups was required. A bath of piranha 124 solution (3 parts 98% H₂SO₄:1 part 30% H₂O₂ (v/v)) was used to clean the substrates for 30 125 minutes in ambient condition (*Caution: Piranha solution is highly corrosive and reacts violently* 126 with organic matter). The functionalization with 3-(trichlorosilyl) propyl methacrylate (TPM, 127 Sigma Aldrich) was conducted using a chemical vapor deposition in a vacuum dryer for 12 hours 128 at 0.4 bars. Surfaces were subsequently washed with methanol (Fisher Scientific) and water in 129 130 order to remove any excess TPM.

132 **2.3. Film fabrication**

Films were prepared starting from a 5% (w/v) solution of FPP in formic acid (FA, Acros Organics 133 98%) or in 1,1,1,3,3,3-hexafluoro-2-propanol (HFIP, Oakwood Chemicals). The samples were 134 prepared by casting the solutions on silicon substrates or using a spin coating process (SPS spin 135 150). An angular velocity ranging from 1000-4000 rpm was used (maintaining the other condition 136 fixed such as angular acceleration: 200 rpm/s², duration: 60 s). To produce thinner films suitable 137 for ellipsometric measurement (thickness and refractive index), the concentration was reduced to 138 1.5% and 1.2% w/v. In this case, the spin coating process (2000 rpm, 100 rpm/s², 60 s) was 139 conducted on TPM treated silicon surface. 140

141

142 **2.4. Photolithographic process**

A microscale test pattern was fabricated using contact lithography. A photoinitiator (Irgacure 143 2959, BASF) 1.6% (w/v) was added to the FPP/FA 5% solution and mixed. Spin coating was 144 145 conducted on functionalized silicon or glass surfaces. The obtained films were stored for a few hours in a fume hood to achieve complete evaporation of the solvent. Films were also fabricated 146 via an accelerated drying process using a hot plate at 50° C with a temperature ramp of 10° C/15 147 minutes to room temperature (1 hour to complete the process). The cooling ramp allows rapid 148 formation of a dry film without temperature-induced shrinkage. The obtained films were exposed 149 through a photomask for 2 seconds at 2 mW/cm² at 365 nm (Lumen Dynamics OmniCure 1000), 150 developed in a 1M DMSO/LiCl solution for 2 hours, and washed with a large amount of water. 151

152 **2.5. Film Characterization**

Thickness profiles were measured using a Veeco Dektak 150 Stylus Profilometer, with the mean and standard deviation calculated over different areas on each film. Asylum MFP-3D atomic force microscope (AFM) and Zygo New View 6300 optical profilometer were used to analyze film

morphology and surface roughness. Imaging was conducted using a Nikon Eclipse LV100D 156 optical microscope and a JEOL LV-5610 SEM instrument. The measurement of thickness for the 157 158 thinner films was obtained using a SENTECH SE800 Spectroscopic Ellipsometer. Refractive index was obtained by fitting two contemporary spectra at 60° and 70° using a Cauchy absorbance 159 model (Horiba UVISEL 460). Transmittance and absorbance measurements were conducted using 160 a JASCO VR-570 UV-Vis spectrophotometer. Secondary structures were determined by Fourier 161 transform infrared spectroscopy (FTIR) in attenuated total reflectance mode (ATR) (Perkin-Elmer 162 Spectrum One spectrophotometer equipped with Zinc Selenide crystal). High resolution spectra 163 were obtained at one point/cm⁻¹, averaging 8 spectra for each sample to reduce the noise. Thermal 164 analysis was conducted in a range of 30-330° C using a differential scanning calorimeter (Mettler-165 Toledo DSC 20) in nitrogen atmosphere with a heating rate of 10° C/min. Thermal gravimetric 166 analysis (TGA) (Mettler-Toledo TG50 thermobalance) was conducted over 35-450° C in nitrogen 167 atmosphere with a heating rate of 10° K/min. 168

