# <sup>1</sup> Modelling immune memory development

<sup>2</sup> Eleonora Pascucci · Andrea Pugliese

Abstract The cellular adaptive immune response to influenza has been analysed through several recent mathematical models. In particular, Zarnitsyna 5 et al. (2016) show how central memory CD8+ T cells reach a plateau after 6 repeated infections, and analyse their role in the immune response to further 7 challenges. In this paper we further investigate the theoretical features of 8 that model by extracting from the infection dynamics a discrete map that 9 describes the build-up of memory cells. Furthermore, we show how the model 10 by Zarnitsyna et al. (2016) can be viewed as a fast-scale approximation of a 11 model allowing for recruitment of target epithelial cells. Finally, we analyse 12 which components of the model are essential to understand the progressive 13 build-up of immune memory. This is performed through the analysis of sim-14 plified versions of the model that include some components only of immune 15 response. The analysis performed may also provide a theoretical framework 16 for understanding the conditions under which two-dose vaccination strategies 17 can be helpful. 18

<sup>19</sup> Keywords viral-immune mathematical model · secondary infections ·

 $_{20}$  immune memory  $\cdot$  multiscale model

#### 21 1 Introduction

3

Influenza is a serious infectious disease which affects the respiratory tract
 caused by RNA viruses of the family *Orthomyxoviridae*, the influenza viruses.

A. Pugliese

E-mail: and rea.pugliese @unitn.it

E. Pascucci Dipartimento di Matematica, Università degli Studi di Trento, via Sommarive 14, 38123 Povo (TN), Italy E-mail: eleonorapascucci11@gmail.com

Dipartimento di Matematica, Università degli Studi di Trento, via Sommarive 14, 38123 Povo (TN), Italy E mail: andrea pugliaco@unita it

<sup>24</sup> Due to its easy spread, every year many people get sick or die, making flu

<sup>25</sup> a constant social problem for worldwide public health. Several recent papers

<sup>26</sup> present mathematical models for describing immune response to influenza in-

27 fections.

Many studies have focused on the role of some immune components in the timing and the strength of the infection (Dobrovolny et al., 2013; Iwasaki and Nozima, 1977; Moore et al., 2019; Wu et al., 2018). Li et al. (2021) infer the relationship between the level of macrophage activation and the level of viral shedding. However, a deficiency of several of these studies is that the authors have considered only the case of a single primary infection.

Several papers have however studied the response to repeated infections, whether to homologous or heterologous strains. In particular, McCaw and coworkers have studied in a series of papers (Cao et al., 2016, 2015; Yan et al., 2016, 2019) how viral hierarchy and the interval between infections determine

#### 38 their outcome.

When a short time interval separates exposures, a primary infection pro-39 tects against a subsequent infection and the target cells present a lower suscept-40 ibility to infection with other influenza viruses (Cao et al., 2015). Increasing 41 the time period between two subsequent infections weakens the effectiveness 42 of CD8+ T cells. This, in turn, increases the duration of a second infection 43 and the achieved virus peak value (Zarnitsyna et al., 2016). Innate, humoral 44 adaptive and cellular adaptive immune responses work together to control the 45 infection, but epidemiological studies highlight the inability to quantify which 46 of them is more dominant (Dobrovolny et al., 2013; Yan et al., 2019). 47

The model by Zarnitsyna et al. (2016) centres on cellular response in the case of a heterologous challenge. A key feature of their model is the distinction between T-cells in lymph nodes and in the respiratory tract. Their results provide a theoretical basis for the build-up of immune response with repeated infections; in fact, it is shown that only after the second infection event, the immune memory reaches a level at which it is able to effectively suppress further infection challenges.

In this note, we intend to further investigate the theoretical features of the
 model by Zarnitsyna et al. (2016), and to assess which components of the model
 allow for the progressive build-up of immune memory. A better understanding

of different stages and components of the immune response may favour quicker

<sup>59</sup> effective treatments against viral infections and the development of vaccines.

<sup>60</sup> First (Section 2), following the informal arguments presented by Zarnitsyna

et al. (2016), we build a discrete map that synthetizes any infection event as an input-output map. The shape of the map determines whether a single infection, or several infections, are needed to build-up an effective immune

memory.

We continue (Section 3) developing an extended model by allowing for recruitment of new target epithelial cells and several other transitions; the model by Zarnitsyna et al. (2016) can be viewed as a fast-scale approximation of the extended model. In this way, the dynamics of the compartment is

<sup>69</sup> modelled also in the intervals between infections, thus allowing for a more thor-

ough exploration of the effect of the length of the interval between consecutive 70

infections on the infection dynamics for different parameter values. 71

Finally (Section 4), in order to understand which features of the model by 72 Zarnitsyna et al. (2016) allow for a gradual increase of immune memory, we 73

formulate very simplified versions of the model that include some compon-74

ents of immune response only, and study in which cases the immune response 75

increases with every new infection, and when the opposite occurs. Indeed, in 76

several simple models (Diekmann et al., 2018; Nowak and May, 2000) the lower 77 the immune level is (at least, in a certain range of levels) before an infection,

78 the higher it will be afterwards. 79

While the model by Zarnitsyna et al. (2016) is already a big simplification 80 of the underlying biology, it is way too detailed to be incorporated into a 81 multiscale immuno-epidemiological model (Barbarossa and Röst, 2015; Diek-82 mann et al., 2018; Gandolfi et al., 2015; Gilchrist and Sasaki, 2002); it may 83 then be useful to have a simple model, whose qualitative features resemble 84 those of more realistic models. 85

#### 2 The model by Zarnitsyna et al. (2016) 86

The model by Zarnitsyna et al. (2016) was developed for influenza and includes 87 target cells (that may be in susceptible S, infected I or refractory R states), 88 free virus V, antigens A, innate immune response M (a large compartment 89 including natural killer cells, and molecules such as cytokines and interferons) 90 and T-cells in different states (precursor  $T_P$ , proliferating  $T_E$ , resident  $T_R$ 91 and central memory  $T_M$ ), with the transitions outlined in Fig. 1. One of its 92 main aspects is the focus on the relationship between spatial heterogeneity, T 93 cell differentiation and migration. The authors distinguish proliferating T cells 94 in secondary lymphoid organs, such as lymph nodes, where the expansion of 95 influenza-specific CD8+ T cells occurs, from T cells resident in the respiratory 96 tract, the actual site of infection, where they can kill infected target cells. 97 The model and its variables are graphically presented in Fig. 1, while the 98

parameter values are in Table 1. Referring to the original paper (Zarnitsyna 99 et al., 2016) for a detailed presentation of the model assumptions, the main 100 transitions are the following: 101

Susceptible target cells are infected by free virions, and can also convert 102 into a refractory state R (in which they cannot be infected) under the 103 stimulus of the innate immune components, such as type 1 interferons. 104

Recruitment or death of target cells, and reversion from refractory to sus-105 ceptible state are neglected, since the model is tailored for acute infections, 106 and on that time scale the recruitment and reversion have a limited effect 107 (Zarnitsyna et al., 2016); see Section 3 for relaxing this assumption.

108

Infected target cells die at rate  $\delta$  and release free virions (possibly at cell 109 rupture) at rate p. Infected cells are also killed by T- cells migrated into 110 the respiratory tract. Free virions die at rate c. 111

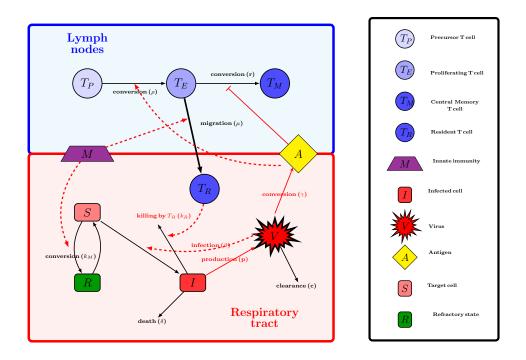


Figure 1 Scheme and variables of the model from Zarnitsyna et al. (2016)

The innate immune response increases towards its maximal value (set to 1),
 stimulated (according to a saturated function) by the presence of infected
 cells, while decreases to 0 in their absence.

