

Microfluidic-assisted fabrication of phosphatidylcholine-based liposomes for controlled drug delivery of chemotherapeutics

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ABSTRACT

Microfluidic enables precise control over the continuous mixing of fluid phases at the micrometre scale, aiming to optimize the processing parameters and to facilitate scale-up feasibility. The optimization of parameters to obtain monodispersed drug-loaded liposomes however is challenging. In this work, two phosphatidylcholines (PC) differing in acyl chain length were selected, and used to control the release of the chemotherapeutic agent doxorubicin hydrochloride, an effective drug used to treat breast cancer. Microfluidics was used to rapidly screen manufacturing parameters and PC formulations to obtain monodispersed unilamellar liposomal formulations with a reproducible size (i.e. < 200 nm). Cholesterol was included in all liposomal formulations; some formulations also contained DMPC(1,2-dimyristoyl-*sn*-glycero-3-phosphocholine) and/or DSPC(1,2-distearoyl-*sn*-glycero-3-phosphocholine). Systematic variations in microfluidics total flow rate (TFR) settings were performed, while keeping a constant flow rate ratio (FRR). A total of six PC-based liposomes were fabricated using the optimal manufacturing parameters (TFR 500 $\mu\text{L}/\text{min}$, FRR 0.1) for the production of reproducible, stable liposome formulations with a narrow size distribution. Liposomes actively encapsulating doxorubicin exhibited high encapsulation efficiencies (>80%) for most of the six formulations, and sustained drug release profiles *in vitro* over 48 h. Drug release profiles varied as a function of the DMPC/DSPC mol content in the lipid bilayer, with DMPC-based liposomes exhibiting a sustained release of doxorubicin when compared to DSPC liposomes. The PC-based liposomes, with a slower release of doxorubicin, were tested *in vitro*, as to investigate their cytotoxic activity against three human breast cancer cell lines: the non-metastatic ER+/PR+ MCF7 cells, the triple-negative aggressive MDA-MB 231 cells, and the metastatic HER2-overexpressing/PR+ BT474 cells. Similar cytotoxicity levels to that of free doxorubicin were reported for DMPC₅ and DMPC₃ binary liposomes (IC₅₀ ~ 1 μM), whereas liposomes composed of a single PC were less cytotoxic (IC₅₀ ~ 3–4 μM). These results highlight that microfluidics is suitable for the manufacture of monodispersed and size-specific PC-based liposomes in a controlled single-step; furthermore, selected PC-based liposome represent promising nanomedicines for the prolonged release of chemotherapeutics, with the aim of improving outcomes for patients.

1. Introduction

The structure–function relationships of biological membranes have been studied for decades using model membranes. The lipid clusters in all cell membranes, at physiological temperatures, present as an equilibrium ratio of gel and fluid phases that determine the ultimate dynamics of the membrane trafficking properties (Kapitza et al., 1984; Peetla et al., 2009). Liposomes are artificial, hollow vesicular structures;

their lipid bilayer is similar to that of biological membranes, consisting of natural and/or synthetic phospholipids usually combined with cholesterol (Akbarzadeh, 2013; Juszkievicz et al., 2020). Permeability is an important physical attribute of liposomes, since it determines the diffusion rate of encapsulated compounds throughout the lipid bilayer when used for therapeutic applications (Chen et al., 2018). Near their liquid-crystalline transition temperatures, liposomes become highly leaky to their hydrophilic entrapped contents (Chen, 2013; Lokorse,

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2016), a phenomenon generally attributed to disorder at the boundaries between gel and fluid domains in the lipid clusters. This thermodynamic property along with the existing environmental conditions (e.g. pH, ionic strength, enzymatic degradation, plasma proteins association) ultimately determine the circulation time of liposomal carriers into the bloodstream, as well as their ability to extend retention of the entrapped solutes (Abri Aghdam, 2019).

The equimolar (1:1) binary mixture of DSPC/DMPC phospholipids has so far been one of the most thoroughly investigated two-component bilayer model, both experimentally and theoretically since the 1980 s (Koynova and Caffrey, 1998). However, no recent studies have attempted to elucidate further the thermomechanical properties of this otherwise, non-ideal colloidal system, by incorporating 30–35% cholesterol into the final lipid composition, while keeping the % DSPC molar content within a narrow range and under acceptable thermodynamic limits that typically do not exceed the 20% molar ratio (Michonova-Alexova and Istvan, 2002). Cholesterol is largely known to affect the fluidity of both natural and synthetic bilayers. This steroid molecule acts as a stabilizer, which directly impacts the viscoelasticity of the lipid bilayer; it increases the mechanical stiffness (mainly the packing order) while allowing membrane fluidity (Bruglia et al., 2015; Kirby et al., 1980). This is of particular importance in fluid-like bilayers, where cholesterol can offer improved rigidity by filling the free space in the layer and reducing the mobility of the surrounding lipid chains (Bhattacharya and Saubhik, 2000; Soto-Arriaza et al., 2013). Moreover, cholesterol is known to reduce the leakage of soluble, hydrophilic drugs across the lipid bilayer (Raffy and Teissié, 1999), as well as promote drug loading in liposomes (Farzaneh et al., 2018). In the case of doxorubicin-loaded liposomes for example, cholesterol has been assumed to enhance doxorubicin transport across the lipid bilayer by moderating the hydrophobic character of the compact lipid membrane, especially when consisted of long-tail saturated phospholipids like DSPC or DPPC (Farzaneh et al., 2018). Interactions of cholesterol with multi-component lipid systems are often difficult to predict, e.g. perplexed fluid-like and liquid-ordered phases (Soto-Arriaza et al., 2013).

In our previous work, we reported the manufacturing of PEGylated phosphatidylcholine (PC) and cholesterol liposomes using microfluidics, by varying the lipid concentration feed in the organic phase. We reported that microfluidic technology replicates bulk methods (e.g. rehydration methods, solvent injection induced protocols (Shah et al., 2020) and fabricates liposomes with a defined geometry and size, offering also precise control over various manufacturing parameters. Microfluidics ultimately allows fast, high-throughput manufacturing and provides a robust and reproducible method for the fabrication of liposomes and other types of nano-formulations (Carugo et al., 2016; Feng, 2018; Chiesa, 2020).

In the current study, we evaluated the impact of using different lengths of PCs on the characteristics of liposomes manufactured using microfluidics. Dimyristoyl phosphatidylcholine (DMPC) and distearoyl phosphatidylcholine (DSPC) were selected, and liposomes manufactured using fixed lipid concentrations of either DMPC:Chol or DSPC:Chol lipid compositions, as well as their binary mixtures. The manufacturing steps were optimised to fabricate small, unilamellar and monodispersed liposomes with target size of 80–150 nm. The physicochemical properties of produced liposomes were characterised, as to validate the efficacy of the manufacturing process (e.g. lipid content, transition temperature shifting, morphology, size, drug loading). Additionally, stability (storage conditions) and drug release profiles were investigated in order to elucidate the impact of PCs on drug release profiles and enable sustained release.

Doxorubicin was selected as a hydrophilic model drug, while being the main representative antineoplastic agent of the anthracycline antibiotics family (Cortés-Funes and Coronado, 2007). Doxorubicin is extensively used for the clinical treatment of breast cancer (Swain, 2013), with examples of doxorubicin-loaded liposomes being already used clinically (Khan et al., 2015; Belfiore, 2018). The ionisable

properties of doxorubicin enable the use of a transmembrane pH-gradient loading method, which provides high drug loading and minimal drug diffusion rates from the liposomal carriers (Haran et al., 1993; Sur et al., 2014). The most successful example is the commercial product Doxil®, which encapsulates >90% of doxorubicin at maximal dose of 2 mg/mL (Barenholz, 2012), while retaining a circulation half-life time of about 90 h. Doxorubicin leakage from Doxil® liposomes has been evaluated to be <20% over a period of 120 h exposure, under sink conditions resembling human physiology (Russell et al., 2018; Shibata et al., 2015).

Among the produced DMPC/DSPC mixed liposomal formulations, we selected and reported the ones with doxorubicin release profiles of ≤ 50% within the first 24 h. Cytotoxicity of selected liposomes was tested against three human breast cancer cell lines: the non-metastatic oestrogen- and progesterone-positive (ER+/PR +) MCF-7 cells, the triple-negative aggressive MDA-MB 231 cells, and the highly metastatic HER2-overexpressing/PR + BT-474 cells. The different physicochemical features of the liposomes, as well as the unique release pattern of each formulation, resulted in different cytotoxic patterns against the cancer cells tested. We found that mixed liposomes using PCs and cholesterol can be fabricated using microfluidics, with high control over liposomes properties. The effect of lipid composition and drug membrane partitioning ultimately governs the release rate of the encapsulated chemotherapeutic, thus determining the future therapeutic scheme of selection (such as dose and administration) for each liposomal carrier.

2. Experimental section

2.1. Materials

1,2-distearoyl-*sn*-glycero-3-phosphocholine (DSPC 18:0, Product Number 850365P), 1,2-ditetradecanoyl-*sn*-glycero-3-phosphocholine (DMPC 14:0, Product Number P2663) and 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine N-[methoxy(polyethylene glycol)-2000] ammonium salt (DSPE-PEG2000-PE, Product Number 880120P) were obtained from Avanti Polar Lipids (Alabaster, AL). Cholesterol (Chol, C8667), ammonium sulphate (A4418), ferric chloride hexahydrate (236489), ammonium thiocyanate (221988), phosphate buffer saline (PBS, P4417), 4-(2-Hydroxyethyl)piperazine-1-ethanesulfonic acid, N-(2-Hydroxyethyl) piperazine-N'-(2-ethanesulfonic acid) (HEPES, H4034), sodium chloride (S9888), doxorubicin hydrochloride (D1515) and methanol (HPLC grade) were purchased from Sigma-Aldrich, Gillingham, UK. Chloroform and Acetonitrile (HPLC grade) were obtained from Fisher Scientific, Loughborough, UK.

All liposomal formulations were prepared with different DSPC/DMPC molar ratio and included 3% mol DSPE-PEG₂₀₀₀ (Table 1); hence, thereafter they are referred to as liposomes.

2.2. Production of liposomes using a microfluidic system

Liposomes were prepared using the automated Dolomite

Table 1

Organic phase lipid compositions for the preparation of liposomes in pure methanol.

