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Title: Plasma microRNAs profiling distinguishes patients with frontotemporal dementia from healthy subjects.

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Abstract: The purpose of this study was to develop an easy and minimally-invasive assay to detect a miRNA profile in Frontotemporal Dementia (FTD) patients, with the final aim of discriminating between FTD and healthy controls (HC). After a global miRNAs profiling, significant down-regulation of miR-663a, miR-502-3p and miR-206 ( $p=0.0001$ ,  $p=0.0002$ ,  $p=0.02$  respectively) in FTD patients was confirmed when compared to HC in a larger case-control sample. Moreover, miR-663a and miR-502-3p showed significant differences in both genders, whereas miR-206 only in male subjects. To obtain a discriminating measure between FTD and HC, we calculated a combined score of three miRNAs applying a Bayesian approach and obtaining a classifier with an accuracy of 84.4%. Moreover, for men, combined miRNAs levels showed an excellent sensitivity (100%) and a good specificity (87.5%) in distinguishing FTD patients from HC. All these findings open new hypotheses in the pathophysiology and new perspectives in the diagnosis of a complex pathology as FTD.

## **Highlights**

Discovery analysis of 752 miRNAs identified a dysregulation of 10 miRNAs in FTD patients

Validation study confirmed a down-regulation of miR-663a, miR-502- 3p, miR-206 in FTD

A combined score was calculated with 84.4% accuracy to discriminate FTD from HC

Analysing by gender, differences of miR-206 levels were specific for men

Predicted target genes of three miRNAs are involved in several neurological pathways

**Plasma microRNAs profiling distinguishes patients with frontotemporal dementia from healthy subjects.**

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**Abbreviations:** Frontotemporal lobar degeneration: FTL, behavioral-variant frontotemporal dementia: bvFTD, primary progressive aphasia: PPA, semantic variant PPA: svPPA, nonfluent variant PPA: nvPPA, TAR DNA-binding protein 43: TDP-43, ubiquitin proteasome system-positive: UPS, Microtubule Associated Protein Tau: MAPT, Progranulin: GRN, Chromosome 9 open reading frame 72: C9ORF72, Valosin-Containing Protein: VCP, Charged Multivesicular Body Protein 2B: CHMP2B, TAR DNA-binding protein 43: TDP-43), and Fused in Sarcoma binding protein: FUS, neurodegenerative diseases: NDs, cerebrospinal fluid: CSF, Parkinson's disease: PD, microRNAs: miRNAs, Amyotrophic Lateral Sclerosis: ALS, Huntington's disease: HD, Mini Mental State Examination: MMSE, receiver operating characteristic: ROC, fold change: FC, Brain-Derived Neurotrophic Factor: BDNF, APP intracellular domain: AICD, olfactory epithelium: OE, mild cognitive impairment: MCI.

## **ABSTRACT**

The purpose of this study was to develop an easy and minimally-invasive assay to detect a miRNA profile in Frontotemporal Dementia (FTD) patients, with the final aim of discriminating between FTD and healthy controls (HC). After a global miRNAs profiling, significant down-regulation of miR-663a, miR-502-3p and miR-206 ( $p=0.0001$ ,  $p=0.0002$ ,  $p=0.02$  respectively) in FTD patients was confirmed when compared to HC in a larger case-control sample. Moreover, miR-663a and miR-502-3p showed significant differences in both genders, whereas miR-206 only in male subjects. To obtain a discriminating measure between FTD and HC, we calculated a combined score of three miRNAs applying a Bayesian approach and obtaining a classifier with an accuracy of 84.4%. Moreover, for men, combined miRNAs levels showed an excellent sensitivity (100%) and a good specificity (87.5%) in distinguishing FTD patients from HC. All these findings open new hypotheses in the pathophysiology and new perspectives in the diagnosis of a complex pathology as FTD.