<sup>115</sup> – Precursor T cells are recruited into proliferating cells, and these replicate, <sup>116</sup> at rate proportional to  $A/(\phi + A)$  where A is the antigen level, and  $\phi$  is the <sup>117</sup> half-saturation constant. At low antigen levels, profilerating T cells either <sup>118</sup> die by apoptosis or differentiate into memory cells. Proliferating T cells <sup>119</sup> in lymphoid organs migrate to the respiratory tract proportionally to the <sup>120</sup> level of local immune response. <sup>121</sup> The corresponding equations are the following

$$\begin{cases} S'(t) = -\beta S(t)V(t) - k_M M(t)S(t) \\ I'(t) = \beta S(t)V(t) - \delta I(t) - k_R T_R(t)I(t) \\ V'(t) = pI(t) - cV(t) \\ A'(t) = \gamma V(t) - d_A A(t) \\ M'(t) = \frac{\sigma_M I(t)}{\phi_{M} + I(t)}(1 - M(t)) - d_M M(t) \\ T'_P(t) = -\rho T_P(t)\frac{A(t)}{\phi_{+}A(t)} \\ T'_E(t) = \rho(T_P(t) + T_E(t))\frac{A(t)}{\phi_{+}A(t)} \\ -(\alpha + r)T_E(t)\left(1 - \frac{A(t)}{\phi_{+}A(t)}\right) - \mu T_E(t)M(t) \\ T'_R(t) = \mu T_E(t)M(t) - d_R T_R(t) \\ T'_M(t) = rT_E(t)\left(1 - \frac{A(t)}{\phi_{+}A(t)}\right) \\ R'(t) = k_M M(t)S(t). \end{cases}$$
(1)

**Table 1** Parameter definitions and default values (time units are d=days). All default values from Zarnitsyna et al. (2016), except for  $\delta_M$  and  $V_m$  which have been chosen by us as reasonable values.

β	virion infection rate	$TCID_{50}(ml)^{-1}d^{-1}$	$3 \cdot 10^{-5}$
$k_M$	rate of conversion to refractory state	$(Cells)^{-1} d^{-1}$	4
δ	death rate of infected cells	$d^{-1}$	1
$k_R$	killing rate by resident $T$ -cells	$(Cells)^{-1} d^{-1}$	$7 \cdot 10^{-3}$
p	virion release rate	$TCID_{50}d^{-1}$	0.04
<i>c</i>	virion death rate	$d^{-1}$	3
$\gamma$	antigen production	$d^{-1}$	0.3
$d_A$	antigen decay rate	$d^{-1}$	1.7
$\sigma_M$	innate immunity growth rate	$d^{-1}$	1
$\phi_M$	half saturation constant for innate immunity	Cells	1
$d_M$	decay rate of innate immunity	$d^{-1}$	0.2
ρ	proliferation rate of $T$ -cells	$d^{-1}$	2.15
$\phi$	half saturation constant for adaptive immunity	$TCID_{50}(ml)^{-1}$	50
α	death rate of proliferating $T$ -cells	$d^{-1}$	0.4
r	conversion rate into memory cells	$d^{-1}$	0.07
$\mu$	migration rate into respiratory tissues	$d^{-1}$	1.2
$d_R$	death rate of resident $T$ -cells	$d^{-1}$	0.1
$T_0$	initial and equilibrium value of target cells	Cells	$4 \cdot 10^{8}$
ε	growth rate of target cells (model $(7)$ )	$d^{-1}$	variable
$\eta$	reversion from refractory state (model (7))	$d^{-1}$	variable
$\delta_M$	decay rate of memory cells (model (7))	$d^{-1}$	$10^{-4}$
$V_m$	quantity in the infection rate of target cells	$TCID_{50}(ml)^{-1}$	$10^{-4}$
	(model (7))		
		1	

122

<sup>123</sup> Since it is assumed that there is no reversion back from the refractory state, <sup>124</sup> the equation for R(t) can be omitted.. (Zarnitsyna et al., 2016)3 It can also <sup>125</sup> be seen that the memory cells  $T_M$  do not appear to play any role in the model,

as the right hand side of (1) does not depend on  $T_M(t)$ . However they play

<sup>127</sup> a role in secondary infections, as Zarnitsyna et al. (2016) assume that they

<sup>128</sup> can play the same role as precursor cells  $T_P$ ; namely, the initial value of  $T_P$ 

in subsequent infections is taken as the final value of  $T_M$  (or of  $T_M + T_P$ ) in previous infections, as their decay rate can be neglected.

These considerations allow us to describe the system analysed by Zarnitsyna et al. (2016) through a discrete map. Precisely, note that all points of the subspace

$$\mathcal{M} = \{ (S, T_P, T_M, I, V, A, M, T_E, T_R) \in \mathbb{R}^9_+ : I = V = A = M = T_E = T_R = 0 \}$$

are equilibria for system (1). Moreover, their stability can be recognized through
 the quantity

$$R_0 = \frac{\beta Sp}{c\delta}.$$
 (2)

 $R_0$  represents the average value of free virions produced throughout its life by infecting susceptible cells which, in turn, will release free virions. Indeed  $\beta S/c$ represents the average number of cells infected by one viral unit, and p/c the average number of viral units released by an infected cell.

The stability of an equilibrium of (1) can be gathered by its Jacobian J that has the structure

$$J = \begin{pmatrix} 0_{1\times1} & B_{12} & B_{13} \\ 0_{2\times1} & B_{22} & 0_{2\times7} \\ 0_{7\times1} & B_{32} & B_{33} \end{pmatrix} \text{ with } B_{22} = \begin{pmatrix} -\delta & \beta S \\ p & -c \end{pmatrix}.$$

Here  $0_{m \times n}$  represents an  $m \times n$  matrix, with all entries equal to 0, while the other submatrices have appropriate dimensions.

Because J has recurring block triangular structure, its eigenvalues are 0, the eigenvalues of  $B_{22}$  and those of  $B_{33}$ .  $B_{33}$  is a triangular matrix, whose eigenvalues are its diagonal elements which are either 0 or negative. Both eigenvalues of  $B_{22}$  have negative real part if its determinant is positive, i.e.  $c\delta > \beta Sp$ , i.e.  $R_0 < 1$ . On the other hand, if  $R_0 > 1$ , one eigenvalue of  $B_{22}$  is positive. Hence, if S is such that  $R_0 > 1$ , i.e.  $S > \frac{c\delta}{\beta p}$ , then the equilibrium is unstable; if it is smaller, by looking at its centre manifold, it can be shown that the equilibrium is attracting from the interior of  $\mathbb{R}^9_+$ . Leaving out the coordinates equal to 0, we can identify  $\mathcal{M}$  with  $\mathbb{R}^3_+$ , divide it into the repelling and the attracting parts,

$$\mathcal{M}_{+} = \{ (S, T_P, T_M) \in \mathbb{R}^3_+ : S > \frac{c\delta}{\beta p}, T_P > 0 \}$$
$$\mathcal{M}_{-} = \{ (S, T_P, T_M) \in \mathbb{R}^3_+ : S < \frac{c\delta}{\beta p} \}.$$

The solutions of (1) join a starting point  $P_0$  in  $\mathcal{M}_+$  to a point  $P_1$  in  $\mathcal{M}_-$ , thus defining a map from  $\mathcal{M}_+$  to  $\mathcal{M}_-$ .

In order to reduce the problem to a simpler one-dimensional map, we fix 139  $S(0) = T_0$ , a level corresponding to a normal healthy individual, and V(0) =140  $V_0$ , the typical level of a virus inoculum, and define a map  $F : \mathbb{R}^+ \to \mathbb{R}^+$  as 141

$$F(T_P) = \lim_{t \to \infty} (T_P(t) + T_M(t))) \tag{3}$$

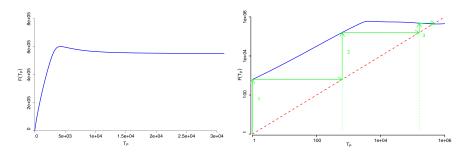
where  $T_P(t)$  and  $T_M(t)$  are the corresponding variables in the solution of (1) with

$$T_P(0) = T_P, \ S(0) = T_0, \ V(0) = V_0$$

and all other variables equal to 0 at t = 0. The rationale for this choice is that, 142

as stated above, memory cells at the end of an infection episode are taken as 143 equivalent to precursor cells at the beginning of the following one.