Liposomes	Lipid Composition				Molar ratio (%) DSPC:DMPC: Chol:DSPE- PEG2000-PE
	DSPC (mg/ mL)	DMPC (mg/ mL)	Cholesterol (mg/mL)	DSPE- PEG2000 (mg/mL)	
DMPC ₁₀₀	–	10	3	3	0:64:33:3
DMPC ₁₀	1	9	3	2	5:58:34:3
DMPC ₅	2	8	3	2	11:52:34:3
DMPC ₃	3	8	3	2	16:49:32:3
DMPC _{0.2}	11	2	3	2	57:11:29:3
DSPC ₁₀₀	10	–	2.5	1.8	64:0:33:3

microfluidic system (Dolomite, Royston, UK) equipped with the 5-Input Chip (Part No. 3200735). The aqueous phase (i.e., HEPES saline buffer) was prepared dissolving 150 mM NaCl and 20 mM HEPES in ultrapure water, with the pH value adjusted to 7.4 using 0.1 N HCl. The organic phase was prepared by mixing different molar ratios of DMPC, DSPC, Chol, and DSPE-PEG2000-PE in pure methanol (as reported in Table 1). Both aqueous and organic solutions were filtered twice through 0.22 µm PVDF filters (Millex-CV, Merck Millipore Ltd. Germany) prior to each experiment, and discarded after use. During all the experiments, the temperature of DMPC-containing solutions and chip was set and maintained at (40 ± 1)°C using a hotplate stirrer (IKA, Germany), and the back pressure of the microfluidic system was set at 2 bars. Only DSPC₁₀₀ liposomes were fabricated at (60 ± 1)°C because of the higher phase transition temperature of this lipid.

Based on our previous study (Gkionis et al., 2020), the flow rate ratio (FRR), defined as the volumetric ratio of the aqueous phase stream to the organic phase stream, was set to 1:10 to obtain reproducible, monodisperse and uniform unilamellar liposomes. The total flow rate (TFR) was used as a manufacturing variable to optimize liposome size, size distribution and shape.

A typical experiment was performed collecting a final liposomal formulation volume of 3–5 mL, with the possibility of scaling-up the production to volumes of ≥ 20 mL. A volume of 2 mL was sampled and transferred to Float-A-Lyzer G2 (Biotech CE, 3.5–5 kDa MWCO, 1 mL), then dialyzed against 1 × PBS solution for 16 h at RT (buffer exchanged every 4 h) for removal of any residual solvent or free drug.

2.2.1. Viscosity measurements

The viscosity of DMPC₁₀₀ and DSPC₁₀₀ organic phases was measured using the AMVn Automated Microviscometer (Anton Parr, UK) and calculated considering the flying time taken of a gold ball to move in a glass capillary filled with the solution to test. Two capillaries of diameter 1.6 mm and 1.8 mm were used for the measurement with a 1.5 mm diameter gold ball (7.83 g/cm³ density). Measurements were performed at 25°C and 40°C (n = 3 independent samples).

2.2.2. Drug-free liposomes

The manufacturing of drug-free liposomes was optimized using DMPC₁₀₀ and DSPC₁₀₀ organic phases (for composition refer to Table 1), by varying the TFR between 250 and 750 µL/min. During all the experiments, the FRR was set to 1:10; the temperature of aqueous and organic phases, as well as tubing and chip, was set to 40°C or 60°C respectively.

2.2.3. Drug-loaded liposomes

Doxorubicin-loaded liposomes were prepared using the previously optimized fabrication parameters (TFR 500 µL/min, FRR 1:10, 40 or 60°C) and different lipid formulations were used as the organic phase (Table 1). Doxorubicin hydrochloride was loaded into liposomes using the pH gradient-mediated method with 250 mM ammonium sulphate buffer (pH adjusted to 5.1–5.4) used as the aqueous phase (Gkionis et al., 2020). Prior to manufacturing, all solutions were filtered twice using a 0.22 µm PVDF filter. After preparation and dialysis to remove any impurities (3 h, RT, Float-A-Lyzer G2, 3.5–5 kDa MWCO), liposomes were incubated with 0.2 mg/mL doxorubicin solution for 2 h at 60°C. Samples were further dialyzed against 1 × PBS solution (16 h, RT, Float-A-Lyzer G2, 3.5–5 kDa MWCO) and stored at 4°C until further use. All samples were discarded after 2 weeks storage.

2.3. Physicochemical characterization of liposomes

2.3.1. Lipid quantification: Steward assay

The total amount of lipids in liposomal formulations was measured via Steward assay (Charles and Stewart, 1980), using ammonium ferri-thiocyanate reagent to bind with the phospholipid head groups in an emulsion of 1:1 (v/v) water:chloroform. Pure chloroform was used as a

reference sample. Briefly, 27.03 g of ferric chloride hexahydrate and 30.4 g of ammonium thiocyanate were dissolved in 1 L of distilled water. A volume of 20 µL of the lipid sample was added to 980 µL of chloroform, followed by addition of 1 mL of ammonium ferri-thiocyanate reagent. The mixture was vortexed and then centrifuged at 1000 rpm for 15 min at RT. The chloroform layer was transferred to a glass cuvette, where absorbance measured at 485 nm (Perkin Elmer Lambda 3B UV-VIS, UK) was read. A calibration curve in the range of 0.01–0.125 mg/mL of DMPC or DSPC lipid (Supplementary Information, Fig S1.1 and Fig S1.2) was obtained and used to calculate the lipid concentration of each formulation.

2.3.2. Dynamic light scattering

Liposome size, measured as hydrodynamic diameter (Z-average size), polydispersity and surface charge (ξ-potential) were measured by dynamic light scattering using a Zetasizer Nano ZS (model ZEN3600, Malvern Instruments Ltd., UK) equipped with a solid state HeNe laser (λ = 633 nm) at a scattering angle of 173°. All samples were tested at 25°C (pre-equilibration for 2 min) in HEPES buffer saline and without any additional dilution. Liposome size distributions were calculated by applying the general-purpose algorithm and presented as the average of the Z-average values of three independent preparations (n = 3).

2.3.3. Drug loading and encapsulation efficiency

The amount of doxorubicin hydrochloride loaded in liposomal formulations was quantified using a Perkin Elmer Series 200 HPLC system equipped with a C18 reverse-phased column (ACE™, 5 µm particle size, 150 × 4.6 mm i.d., Hichrom Ltd, Berkshire, UK). Samples were eluted using a 30 min linear gradient of acetonitrile (80:20 ACN:H₂O, 0.1% v/v TFA) and ultrapure distilled water (100%, 0.1% v/v TFA), with the flow rate, injection volume and detection wavelength set at 1 mL/min, 50 µL, and 260 nm respectively. The doxorubicin peak was detected at 11 min. Doxorubicin solutions in the concentration range of 2–35 µg/mL were diluted in 0.1% v/v TFA solution (aq.) and used to obtain a linear calibration curve.

Drug loading (DL%, Eq. (1)) and encapsulation efficiency (EE%, Eq.2) were calculated for each preparation (n = 3).

$$DL(\%) = \frac{\text{mass of drug loaded}}{\text{total weighted lipid mass}} \times 100 \quad (1)$$

$$EE(\%) = \frac{\text{amount of drug encapsulated}}{\text{total drug added}} \times 100 \quad (2)$$

2.3.4. Drug release studies

Doxorubicin release was measured using the dynamic dialysis method under sink conditions (Shibata et al., 2015). A volume of 2 mL of doxorubicin-loaded liposomes (already purified from any free drug presence via dialysis) was added to a dialysis cassette (MWCO 3.5 kDa, 0.5–3 mL, Prod no. 66330, ThermoFisher Scientific) and dialyzed against 400 mL of 1 × PBS buffer (pH 7.4). Drug release studies were performed at 4°C and 37°C. The dialysis cassette was shaken at 100 rpm in a Thermoshaker chamber (New Brunswick™ Innova® 44, Eppendorf UK). A volume of 0.1 mL of liposomes was sampled from the dialysis cassette at selected time points (i.e., 2, 4, 6, 24 and 48 h) and then diluted with cold methanol solution (-20°C) for further analysis with RP-HPLC.

2.3.5. Morphological characterization using transmission electron Microscopy (TEM)

A volume of 3 µL of each liposomal formulation was fixed on glow discharged (25 mA, 1 min) carbon film mesh copper grids using 50 µL of aqueous solution containing 2% v/v uranyl acetate stain (negative staining) for 5 min. Grids were allowed to dry for 15 min at RT prior to acquisitions. Images of independent liposomal preparations (n = 3, N = 3) were acquired using a transmission electron microscope (TEM, FEI

Tecna 12 BioTwin) operated at an accelerating voltage of 100 kV.

2.3.6. Characterization of liposome size distribution by TEM image analysis

Liposome size distribution was measured using the acquired TEM images. Images ($n \geq 3$) of each formulation were analyzed using ImageJ (Schneider et al., 2012). Briefly, the area of liposomes was detected and measured using the shape descriptors plug-in (counting ≥ 200 liposomes). The mean diameter of the liposomes was calculated assuming liposomes as circles, where liposomes with a roundness < 0.8 were excluded from the analysis. Liposome size distribution was analyzed using GraphPad Prism 7, setting 10 nm bin and the Gaussian fit function was used to calculate mean diameter and standard deviation.

2.3.7. Differential scanning calorimetry (DSC)

The glass transition temperature of all liposomes was measured using the differential scanning calorimetry (DSC) with the TA Instruments Q100 DSC Calorimeter (Waters, UK), equipped with a 50-position autosampler. All formulations were freeze-dried before analysis, yielding a 200 mg dry lipid cake. For each formulation, samples were weighed and 2–10 mg were transferred to standard aluminium pans (P/N: 900794.901, TA instruments, Waters, UK). The pans were hermetically sealed and immediately used. The calorimetric analysis was set-up with heating scans from -20°C to 100°C and scan rate of $5^\circ\text{C}/\text{min}$. The calorimetric scans were repeated three times ($n = 3$) sequentially to verify the reproducibility of the method. Three independent samples ($n = 3$, $N = 3$) were tested for each preparation.

2.4. Cell culture

Dulbecco's Modified Eagle's medium (DMEM, D6429), fetal bovine serum (FBS, F9665), trypsin (T3924), L-glutamine (G7513), antibiotics (penicillin – streptomycin, P0781) and (3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide) (MTT, M2128) were purchased from Sigma-Aldrich (Gillingham, UK). Human breast adenocarcinoma cell lines MCF-7 (HTB-22™), MDA-MB-231 (HTB-26™) and ductal carcinoma BT-474 (HTB-20™) were kindly donated from Manchester Cancer Research labs (University of Manchester, UK). Unless otherwise specified, all cell culture experiments were performed in a humidified 5% (v/v) CO_2 air atmosphere at 37°C in complete medium. Cell culture growth medium was supplemented with 10% (v/v) fetal bovine serum, 2 mM L-glutamine, and 1% (v/v) of antibiotics. Human breast adenocarcinoma cell lines were cultured and maintained at densities lower than 1×10^6 cells/mL, and discarded upon reaching passage number 60.

2.4.1. Cytotoxicity assay

MCF-7 and MDA-MB-231 cells were seeded in 96-well plates (3799, Corning Inc., NY, USA) at a density of 10,000 cells/cm², and left to adhere overnight, prior to experiments. BT-474 cells were seeded respectively in 96-well plates at a density of 6,700 cells/cm² and left adhere overnight prior to experiments. Stocks of doxorubicin hydrochloride were dissolved in ultrapure water at a concentration of 4 mg/mL, filtered through 0.22 μm PVDF filters (Millex-CV, Merck Millipore Ltd. Germany), and then stored at -20°C until further use. The doxorubicin sterile stock solution was diluted in complete media prior each experiment to concentrations of 0.017, 0.17, 0.85, 1.7, 8.5, 17, 85 and 155 μM (or 0–90 $\mu\text{g}/\text{mL}$). Cells were incubated with doxorubicin dilutions for 48 h (37°C , 5% CO_2).