169

170 **3. Results and Discussion**

171

Thin films are of outstanding interest for applications such as electronics, development of MEMS 172 components, microfluidic devices, and substrate coatings. While films may be formed by solvent 173 casting, one of the most scalable and easy techniques to form uniform films on substrates is via 174 spin coating. This process is widely used for commercial photoresists, and has been extensively 175 used for the deposition of aqueous solutions of fibroin (e.g., regenerated silk fibroin (rSF) 176 solubilized using LiBr).²⁶ However, this has not been suitable for forming thin (100-1000 nm) and 177 ultrathin (1-100 nm) silk films. Aqueous solutions of fibroin are not particularly suitable for spin 178 coating, or high resolution patterning applications at the micro and nanoscales. For optical 179

applications, thin films of optical quality with minimal defects are highly desired. In such cases, 180 HFIP as solvent has been widely used. However, HFIP comes with a host of issues that make the 181 182 development of alternative solvents very attractive. The choice of the solvent is further fundamental to the process of spin coating itself, as it affects the viscosity of the film and coating 183 parameters. In this study, we show how photocrosslinkable fibroin using FA as the solvent, 184 coupled with the technique of spin coating allows the formation of films of controllable thickness. 185 The films are formed with excellent surface qualities. The possibility of forming precise patterns 186 and structures can extend the use of this system over the common use of photoresists or regular 187 (unmodified) fibroin (viz. rSF) for various applications. 188

189

190 **3.1. Spin coating of nanoscale films**

191 FA and HFIP solutions of photo-fibroin at the same concentration (5% w/v) were used to produce films via spin coating using identical process conditions. To observe the effect of chuck speed on 192 film thickness, the maximum achieved rotations per minute (RPM) were set to 1000, 1500, 2000, 193 194 3000, 4000 with an angular acceleration of 200 rpm/s^2 for 60 seconds. On each film six thickness values were measured at different locations to obtain a mean and standard deviation. The results 195 are shown in Figure 1. As may be observed, the use of FA as a solvent formed thinner and more 196 homogeneous films in comparison to the films produced under the same conditions using HFIP as 197 solvent (Figure 1a). The mean thickness of HFIP films are typically 2-4 times the thickness of FA 198 films formed under the same conditions (Figure 1a). The uniformity of film is proportional to the 199 mean thickness standard deviation (Figure 1b). This measure was calculated to quantitatively 200 determine the variance of height across different films prepared under similar conditions. The 201 202 higher standard deviation using HFIP shows that that the reproducibility of film thickness with FA was higher. At ~3000 rpm, thin films (0.54 \pm 0.02 µm thickness) were formed with a very low 203

deviation. To form thinner films, the concentration of the solution was reduced. Using a concentration of 1.5% w/v in FA, we obtained films with a thickness of ~172 nm, and using a concentration of 1.2% w/v, a thickness of ~115 nm could be consistently obtained at process parameters of 2000 rpm (max speed), 100 rpm/s, 30 s (angular acceleration). The film thickness was confirmed via ellipsometry (**Figure 1c, d**).

209

210 **3.2.** Optical characterization of nanoscale films

Films using FA tend to have a higher uniformity of thickness regardless of the spin coating 211 conditions. We may hypothesize that this effect is related to the lower evaporation rate of the 212 solvent, which allows a better control over the spin coating process and a longer time for achieving 213 a stable configuration. The uniformity of the FA films can be clearly noticed comparing the films 214 215 made by the two different solvents (Figure 2a). Indeed, the standard deviation for the HFIP films is on the order of hundreds of nanometers so that the film features are large enough to be seen by 216 the naked eye (Figure 2b). In contrast, thin, optical grade films of fibroin can therefore be easily 217 obtained using spin casting process in combination with a solution in FA with a low concentration. 218

219

The transmittance of the films was measured using a UV-Vis spectrophotometer (Figure 2c). Over 220 the visible range (400nm-700nm), FA fibroin films tend to have flat spectra with transmittance 221 around 90%. In comparison, the films made with HFIP are not flat and their transmittance 222 monotonically decreases from 68% (700 nm) to 45% (400 nm). Absorbance spectra show the UV 223 absorption edge of both films, lying around 300 nm. No other bands indicating yellowing o 224 oxidation processes are present. The flat and high transmittance spectra ensure that fibroin films 225 226 made by FA are transparent and colorless. In contrast, the lower transmittance spectra of the HFIP films is indicative of low transparency due to the scattering from the surface roughness evidenced 227

by the profilometry measurements discussed belowThe observed high transmittance over the visible spectrum represents a potential issue in optical applications, where the light needs to be transmitted inside the material. So, formic acid is preferable over HFIP as a solvent of choice for fibroin for optical applications such as low loss waveguides.