144



**Figure 2** Plot of the function F: a) over the range  $[0, 3 \cdot 10^4]$ ; b) over a larger range in logarithmic scale; the dotted line is the bisectrix  $y = T_P$ ; the arrows indicate the growth of memory cells in a primary (1), secondary (2) or tertiary (3) infection. Parameter values in Table 1.

It seems difficult to establish analytically the properties of the function F. 145 Instead, we computed numerically the function F, adopting the parameter 146 values used in Zarnitsyna et al. (2016), for all realistic values of  $T_{P,0}$ ; all 147 computations have been performed using the ode15s function of Matlab with 148 RelTol=  $10^{-8}$  and AbsTol=  $10^{-10}$ , after having scaled all variables (except 149 for M(t)) by dividing them by  $T_0$ . The Matlab code used for this will be made 150 available on-line. 151

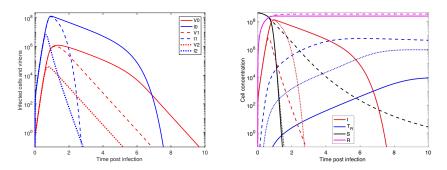
It has been found that  $F(T_{P,0})$  reaches a maximum, and then starts to 152 decrease (Fig. 2a); extending the range of  $T_{P,0}$ , one sees that for higher values 153 of  $T_{P,0}$   $F(T_{P,0})$  is again increasing, apparently to infinity (Fig. 2b). However, 154 by overlaying the bisectrix  $y = T_P$  (dotted line in Fig. 2b), one sees that, for 155 any value of  $T_{P,0}$  around 1, after two iterations  $F^n(T_{P,0})$  will be between 10<sup>5</sup> 156 and  $10^6$  and will quickly converge to the point  $T^*$  where the function F and 157 the bisectrix cross. 158

In other words, for the parameter values used and for the values of  $T_{P,0}$ 159 which are reasonable for a naive individual (Zarnitsyna et al., 2016)  $T_{P,0} \ll$ 160  $F(T_{P,0}) \ll F^2(T_{P,0})$  while  $F^2(T_{P,0}) \approx F^3(T_{P,0}) \approx \cdots \approx F^n(T_{P,0}) \approx T^*$ . In 161

this notation  $F^n(T_{P,0})$  represents the initial value of precursor T-cells of an individual that has already been infected n times with the virus.

The left panel of Fig. 3 shows how the infection pattern along the solutions 164 of (1) strongly depends on the initial value  $T_P(0)$ , confirming what is shown 165 by Zarnitsyna et al. (2016): if initially  $T_{P,0}$  is close to the value of  $T_M$  at the 166 end of a primary infection, the peak value of I and V (dashed lines in Fig. 3) 167 are close to those obtained in case of a primary infection (solid lines), but the 168 infection length is much shorter; if the initial value of  $T_P$  is close to the value 169 of  $T_M$  at the end of this secondary infection (dotted lines), the peak infection 170 values decrease by a couple of orders of magnitude, presumably resulting in 171





**Figure 3** Left panel: I(t) and V(t) solutions of (1) for different values of  $T_P(0)$ : I0 and V0 correspond to  $T_P(0) = 1$ ; I1 and V1 to  $T_P(0) = 6.26 \cdot 10^2 = T_{P,0}(\infty) + T_{M,0}(\infty)$ ; I2 and V2 to  $T_P(0) = 1.59 \cdot 10^5 = T_{P,1}(\infty) + T_{M,1}(\infty)$ . Right panel: Variables I(t),  $T_R(t)$ , S(t) and R(t) in the same solutions of (1) as in left panel; solid lines correspond to I0 and V0, dotted lines to I1 and V1, dashed lines to I2 and V2. Other initial values and parameters in Table 1.

172

To better understand the mechanism behind these differences, it is helpful to observe other variables of the system (right panel of Fig. 3). First of all, note that  $I_0(t)$  has three different exponential phases: in the first period, its growth rate is close to

$$r = \sqrt{p\beta T_0 + \frac{(c-\delta)^2}{4}} - \frac{(c+\delta)}{2},$$
(4)

<sup>177</sup> since S(t) is close to the initial value  $T_0$ . At the end of this period, S(t)<sup>178</sup> drops around 0, since, under the action of the innate immune response, most <sup>179</sup> target cells convert to the refractory state; hence,  $I_0(t)$  decays at rate  $\delta$ , as <sup>180</sup> the resident T cells are still at low concentrations. When  $T_R(t)$  reaches values <sup>181</sup> around  $10^2$ , the factor  $k_R T_R(t)$  is no longer negligible and the decay of  $I_0(t)$ <sup>182</sup> occurs approximately at a rate  $\delta + k_R \overline{T_R}$  where  $\overline{T_R}$  represents an average value <sup>183</sup> of  $T_R(t)$  in this final phase.

In a secondary infection, because of the recruitment of memory cells,  $T_R(t)$ reaches values of the order  $10^2$  at the same time as S(t) starts dropping from the initial value  $T_0$ ; thus, there are only two phases in the dynamics of infected

<sup>187</sup> <u>cells</u> I(t), the second one being a decay with approximate rate  $\delta + k_R T_R$  where

 $T_R$  is again the average value of  $T_R(t)$  in the second phase, which is higher

<sup>189</sup> than in the case of a primary infection.

Finally, in a tertiary infection,  $T_R(t)$  reaches values of the order 10<sup>2</sup> before 190 any significant decrease of S(t); this means that the phase of exponential 191 growth of I(t) is shorter and the peak value lower than in the previous cases; 192 correspondingly S(t) decreases much more slowly, and this in turn causes a 193 slightly lower rate of decrease of I(t). Although  $T_E(t)$  is initially larger, like 194  $T_R(t)$ , than in secondary infection, the lower values reached by A(t) make it 195 increase less after the peak, so that the final value of  $T_M(t)$  are comparable, 196 as shown by Fig. 2b. 197

<sup>196</sup> Clearly, these specific results are contingent upon the parameter values <sup>197</sup> estimated by Zarnitsyna et al. (2016). One of the most relevant ones is the <sup>200</sup> replication rate  $\rho$  of proliferating T cells; if it were increased by 50%, one <sup>201</sup> infection would suffice to develop enough immune memory to control all further <sup>202</sup> infectios; if it were decreased by 50%, the adaptive immune system would not <sup>203</sup> be effective at all, and the control of infections would be due to the innate <sup>204</sup> system only (simulations not shown).

### 205 3 A multiscale model

<sup>206</sup> 3.1 Formulation and short-term dynamics

In the previous Section, it was implicitly assumed that between one infection and the next one the target cells had recovered their initial level, through the production of new cells and the reversion from refractory to sensitive state, that all proliferating and effector T-cells had disappeared, while memory T cells were at the level achieved after last infection.

In order to discuss how the length  $\tau$  of the interval between infection af-212 fected the dynamics, Zarnitsyna et al. (2016) assumed that in a secondary 213 infection proliferating and effector T-cell started from the level  $T_E(\tau)$  and 214  $T_R(\tau)$  reached in the primary infection, while susceptible target cells were any-215 way at the initial level  $T_0$ . In this way, Zarnitsyna et al. (2016) show how an 216 infection after  $\tau = 30$  days is completely controlled by resident T cells, while 217 one after  $\tau = 1$  year is described by the simulations shown in Fig. 3. However, 218 the assumption appears somewhat artificial, and it makes it impossible to as-219 sess for which rates of recruitment and reversion to susceptibility of target 220 cells the picture is correct: if the recruitment of target cells is very slow, they 221 might not have returned to the original level in 30 days, while if it is large, the 222 dynamics provided by (1) may be inaccurate, since this process is neglected 223 there. 224

In order to address these questions, we present here a model where all these transitions are incorporated into the differential equations, allowing for <sup>227</sup> a continuous description of the dynamics with and without infection. We start <sup>228</sup> from model (1), adding the necessary transitions.