Drug-free and drug-loaded liposomes were sterile filtered using a 0.22 μm PVDF filter, diluted in complete media at a concentration range of 10–500 μg phosphatidylcholine lipid/mL and incubated with cells for 48 h, based on our previous findings (Gkionis et al., 2020). Doxorubicin-loaded phosphatidylcholine liposomes were diluted in order to incubate cells with the same concentration of doxorubicin. The highest concentration of doxorubicin in liposomes was set to 155 μM . Of note, at this doxorubicin concentration, none of the liposomes tested had a DMPC or DSPC lipid concentration above 500 $\mu\text{g}/\text{mL}$. Breast cancer cells were

incubated with liposomes for 48 h (37°C , 5% CO_2). Untreated cells and drug-free liposomes were both used as negative controls.

2.4.2. Cell viability

Cell viability was determined by measuring cellular mitochondrial metabolic activity using the MTT assay (Thiazolyl Blue Tetrazolium Bromide; M2128, Sigma-Aldrich, UK). Briefly, cell culture media was removed from each well, cells were gently rinsed with $1 \times \text{PBS}$ and then 150 μL of fresh medium and 30 μL of MTT solution (0.65 mg/mL final concentration in ultrapure water) were gently pipetted to each well. Cells were incubated for 4 h (37°C , 5% CO_2), allowing formazan crystal formation. After 4 h, cell culture media was removed from each well and replaced with 200 μL of DMSO; the plate was gently agitated for 5 min at RT to dissolve the formazan crystals. Absorbance was measured at 540 nm using a plate reader (Synergy2 Biotek plate reader, Gen5 software). Experiments were performed in triplicates for each concentration, with biological duplicates ($n = 3$, $N = 2$). IC_{50} values were calculated with GraphPad Prism (Version 7.04) using the [non-linear regression, normalized response–variable slope] model, normalizing value to the control (i.e., untreated cells).

2.5. Statistical analysis

For each experiment the standard deviation (SD) or standard error of the mean (SEM) were calculated. All data points on graphs represent the mean of at least three ($n = 3$) independent experiments \pm SD, unless otherwise stated. One-way analysis of variance (ANOVA) was performed using GraphPad Prism 7.04, as to analyze the significant differences among results. Probabilities were set at four different significance levels: $p < 0.05$ (* $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$, **** $p \leq 0.0001$).

3. Results and discussion

3.1. Effects of microfluidic processing parameters on drug-free liposomes

Following the results obtained in our previous work (Gkionis et al., 2020), optimised manufacturing parameters were used for the preparation of liposomes using the microfluidic system. Specifically, the lipid concentration feed in the organic phase was kept at a concentration of 10 ± 2 mg/mL per formulation. DSPC₁₀₀ liposomes were prepared with the FRR constant at 1:10 and the TFR set at 500 $\mu\text{L}/\text{min}$. For the newly prepared DMPC₁₀₀ liposomes, fabrication was monitored with a constant FRR at 1:10, while varying the TFR of both the organic and aqueous phases between 250 and 750 $\mu\text{L}/\text{min}$.

The dynamic viscosity of the DMPC₁₀₀ and DSPC₁₀₀ organic phases was measured, and similar values at 25C and 40C were reported (Table 2). This further confirmed that similar manufacturing parameters could be used for the fabrication of liposomes using the microfluidic system.

The impact of TFR on DMPC₁₀₀ and DSPC₁₀₀ liposomes Z-average size and PDI is reported in Fig. 1. In particular, the sizes of DMPC₁₀₀ liposomes obtained from a lipid feed 10 mg/mL (Fig. 1A), had the lowest

Table 2

Dynamic viscosity values (mPa·s) of organic phases (DMPC₁₀₀ and DSPC₁₀₀ lipid solutions) and aqueous phase (water). Values were measured at 25C and 40C, and data are presented as mean \pm st.dev. of $n = 3$ independent samples. The dynamic viscosity of water and HEPES buffer were assumed to be similar in the temperature range of interest, with measured values at 20°C , being reported to be 1.0016 mPa·s and 1.0104 mPa·s for water and HEPES buffer, respectively (Chairatana et al., 2016).

Temperature	Organic phase		Aqueous phase
	DMPC ₁₀₀	DSPC ₁₀₀	H ₂ O
25C	0.602 \pm 0.010	0.601 \pm 0.002	0.889 \pm 0.001
40C	0.471 \pm 0.002	0.415 \pm 0.005	0.554 \pm 0.001

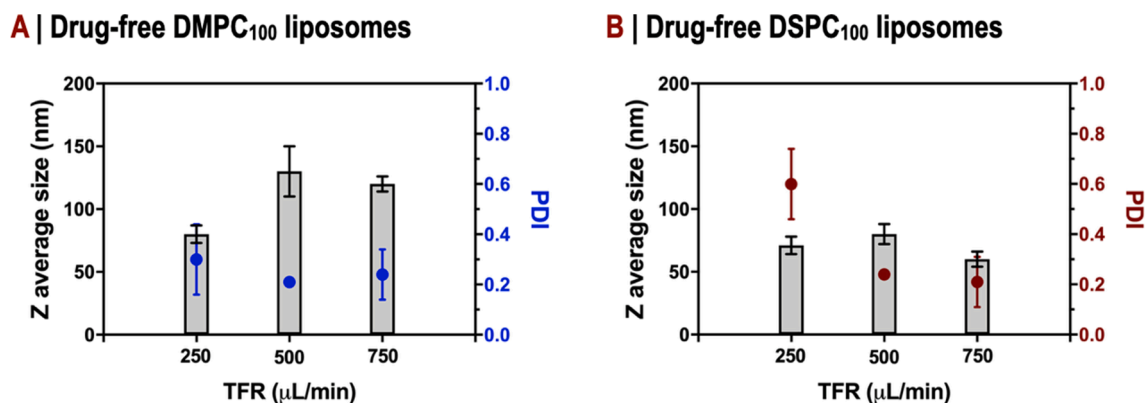


Fig. 1. Optimization steps for the fabrication of drug-free liposomes using the microfluidic Dolomite system. HEPES solution was used as the aqueous phase, and the FRR was set to 1:10, based on results from our previous work. Drug-free liposome size was measured using DLS and reported as Z-average size and PDI. The effect of TFR on liposome size during fabrication is reported for: A) DMPC₁₀₀ liposomes and B) DSPC₁₀₀ liposomes. Data are presented as mean \pm st.dev, ($n = 3$ independent liposomal formulations, $N = 3$ measurements for each sample).

PDI value (0.20) and Z-average size of 137.0 ± 9.0 nm at TFR 500 $\mu\text{L}/\text{min}$. By increasing the TFR to 750 $\mu\text{L}/\text{min}$, slightly smaller liposomes with PDI of 0.24 were obtained. Notably, at lower TFR values (250 $\mu\text{L}/\text{min}$), a broader size distribution of liposomes was obtained ($\text{PDI} \geq 0.3$), with an overall smaller Z-average size reported. Independently of the lipid concentration feed used, this finding agrees with our previous report, in which the optimal TFR value for the fabrication of small, unilamellar DSPC₁₀₀ liposomes with average size of 80 nm and PDI values ≤ 0.25 , was found to be 500 $\mu\text{L}/\text{min}$ (Fig. 1B). Of note, a heterogeneous size distribution was only observed for DSPC₁₀₀ liposomes with a lipid concentration feed of ≥ 10 mg/mL; this formulation required a filtration step prior to further use (Gkionis et al., 2020).

DMPC₁₀₀ liposomes size and size distribution were also confirmed by image analysis using TEM acquisitions (Fig. 2). The morphology of DMPC₁₀₀ liposomes fabricated using different TFR values were compared, with the most homogeneous liposomes in both size and shape observed with TFR of 500 $\mu\text{L}/\text{min}$ (Fig. 2B). At a lower processing speed (250 $\mu\text{L}/\text{min}$), two distinct liposomal populations were observed with size of approx. 40 nm and 160 nm (Fig. 2A), which was not detected by DLS. With a TFR of 750 $\mu\text{L}/\text{min}$, the presence of a smaller population of liposomes with size ≤ 50 nm was also detected (Fig. 2C).

Both DLS and TEM analysis confirmed that by using TFR of 500 $\mu\text{L}/\text{min}$, FRR 1:10 and 40C or 60C working temperature, small, unilamellar liposomes were obtained for both DMPC₁₀₀ and DSPC₁₀₀ liposomes.

Interestingly, agglomeration was not observed in DMPC₁₀₀ liposomes.

3.2. Physicochemical characterization of doxorubicin-loaded liposomes

3.2.1. Dynamic light scattering: Size and size distribution

Size and size distribution of different liposomal formulations produced with the selected manufacturing parameters (i.e., TFR 500 $\mu\text{L}/\text{min}$, FRR 0.1, lipid feed 10 mg/mL and temperature of 40C or 60C) and using 250 mM ammonium sulphate buffer (aqueous phase) were firstly evaluated by DLS. There are several factors that may influence the Z-average diameter of liposomes fabricated using microfluidics; typically these are the phospholipids (charge, saturation degree, polar head area, transition temperature) used, the lipid mixture concentration, the mixing temperature, the chip architecture and the flow parameters of the system (Kastner et al., 2015; Capretto et al., 2013). In this study, HEPES buffered saline was used as continuous phase for the production of drug-free liposomes, whereas the protocol of active loading by Haran et al. [26] was used for the encapsulation of doxorubicin hydrochloride, using 250 mM ammonium sulphate buffer as aqueous phase.