232

Interestingly, the high optical quality of these films allowed ellipsometric analysis, which is quite 233 unusual in case of protein materials due the their typical optical inhomogeneity. Solutions of 234 fibroin in FA tend to have low turbidity even in comparison to solutions in water.²³Since the 235 electrical repulsion of the positively charged fibroin molecules in acid solution prevents 236 coagulation. On the other hand, fibroin molecules tend to aggregate in water due to hydrophobic 237 interactions.²³ Indeed, the hydrodynamic radius (R_H) calculated using Dynamic Light Scattering 238 (DLS) shows an average radius of 19 nm in FA and 139 nm in water.²⁴ The refractive index (RI) 239 of FA films was investigated. The value is observed to increase monotonically from 1.55 (900 nm) 240 241 to 1.58 (450 nm). The slightly higher value of RI at 630 nm (1.56) than the value reported in literature for films of rSF (1.54) is likely due to the introduction of methacrylate groups as 242 discussed above.^{35, 36} These results suggest that FA is a better choice for optical applications 243 244

245 **3.3. Film characterization using AFM morphology and profilometry**

The films were characterized using various techniques to study the surface properties at the nanoscale. A comparison between film morphologies using atomic force microscopy (AFM) shows no significant difference between films made by HFIP and FA at the nanoscale (**Figure 3**). The root mean square (RMS) roughness in both case is ~5 nm over a 25 μ m² area. Nanoscale holes are present in both sets of films as previously observed on rSF.³⁷ What is also interesting is that a similar nanoscale morphology appears regardless of the method of film fabrication – casting vs. spin coating. In these experiments, cast films were typically thick (on the order of several μ m).

253

On the other hand, over larger areas, FA films are observed to be flatter than HFIP films. Optical 254 profilometry over a rectangular surface of 1.424 mm² shows a roughness of 57 nm for FA films in 255 comparison to 327 nm for HFIP films (Figure 4a, b). This seeming discrepancy in roughness 256 values in comparing small areas (25 μ m²) to larger areas (5 mm) may be easily understood by the 257 \sim 5 orders of magnitude difference from the micro to the millimeter scale. A similar result is 258 obtained by line profilometry (Figure 4c) with roughness value of 13 nm for FA films and 179 nm 259 for HFIP films. Both methods validate the lower roughness and more uniform nature of films made 260 by FA solution, which further ensures low surface scattering. The lower roughness of FA films at 261 the microscale can be explained considering the effect of the carboxyl group on the fibroin 262 conformation and the lower volatility of FA.²⁴ 263

264

265 **3.4. Secondary structure characterization**

The primary amide peak was used to evaluate the secondary structure content in FPP films made 266 using each solvent. Peaks related to the different secondary structures ^{38, 39} were fit inside the 267 primary amine peak and, another peak centered at 1725 cm⁻¹ was fit to account for the presence of 268 methacrylate side groups introduced due to chemical functionalization.²¹ Figure 5 shows the IR 269 spectra (black line), the fitted peaks (green line), and the fitted curve (red line). Fitting was 270 performed using Voigt peaks and minimizing the χ^2 function, to calculate the percentage of content 271 of each structure. The quantitative data is reported in **Table 1**. Per this analysis, films formed using 272 FA display a higher content of β -sheet (38.0%), and lower content of random coil (18.7%), α -273 274 helices (6.5%) and turns (16.6%) in comparison with films prepared using HFIP for which the content of each structure is 22.6%, 36.5%, 13.0% and 23.2% respectively. This is not unexpected 275

as FA has been reported to promote the formation of β -sheets via a conformational change from 276 random coils in a variety of protein systems including fibroin and keratin.^{24, 40} The greater content 277 278 of β -sheets in FA films may decrease its transparency due to the presence of crystallites inside the amorphous structure that act as scattering centres. This effect is less important than the surface 279 effect; in fact, the highest difference in the refractive index between air and the bulk material 280 respect to crystalline and amorphous part of the bulk gives a higher scattering on the surface than 281 inside the bulk.⁴¹ For these reasons, despite the higher content in ordered structure, FA films result 282 to have a higher transmittance than HFIP films. 283