First, we assume that target cells in the refractory state, R, will revert to sensitive state at rate  $\eta$  (a small parameter). We also assume that target cells (both in sensitive and refractory state) will proliferate according to a logistic model (an assumption used in several models e.g., Cao et al. (2015); Yan et al. (2019) ) at rate  $\varepsilon \left(1 - \frac{T+R+I}{T_0}\right)$ , where  $T_0$  represents the healthy values (to which they would return after perturbations) for target cells, where  $\varepsilon$  is another small parameter.

Furthemore, we assume that also memory cells will die but over a very long time scale, much longer than the scale over which target cells recover their normal density; we assume a rate  $\delta_M \ll \varepsilon$ .

<sup>239</sup> These assumptions translate into the following model

$$\begin{cases} S'(t) = -\beta S(t)V(t) - k_M M(t)S(t) + \eta R(t) \\ +\varepsilon(S(t) + R(t)) \left(1 - \frac{S(t) + R(t) + I(t)}{T_0}\right) \\ R'(t) = k_M M(t)S(t) - \eta R(t) \\ I'(t) = \beta S(t)V(t) - \delta I(t) - k_R T_R(t)I(t) \\ V'(t) = pI(t) - cV(t) \\ A'(t) = \gamma V(t) - d_A A(t) \\ M'(t) = \frac{\sigma_M I(t)}{\phi_M + I(t)} (1 - M(t)) - d_M M(t) \\ T'_P(t) = -\rho T_P(t) \frac{A(t)}{\phi + A(t)} \\ T'_E(t) = \rho (T_P(t) + T_E(t)) \frac{A(t)}{\phi + A(t)} \\ -(\alpha + r)T_E(t) \left(1 - \frac{A(t)}{\phi + A(t)}\right) - \mu T_E(t) M(t) \\ T'_R(t) = r T_E(t) M(t) - d_R T_R(t) \\ T'_M(t) = r T_E(t) \left(1 - \frac{A(t)}{\phi + A(t)}\right) - \delta_M T_M(t). \end{cases}$$
(5)

<sup>240</sup> If we set  $\varepsilon = \eta = \delta_M = 0$ , (5) reduces to (1) that can then be considered as <sup>241</sup> an approximation over short time scales.

However, system (5) cannot effectively represent the long-term dynamics over repeated infections.

One problem is that memory cells do not affect the dynamics of the system, as the equations of the other variables are independent of  $T_M$ . To obviate this problem, we choose a simple modification of the system, in the spirit of the assumption by Zarnitsyna et al. (2016) that memory cells are equivalent to precursor cells in subsequent infections. For the sake of simplicity, we assume that memory cells can differentiate exactly as precursor cells at all times. Namely, we modify the equations for  $T'_E$  and  $T'_M$  in (5) to

$$T'_{E}(t) = \rho(T_{P}(t) + T_{E}(t) + T_{M}(t))\frac{A(t)}{\phi + A(t)} - (\alpha + r)T_{E}(t)\left(1 - \frac{A(t)}{\phi + A(t)}\right) - \mu T_{E}(t)M(t)$$

$$T'_{M}(t) = rT_{E}(t)\left(1 - \frac{A(t)}{\phi + A(t)}\right) - \rho T_{M}(t)\frac{A(t)}{\phi + A(t)} - \delta_{M}T_{M}(t).$$
(6)

To understand the other problem, note that for system (5)-(6) the subspace of infection-free equilibria is

$$\{(T_0, T_P, 0, 0, 0, 0, 0, 0, 0, 0, 0)\}$$

where only the coordinate  $T_P$  is arbitrary.

Such equilibria are unstable if and only if  $R_0 > 1$ , independently of the value of  $T_P$ .

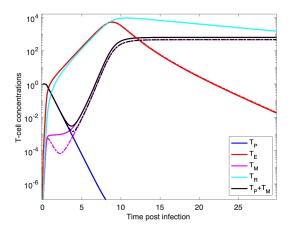
If  $R_0 < 1$ , infections are impossible. If  $R_0 > 1$  (as it will always be assumed), 254 solutions of (5), starting close to the equilibrium with  $V(0) = V_0$ , will follow 255 the path of Fig. 3 with a large increase of V(t) and I(t) followed by a quick 256 decrease towards 0. However, as the solutions approach again the infection-257 free equilibrium, I(t) and V(t) start increasing again, as soon as  $R_0 \frac{S(t)}{T_0} > 1$ , 258 giving rise to a second infection episode, in absence of any reinfection, and 259 possibly arriving, after several infection cycles, to a chronically infected state 260 (see Hancioglu et al., 2007). We deem that for many infections (e.g. influenza, 261 for which parameter values have been set) such dynamics is non-realistic. When 262 the model predicts extremely low values for I(t) or V(t), that state should be 263 interpreted as a virus-free host, and thus a new infection episode should require 264 a reinfection. 265

A possible solution is to set up a stochastic model, in which numbers of 266 cells can only have integer values. A simpler solution is to modify the rule 267 for infections of target cells in (5), making the equilibria stable. Precisely, the 268 term  $\beta S(t)V(t)$  is multiplied by the factor  $V/(V + V_m)$  where  $V_m$  is a very 269 small value (we choose  $V_m = 10^{-4}$ , but the exact value is largely irrelevant); 270 in this way, the dynamics is basically identical to (5) as long as  $V \gg V_m$ , 271 but the infection-free equilibria become stable (the model becomes a so-called 272 excitable system). Through this term, we are assuming that a very small virus 273 inoculum is insufficient to cause an infection, although the actual mechanisms 274 may be different (Li and Handel, 2014; Pugliese and Gandolfi, 2008). 275

<sup>276</sup> Hence, the final system that we consider is

$$\begin{cases} S'(t) = -\beta S(t) \frac{V^{2}(t)}{V_{m}+V(t)} - k_{M}M(t)S(t) + \eta R(t) \\ +\varepsilon(S(t) + R(t)) \left(1 - \frac{S(t) + R(t) + I(t)}{T_{0}}\right) \\ R'(t) = k_{M}M(t)S(t) - \eta R(t) \\ I'(t) = \beta S(t) \frac{V^{2}(t)}{V_{m}+V(t)} - \delta I(t) - k_{R}T_{R}(t)I(t) \\ V'(t) = pI(t) - cV(t) \\ A'(t) = \gamma V(t) - d_{A}A(t) \\ M'(t) = \frac{\sigma_{M}I(t)}{\phi_{M}+I(t)}(1 - M(t)) - d_{M}M(t) \\ T'_{P}(t) = -\rho T_{P}(t) \frac{A(t)}{\phi_{+}A(t)} \\ T'_{E}(t) = \rho(T_{P}(t) + T_{E}(t) + T_{M}(t)) \frac{A(t)}{\phi_{+}A(t)} \\ -(\alpha + r)T_{E}(t) \left(1 - \frac{A(t)}{\phi_{+}A(t)}\right) - \mu T_{E}(t)M(t) \\ T'_{M}(t) = rT_{E}(t) \left(1 - \frac{A(t)}{\phi_{+}A(t)}\right) - \rho T_{M}(t) \frac{A(t)}{\phi_{+}A(t)} - \delta_{M}T_{M}(t). \end{cases}$$
(7)

One may note that, if decay of memory cells is neglected ( $\delta_M = 0$ ), one can introduce a variable  $\tilde{T}_P(t) = T_P(t) + T_M(t)$  instead of the two variables  $T_P(t)$ and  $T_M(t)$ , obtaining an equivalent system. We prefer to keep both variables, in order to be able to track the dynamics of memory cells.