Using the optimised manufacturing parameters previously described, drug-free DMPC₁₀₀ liposomes were prepared with a Z-average size of 136 nm (unfiltered) and PDI 0.21, whereas larger drug-free DSPC₁₀₀ liposomes were prepared (235 nm; post-filtered) with a similar size distribution profile (PDI 0.23). Results of Z-average size and PDI of

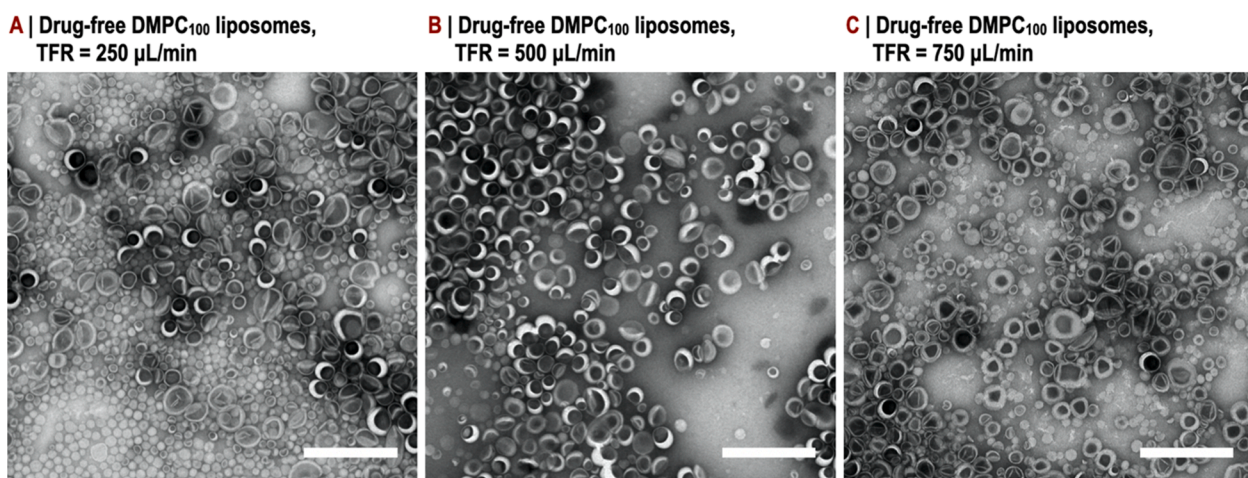


Fig. 2. TEM images of drug-free DMPC₁₀₀ liposomes. Morphological analysis of liposomes prepared using microfluidics to assess the effect of total flow rate (TFR): A) 250 $\mu\text{L}/\text{min}$, B) 500 $\mu\text{L}/\text{min}$ and C) 750 $\mu\text{L}/\text{min}$. Flow rate ratio (FRR) was set to 1:10 for all sample preparations, with controlled temperature of 40C. All images were acquired at 6800X; Scale bars 500 nm.

liposomes containing ammonium sulphate are reported in Table 3.

After doxorubicin encapsulation, the drug-loaded DMPC₁₀₀ liposomes exhibited a Z-average size and size distribution profile similar to their drug-free counterparts (Table 4), with no significant variations observed ($p > 0.05$, NSD; one-way ANOVA). On the contrary, DSPC₁₀₀ doxorubicin-loaded liposomes increased in size by approximately 15% (273.0 ± 2.1 nm) with a simultaneous reduction of PDI (0.13 ± 0.03). Results were found statistically different when compared to the drug-free samples ($p = 0.0046^{**}$ (≤ 0.01), one-way ANOVA).

The Z-average sizes of the doxorubicin-loaded liposomes fabricated using different DSPC/DMPC ratios (DMPC_{0,2} – DMPC₁₀) were all in the range of 80–110 nm and had PDI values within 0.13–0.16 (Table 4). As expected, the ξ -potential of all liposomal formulations was slightly negative, since the lipids used in this study were all zwitterionic, regardless of the loading of doxorubicin.

Size stability of the doxorubicin-loaded liposomes was measured by DLS after 2 weeks storage at 4 °C (Table 4). No significant differences were observed both in Z-average size or PDI ($p > 0.05$, NSD; one-way ANOVA).

To better compare liposome size and size distribution, we present in detail a full of summary of the different size distributions obtained from DLS (Table 5). Intensity weighted distribution results from the first order transformation of the scattering intensity of spherical particle fractions or distinct populations (Pecora, 2000; Carvalho et al., 2018). This scattering intensity is proportional to the square of the molecular weight. Applying the Mie theory, the intensity-weighted distribution can easily generate the mode of volume-weighted distribution, which represents the relative proportion of volume occupied by differently sized particles in a particular sample (Stetefeld et al., 2016). Instead, the volume distribution relies on the main assumption that all particles are spherical in shape, homogenous and with known optical properties, namely the real and imaginary components of the refractive index. Considering the Rayleigh approximation, the mass of spherical colloidal particles is proportional to their d^3 (diameter). The mass can therefore be approximated to volume, if the system's density is uniform. As a consequence, the volume-weighted distribution is proportional to d^3 and it bears hydrodynamic radius (R_h) smaller to that of intensity-weighted distribution (Stetefeld et al., 2016). According to DLS manufacturers, it is usually preferable to report the size of the peak based on an intensity analysis and report the relative percentages only (not size) from a volume distribution analysis. The importance of sharing this information is associated appropriately at Section 3.2.3 below.

3.2.2. Effect of lipid composition on liposome thermotropic behavior

Doxorubicin-loaded DMPC₁₀₀ liposomes exhibited a main pre-transition temperature of 40.3 ± 1.4 C ($n = 3$ independent samples), while their main phase transition (T_g) increased up to 59C, as shown both in Table 4 and the DSC thermograph in Fig. 3. Herein, we defined pre-transition as the transformation from a tilted to a rippled chain gel phase ($L_{\beta'} \rightarrow P_{\beta'}$), and the main T_g as the transformation from the gel to the liquid crystalline phase ($P_{\beta'} \rightarrow L_d$) (Ali et al., 2000). Typical T_g values of DMPC liposomes above 25C are reported in literature with cholesterol contents $> 25\%$ mol (Trandum et al., 2000; Needham et al., 1988; McMullen and Mcelhane, 1995). Cholesterol species usually separate the system to a cholesterol rich liquid ordered phase (l_o) (i.e. high degree of alkyl chain order) and a cholesterol poor phase at an equilibrium (Corvera et al., 1992; Redondo-Morata et al., 2012). These two phases

Table 3

Z-average size and PDI of drug-free liposomes containing ammonium sulphate solution. Data are presented as mean \pm st.dev. of $n = 3$ independent formulations; each result is the mean of $N = 3$ measurements.

Liposomes	ξ -average size (nm)	PDI	ξ -potential (mV)
DMPC ₁₀₀	136.0 \pm 7.3	0.21 \pm 0.04	- 0.7 \pm 0.5
DSPC ₁₀₀	235.0 \pm 11.3	0.23 \pm 0.02	- 2.8 \pm 0.4

are in equilibrium with each other until the overall cholesterol concentration of the mixture reaches that of the l_o phase, around at 30 mol %. At higher cholesterol contents ($>30\%$ mol), an enrichment of the fluid phase in solubilised cholesterol occurs (Redondo-Morata et al., 2012), with the lipid chains to be characterized by a high degree of conformational and positional order (Trandum et al., 2000). Additionally, the presence of DSPE-PEG2000-PE lipid accounts for this upward shift (Turjeman, 2015).

On the contrary, doxorubicin-loaded DSPC₁₀₀ liposomes in the presence of both 30% mol cholesterol and encapsulated amphipathic doxorubicin, presented a slight shift reduction with respect to their overall thermotropic behaviour, with a pre-transition occurring at 49C (attributed to cholesterol poor region of the bilayer) and a second main and broader endothermic component corresponded to the cholesterol rich or liquid-ordered melting point at around 55–56C.

Moreover, the phase transition enthalpy (ΔH) of the doxorubicin-loaded DMPC₁₀₀ formulations was at 4.17 J/g, whereas it was at 3.58 J/g (i.e., weaker lipid chain-chain associations; ΔH data not shown) for the DSPC₁₀₀ liposomes. A decrease in ΔH suggests perturbation in the bilayer region, which is usually associated with disassociation of the intermolecular forces between the lipophilic acyl chains, and hydrogen bond disruption at the polar head-water interface (Turjeman, 2015; Ali et al., 2000). Interaction of neutral doxorubicin species with either DPPC (Mady et al., 2012) or DMPC (Alves, 2017) model membranes, upon translocation to the interfacial region of the bilayer, has been found to reduce the plastic microviscosity of the lipid membrane in its liquid-disordered regions, while enhancing the membrane fluidity of the gel-type regions. This result demonstrates the importance of the drug-lipid interactions, as well as the influence of the presence of cholesterol in the lipid bilayer. The presence of drug molecules and cholesterol species – either in the intraliposomal compartment or within the lipid bilayer – rearranges the van der Waals forces among the acyl lipid chains and the interactions among the polar heads (Montenegro et al., 2018; Fonseca et al., 1997).

The glass transition temperature of the doxorubicin-loaded DSPC/DMPC mixed liposomes exhibited values between 25 and 55C as theoretically expected (DSC thermographs shown in Fig. 3). Specifically, the DMPC₅ and DMPC₃ mixtures exhibited the highest pre-transition values of approx. 44.0 ± 1.0 C, followed by the DMPC₁₀ and DMPC_{0,2} mixtures at 40.0 ± 1.0 C. The main phase transition from gel to liquid crystalline phase for both DMPC₅ and DMPC₃ vesicles occurred at 51C, whereas for DMPC₁₀ and DMPC_{0,2} it occurred at nearly 44C (Table 4). The latter formulations demonstrated rather broad and poorly resolved endotherms without sharp melting peaks upon temperature increase. It could be hypothesised that both binary samples favoured the disordered L_{β} gel phase rather than the crystalline sub-gel L_c one. The results obtained are in accordance with the reported data of Losada-Pereze et al. (Losada-Pérez, 2015) for DMPC_{0,2} drug-free liposomes (a non-ideal formulation). DMPC₅ and DMPC₃ liposomes can be considered the more stable formulations (phase transition enthalpies at 5.5 ± 0.6 J/g and glass transition temperatures > 50 C), as compared to the rest of the PC mixed formulations composed predominantly of either DSPC (i.e., DMPC_{0,2}) or DMPC (i.e., DMPC₁₀). The latter formulations exhibited considerably lower transition enthalpies (ΔH at 0.45 ± 0.28 J/g), an approx. 12-fold diminution over the molecular interaction strength.

Over the past decades, the (1:1) DSPC/DMPC mixed model bilayers have been thoroughly investigated for their complex thermodynamic and structural properties (Koynova and Caffrey, 1998; Michonova-Alexova and Istvan, 2002). Pokorny et al. (Pokorny et al., 2001) have reported that in DMPC liposomes, gel (s) and highly disordered liquid (l_d) phases coexist at the melting temperature (T_m), whereas in a (1:1) DSPC/DMPC mixture a stable ($s + l_d$) phase separation of a non-ideal mixture is observed over a large temperature interval of approx. 28–55C (Fig. 7). A phase diagram of this binary system indicates a peritectic behaviour at the aforementioned temperature zone (because of the lipid's T_g difference gap) (Knoll et al., 1981; Pokorny et al., 2001), with a

Table 4

Physicochemical characteristics of doxorubicin-loaded liposomal formulations after preparation and storage (2 weeks, 4°C). Doxorubicin was actively loaded into liposomes after manufacturing, with ammonium sulphate buffer used as the continuous phase, in the microfluidic system. Of note, samples were not filtered after production and prior to measurements, except for the DSPC₁₀₀ liposomes. Data are presented as mean ± st.dev. of n = 3 independent formulations, each result is the mean of N = 3 measurements.