**Keywords:** Frontotemporal dementia, biomarkers, microRNAs, gender, RT-qPCR

## 1. INTRODUCTION

Frontotemporal lobar degeneration (FTLD) includes a group of progressive brain diseases, etiologically and neuropathologically heterogeneous, but sharing atrophy of the prefrontal and anterior temporal lobes, involving the right and left hemispheres, in some cases asymmetrically. The clinical features involve behavior and personality disturbances, language impairment and in some cases concomitant motor neuron disease or parkinsonism. This group of diseases accounts for 5-15% of all cases of dementia and is the second most common cause of early-onset dementia after Alzheimer's Disease (AD) (Cruts and Van Broeckhoven, 2008). Clinically, it is possible to distinguish two major clinical subtypes, behavioral-variant frontotemporal dementia (bvFTD) and primary progressive aphasia (PPA). The first subtype is characterized by behavioral symptoms and the second one is constituted by a semantic variant PPA (svPPA) and nonfluent variant PPA (nfvPPA) in which the main feature is a progressive impairment of speech and language. In a neuropathological context, disease-specific protein aggregates are observed: hyperphosphorylated tau protein in neurons and glia (FTLD-tau) (Lee and Leurgers, 2012; Lee et al., 2001), TDP-43 (FTLD-TDP) (Arai et al., 2006; Neumann et al., 2006), FUS-positive inclusions (FTLD-FUS) (Munoz et al., 2009; Neumann et al., 2009a, 2009b) and ubiquitin proteasome system-positive inclusions (FTLD-UPS) (Holm et al., 2009, 2007). Finally, from a genetic point of view, mutations were found in different genes, including those encoding the Microtubule Associated Protein Tau (*MAPT*), Progranulin (*GRN*), Chromosome 9 open reading frame 72 (*C9ORF72*), Valosin-Containing Protein (*VCP*), Charged Multivesicular Body Protein 2B (*CHMP2B*), TAR DNA-binding protein 43 (*TDP-43*), and Fused in Sarcoma binding protein (*FUS*) (Fontana et al., 2015; Sieben et al., 2012).

Despite the significant advances made in the last years, clinical diagnosis of neurodegenerative diseases (NDs) remains a challenge. Proteins in the cerebrospinal fluid (CSF) can be measured: beta-amyloid protein 1-42, total tau and phosphorylated tau protein are biomarkers supporting clinical diagnosis (Blazer, 2013; Fagan et al., 2011), while DJ1, alpha-synuclein and BDNF diagnostic value in Parkinson's disease (PD) patients still needs to be confirmed (van Dijk et al., 2010). However, the invasiveness of the procedure makes this assay challenging for everyday clinical use.

In addition to proteins, microRNAs (miRNAs) have a demonstrated role as non-invasive markers in biofluids for several human pathologies (Keller et al., 2011). miRNAs are a class of small (18-25 nt-long) non-coding RNAs acting as negative regulators of gene expression (Bartel, 2004). miRNAs function via base-pairing with complementary sequences generally located within the 3'UTR of the mRNA target, but sometimes present in the coding sequence (CDS): an imperfect base-pairing results in a block of translation, whereas a perfect pairing causes mRNA degradation (Lewis et al., 2003). Over the last few years, a growing number of publications reported a dysregulation of miRNAs expression in several diseases, including neurodegenerative disorders; such as AD, PD, Amyotrophic Lateral Sclerosis (ALS) and Huntington's disease (HD) (reviewed in (Basak et al., 2016; Maciotta et al., 2013)). Moreover, several

papers support the potential of circulating miRNAs as biomarkers in biofluids such as CSF or plasma, derived from patients with different NDs (Grasso et al., 2014).

Also in Frontotemporal Dementia (FTD) miRNAs are playing an important role (reviewed in (Piscopo et al., 2016a)). For example, the progranulin gene has been reported to be under the post-transcriptional control of miR-29b, miR-107 and miR-659 (Hébert et al., 2008; Noren Hooten et al., 2010; Piscopo et al., 2016b).