**Figure 4**  $T_P(t)$ ,  $T_E(t)$ ,  $T_R(t)$  and  $T_M(t)$  solutions of (1) (solid lines) and of (7) with  $\varepsilon = \eta = \delta_M = 0$  (dashed-dotted lines). The dashed-dotted line is visible only for  $T_M(t)$  and the sum  $T_P(t) + T_M(t)$ , as the values of the other variables are practically identical in the two system. Parameter values in Table 1.

First of all, we wish to show how the dynamics of (7) with  $\varepsilon = \eta = \delta_M = 0$ compares to that of (1) (Fig. 4). As can be seen, the main difference being

the behaviour of memory cells that show a transient decrease in the increasing 283 phase of infection. This is a consequence of the modified equations (6) that 284 allow memory cells to form during the early phase of infection (when antigen 285 concentration is low) and then immediately differentiate into proliferating cells, 286 as antigen concentration increases. This phenomenon is probably not realistic, 287 but its quantitative impact is small (consider the logarithmic scale in Fig. 4) 288 and, since the sum  $T_P(t) + T_M(t)$  is very similar in the two cases at all times, 289 does not affect significantly the final level of memory cells. Therefore, we avoid 290 complicating the system with other stages and/or delays. 291

Furthermore, we wish to see how the system is sensitive to the values of 292  $\eta$  and  $\varepsilon$ . In Fig. 5, we show some simulations of (7) for different levels of the 293 parameters  $\varepsilon$  and  $\eta$  (and  $\delta_M = 0$ ), showing convergence to the corresponding 294

simulation with  $\varepsilon = \eta = 0$ .

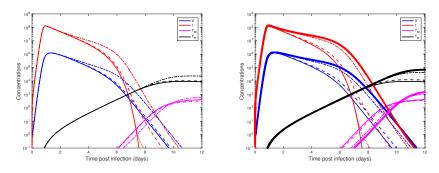


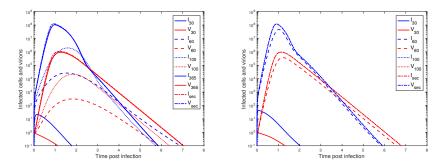
Figure 5 Left panel: simulations for primary infection dynamics of system (7) for different values of  $\varepsilon$  with  $\eta = 0$ :  $\varepsilon = 0$  (solid lines),  $\varepsilon = 10^{-4}$  (dotted lines),  $\varepsilon = 10^{-2}$  (dashed lines),  $\varepsilon = 0.1$  (dashed-dotted lines). Right panel: simulations for primary infection dynamics of systems (5) for different values of  $\varepsilon$  and  $\eta$ :  $\varepsilon = \eta = 0$  (solid lines);  $\varepsilon = 0, \eta = 10^{-4}$  (dotted lines);  $\varepsilon = 0$ ,  $\eta = 10^{-2}$  (dashed lines);  $\varepsilon = 0$ ,  $\eta = 0.1$  (dashed-dotted lines);  $\varepsilon = \eta = 0.1$ (thick lines with symbols). In both panels, lines of different colours correspond to different variables of the system, according to the legend. Other parameter values are in Table 1.

295

308

Considering the effect of the parameters  $\varepsilon$  and  $\eta$ , this is most visible in 296 the dynamics of the infected target cells I(t); even when  $\eta$  or  $\varepsilon$  are equal to 297  $10^{-4}$ , there is a noticeable difference (but remember the logarithmic scale) 298 from  $\varepsilon = \eta = 0$  in the values of I(t) late in the infection. The differences 299 increase when  $\eta$  or  $\varepsilon$  are larger and, when either  $\eta$  or  $\varepsilon$  or both are equal 300 to 0.1, a difference emerges also in the values of I(t) immediately after the 301 infection peak, so that infected cells maintain values above  $10^6$  for about a 302 day longer than with  $\varepsilon = \eta = 0$ . Minor differences appear also in the other 303 variables. Still, the overall infection dynamics is very similar with  $\eta = \varepsilon = 0$ 304 or  $\varepsilon = \eta = 0.1$ ; the main difference is that a few more days are needed for 305 complete clearance of infected cells. 306

This comparison appears to justify the use of (1) to analyse the short-term 307 infection dynamics, as in Zarnitsyna et al. (2016). For instance, with the values



**Figure 6** I(t) and V(t) solutions of system (7) starting after a reinfection.  $I_x$  and  $V_x$  represent a reinfection x days after a first infection.  $I_{sec}$  and  $V_{sec}$  instead represent solutions of system (7) starting with initial values as for the secondary infections in Fig. 3. Left panel:  $\varepsilon = \eta = 0.01$ ; right panel  $\varepsilon = \eta = 0.1$ . Other parameter values are in Table 1.

used by Cao et al. (2015) ( $\varepsilon = 0.8$ ,  $\eta = 0.05$ ), the short-term dynamics (not shown) is similar to that with  $\varepsilon = \eta = 0.1$ , though infected cells maintain high values a bit longer.

#### 312 3.2 Long-term dynamics and reinfections

It seems natural asking whether reinfections in model (5) induce a similar pattern to what is shown in Fig. 2b. As discussed above, when reinfections are considered in (1), as summarized in the function F, it is assumed that the target cells had recovered their initial level, and that all proliferating and effector T-cells had disappeared. On the other hand, the interval between infections should have a relevant effect on infection outcome, as shown by Cao et al. (2015) and partly in Zarnitsyna et al. (2016).

In Fig. 6 we show, for different values of the parameters  $\varepsilon$  and  $\eta$ , simulations of the dynamics after a reinfection, i.e. a quantity  $V_0$  is added to V(t) at some time t after the first infection.

It can be seen that, if t = 30 (i.e., a reinfection occurs 1 month after the 323 first infection), the infected target cells are immediately destroyed by the T324 cells still present at high concentrations in the respiratory tissue  $(T_R)$ , and 325 no substantial infection occurs, for all values of the parameters  $\eta$  and  $\varepsilon$ . This 326 confirms the results shown in Zarnitsyna et al. (2016). If the second infection 327 occurs later (t = 60 or t = 100), the dynamics depends on whether target cells 328 have already recovered the equilibrium value  $T_0$  (right panel:  $\varepsilon = \eta = 0.1$ ) 329 or not yet (left panel:  $\varepsilon = \eta = 0.01$ ); in any case for large delays (t = 365), 330 the pattern after the second infection becomes almost identical to that of the 331 second infection seen in Fig. 3. 332

#### 333 4 Simplified immune models

#### 334 4.1 Base simplified model

The simulations of the previous Section show that (1) successfully predicts the short-term dynamics of a primary infection in the more complex model (7); and that the function F built from that (Fig. 2) can be used for predicting the outcome of secondary (or tertiary infections), at least if the intervals between reinfections are long enough.

We plan here to assess which features of the model (1) are responsible for the shape of the function F, connecting the number of precursor T-cells at the beginning of an infection to those present at the beginning of a further infection event. In order to do so, we analysed simplified versions of (1) that included or not some features. The resulting models are not expected to be quantitatively realistic, but their qualitative agreement with Figures 2 and 3 is examined.

All models considered allow for a single variable, I(t), to represent infected cells, assuming that viral load V(t) and antigen concentration A(t) will be proportional to it. This is not quite true (see for instance Fig. 3), especially because of the difference in decay rates, but seems to be a simplification that does not affect the qualitative behaviour of solutions.