284

285 **3.5. Thermal analysis**

DSC curves for both films are shown in Figure 6a. The first wide endothermic peak is present for 286 both films, and indicates the evaporation of bonded water.^{30, 39, 42} In particular, for FA this peak is 287 centred at 100°C (Δ H=35.6 J.g⁻¹) whereas for HFIP, this peak is centred at 75°C (Δ H=42.8 J.g⁻¹). 288 An exothermic peak of crystallization (160.4°C, Δ H=4.8 J.g⁻¹) is present only for HFIP, and can 289 be related to the random $coil-\beta$ -sheet transition. The lower temperature of this transition with 290 respect to earlier reported values,^{30, 39, 42} is probably related to the presence of the functionalization 291 groups that promotes the formation of β -sheet structures. Indeed, the absence of this peak in FA 292 confirms that the β -sheet formation occurs due to drying from FA solution.²⁴ The degradation peak 293 is centred at 271° C (Δ H=99.1 J.g⁻¹) with a shoulder centred at 282°C in case of HFIP, and 281° 294 C (Δ H=50.1 J.g⁻¹) in case of FA. The peak at 271° C could be related to degradation of insoluble 295 helixes, ³⁹ while the peak at 281° C to the degradation of the more stable β -sheets. The glass 296 transition temperature (T_g) is also different and can be detected at 150° C and 158° C respectively 297 for HFIP and FA. TGA curves shows an initial weight loss due to water evaporation (35-170° C 298 for HFIP, 35-135° C for FA), the higher weight loss of the sample prepared using HFIP (9% versus 299

4% of FA film) is consistent with its higher amount of amorphous phase. The evaporation of other low temperature volatile components give the second weight loss (170° C-280° C weight loss 29.0% for HFIP, 135-300° C weight loss of 19.5% for FA).³⁰ At higher temperatures the fibroin decomposition begins; the higher amount of remaining mass for FA (74%) vs. HFIP (66%) at the start of the decomposition and, the lower slope in the decomposition region (maximum slope 0.0058 mg.°C⁻¹ for FA vs. 0.0074 mg.°C⁻¹ for HFIP) collectively demonstrate the better thermal stability of films made by FA.

307

308 3.6. Micropatterning of fibroin using formic acid as a solvent

As discussed above, in this work, the biochemically modified photo-crosslinkable fibroin was used 309 for the film fabrication. As shown before by our group, this variant of fibroin is very similar in 310 properties to rSF but allows the precise patterning of microscale architectures using UV light 311 assisted photolithography,²¹ wherein the material behaves as a negative tone photoresist. However, 312 in the prior work, the patterning was conducted using HFIP as solvent (Note: because of previous 313 reports by our group showing these results,^{21, 43} the patterning is not shown here). Using FA as a 314 315 solvent does not alter the ability to form microscale architectures of similar resolution and fidelity using photolithography. Films fabricated as discussed above were exposed to 365 nm UV light 316 through a chrome photomask via contact photolithography. Following development of the films to 317 remove the un-crosslinked material, the structures are attached to the underlying substrate 318 (typically silicon or glass). Figure 7 shows the structures that can be formed via this process. 319 Microstructures with a dimension of 3-100 µm can be easily obtained over large areas with high 320 precision. As a high throughput technique, this method can be used to form large areas of precise 321 fibroin micropatterns. AFM imaging shows the high resolution lines that can be formed (Figure 322 7b). Line profiles of the patterns using AFM reveal a thickness of 100 nm, which is consistent with 323

ellipsometric measurements that gives a result of 115 nm (note that the ellipsometry values are for films). Close up of the patterns shows smooth, uniform features with a surface roughness of 8.1 nm over 5 μ m square area (**Figure 7c**). Thus, FA is a viable solvent for the process of silk lithography with high resolution architectures and controllable aspect ratios.