Liposomes	ξ-average size (nm)		PDI		ξ -potential (mV)		Pre-transition phase (C) As prepared
	As prepared	After storage	As prepared	After storage	As prepared	As prepared	
DMPC ₁₀₀	143.0 ± 6.4	148.0 ± 0.2	0.22 ± 0.02	0.21 ± 0.01	- 0.3 ± 0.4	40.3 ± 1.4	
DMPC ₁₀	82.0 ± 2.0	95.0 ± 1.0	0.13 ± 0.01	0.23 ± 0.01	-2.1 ± 0.9	44.6 ± 0.5	
DMPC ₅	104.0 ± 0.4	110.0 ± 0.2	0.14 ± 0.01	0.18 ± 0.01	-2.0 ± 0.4	51.1 ± 1.2	
DMPC ₃	84.0 ± 0.2	91.0 ± 0.4	0.14 ± 0.01	0.20 ± 0.01	-2.6 ± 0.2	51.3 ± 0.9	
DMPC _{0.2}	110.0 ± 1.0	125.0 ± 1.8	0.16 ± 0.01	0.15 ± 0.02	-1.6 ± 0.7	44.5 ± 2.3	
DSPC ₁₀₀	266.0 ± 1.42	273.0 ± 2.1	0.22 ± 0.01	0.13 ± 0.03	- 2.0 ± 0.2	48.9 ± 0.3	

Table 5

Size analysis of mixed phosphatidylcholine liposomes prepared by microfluidics. Data are reported for doxorubicin-loaded liposomes. With the exception of DSPC₁₀₀ liposomes, all samples were not filtered after fabrication and prior measurements. Data are presented as mean ± st.dev. of n = 3 independent formulations, each result is the mean of N = 3 measurements.

Liposomes	Dynamic Light Scattering analysis			
	Z-average size (nm)	PDI	% Intensity Size (nm)	% Volume Size (nm)
DMPC ₁₀₀	143.0 ± 6.4	0.22 ± 0.02	(100%) 164.0 ± 8.7	(100%) 102.0 ± 0.2
DMPC ₁₀	82.0 ± 2.0	0.13 ± 0.01	(100%) 95.0 ± 2.7	(100%) 68.0 ± 1.9
DMPC ₅	104.0 ± 0.4	0.14 ± 0.01	(100%) 123.0 ± 0.5	(100%) 86.0 ± 2.6
DMPC ₃	84.0 ± 0.2	0.14 ± 0.01	(100%) 94.0 ± 0.5	(100%) 70.0 ± 1.3
DSPC ₁₀₀	266.0 ± 1.42	0.22 ± 0.01	(100%) 322.0 ± 18.4	(100%) 351.0 ± 33.0

broad horizontal solidus line up to at least 60% mol DSPC content (Nicolussi et al., 1982). In our study, the maximum DSPC ratio obtained was 57% – with the DMPC_{0.2} formulation. The rest of the samples contained <20% mol DSPC in their overall composition. Thermographs obtained by Matubayasit and co-workers showed that pure DSPC/DMPC lipid mixtures form mixed crystalline phases at temperatures below the solidus curves of the main transition point (i.e. 28°C) (Matubayasit et al., 1986). At a given temperature, if some of the components are in a liquid

state and the rest are in the gel state, these two phases can coexist in spatially separated populations. At low temperatures (i.e. < 20°C) the binary system crystallises separately upon cooling and therefore lateral phase separation occurs in the gel state (Matubayasit et al., 1986). In general, DSPC/DMPC binary mixtures behave non ideally in the solid state, especially for a DSPC molar content between 50 and 60% (Nicolussi et al., 1982), and this was evidenced in our results as well.

3.2.3. Microscopic analysis: Morphology and size (TEM)

Transmission Electron Microscopy was used to assess the morphology, as well as size and size distribution of doxorubicin-loaded liposomes. The analysis confirmed that spherical, small unilamellar vesicles (SUVs) were obtained for all liposomes tested, as evidenced in Fig. 4 and Fig. 5. With the exception of DSPC₁₀₀, all liposomes exhibited sizes < 200 nm after fabrication, without any post-modification steps (e.g., filtering, sonication or extrusion). This result is important for the use of liposomes in drug delivery applications, as meeting the size criteria of 50–150 nm for efficient accumulation in the targeted tumour mass (Kraft et al., 2014).

More specifically, drug-free DMPC₁₀₀ liposomes exhibited a higher degree of homogeneity/uniformity of size and size distribution when compared to doxorubicin-loaded liposomes (Fig. 4A), and in accordance with DLS measurements (Table 3, Fig. 1). After loading with doxorubicin, a reduction in liposome size was observed, with diameters in the range of 50–90 nm (Fig. 4B). A similar behavior, with a reduction in size of doxorubicin-loaded liposomes, was observed in all the other drug-loaded liposomes (Fig. 5).

Liposomes' average size measured by TEM (Fig. 4, Fig. 5), is similar

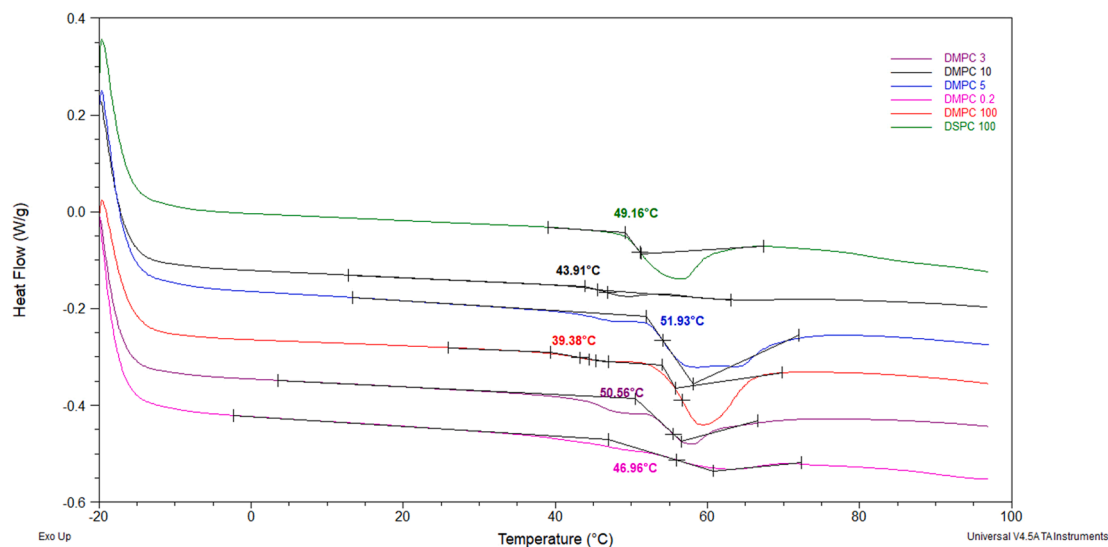


Fig. 3. DSC thermographs (Heating flow (W/g)/ Temperature (°C)) of doxorubicin-loaded liposomes with different DSPC/DMPC lipid ratio prepared using the Dolomite microfluidics system. The samples were scanned in the range of -20 – 100°C, at a heating rate of 5°C/min. Representative plots of n = 3 independent preparations.

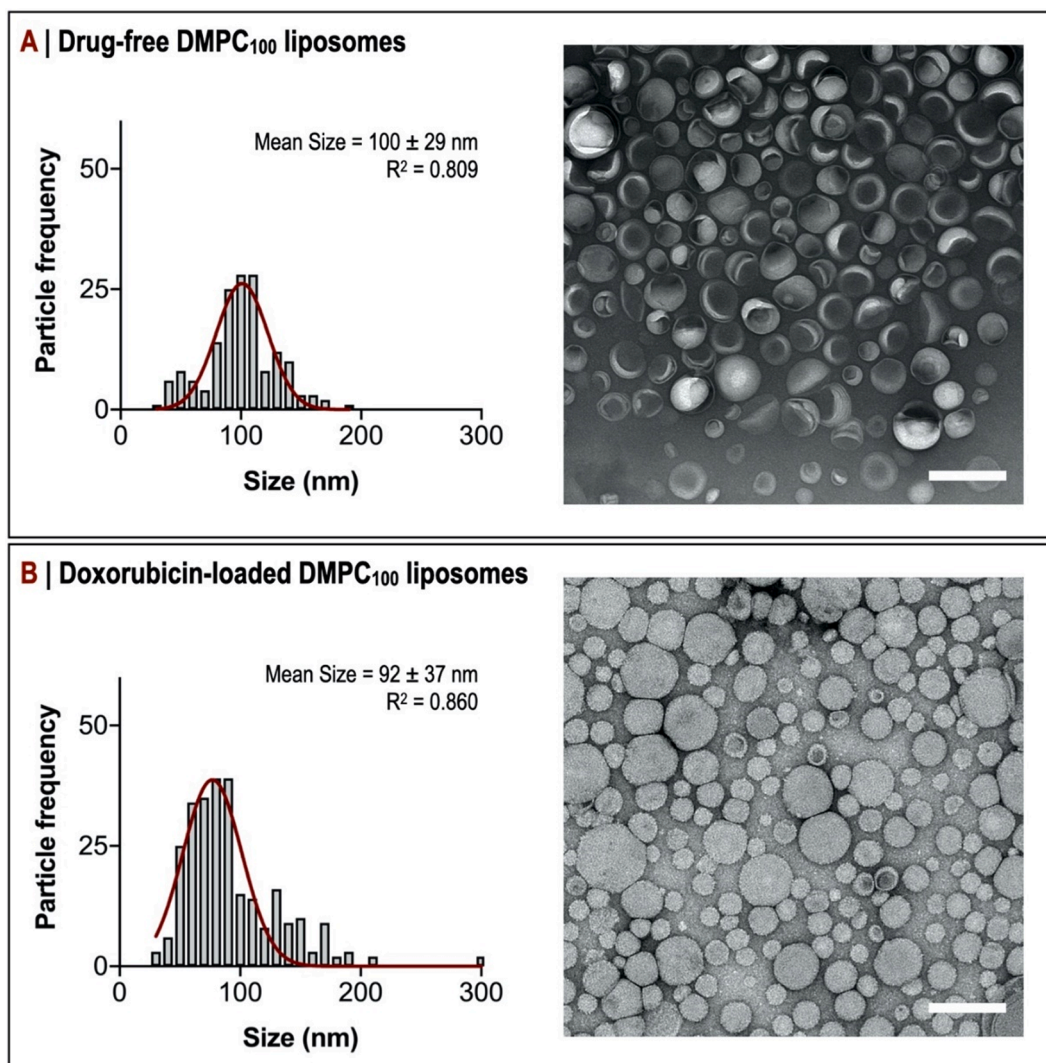


Fig. 4. TEM analysis. Morphology and size distribution of: (A) drug-free and (B) doxorubicin-loaded DMPC₁₀₀ liposomal formulations. Mean size and size distribution of liposomes from TEM images was obtained by fitting $N > 200$ liposomes ($n = 3$ images) and was similar to the Z-average size and PDI values measured by DLS (Table 3). Particle size frequency distribution (mean size \pm st.dev.) and Gaussian fitting (R^2 values) were obtained using GraphPad Prism. Scale bars: 200 nm.

to the % volume size distribution data obtained from DLS (Table 5), with a mean size in the range of 70–90 nm. This observation suggests that the % volume size distribution is more precise than the % intensity size distribution or the Z-average size, when it comes to measure the ‘actual’ size of phosphatidylcholine liposomes.