Precisely, assume in (1) V' = A' = M' = 0. This yields

$$V = \frac{p}{c}I \qquad A = \frac{\gamma}{d_A}V = \frac{\gamma p}{cd_A}I \qquad M = \frac{\frac{\sigma_M}{\sigma_M + d_M}I}{I + \frac{d_M\phi_M}{\sigma_M + d_M}}.$$
(8)

Substituting these relations in (1), neglecting the innate immune response M(t) and the refractory state of target cells leads to the following model

$$\begin{cases} S'(t) = -\beta' S(t)I(t) - k'_{M}S(t)\frac{I(t)}{\phi'_{M} + I(t)} \\ I'(t) = \beta' S(t)I(t) - k_{R}T_{R}(t)I(t) - \delta I(t) \\ T'_{P}(t) = -\rho T_{P}(t)\frac{I(t)}{\phi' + I(t)} \\ T'_{E}(t) = \rho (T_{P}(t) + T_{E}(t))\frac{I(t)}{\phi' + I(t)} \\ -(\alpha + r)T_{E}(t)\left(1 - \frac{I(t)}{\phi' + I(t)}\right) - \mu' T_{E}(t)\frac{I(t)}{\phi'_{M} + I(t)} \\ T'_{R}(t) = \mu' T_{E}(t)\frac{I(t)}{\phi'_{M} + I(t)} - d_{R}T_{R}(t) \\ T'_{M}(t) = rT_{E}(t)\left(1 - \frac{I(t)}{\phi' + I(t)}\right) \end{cases}$$
(9)

355 where

L

$$\beta' = \frac{p\beta}{c} \quad k'_M = \frac{k_M \sigma_M}{\sigma_M + d_M} \quad \mu' = \frac{\mu \sigma_M}{\sigma_M + d_M} \quad \phi' = \frac{cd_A \phi}{\gamma p} \quad \phi'_M = \frac{d_M \phi_M}{\sigma_M + d_M}.$$
(10)

One may notice that the action of innate immunity M(t) on target cells and on the migration of central effector cells to the respiratory tract has been substituted with the saturating function in I(t):  $\frac{I(t)}{\phi'_M + I(t)}$ .

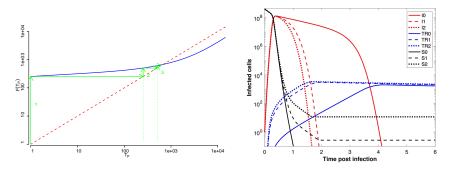


Figure 7 a) Plot of the map F resulting from (9) together with the bisectrix (dashed line); b) the variables I(t),  $T_R(t)$  and S(t), solutions of (9) for different values of  $T_P(0)$ : I0 correspond to  $T_P(0) = 1$ ; I1 to  $T_P(0) = 834 = T_{P,0}(\infty) + T_{M,0}(\infty)$ ; I2 to  $T_P(0) = 2023 = T_{P,1}(\infty) + T_{M,1}(\infty)$ . Parameter values are  $\beta' = 2.5 \cdot 10^{-7}$ ,  $k'_M = 3.33$ ,  $\mu' = 1$ ,  $\phi' = 2.12 \cdot 10^4$ ,  $\phi'_M = 0.8$ ,  $k_R = 0.0125$ ,  $\rho = 3.5$ . Other parameter values are as in Table 1.

In the right panel of Fig. 7, the values of I(t) are shown for three simulations of model (9) corresponding to a primary, secondary or tertiary infections. Most parameter values are the same as for (1) from Table 1 with the conversions (10), but  $\beta'$  was chosen a bit lower, and  $k_R$  and  $\rho$  somewhat larger (see the caption), in order that the peak values of I(t) and  $T_R(t)$  were similar to the simulations of Fig. 3. Anyway, the solutions with all the values as in Table 1 (not shown) are qualitatively similar to those of Fig. 7.

The dynamics of the infections in (9) is faster than in (1); this is expected, since the initial growth rate of I(t) in model (1) is r given by (4) while in (9) is  $r' = \beta' T_0 - \delta$ ; a simple computation shows that, if  $R_0 > 1$ , r' > r, and this holds even with the choice of  $\beta' < \frac{p\beta}{c}$  used in Fig. 7.

For the rest, the qualitative behaviour of (9) appears similar to that of (1). For instance, the map F built from model (9) is increasing over all its range, and iterates  $F^n(T_{P,0})$  quickly converge to a limiting value (Fig. 7a). Conversely, F is not as flat as in the case of (1) around the limiting point; this means that the number of memory cells formed increases with every new infection, and does not plateau after the second infection.

A second difference can be seen by looking at the development over time of infections started with different numbers of precursor cells: in this case, the peak viral load does not decrease when the number of precursor T-cells is high; the only effect is on the infection length (Fig. 7b). This is presumably due to the faster growth rate of infected cells in the first exponential phase; even in tertiary infections,  $T_R(t)$  does not reach values of the order of  $10^2$  before a big drop in susceptible target cells.

One of the properties that (9) shares with (1) is that the higher the level of immune response before an infection is, the more memory cells will be present afterwards. As discussed in the Introduction, many simple models of virusimmune response have instead the opposite feature: the lower the immune level before an infection is, the higher it will be afterwards. In order to understand which model features favour either property, we considered two further
simplifications of (9). In one of them we neglected depletion of target cells;
namely, we assumed that whichever is the level of viral infection, target cells
are promptly recruited and kept at a fixed density. In the other simplification,
we neglected the migration of effector T-cells to the periphery, and assumed

<sup>393</sup> that effector T-cells were immediately effective against the infection.

<sup>394</sup> 4.2 Model without target cell depletion

The model is like (9), except that S(t) is fixed at the level  $T_0$ . Hence

$$\begin{aligned}
I'(t) &= \beta' T_0 I(t) - k_R T_R(t) I(t) - \delta I(t) \\
T'_P(t) &= -\rho T_P(t) \frac{I(t)}{\phi' + I(t)} \\
T'_E(t) &= \rho(T_P(t) + T_E(t)) \frac{I(t)}{\phi' + I(t)} \\
&- (\alpha + r) T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right) - \mu' T_E(t) \frac{I(t)}{\phi'_M + I(t)} \\
T'_R(t) &= \mu' T_E(t) \frac{I(t)}{\phi'_M + I(t)} - d_R T_R(t) \\
T'_M(t) &= r T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right)
\end{aligned}$$
(11)

In this case it can be seen from Fig. 8a) that the function F is decreasing over the relevant range: in other words, the number of memory cells is higher after the primary infection than after further infections.

Correspondingly, the viral level is effectively controlled already in a second in-399 fection, slightly better than in a third infection (Fig. 8b). Fig. 8b) shows also 400 that the viral dynamics consists only of two phases: exponential growth until 401 the point the immune system has grown enough to bring it to an exponential 402 decrease. Instead, as already discussed, in models (1) and (9) one can see ( 403 Figures 3 and Fig. 7b) three phases in the primary infections where viral expo-404 nential growth is first slowed down by target cells depletion, before the immune 405 system sets in to cause fast exponential decrease of virus concentration. 406

<sup>407</sup> Note that in this simulation we decreased the value of  $T_0$  by one order <sup>408</sup> of magnitude compared to the value used for (1) or (9); otherwise, without <sup>409</sup> depletion of target cells, the viral density would grow to unrealistically high <sup>410</sup> values before being contrasted by the immune system. Equivalently, we could <sup>411</sup> have decreased the attack rate  $\beta$ .

A relevant difference between the previous models (1) or (9) and the current (11) is its long-term behaviour. Indeed, while the former systems have only infection-free equilibria, the latter, since susceptible target cells are constant, has (not considering the compartment  $T_M$ ) an infected equilibrium  $E^* = (I^*, 0, T_E^*, T_R^*)$  under the conditions

$$\beta' T_0 > \delta$$
 and  $\rho > 2(\alpha + r) + \mu'$ .

Its coordinates are

$$T_R^* = (\beta' T_0 - \delta)/k_R \qquad T_E^* = d_R T_R^* (\phi'_M + I^*)/(\mu' I^*)$$

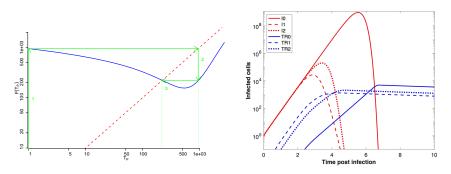


Figure 8 a) Plot of the function F resulting from (11) together with the bisectrix (dashed line); b) the variables I(t) and  $T_R(t)$ , solutions of (11) for different values of  $T_P(0)$ : V0 correspond to  $T_P(0) = 1$ ; V1 to  $T_P(0) = 944.3 = T_{P,0}(\infty) + T_{M,0}(\infty)$ ; V2 to  $T_P(0) = 216.8 = T_{P,1}(\infty) + T_{M,1}(\infty)$ .  $T_0 = 2 \cdot 10^7$ ; other parameter values as in Fig. 7.

while  $I^*$  is the only positive solution of

$$I^{2}(\rho > 2(\alpha + r) + \mu) + I(\phi'_{M}(\rho - 2(\alpha + r)) - \phi'(\mu + \alpha + r)) - (\alpha + r)\phi'_{M}\phi' = 0$$

For the parameter values used in the simulations, this equilibrium is unstable, and the solutions appear to converge to a periodic solution. For other parameter values  $E^*$  is asymptotically stable.