328

329 4. Conclusions

In summary, photocrosslinkable fibroin was successfully dissolved in FA as an alternative solvent 330 to the conventionally used solvent HFIP. This vastly reduces the cost and the environmental impact 331 of using fibroin for various applications. The lower evaporation rate allows for better control of 332 the deposition process giving rise to robust, uniform films. Films that are up to four times thinner 333 than those formed using HFIP can be fabricated. This makes the technique suitable for the 334 production of thin and ultrathin films, below 100 nm by optimizing various parameters such as 335 336 concentration of the precursor solution and spin coating speed. Morphological and optical analyses show how these films provide significant improvements in flatness and optical transparency. 337 Structural analysis reveal differences in β-sheet content of the two films and the higher thermal 338 339 stability of FA films. Importantly, due to the ability to form micropatterns with this material, highresolution patterning is demonstrated, opening the possibility of the use of silk fibroin in 340 applications that requires features with a smooth and uniform surface such as optical biosensors 341 and bioelectronics. Further studies are ongoing for the determination of the optical constants and 342 refractive indices of these new types of optical films and microstructures. 343

345 **References**

- 346 1. L.-D. Koh, Y. Cheng, C.-P. Teng, Y.-W. Khin, X.-J. Loh, S.-Y. Tee, M. Low, E. Ye, H.-
- D. Yu, Y.-W. Zhang and M.-Y. Han, *Progress in Polymer Science*, 2015, 46, 86-110.
- 2. B. Kundu, N. E. Kurland, S. Bano, C. Patra, F. B. Engel, V. K. Yadavalli and S. C. Kundu,
- 349 *Prog Polym Sci*, 2014, **39**, 251-267.
- 350 3. C. Vepari and D. L. Kaplan, *Prog Polym Sci*, 2007, **32**, 991-1007.
- 4. Q. Zhang, S. Yan and M. Li, *Materials*, 2009, **2**, 2276-2295.
- Q. Zhang, S.-Q. Yan and M.-Z. Li, *Journal of Fiber Bioengineering and Informatics*, 2010,
 3, 1-8.
- 8. K. Pal, A. A. Farghaly, M. M. Collinson, S. C. Kundu and V. K. Yadavalli, *Advanced materials*, 2016, 28, 1406-1412.
- 356 7. B. Zhu, H. Wang, W. R. Leow, Y. Cai, X. J. Loh, M. Y. Han and X. Chen, *Advanced*357 *materials*, 2016, 28, 4250-4265.
- B. D. S. Lu, Z. Z. Zheng, S. Z. Guo, C. Wang, D. L. Kaplan and X. Q. Wang, *J. Sens.*, 2015,
 DOI: 10.1155/2015/819373, 10.
- 360 9. J. MacLeod and F. Rosei, *Nature materials*, 2013, **12**, 98-100.
- 361 10. N. C. Tansil, L. D. Koh and M. Y. Han, Advanced materials, 2012, 24, 1388-1397.
- 362 11. S. A. Bhakta, E. Evans, T. E. Benavidez and C. D. Garcia, *Analytica chimica acta*, 2015,
 363 872, 7-25.
- 12. A. K. Yetisen, H. Butt, L. R. Volpatti, I. Pavlichenko, M. Humar, S. J. Kwok, H. Koo, K.
- 365 S. Kim, I. Naydenova, A. Khademhosseini, S. K. Hahn and S. H. Yun, *Biotechnology*366 *advances*, 2016, 34, 250-271.
- 367 13. H. Tao, D. L. Kaplan and F. G. Omenetto, *Advanced Materials*, 2012, 24, 2824-2837.