To date, only two papers were published on circulating miRNAs as FTD biomarkers in biofluids (Sheinerman et al., 2017) (Denk et al., 2018). In the first study, the authors chose 37 miRNAs known from the literature to be brain-enriched and inflammation-associated and studied their levels in plasma, finding a dysregulation of three miRNA pairs compared to healthy subjects: miR-335/let-7e, miR-99b/let-7e and miR-9\*/miR-181a (Sheinerman et al., 2017). In the second study, Denk and colleagues analysed 96 miRNAs, with custom qRT-PCR panels, finding miR-320a and miR-26b-5p able to discriminate bvFTD cases with 96% sensitivity and 90% specificity and AD cases with 89% sensitivity and specificity.

The lack of information on wide miRNA profiling prompted us to embark in a non-biased screening of the circulating miRNome in patients with FTD, to identify miRNAs useful as potential biomarkers in this pathology.

## **2. MATERIALS AND METHODS**

### **2.1 Subjects recruitment and assessment.**

For the pilot study, we randomly selected 10 out of 150 patients diagnosed with FTD according to international criteria for diagnosis (Neary et al., 1998; Rascovsky et al., 2011), who were screened at the “Memory Clinic” - Department of Neurology and Psychiatry - University of Rome, “Sapienza” from September 2008 to May 2012. The HC group (n=10) includes subjects free of dementia or cognitive impairment. For the replication study, 48 FTD cases were further selected from the same population and 46 HC were spouses of the patients. Moreover, all patients underwent a standard evaluation that included I) a detailed clinical history recorded from the patients and/or caregivers; II) an extensive physical exam; III) neurological examination; IV) an extensive neuropsychological testing including the Mini Mental State Examination (MMSE); V) laboratory tests to exclude secondary causes of dementia; VI) brain imaging (magnetic resonance imaging or computerized tomography) and VII) in most cases, <sup>99m</sup>Tc-HMPAO SPECT or FDG PET scan. HC underwent clinical and neurological assessment including the administration of MMSE. Written informed consent was obtained from all subjects participating in the study; the protocol of this study was carried out according to the principles of the Declaration of Helsinki and approved by the local ethical committee. All patients were screened for possible mutations in *MAPT*, *GRN* and *C9ORF72* genes.

## **2.2 RNA isolation from plasma.**

Whole blood was recovered in tubes treated with EDTA and centrifuged for 15 minutes at 2500 rpm at 4°C. Plasma layer was carefully collected without disturbing the buffy coat. Total RNA was extracted from 250 µL of plasma using a miRNeasy mini kit (Qiagen), following the manufacturer's recommendations. 1 µg of MS2 bacteriophage RNA (Roche Diagnostics) was added to each sample to improve endogenous RNA recovery. Qubit RNA HS Assay Kit (Thermo Fisher Scientific) was used with the Qubit Fluorometer, to provide an accurate method for the quantification of low-abundance RNA samples. Evaluation of possible hemolysis contamination was carried out by comparing the level of a miRNA highly expressed in red blood cells (hsa-miR-451a), with a miRNA unaffected by hemolysis (hsa-miR-23a-3p) (Blondal et al., 2013).  $\Delta\text{Ct}$  (miR-23a-3p - miR-451a) is a measure of hemolysis degree:  $\Delta\text{Ct}$  values of more than five indicate a possible erythrocyte miRNA contamination, and values of 7-8 or more a high risk of hemolysis.

## **2.3 miRNA Reverse Transcription and quantitative Real Time PCR (qPCR).**

80 ng of extracted RNA were retrotranscribed using Universal cDNA Synthesis kit (Exiqon) according to the manufacturer's protocol. cDNA template was then diluted 50X in nuclease free water and mixed 1:1 with 2X PCR Master Mix (Exiqon).