Since models (1), (9) and (11) make sense only for short-term dynamics, we are not interested in determining its exact long-term dynamics. However, it can provide an explanation for the shape of the function F in case of (11). We will examine this in the further simplified model (13).

## 419 4.3 Model with central effector cells immediately effective

This model is another variant of (9) in which viral cells are killed by the central
effector cells, without need for migration to respiratory tracts.

The simplest change is to let infected cells be killed by proliferating Tcells,  $T_E(t)$ , and, at the same time, ignoring their migration to the respiratory tissues. The resulting equations are

$$\begin{cases} S'(t) = -\beta' S(t) I(t) - k'_M S(t) \frac{I(t)}{\phi'_M + I(t)} \\ I'(t) = \beta' S(t) I(t) - k_R T_E(t) I(t) - \delta I(t) \\ T'_P(t) = -\rho T_P(t) \frac{I(t)}{\phi' + I(t)} \\ T'_E(t) = \rho (T_P(t) + T_E(t)) \frac{I(t)}{\phi' + I(t)} \\ -(\alpha + r) T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right) \\ T'_M(t) = r T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right). \end{cases}$$
(12)

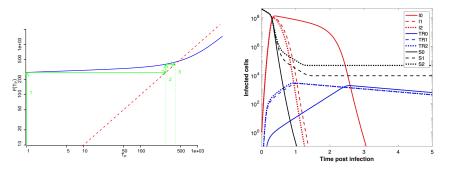


Figure 9 a) Plot of the function F resulting from (12) together with the bisectrix (dashed line); b) The variables I(t),  $T_E(t)$  and S(t), solutions of (12) for different values of  $T_P(0)$ : I0 correspond to  $T_P(0) = 1$ ; I1 to  $T_P(0) = 277.6 = T_{P,0}(\infty) + T_{M,0}(\infty)$ ; I2 to  $T_P(0) = 403.9 = T_{P,1}(\infty) + T_{M,1}(\infty)$ . Parameter values as in Fig. 7.

In this case, the function F is rather similar to the case of (9) (Fig. 9a), as is the pattern in primary, secondary and tertiary infections (Fig. 9b), except that the dynamics is even faster.

428 4.4 Model with central effector cells immediately effective and without target 429 cell depletion

 $_{430}$  Putting together the simplifications of (11) and (12), we obtain

$$\begin{cases} I'(t) = \beta' T_0 I(t) - k_R T_E(t) I(t) - \delta I(t) \\ T'_P(t) = -\rho T_P(t) \frac{I(t)}{\phi' + I(t)} \\ T'_E(t) = \rho (T_P(t) + T_E(t)) \frac{I(t)}{\phi' + I(t)} - (\alpha + r) T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right) \\ T'_M(t) = r T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right). \end{cases}$$
(13)

The system is too simplistic even to yield a reasonable short-term dynamics in
repeated reinfections. However, its analysis can provide a plausible explanation
about why in a model without target cell depletion as (11), the higher (at least
up to a certain level) the initial level of precursor or memory T cells, the lower
their level will be at the end of an infection.

Indeed in (13) (like in the previous short-term ones), memory cells play no role and , in presence of an infection, precursor cells turn into proliferating Tcells. Hence, after a short transient period, we can approximate (13) with the 2-dimensional system

$$\begin{cases} I'(t) = \beta' T_0 I(t) - k_R T_E(t) I(t) - \delta I(t) \\ T'_E(t) = \rho T_E(t) \frac{I(t)}{\phi' + I(t)} - (\alpha + r) T_E(t) \left(1 - \frac{I(t)}{\phi' + I(t)}\right) \end{cases}$$
(14)

440

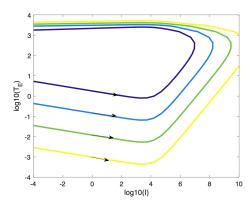


Figure 10 Some solutions of (14) for different initial values. Parameter values as in Fig. 8.

System (14) has the structure of a Lotka-Volterra predator-prey system. If

$$\beta' T_0 > \delta$$
 and  $\rho > 2(\alpha + r)$ 

there exists an equilibrium

$$E^* = (I^*, T_E^*)$$
 with  $I^* = rac{\phi'(\alpha + r)}{
ho - 2(\alpha + r)}, \ T_E^* = rac{eta' T_0 - \delta}{k_R}$ 

and the quantity

$$U(I, T_E) = \frac{\rho - \alpha - r}{k_R} \log\left(\frac{\phi' + I}{\phi' + I^*}\right) - \frac{a + r}{k_R} \log\left(\frac{I}{I^*}\right) + T_E - T_E^* - T_E^* \log\left(\frac{T_E}{T_E^*}\right)$$

 $_{441}$  is constant along the solutions of (14).

Some solutions are shown in Fig. 10 from which it appears that solutions starting around the beginning of an infection with a lower value of  $T_E$  (resulting from the conversion of a lower initial value of  $T_P$ ) end up with a higher value of  $T_E$  (a part of which will then by recruited as memory cells) at the end of the infection. The solutions of (14) (and presumably of (13)) continue to oscillate periodically, but, as already discussed, the systems in this Section make sense only for a single infection.

#### 449 5 Conclusions

We have re-analysed the model proposed by Zarnitsyna et al. (2016), clarifying better how its behaviour in subsequent infections relates to the properties of the discrete map F (Fig. 2). In particular, the fact that full immunity is essentially acquired after two infections depends on the fact that F is an increasing function over an interval that includes  $(T_{P,0}, F(T_{P,0}))$ , where  $T_{P,0}$ is the initial level of precursor T-cells, but essentially flat for larger values. 456 The conclusion seems quite robust, although the exact shape of the function

 $_{457}$  F (and thus the build-up of memory cells) will depend on parameter values,

<sup>458</sup> and on details of the model.

We have also shown how that model can be seen as the short time-scale approximation of a multi-scale model (7) that allows for recovery of target cells, and also for loss of memory cells. Whether reinfections can be approximated through the fast equations (1), connected by the discrete map F, depends on the interval occurring between reinfections, in agreement with the findings by Cao et al. (2016).

In our view, the model may provide a theoretical framework for analysing when a two-dose vaccination strategy is more effective than a one-dose strategy, and which is the optimal interval between doses. Clearly, a realistic model needs to include many more compartments and complex interactions. However, we believe that the idea of summarising the infection process in terms of a discrete map, and studying the properties of the discrete map is an effective method to discuss the issue.

Note that the models analysed here, like the model by Zarnitsyna et al. 472 (2016), ignore antibody response. Definitely, the lack of antibody response is 473 the main reason for the faster decay of infected cells than free virions when 474 the adaptive immune response sets in (see the left panel of Fig. 3). From the 475 biological point of view, the lack of antibody response in reinfections could 476 be justified by focusing on heterosubtypic reinfections that differ substantially 477 in virus proteins targeted by antibodies. Mainly, however, we believe that the 478 models considered here are sufficiently complex and parameter rich; we believe 479 that adding another layer of complexity would only obscure the theoretical 480 conclusions. However, it could be quite interesting adapting this approach to 481 models including antibody response. 482

As discussed in the Introduction, simple models of immune-pathogen in-483 teractions (André and Gandon, 2006; de Graaf et al., 2014; Diekmann et al., 484 2018; Nowak and May, 2000) yield a function F that is decreasing over most 485 of the realistic range (i.e. the lower is immune level before the infection, the 486 higher it will be afterwards). The analysis of different submodels of (1) al-487 lowed us to elucidate the main mechanisms behind the shape of the function 488 F. In particular, it has emerged that model (11) in which depletion of tar-489 get cells is neglected produces a function F that is initially decreasing, and 490 is qualitatively similar to the one used by de Graaf et al. (2014). Hence, we 491 believe that modelling the depletion of target cells (whether by viral infection, 492 or by them turning to a refractory state) is very important in modulating the 493 build-up of memory cells, and so the response of the immune system. We re-494 mark that depletion of susceptible target cells is an important component of 495 the model fitted to data of experimental infection by Hadjichrysanthou et al. 496 (2016). However, Moore et al. (2020) have recently examined, through the use 497 of a mathematical model, data from mice infected with influenza, concluding 498 that target cell depletion is unlikely to be an important factor in controlling 499

<sup>500</sup> influenza infections.