- J. J. Amsden, P. Domachuk, A. Gopinath, R. D. White, L. Dal Negro, D. L. Kaplan and F.
 G. Omenetto, *Advanced Materials*, 2010, 22, 1746-+.
- 370 15. H. Perry, A. Gopinath, D. L. Kaplan, L. Dal Negro and F. G. Omenetto, *Advanced materials*, 2008, 20, 3070-3072.
- K. Tsioris, H. Tao, M. Liu, J. A. Hopwood, D. L. Kaplan, R. D. Averitt and F. G. Omenetto, *Advanced materials*, 2011, 23, 2015-2019.
- S. Kim, B. Marelli, M. A. Brenckle, A. N. Mitropoulos, E. S. Gil, K. Tsioris, H. Tao, D. L.
 Kaplan and F. G. Omenetto, *Nature nanotechnology*, 2014, 9, 306-310.
- 18. F. Galeotti, A. Andicsova, S. Yunus and C. Botta, *Soft matter*, 2012, **8**, 4815.
- Y. Tsuboi, H. Adachi, K. Yamada, H. Miyasaka and A. Itaya, *Japanese Journal of Applied Physics*, 2002, 41, 4772-4779.
- M. B. Applegate, B. P. Partlow, J. Coburn, B. Marelli, C. Pirie, R. Pineda, D. L. Kaplan
 and F. G. Omenetto, *Advanced materials*, 2016, 28, 2417-2420.
- 381 21. N. E. Kurland, T. Dey, S. C. Kundu and V. K. Yadavalli, *Advanced Materials*, 2013, 25,
 382 6207-6212.
- E. S. Sashina, A. M. Bochek, N. P. Novoselov and D. A. Kirichenko, *Russian Journal of Applied Chemistry*, 2006, **79**, 869-876.
- 385 23. I. C. Um, H. Y. Kweon, Y. H. Park and S. Hudson, *International journal of biological*386 *macromolecules*, 2001, **29**, 91-97.
- 387 24. I. C. Um, H. Y. Kweon, K. G. Lee and Y. H. Park, *International journal of biological*388 *macromolecules*, 2003, **33**, 203-213.
- 389 25. S. W. Ha, A. E. Tonelli and S. M. Hudson, *Biomacromolecules*, 2005, 6, 1722-1731.

- 390 26. D. N. Rockwood, R. C. Preda, T. Yucel, X. Q. Wang, M. L. Lovett and D. L. Kaplan,
 391 *Nature Protocols*, 2011, 6, 1612-1631.
- 392 27. H. J. Jin, S. V. Fridrikh, G. C. Rutledge and D. L. Kaplan, *Biomacromolecules*, 2002, 3,
 393 1233-1239.
- R. Pohanish, HANDBOOK OF TOXIC AND HAZARDOUS CHEMICALS AND
 CARCINOGENS, William Andrew, 6 edn., 2011.
- 396 29. X. X. Yue, F. Zhang, H. Y. Wu, J. F. Ming, Z. H. Fan and B. Q. Zuo, *Materials Letters*,
 397 2014, **128**, 175-178.
- 398 30. A. Motta, L. Fambri and C. Migliaresi, *Macromol Chem Physic*, 2002, 203, 1658-1665.
- 399 31. C. Y. Jiang, X. Y. Wang, R. Gunawidjaja, Y. H. Lin, M. K. Gupta, D. L. Kaplan, R. R.
 400 Naik and V. V. Tsukruk, *Adv Funct Mater*, 2007, **17**, 2229-2237.
- 401 32. D. Meyerhofer, *Journal of Applied Physics*, 1978, **49**, 3993.
- 402 33. X. Wang, X. Hu, A. Daley, O. Rabotyagova, P. Cebe and D. L. Kaplan, *Journal of*403 *controlled release : official journal of the Controlled Release Society*, 2007, **121**, 190-199.
- 404 34. S. Ling, K. Jin, D. L. Kaplan and M. J. Buehler, *Nano letters*, 2016, 16, 3795-3800.
- 35. S. T. Parker, P. Domachuk, J. Amsden, J. Bressner, J. A. Lewis, D. L. Kaplan and F. G.
 Omenetto, *Advanced materials*, 2009, 21, 2411-2415.
- 407 36. M. B. Applegate, G. Perotto, D. L. Kaplan and F. G. Omenetto, *Biomedical optics express*,
 408 2015, 6, 4221-4227.
- 409 37. B. Kundu, N. E. Kurland, V. K. Yadavalli and S. C. Kundu, *Int J Biol Macromol*, 2014,
 410 **70**, 70-77.
- 411 38. X. Hu, D. Kaplan and P. Cebe, *Macromolecules*, 2006, **39**, 6161-6170.