miRNome expression was assayed using a V.3M miRCURY LNA Universal RT microRNA PCR Human panel I+II and SYBR Green Universal Master Mix (Exiqon) on a CFX384 Real-Time PCR Detection System (Bio-Rad Laboratories). To normalize the expression of the entire panel of analyzed miRNAs, we selected miRNAs, checking their expression levels and stability in our samples by using Normfinder and Genorm software (Andersen et al., 2004; Vandesompele et al., 2002). For individual miRNAs quantitative PCR assays, samples were analyzed in technical duplicates using miRCURY LNA<sup>TM</sup> PCR primers set (Exiqon): hsa-let-7e-5p (205711), hsa-miR-10b-5p (205637), hsa-miR-122-5p (205664), hsa-miR-206 (206073), hsa-miR-375 (204362), hsa-miR-454-5p (204279), hsa-miR-502-3p (204043), hsa-miR-548c-5p (205882), hsa-miR-663a (204284), hsa-miR-877-5p (205626) and endogenous control hsa-miR-93-5p (204715).

qPCR reactions were performed using ExiLent SYBR<sup>®</sup> Green master mix (Exiqon) in a CFX384 Real-Time PCR Detection System (Bio-Rad Laboratories). 10 µl PCR reaction contained 2 µl of the 1:80 diluted cDNA template, 5 µl of SYBR<sup>®</sup> Green master mix and 1 µl of PCR primer mix. The reaction protocol was as follows: 95°C for 10 minutes, followed by 40 amplification cycles of 95°C for 10 seconds and 60°C for 1 minute. Raw Ct values were normalized using the  $\Delta\text{Ct}$  method with respect to the endogenous control.

## **2.4 Statistical analysis.**

In the discovery study, variables with Ct<37 and expressed in at least 25% in each group were selected. MiRNAs resultant with a higher fold change and significance were analyzed in the replication study. Moreover, miRNAs already

described in literature were also considered in replication study, but just if they reached a p close to significance. Study variables were explored by a two-tailed t test according to Dunn-Bonferroni correction method and by a two tailed t test, in the discovery and in the replication study, respectively. Furthermore, we presented the receiver operating characteristic (ROC) curve analysis and calculated the area under the curve (AUC) of each miRNA and for combined score. Finally, an exploratory study was performed to stratify by gender and FTD clinical subtypes (bvFTD and PPA) in which variables were explored through analysis of variance (ANOVA).

### **2.5 Target gene prediction and pathway analysis.**

The DIANA mirPath v3.0 (<http://www.microna.gr/miRPathv3>) functional annotation tool was used to study target genes of the differentially expressed miRNAs (Vlachos et al., 2015). By using this tool we performed a pathway enrichment analysis on validated targets in Tarbase 7.0 using conservative statistics, or predicted miRNA targets based on the DIANA-microT-CDS algorithm (threshold 0.8), specifically designed to identify miRNA targets both in 3' untranslated region (3'UTR) and in coding sequences (CDS). In both analyses, we then created a union set of the KEGG pathways in which these target genes are present and performed an enrichment analysis using Fisher's exact test (hypergeometric test) and p-value threshold 0.05.

## **3. RESULTS**

### **3.1 Samples' characteristics**

Demographic and clinical features of patients and controls included in the discovery and replication study are summarized in Table 1. No differences regarding age at baseline and sex were observed between FTD and HC.

### **3.2 Discovery study**

To successfully perform the miRNome profiling, we estimated possible cell and hemolysis contamination, by comparing the levels of a miRNA highly expressed in red blood cells (hsa-miR-451a) and one unaffected by hemolysis (hsa-miR-23a-3p), as detailed in Materials and Methods (Blondal et al., 2013). In all our samples we have found the  $\Delta Ct$  (miR-23a-3p - miR-451a) smaller than five, showing the absence of hemolysis. We profiled the expression of 752 miRNAs in the RNA isolated from plasma samples of 10 patients with FTD and 10 HC subjects (Table 1). We identified 421 miRNAs that had  $Ct < 37$  and were detected in at least one subject (Table A.1): 211 miRNAs of these 421 miRNAs were present in at least 25% of subjects in each group and 116 were detected in all 20 samples (Fig. 1).