Heffernan and Keeling (2008) have analysed an immune-pathogen model 501 similar to (1) and have obtained a function F (their Fig. 8) somewhat similar in 502 shape to the one in Fig. 8. That model includes depletion of target cells; thus, 503 one may wonder why the function F is not increasing. According to us, the 504 reason lies in the large value of the recruitment parameter,  $\lambda_x$  of target cells, 505 so that target cells recover their equilibrium density on the same time-scale as 506 the infection, and their density decreases of a few percent at most. From this 507 comparison, one concludes that target cell depletion must be substantial for 508 the function F to be increasing. 509

A final remark concerns the simplified systems. From the comparisons of 510 Sections 4, it has emerged that system (12) yields a qualitative behaviour 511 roughly consistent with that obtained from more realistic models, such as (1). 512 This means that the distinction between T-cells in the lymphoid system and 513 in the respiratory tract, introduced by Zarnitsyna et al. (2016), does not seem 514 to be crucial for determining the qualitative patterns of reinfections, although 515 it definitely affects the speed of adaptive immune response. As system (12)516 is much simpler than (1), one might be tempted to build complex immuno-517 epidemiological models that include (12) as a low-dimensional ingredient. We 518

plan to explore this possibility in future work. 519

#### References 520

- André, J.-B. and Gandon, S. (2006), Vaccination, within-host dynamics, and 521 virulence evolution, Evolution (N. Y). 60, 13–23. 522
- Barbarossa, M. V. and Röst, G. (2015), Immuno-epidemiology of a population 523 structured by immune status: a mathematical study of waning immunity 524 and immune system boosting, J. Math. Biol. 71(6-7), 1737-1770.
- 525
- Cao, P., Wang, Z., Yan, A. W., McVernon, J., Xu, J., Heffernan, M., Kedzier-526
- ska, K. and McCaw, J. M. (2016), On the role of CD8+ T cells in determin-527 ing recovery time from influenza virus infection, Front. Immunol. 7(Decem-528
- ber), 611. 529
- Cao, P., Yan, A. W. C., Heffernan, J. M., Petrie, S., Moss, R. G., Carolan, 530 L. A., Guarnaccia, T. A., Kelso, A., Barr, I. G., McVernon, J., Laurie, K. L. 531
- and McCaw, J. M. (2015), Innate Immunity and the Inter-exposure Interval 532
- Determine the Dynamics of Secondary Influenza Virus Infection and Explain 533
- Observed Viral Hierarchies, PLoS Comput. Biol. 11(8), 1–28. 534
- de Graaf, W. F., Kretzschmar, M. E. E., Teunis, P. F. M. and Diekmann, 535 O. (2014), A two-phase within-host model for immune response and its 536 application to serological profiles of pertussis., Epidemics 9, 1–7. 537
- Diekmann, O., de Graaf, W. F., Kretzschmar, M. E. E. and Teunis, P. F. M. 538
- (2018), Waning and boosting : on the dynamics of immune status, J. Math. 539 Biol. 77(6-7), 2023–2048. 540
- Dobrovolny, H. M., Reddy, M. B., Kamal, M. A., Rayner, C. R. and 541
- Beauchemin, C. A. A. (2013), Assessing Mathematical Models of Influ-542

- enza Infections Using Features of the Immune Response, *PLOS ONE* 8(2), e57088.
- Gandolfi, A., Pugliese, A. and Sinisgalli, C. (2015), Epidemic dynamics and
   host immune response: a nested approach, J. Math. Biol. 70(3), 399–435.
- Gilchrist, M. A. and Sasaki, A. (2002), Modeling host-parasite coevolution, J.
   theor. Biol. 218, 289–308.
- <sup>549</sup> Hadjichrysanthou, C., Cauët, E., Lawrence, E., Vegvari, C., De Wolf, F. and
- Anderson, R. M. (2016), Understanding the within-host dynamics of influ-
- enza A virus: From theory to clinical implications, *Journal of the Royal Society Interface* **13**(119).
- <sup>553</sup> Hancioglu, B., Swigon, D. and Clermont, G. (2007), A dynamical model of hu-
- man immune response to influenza A virus infection, Journal of Theoretical
   Biology 246(1), 70–86.
- Heffernan, J. M. and Keeling, M. J. (2008), An in-host model of acute infection:
  Measles as a case study, *Theoretical Population Biology* 73(1), 134–147.
- <sup>558</sup> Iwasaki, T. and Nozima, T. (1977), Defense mechanisms against primary in-
- fluenza virus infection in mice. I. The roles of interferon and neutralizing
   antibodies and thymus dependence of interferon and antibody production.,
   *J Immunol.* 118(1), 256–263.
- Li, K., McCaw, J. M. and Cao, P. (2021), Modelling within-host macro phage dynamics in influenza virus infection, *Journal of Theoretical Biology* 508, 110492.
- Li, Y. and Handel, A. (2014), Modeling inoculum dose dependent patterns of acute virus infections, *Journal of Theoretical Biology* **347**(1), 63–73.
- <sup>567</sup> Moore, J. R., Ahmed, H., Manicassamy, B., Garcia-Sastre, A., Handel, A. and
- Antia, R. (2020), Varying Inoculum Dose to Assess the Roles of the Immune
   Response and Target Cell Depletion by the Pathogen in Control of Acute
- Viral Infections, Bulletin of Mathematical Biology 82(3), 1–14.
- Moore, J. R., Ahmed, H., McGuire, D., Akondy, R., Ahmed, R. and Antia,
   R. (2019), Dependence of CD8 T Cell Response upon Antigen Load During
- <sup>573</sup> Primary Infection, Bulletin of Mathematical Biology **81**(7), 2553–2568.
- Nowak, M. A. and May, R. M. (2000), Virus dynamics: Mathematical prin *ciples of immunology and virology*, Oxford Univ. Press.
- <sup>576</sup> Pugliese, A. and Gandolfi, A. (2008), A simple model of pathogen-immune
  dynamics including specific and non-specific immunity, *Math. Biosci.* 214(12), 73–80.
- <sup>579</sup> Wu, X., Wu, P., Shen, Y., Jiang, X. and Xu, F. (2018), CD8+ Resident
  <sup>580</sup> Memory T Cells and Viral Infection, *Frontiers in Immunology* 9, 2093.
- Yan, A. W. C., Cao, P., Heffernan, J. M., McVernon, J., Quinn, K. M., La
  Gruta, N. L., Laurie, K. L. and McCaw, J. M. (2016), Modelling crossreactivity and memory in the cellular adaptive immune response to influenza infection in the host, *Journal of Theoretical Biology* 413(November
- 585 2016), 34–49.
- 586 Yan, A. W. C., Zaloumis, S. G., Simpson, J. A. and McCaw, J. M. (2019), Se-
- quential infection experiments for quantifying innate and adaptive immunity
   during influenza infection, *PLOS Computational Biology* 15(1), e1006568.

589	Zarnitsyna,	V. I.,	Handel,	А.,	McMaster,	S.	R.,	Hayward,	S.	L.,	Kohlmeier
-----	-------------	--------	---------	-----	-----------	----	-----	----------	----	-----	-----------

- J. E. and Antia, R. (2016), Mathematical model reveals the role of memory
- <sup>591</sup> CD8 T cell populations in recall responses to influenza, *Frontiers in Im-*<sup>592</sup> *munology* 7(MAY), 1–9.