- 412 39. E. Callone, S. Dire, X. Hu and A. Motta, *Acs Biomaterials Science & Engineering*, 2016,
 413 2, 758-767.
- 414 40. A. Vasconcelos, G. Freddi and A. Cavaco-Paulo, *Biomacromolecules*, 2008, 9, 1299-1305.
- 415 41. R. Pritchard, *Polymer Engineering & Science*, 1964, 4, 66-71.
- 416 42. M. Tsukada, Y. Gotoh, M. Nagura, N. Minoura, N. Kasai and G. Freddi, *J Polym Sci Pol*417 *Phys*, 1994, **32**, 961-968.
- 418 43. R. K. Pal, N. E. Kurland, C. Wang, S. C. Kundu and V. K. Yadavalli, *Acs Appl Mater Inter*,
 419 2015, 7, 8809-8816.

	Solvent	HFIP		FA	
422	Structure	Peak position (cm ⁻¹)	% Area	Peak position (cm ⁻¹)	% Area
	Side chain	1610	4.8	1610	16.2
423	β-sheet	1619	3.3	1619	13.2
		1624	1.0	1624	8.6
		1630	16.6	1630	11.2
424		1700	1.7	1700	5.0
425	Total		22.6		38.0
120	Random coil	1642	20.5	1642	11.7
		1651	16.0	1651	7.0
426					
	Total		36.5		18.7
	α-helices	1659	13	1659	6.5
427	Turns	1667	10.5	1667	4.5
		1678	9.5	1678	11.5
428		1691	3.2	1691	0.9
	Total		23.2		16.6

Table 1: Quantitative data and peak assignments of the fitted peaks in the Amide I IR band for thetwo solvents, HFIP and FA.



Figure 1: Film thickness data for fibroin films formed by spin coating of solution made with two
different solvents - HFIP (black) and FA (red): (a) mean thickness trend over rpm, (b) standard
deviation of the mean thickness trend over rpm. (c, d) Ellipsometry spectra for thickness
determination of thin fibroin films made by spin coating of low concentration solutions in FA: (c)
1.7% w/v, (d) 1.2% w/v. (Psi (blue) and Delta (red) measured (solid line) and simulated (dashed
line).





Figure 2: Images of films obtained by solvent casting showing optical properties: produced using
(a) HFIP (b) FA. (c) UV-Vis spectra of films made using FA (red) and HFIP (black) showing the
percentage transmittance and absorbance spectra.



Figure 3: AFM images of films produced using different methods (Spin coating on the top, Film
casting on the bottom) and different solvent ((L) FA, (R) HFIP). Scale bar=1 μm.



Figure 4: Optical profilometry images of films produced by casting on a glass substrate using: (a)
HFIP, (b) FA. (c) Mean value of 5 line profiles performed over films made by the two solvents
(FA (red), HFIP (purple)).



Figure 5: FTIR-ATR spectra of the primary amide peaks of films produced using (a) HFIP (b)
FA. The peak fits (green) relate to the different secondary structures and side groups (SC: side

473 chain; B: β sheets, R: random coil, A: α -helix, T: turns; M: methacrylate group).





477 Figure 6: (a) DSC and (b) TGA curves for films made using FA (red) and HFIP (black).



480

Figure 7: (a) Optical microscopy images of fibroin micropatterns formed on a silicon surface using photolithography with FA as solvent. Coomassie brilliant blue was used to stain the patterns for easy visualization (scale bar = 100 μ m). (b) AFM image of nanoscale thin films patterned with 5 μ m lines. The line profile below shows that the feature height is ~100 nm. (c) Close-up of the lines shows the uniformity of the micropatterns (scale bar = 5 μ m).

487