Several other studies underlined how selection of reference genes is critical for miRNA expression analyses (e.g. (Liu et al., 2014; Masè et al., n.d.; Peltier and Latham, 2008; Tang et al., 2015)). Since the validity of a miRNA as normalizer depends on the tissue and the disease analysed, it was necessary to identify a reference miRNA measurable in plasma and equally expressed in FTD and HC samples. To this end, out of the 116 miRNAs, we selected five miRNAs (hsa-



miR-93-5p, hsa-miR-103, hsa-miR-191, hsa-miR-423-3p and hsa-miR-425-5p) and we tested their expression levels, finding miR-93-5p as the most highly expressed miRNA (Fig. A.1). Furthermore, we measured the stability of the five miRNAs among FTD patients and HC, and between the two groups by using Normfinder and Genorm software. Our results showed that miR-93-5p was the most stable among the five selected miRNAs. Using miR-93-5p as normalizer, we calculated the fold change (FC) values for all the detected miRNAs, (miR-x), as  $FC = 2^{-\Delta\Delta Ct} = 2^{EXP - [(AvgCt_{miR-x} - AvgCt_{miR-93-5p})_{FTD} - (AvgCt_{miR-x} - AvgCt_{miR-93-5p})_{HC}]}$ . Levels of significance and change in expression are represented in a volcano plot in Fig. 2. From our top ten of differentially expressed miRNAs, nine showing showed a good level ( $p < 0.05$ ) and one a higher level of significance ( $p < 0.005$ ), are indicated. Moreover, we found eight miRNAs down-regulated and two up-regulated miRNAs in FTD patients with respect to HC (Table 2).

### 3.3 Validation study

From the results of differentially expressed miRNAs, we selected seven miRNAs, for the validation study. Six of these (hsa-miR-663a, hsa-miR-502-3p, hsa-miR-375, hsa-miR-10b-5p, hsa-let-7e-5p, hsa-miR-548c-5p, hsa-miR-206) showed an expression level approximately 2-fold lower in the FTD group; while the miRNA, hsa-miR-877-5p, was more than 2-fold higher in the FTD group. We also selected miR-206, as very close to significance ( $p = 0.07$ ) and with a good fold change ( $2^{-\Delta\Delta Ct} = 0.411$ ;  $\log_2 2^{-\Delta\Delta Ct} = -1.28$ ) (Table 2). We chose to include miR-206 in the validation study also because it has already been described in literature as a biomarker for other ND, such as AD and ALS (Moon et al., 2016; Toivonen et al., 2014; Waller et al., 2017). Moreover, miR-206 has a play a key role in the regulation of BDNF (Lee et al., 2012; Tian et al., 2014), an important molecule linked to the pathophysiology of FTL (Zanardini et al., 2016).

Using individual qPCR kits, and normalizing on miR-93-5p, we tested these eight miRNAs in a larger case-control sample consisting of 48 FTD and 46 HC. Significant down-regulation of miR-663a, miR-502-3p and miR-206 levels ( $p = 0.0001$ ,  $p = 0.0002$ ,  $p = 0.02$  respectively) in FTD patients was confirmed when compared to HC (Table 3 and Fig. 3a-d-g). The other analyzed miRNAs showed the same trend found in the discovery profiling, but the results were not statistically significant (Table 3).

Based on a recent work by our group (Ricci et al., 2015), we used a Bayesian method to identify possible normalized class-discriminating miRNAs. First, employing an analysis of the duplicate variability that relies on fitting the experimental variances with a chi square distribution via the minimization of a suitable Kolmogorov-Smirnov statistic, outliers were spotted and removed from further analysis. Thereupon, the class-discriminating miRNAs distributions were assessed and characterized by means of a Bayesian approach. As shown in Fig. 4, we found that, as expected, only miR-663a, miR-502-3p and miR-206 showed a significant difference between the mean of the two groups. P-value and accuracy were respectively, 0.000035 and 73.6%, 0.0000046 and 72.9%, 0.0013 and 74.5%.