As shown in Fig. 5, all liposomes presented a homogeneous population of vesicles (only exception DSPC₁₀₀ liposomes). Fitting of DMPC₃ liposome size reported a mean size of 70 nm (Fig. 5A, Gaussian fit $R^2 > 0.95$). DMPC₅ liposomes were slightly more heterogeneous, with spherical vesicles of 90 nm in average and a second population of vesicles ranging 50–60 nm (Fig. 5B, Gaussian fit $R^2 = 0.77$). DMPC₁₀ liposomes presented also a mild heterogeneity with a mean size of 76 nm (Fig. 5C, Gaussian fit $R^2 = 0.83$). With the slight exception of the DMPC₅ formulation, the measured TEM diameter of the other two formulations was highly consistent with respect to both the Z-average and % volume distribution sizes (Table 5, DLS).

Notably, TEM and DLS results reported different sizes of DSPC₁₀₀ liposomes, with a larger Z-average size (approx. 270 nm, DLS) of hydrated liposomes against the average size of 110 nm (TEM) of dry liposomes. Of note, this was the only sample filtered through 0.22 μ m PVDF filters after fabrication, due to the heterogeneity/agglomeration phenomena previously observed (Gkionis et al., 2020). Image analysis

(TEM) revealed only few LUVs with size > 250 nm, which is not in accordance with DLS data. The shape of the DSPC₁₀₀ liposomes was more irregular than all the other liposomes presented in this study: a large proportion of vesicles displayed an asymmetrical shape, with one dimension above 200 nm; this may impact on the DLS measurements.

Overall, it is possible to conclude that both analysis methods used (DLS, TEM), returned a similar of liposomes’ size with DSPC₁₀₀ \gg DMPC₁₀₀ $>$ DMPC₅ $>$ DMPC₃ \geq DMPC₁₀ (DLS method) and DSPC₁₀₀ $>$ DMPC₁₀₀ $>$ DMPC₁₀ $>$ DMPC₅ $>$ DMPC₃ (TEM method).

3.2.4. Influence of lipid composition on drug loading and release kinetics

Doxorubicin encapsulation efficiency (EE%) was found higher than 80% in both DMPC₁₀₀ and DSPC₁₀₀ formulations (Table 6). Drug Loading % levels exceeded the 30% overall drug content value considered acceptable for intratumoral delivery of potent chemotherapeutic agents like doxorubicin or paclitaxel (Rocca et al., 2012). Among the different PC mixed-liposomes presented in this study, DMPC₁₀ and DMPC_{0.2} (which had a higher % mol content of either DMPC or DSPC lipid) showed the highest concentration of loaded doxorubicin, with an encapsulation efficiency of 88% for DMPC₁₀ liposomes and 70% for DMPC_{0.2} liposomes (Supplementary Information, SI.1 Table). The two intermediate formulations, DMPC₅ and DMPC₃, both exhibited lower

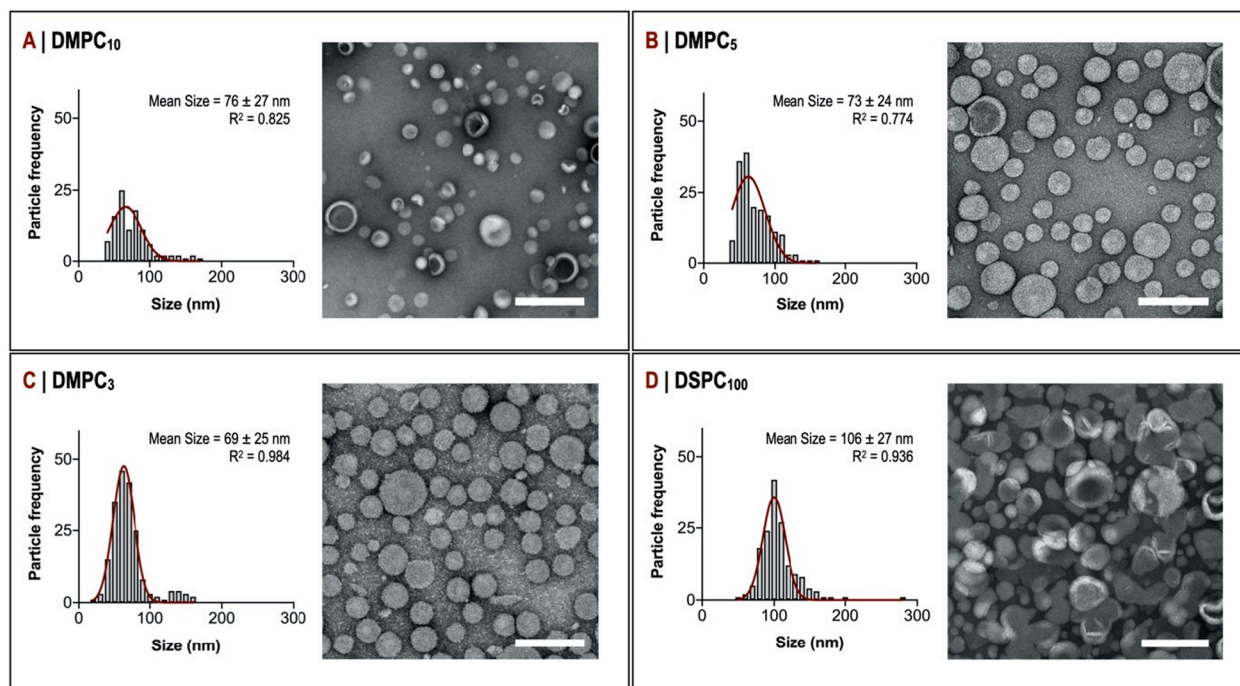


Fig. 5. TEM analysis. Morphology and size distribution of doxorubicin-loaded: (A) DMPC₁₀, (B) DMPC₅, (C) DMPC₃ and (D) DSPC₁₀₀ PC liposomes. Mean size and size distribution of liposomes from TEM images was obtained by fitting $N > 200$ liposomes ($n = 3$ images) and was similar to the Z-average size and PDI values measured by DLS (Table 3). Particle size frequency distribution (mean size \pm st.dev.) and Gaussian fitting (R^2 values) were obtained using GraphPad Prism. Scale bars: 200 nm.

Table 6

Encapsulation efficiency (%EE) and drug loading (%DL) levels of doxorubicin in phosphatidylcholine-mixed liposomes prepared by the Dolomite microfluidic system. Doxorubicin feed was set to 0.2 mg/mL for all microfluidic samples; active loading. Data are presented as mean \pm st.dev. of $n = 3$ independent formulations, $N = 3$ measurements each.

Liposomes	EE (%)	DL (%)
DMPC ₁₀₀	84.0 \pm 5.3	30.0 \pm 5.2
DMPC ₅	59.0 \pm 12.3	17.0 \pm 11.1
DMPC ₃	58.0 \pm 12.4	15.0 \pm 0.3
DSPC ₁₀₀	89.4 \pm 0.2	37.0 \pm 0.1

ability to encapsulate doxorubicin, with EE% of $59 \pm 12\%$ and DL% $< 20\%$.

It has been recently reported that when short in length hydrocarbon chains (e.g. 12:0 PC-14:0 PC lipids) are included in liposomal formulations, reduced drug encapsulation efficiency and faster drug release are observed (Anderson and Omri, 2004; Ali et al., 2013). Whereas, when phospholipids with long acyl chains are included in the lipid bilayer, a higher drug loading capacity is observed. This could be attributed to an increased bilayer spatial area formed by the longer lipid chains. In this configuration, it has been hypothesized that the loaded drug has a slower release profile due to a wider physical barrier through which the drug has to diffuse (Dan, 2007). Our findings are in accordance with those reported by Ann et al., with comparable drug encapsulation efficiencies of doxorubicin (using a pH-gradient encapsulation protocol) observed with both phospholipid types used, and regardless of the acyl chain length (Ann et al., 1565).

The liposomal cholesterol content is known to impact on doxorubicin encapsulation and release (Corvera et al., 1992). In this study, the cholesterol content was kept constant at 30–35% mol in all liposomes, and considered to be an optimal fraction for sustained drug release (Bruglia et al., 2015). The inclusion of cholesterol to the lipid bilayer leads to significant enhancement of doxorubicin transport across the

bilayer at temperatures above the T_g threshold (Farzaneh, 2018). Cholesterol is hypothesized to facilitate doxorubicin transition by reducing the hydrophobicity of the bilayer, which is composed of saturated phospholipids with high logP values. DMPC liposomes have been reported to encapsulate slightly less cargo compared to liposomes consisting of longer saturated phospholipids like 16:0 PC-18:0 PC (Farzaneh, 2018).

In this study, both DMPC-rich formulations (i.e. DMPC₁₀₀ and DMPC₁₀) were found to have a high EE% ($>80\%$) with a potential influence of cholesterol on this outcome (Fonseca et al., 1997). In fact, the presence of cholesterol in DMPC bilayers reduces the membrane fluidity near the bilayer's surface, whereas increased fluidity has been observed near the bilayer centre (Subczynski et al., 2017); therefore elevated rates of drug transport. Alves et al. have shown specifically that cholesterol can facilitate a drug's accumulation into the cholesterol-rich, liquid-ordered regions of the liposomal bilayer, by strengthening the hydrogen bonding between the drug and the polar heads of both phospholipids and cholesterol species (Alves, 2017). Accordingly, cholesterol could influence the DSPC-rich bilayer rigidity (pre-transition onset – lower than 55-56C – was observed at DSC thermographic analysis) and therefore promote doxorubicin diffusion across the bilayer, resulting in increased drug encapsulation (almost 90%) for incubation at temperatures ≥ 60 C.

In the case of a DSPC content lower than 20% mol, liposomes (i.e., DMPC₃, DMPC₅) are mainly characterised by mixed liquid-crystalline and liquid-fluid phase regions. The cholesterol-modulated impact on the bilayer's rigidity may have contributed to the formation of ordered segregated domains on the planar membrane, as evidenced by rather high T_g levels observed at ~ 51 C (Soto-Arriaza et al., 2013; Raffy and Teissié, 1999; Soto-Arriaza et al., 2013). This may have resulted in a lower diffusion rate of doxorubicin across the liposomal membrane, which was reflected by lower encapsulation efficiency levels (EE% levels almost 1.3-fold lower). A free-energy mismatch, over the partitioning of the drug to the assorted liquid-ordered and liquid-disordered regions of the binary lipid membrane, could somehow slow or even inhibit the

translocation process.

No further study on membrane rigidity was performed, but further investigations may be required to better understand the intermolecular interactions between cholesterol and DSPC/DMPC phospholipid mixtures containing up to 20% mol DSPC.

Drug release kinetics is a critical parameter used to define the ability of liposomes to deliver a sufficient dose of the payload(s) over time to the pathological areas of interest (Wallace et al., 2012). Many reports have shown that PC lipids with longer fatty acid chains possess larger head-polar groups and stronger lipid-chain cohesion forces, as well as exerting stronger steric effects and undergoing hydrolysis more slowly. In the present study we expected to observe a more sustained drug release with liposomes high in DSPC content and with a predicted release of DSPC \gg DPPC $>$ DMPC (Mohammed et al., 2004; Swenson et al., 2001).