As a further step, we tried several different combination of the three miRNAs as a possible discriminating measure between the two groups: the sum of the measure concerning miR-663a, miR-502-3p and miR-206 was the best solution (Fig. 5), providing a Bayesian classifier (p-value=0.000058) with an accuracy of 84.4%. The miRNA sample score was calculated as follows:

$$\text{Score} = \Delta Ct663a + \Delta Ct502-3p + \Delta Ct206.$$

A receiver operating characteristic (ROC) curve was then created for each miRNA and for the combination of them to highlight the improvement of the classifier. AUC of the three miRNAs in the replication sample were as follows: miR-663a, 0.73 (95% CI, 0.63–0.83) (Fig. 6a); miR-502-3p, 0.73 (95% CI, 0.63–0.84) (Fig. 6d); miR-206, 0.64 (95% CI, 0.53–0.76) (Fig. 6g); miRNAs combination, 0.89 (95% CI, 0.7670–1.006) (Fig. 6l). The optimal cutoff point of combined miR-663a, miR-502-3p and miR-206 was determined as being  $> 26.83$  (sensitivity: 87.5%, specificity: 81.3% PPV: 82.4% NPV: 86.7%).

In a preliminary analysis of three validated miRNAs on subgroups by gender, we found that significant differences of miR-206 levels were specific for men (Fig. 3h), so that in women its levels remained similar to the controls (Fig. 3i). On the other hand, miR-663a and miR-502-3p showed significant differences in both genders (Fig. 3b-c-e-f). Interestingly, while we found a significant difference in hsa-let-7e-5p when comparing HC and FTD females, no difference was found in males and in the overall population (Fig. A.2). By gender, AUCs were the following: miR-663a, male 0.75 (95% CI, 0.59–0.91) (Fig. 6b) and female 0.74 (95% CI, 0.61–0.87) (Fig. 7c); miR-502-3p, male 0.79 (95% CI, 0.65–0.94) (Fig. 6e) and female 0.68 (95% CI, 0.54–0.83) (Fig. 6f); miR-206, male 0.74 (95% CI, 0.58–0.91) (Fig. 6h) and female 0.56 (95% CI, 0.40–0.72) (Fig. 6i); combined miRNAs male 0.99 (95% CI, 0.96–1.03) (Fig. 6m) and female 0.81 (95% CI, 0.59–1.02) (Fig. 7n). The optimal cutoff point of combined miRNAs in male and female was determined as being  $> 24.64$  (sensitivity: 100%, specificity: 87.5%, PPV: 100% NPV: 88.9%) and  $> 27.59$  (sensitivity: 77.8%, specificity: 75.0%, PPV: 77.8% NPV: 75%), respectively.

No significant correlation was found between miR-663a, miR-502-3p or miR-206 levels and age at onset and MMSE. Moreover, no difference in the levels of these miRNAs was observed between two FTD clinical subtypes: bvFTD (n=17) and PPA (n=17) (Fig. 7).

### 3.4 miRNA targets prediction and pathway analysis.

To reveal which pathways might be regulated by our selected and validated miRNAs we used DIANA-miRPath v3.0 (Vlachos et al., 2015). This is a functional annotation tool able to perform miRNA pathway analysis by using different algorithms, such as TarBase, a database of experimentally validated miRNA targets, and microT-CDS, the only algorithm available online designed to predict miRNA targets both in 3' untranslated regions (3'UTR) and in coding sequences (CDS). We first performed a pathway enrichment analysis on Tarbase (Fig. A.3 and Table A.2). Of relevance

for FTD, this analysis indicated that four genes in the [05020] “Prion disease” pathway (PRNP, PRKX, MAPK1, and PRKACB) are targets of miR-502-3p, validated by PAR-CLIP (Skalsky et al., 2012) or HITS-CLIP (Balakrishnan et al., 2014), and that three genes in the [04540] “Gap junction” pathway (EGFR, GJA1, and ITPR3) are validated targets of miR-206 (Anderson et al., 2006; Hudson et al., 2012; Kedde et al., 2007).