However, DSPC₁₀₀ liposomes (upon prior purification) showed a burst release of the loaded doxorubicin, with $>85\%$ of the loaded drug being released within the first 48 h (Fig. 7), according to Korsmeyer-Peppas kinetic release model accounted to Fickian diffusion ($\log C/C_0$ vs $\log t$, where C is the drug amount at t time and C_0 the maximum drug content; linear fitting $R^2 = 0.998$, $n < 0.5$ for sphere shaped delivery matrixes). The main reason for this result could be attributed to the final low lipid concentration of the vesicles (i.e., ≤ 0.6 mg/mL). Moreover, the drug-to-lipid ratio for an EE% of nearly 90% (corresponding to 0.18 mg dox/mg lipid in suspension) and a final DSPC concentration between 0.4 and 0.6 mg/mL, reaches values up to 1:2.5. This proportion is considered rather high despite the active encapsulation mechanism of doxorubicin. In this setting, it is possible to assume a potential semi-crystallisation of doxorubicin; as a consequence, the vesicles could be unable to retain the entrapped doxorubicin-sulphate salt complex under physiological conditions, resulting in a faster release. In accordance to this observation, it has been reported that unbundled doxorubicin molecules have a 5-fold faster release profile ($\sim 60\%$ release in human plasma in first 20 min after injection) compared to their bundled form (only 10% release) (Cohen, 2014).

DMPC₁₀₀ liposomes released up to $\sim 45\%$ of the loaded drug within the first 48 h at 37C (Fig. 7). These release kinetics corresponded to the first order release model (log percentage of drug release vs time; linear fitting $R^2 = 0.959$, slope -1.24) (Muderhwa et al., 1999). Surprisingly, these liposomes although with a shorter alkyl chain phospholipid (and lower T_g), exhibited a sustained drug release profile compared to the DSPC₁₀₀ vesicles.

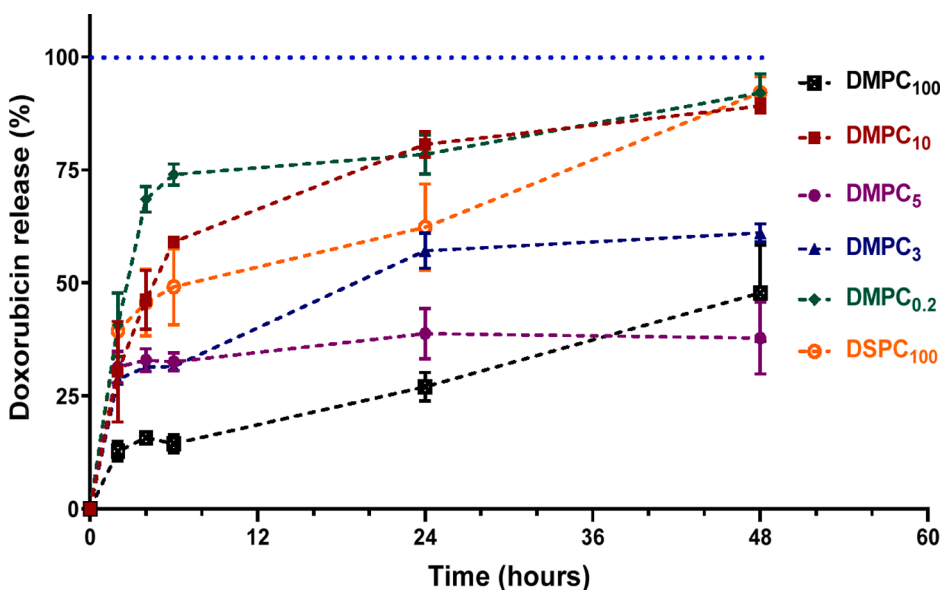


Fig. 6. Doxorubicin release studies. Doxorubicin-loaded DSPC/DMPC mixed liposomes were dialyzed under simulated physiological conditions (1x PBS, pH 7.4, 37C, 48 h). Samples were taken at different time intervals and analyzed by RP-HPLC. DMPC₁₀₀ (black square, black dotted line), DMPC₁₀ (red square, red dotted line), DMPC₅ (purple dot, purple dotted line), DMPC₃ (blue triangle, blue dotted line), DMPC_{0.2} (green rhombus, green dotted line) and DSPC₁₀₀ (orange dot, orange dotted line). Data are plotted as mean \pm st.dev. of $n = 3$ independent samples for each preparation; The blue dashed line represents 100% released doxorubicin.

Among the DSPC/DMPC mixed liposomes, the formulation with the most prolonged release profile was DMPC₅, with maximal release of $\sim 38\%$ of the doxorubicin from the liposomes during the 48 h incubation; a rapid release observed over the first 2 h (Fig. 6). The DMPC₃ formulation was able to release approximately 60% of encapsulated doxorubicin over 48 h incubation, with almost 30% of the drug to be released after the first 6 h of incubation followed by a gradual increase over time. The kinetics of doxorubicin release corresponded to the first order release model for the DMPC₃ formulation (log percentage of drug release vs time; linear fitting $R^2 = 0.975$, slope -0.65), whereas the DMPC₅ formulation exhibited zero-order kinetics ((cumulative percentage of drug release vs time; linear fitting $R^2 = 0.902$, slope 0.31). This result could be attributed to the high transition temperature (around 51C) of the formulation, that is higher than the simulated physiological conditions used in the release studies (37C). In addition, both the DMPC₁₀ and DMPC_{0.2} formulations exhibited a burst release of the drug within the first 6 h of incubation, with almost complete release at 48 h.

Of note, the final phospholipid concentration of DMPC₁₀₀, as well as that of DSPC/DMPC mixed liposomes presented in this study, was between 0.6 and 0.9 mg/mL. This could explain the slower doxorubicin release when compared to DSPC₁₀₀ liposomes. A decrease of the phospholipid concentration could result in significant destabilisation of the bilayer integrity, leading to fusion and aggregation phenomena (Chong, 2004).

The poor capacity to retain doxorubicin in DSPC/DMPC mixed liposomes when compared to DMPC₁₀₀ liposomes could be explained by what was proposed by Pokorny et al. in 2001. In (1:1) DSPC/DMPC mixtures, the ($s + l_d$) coexistence region is characterised by defect-rich regions between 30C and 44C, which could be phase boundaries between solid and liquid domains (Pokorny et al., 2001). Moreover, it was suggested that the relative amounts of solid and liquid phases are similar at 34C ($\sim 65\%$ of (s) solid phase is present). As a result, fast association kinetics of solutes were observed in their study, as regards the insertion of amphiphile molecules into the formed DSPC/DMPC LUVs. However, when cholesterol was incorporated in the bilayer resulting in a solid-liquid phospholipids/cholesterol mixture ($s + l_o$), the rate of amphiphile insertion was severely decelerated (Pokorny et al., 2001). The inclusion of cholesterol in the PC mixture should increase the proportion of solid-like phases within the bilayer, with different transition states at physiological temperatures (Pokorny et al., 2001).

As a consequence, the significantly prolonged release of doxorubicin in DMPC₃ and DMPC₅ liposomes could be attributed to three leading

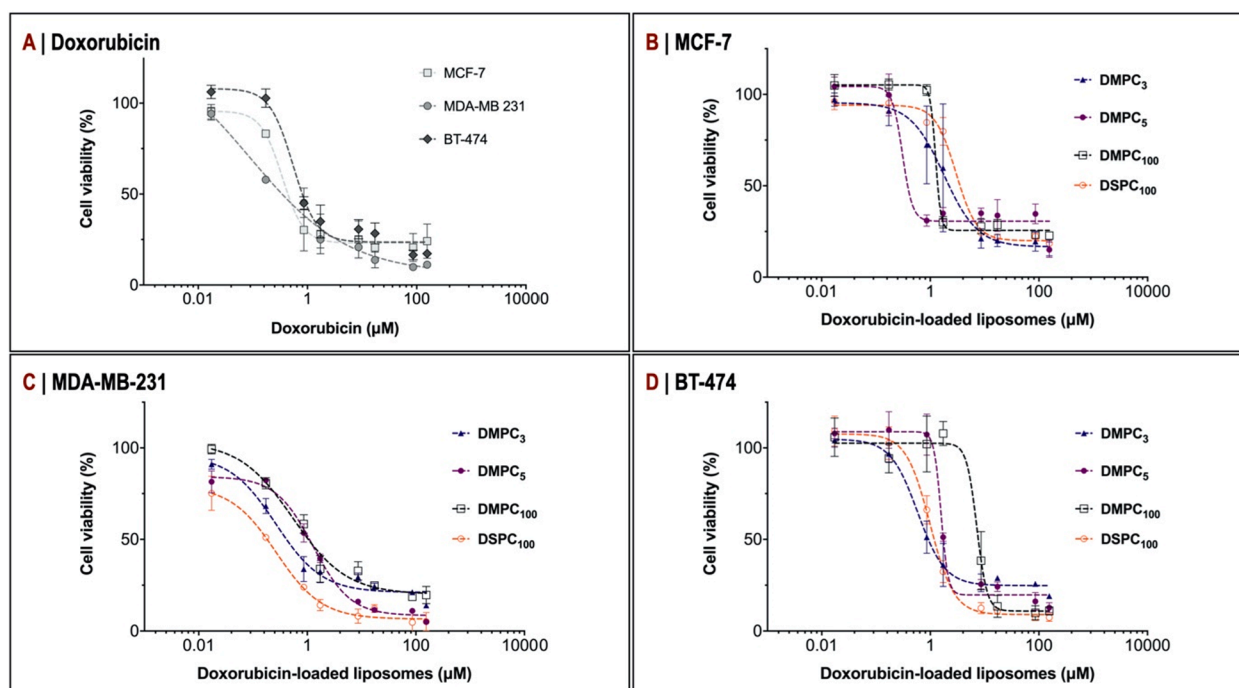


Fig. 7. Cellular mitochondrial metabolic activity (measured by MTT assay) of human breast cancer cells (MCF-7, MDA-MB 231 and BT-474). **A)** Cell viability after 48 h incubation with free doxorubicin in cell culture media (0–155 μM); **B)** MCF-7 cell viability after 48 h incubation with selected doxorubicin-encapsulated mixed phosphatidylcholine liposomes (0–155 μM doxorubicin); **C)** MDA-MB-231 cell viability after 48 h incubation with selected doxorubicin-encapsulated mixed phosphatidylcholine liposomes (0–155 μM doxorubicin); **D)** BT-474 cell viability after 48 h incubation with selected doxorubicin-encapsulated mixed phosphatidylcholine liposomes (0–155 μM doxorubicin). Cell viability was calculated as a percentage of the untreated controls, and each point represents the mean of three independent experiments and data are expressed as mean \pm st.dev.

factors:

- 1) The presence of cholesterol could stabilize/equilibrate the separately distributed gel-liquid phase regions within the bilayer, sealing any inconsistencies at the interfaces. Soto-Arriaza et al. have reported that at 33.3 mol% of cholesterol content, the proportion of the ordered domains in DSPC/DMPC mixtures reached a maximum, even when bilayers heated at 37.5C (Soto-Arriaza et al., 2013). At this ratio, the membrane defects or membrane-free volumes are significantly minimised (Soto-Arriaza et al., 2013; Lasic, 1995). In agreement with this, Subczynski et al. have reported the formation of a complete liquid-ordered (l_o) phase in a DMPC/Chol bilayer consisting of \sim 30–50 mol% cholesterol content (Subczynski et al., 2017).
- 2) Partial crystallization of the actively loaded doxorubicin and physical intermolecular interactions govern its diffusional behaviour across PC:Chol bilayers. A lower drug-to-lipid ratio (up to 1:6 in this case) possibly facilitates complete precipitation/crystallization of the drug-counterion salt, $\text{DOX}_2(\text{SO}_4)$. The low solubility of $\text{DOX}_2(\text{SO}_4)$ salt (<2 mM) minimises the intraliposomal osmotic pressure and as such preserves vesicle integrity (Santos, 2004). Additionally, at low intraliposomal pH $>90\%$ of doxorubicin species are in the protonated form (Alves, 2017). Either cationic or neutral doxorubicin is found to have a low phospholipid/water partition coefficient (K_p) when transported through cholesterol-containing PC bilayers (Gallois et al., 1996; Modi and Anderson, 2013), particularly in the case of longer acyl chains. A low membrane partitioning, associated with minimal intravesicular membrane binding, reduces significantly the intrinsic rate constant of the drug (Allen et al., 2002).
- 3) Steric stabilisation of PEGylated liposomes (Viitala and Saija Pajari, 2019). PEGylation increases the phase transition temperature of spherical vesicles, with an increased bilayer thickness and an improved shielding that could change the surface pressure of the

bilayer (Rissanen, 2014). Furthermore, PEG imparts repulsive ‘protective’ forces on the entrapped drug molecules, by creating a physical barrier between the drug and the lipid bilayer (Wong et al., 2013).

We hence assume that small molecular alterations in the lipid composition as well as the inclusion of chemical stabilizers (Chol, PEG) can critically influence the fluidity of the lipid bilayer and consequently the drug release of the encapsulated therapeutics.

3.3. Effect of lipid composition on doxorubicin release: Cytotoxicity in human breast cancer cells

To assess the release of doxorubicin and evaluate their potential as drug delivery systems in a physiological system, drug-free DMPC_{100} and DSPC_{100} liposomes, as well as their thermodynamically stable binary mixtures i.e., DMPC_5 and DMPC_3 liposomes, were selected for further studies. The selection was based on the doxorubicin release profiles (Fig. 6), to excluded the liposomes formulations with premature drug release (i.e., $\text{DMPC}_{0.2}$, DMPC_{10}) from further investigation.

Selected doxorubicin-loaded liposomes were tested for their cytotoxicity against a panel of breast cancer cells: the ER+/PR + hormone dependent MCF-7, the triple- negative MDA-MB 231 and the HER2 overexpressing BT-474 cells. The concentrations of liposomes used in this study were selected not to exceed 0.5 mg/mL of phospholipids, and to have the same initial concentration of loaded doxorubicin (155 μM), concentration typically used for cell studies (Abumanhal-Masarweh, 2019). Drug-free DMPC liposomes have been found to disrupt cancer cell membranes at ≥ 0.5 mM lipid concentrations, leading to premature cell death (Nagami et al., 2006). In addition, Nagami et al. have reported that short in length phospholipids (e.g. DMPC vs DPPC) and hybrid mixtures can induce significant apoptotic and necrotic activity in human leukaemia cells at a lipid concentration 0.3 mM, without the presence of

any anticancer agent (Heneý, 2010). However, our previous findings (Gkionis et al., 2020), and in accordance with other research (Øverbye, 2017; Nagami et al., 2006; Wen, 2018), have shown that drug-free phosphatidylcholine liposomes did not alter significantly the cell viability at any concentration tested. This may be attributed to the fact that the liposomal formulations administered in our study did not exceed 0.5 mM of final lipid concentration. Based on these reports, and on the kinetics of liposomes internalisation in MCF-7 cells (Supplementary Information Fig SI.3), we assessed cytotoxicity in the three cancer cell lines after 48 h of treatment exposure.

As expected, and similar to what is reported in the literature (Arif et al., 2013; Fang, 2014; You et al., 2018; Baciú et al., 2006); the cytotoxic profile of free doxorubicin over 48 h treatment was found to be highly potent (Fig. 7A), with IC₅₀ values in the range of few hundred nM for both MCF-7 and MDA-231, and slightly higher for the more resistant BT474 cells (Table 7).

All the selected concentrations of doxorubicin-loaded liposomes had a similar toxicity across the breast cancer cell lines tested (Fig. 7B-D), with IC₅₀ values higher than that of free doxorubicin and in the μM range (Table 7). DMPC₁₀₀ and DSPC₁₀₀ liposomes were both found to be less cytotoxic, with DSPC₁₀₀ liposomes slightly less potent than DMPC₁₀₀ liposomes. However, we might expect DSPC₁₀₀ liposomes to be more cytotoxic, based on their drug release rate (Fig. 6): DSPC₁₀₀ liposomes released almost all of the loaded doxorubicin after 48 h, whereas, DMPC₁₀₀ liposomes only released approximately 45% of the loaded drug at the same time point. DSPC/DMPC mixed liposomes exhibited rather comparable IC₅₀ values, which were similar to those of free doxorubicin (Table 7), indicating a potent cytotoxic effect. During drug release studies, DMPC₃ liposomes released almost 60% of loaded doxorubicin at 48 h, whereas DMPC₅ liposomes released approximately 38% of doxorubicin (Fig. 6). However, these studies were not performed at the lower pH values typical of endosomes/lysosomes, which could explain a different release profile than the one observed at physiological pH of 7.4.

The internalisation rate of the different liposomal formulations could also explain the higher efficacy of doxorubicin-loaded DMPC-rich liposomes. In fact, PC(18:0) liposomes were reported to be endocytosed to a lesser extent by triple-negative breast cancer cells than their shorter in carbon-length PC(14:0) and PC(12:0) counterparts (Nagami et al., 2006). DMPC/Chol liposomes also reportedly exhibited significantly increased cellular uptake than HSPC/Chol liposomes in 4 T1 murine mammary gland tumour cells (Nagami et al., 2006). This would explain why DSPC₁₀₀ liposomes showed a lower cytotoxic effect when compared to DMPC₁₀₀, DMPC₃ and DMPC₅ liposomes (Table 7).

Another interesting finding, derived from Baciú's et al. research (Toroz and Gould, 2019), can further explain the potent cytotoxicity of the DMPC formulations. Cationic amphiphilic drugs, including doxorubicin, despite being actively transported or diffused through cell model membranes, can also cross cell membranes via phospholipidosis; an ester hydrolysis mechanism. Fragments of monochain-stranded phosphatidylcholines, produced following degradation by their cationic drug payload, form micellar structures that engulf and transport the drug molecules faster within the cytoplasmic compartment (Toroz and Gould, 2019). The relatively high partitioning of doxorubicin species to DMPC membranes [88], as well as the higher propensity of DMPC liposomes to fuse with biological membranes, could amplify this phenomenon by facilitating the transfer of higher doses of the drug intracellularly.

4. Conclusions

In the present study, we fabricated liposomes with different mixtures of phosphatidylcholines, encapsulating the anticancer agent doxorubicin using microfluidic technology. The use of microfluidics allowed the control of processing parameters for the fabrication of monodispersed PEGylated mixed DSPC/DMPC liposomes with size range < 200 nm. Liposomes were fabricated loading doxorubicin and characterized for drug delivery purposes, by varying the % mol DSPC content

Table 7

Cytotoxicity of doxorubicin loaded liposomal formulations in breast cancer cells: IC₅₀ values of free doxorubicin drug and selected doxorubicin-loaded mixed phosphatidylcholine liposomes. Cell viability was determined by MTT assay after 48 h incubation with the treatment, and calculated as a percentage with respect to untreated controls. IC₅₀ values were calculated using data from MTT assay; data are expressed as mean ± st.dev. (N = 2, biological replicates for all cell lines tested).

IC ₅₀ (μM) Treatment	MCF-7	MDA-MB-231	BT-474
Free Doxorubicin	0.97 ± 0.72	0.48 ± 0.14	1.86 ± 0.80
DMPC ₁₀₀	3.10 ± 0.23	1.35 ± 0.11	4.20 ± 0.46
DMPC ₅	1.04 ± 0.28	0.62 ± 0.18	1.40 ± 0.57
DMPC ₃	0.93 ± 0.30	0.19 ± 0.01	1.42 ± 0.32
DSPC ₁₀₀	4.75 ± 0.62	1.08 ± 0.08	7.72 ± 2.57

within the lipid composition. The microfluidic method was optimized to have a single-step production with not post-processing steps and ease of scale up, as compared to conventional batch methods.

Among the formulations prepared, DMPC₅ and DMPC₃ liposomal formulations (containing up to 20% mol DSPC lipid) provided small, unilamellar vesicles that were characterized by high phase transition temperatures (T_g > 50C), as well as prolonged *in vitro* drug release profiles (<40% overall release) over an incubation period of 48 h. These formulations, however, have shown a reduced encapsulation efficiency when compared to the other liposomal formulation. As multiple factors account for the differences in the fluidity of the lipid membranes (e.g. cholesterol content, final lipid concentration, PEGylation degree, drug partitioning) further drug release characterization may be required to better understand the dynamics of the system, such as release at different pH values and temperatures.

DMPC/DSPC mixed doxorubicin-loaded liposomes with distinctive drug release profiles were selected and tested *in vitro* against a panel of breast cancer cell lines. DMPC₅ and DMPC₃ liposomes were found to have cytotoxicity (i.e. IC₅₀ values) similar to free doxorubicin, whereas the formulations composed of a single lipid species were less cytotoxic, and as expected. Further research using 3D tissue models would be useful to investigate the cellular internalization and anti-proliferative effects of the liposomes in a more relevant tumor associated micro-environment. This study reported on the use microfluidics for the single-step fabrication method for the fabrication of PCs mixed liposomes with controlled release profiles of chemotherapeutics, i.e. doxorubicin. Further optimisation on the formulation of drug-loaded liposomes using a single step automated system could not only provide a scalable manufacturing process, but also sustained system for the release of chemotherapeutics for cancer treatment.

CRedit authorship contribution statement

Leonidas Gkionis: Conceptualization, Methodology, Investigation, Validation, Formal analysis, Writing - original draft. **Harmesh Aojula:** Writing - review & editing. **Lynda K. Harris:** Writing - review & editing. **Annalisa Tirella:** Conceptualization, Formal analysis, Supervision, Writing - review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ijpharm.2021.120711>.

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