To further explore the role of the selected miRNAs in FTD, we predicted miRNA targets using the DIANA-microT-CDS algorithm. We then created a union set of KEGG pathways in which these target genes are present and performed an enrichment analysis (Fig. 8 and Table A.3).

It is striking to note that the most significantly enriched KEGG pathway (p-value 1.298777e-10) is [05031] “Amphetamine addiction”, in which 14 genes in total are predicted to be targeted by one or the other of the three miRNAs. In fact, two of these genes (CREB5 and DRD1) were predicted to be targeted by both miR-206 and miR-502-3p. One of the predicted targets of miR-206, *Calm2* has been validated by HITS-CLIP (Zhang et al., 2014). Even if they were not predicted targets by microT-CDS, other four genes in the “Amphetamine addiction” pathway are known to be regulated by the miRNAs under study (Fig. A.4a).

Of relevance for the neurodegenerative diseases in this study, and highly enriched, also KEGG pathways [04540] “Gap junction” (p-value 1.248715e-05) and [04360] “Axon guidance” (p-value 1.354186e-05) targeted by miR-206 and miR-502-3p.

Two of the 13 predicted targets in the “Gap junction” pathway (DRD1 and SOS1) are common to both miR-206 and miR-502-3p, and three have also been experimentally validated in human: *TJP1* has been found to be a target of miR-502-3p by HITS-CLIP (Xue et al., 2013) and *EGFR* and *GJA1* have been shown to be targets of miR-206 by microarray (Hudson et al., 2012) and Western blot experiments (Anderson et al., 2006), respectively. Four of the predicted targets, *Egfr*, *Prkacb*, *Map3k2* and *Sos1*, have been shown by HITS-CLIP to interact with miR-206 in mouse (Zhang et al., 2014). Other ten genes in the “Gap junction” pathways are known targets of miR-206 (Fig. A.4b).

In the “Axon guidance” pathway, out of the 20 targeted genes, one (ROCK1, Rho associated coiled-coil containing protein kinase 1) is a predicted target for both miRNAs and three are also experimentally supported in human: *SEMA3C* was proven to be a target of miR-502-3p by HITS-CLIP (Xue et al., 2013), *EFNB2* a target of miR-206 by microarray experiments (Hudson et al., 2012) and *MET* a target of miR-206 by Western blot and luciferase assays (Di Leva et al., 2010; Taulli et al., 2009; Yan et al., 2009). In mouse, 5 of the predicted miR-206 targets were validated: *Efnb2*, *Met*, *Cxcr4*, *Nrp1*, *Nfatc3* by HITS-CLIP (Zhang et al., 2014). Fourteen more genes the “Axon guidance” pathway are validated targets of miR-206, even if they were not predicted by microT-CDS (Fig. A.4c).

Several other neurologically relevant pathways were found, such as [04724] “Glutamatergic synapse” (p-value 1.460457e-05) and [05032] “Morphine addiction” (p-value 4.161048e-05), targeted by both miR-206 and miR-663a,

[05030] “Cocaine addiction”, [04713] “Circadian entrainment”, [04730] “Long-term potentiation”, [04728] “Dopaminergic synapse”, [00310] “Lysine degradation”, [05034] “Alcoholism”, [05033] “Nicotine addiction” etc. Given the fact that FTD shares with ALS several causative genes, it is also worth mentioning that in this enrichment analysis miR-502-3p is significantly predicted to regulate six genes in the “Amyotrophic lateral sclerosis” KEGG pathway (p-value 0.001867765).

Finally, given that recent preclinical studies demonstrate the involvement of Gram-positive bacteria from the host microbiome in the pathogenesis of several neurodegenerative diseases (reviewed in (Main and Minter, 2017)), it is intriguing to note the enrichment of KEGG pathways [05100] “Bacterial invasion of epithelial cells” (p-value 0.001152536), [05131] “Shigellosis” (p-value 0.002624923) and [05130] “Pathogenic Escherichia coli infection” (p-value 0.02626409).

#### **4. Discussion**

Currently, the diagnosis of FTD primarily depends on medical history, a full neuropsychological testing evaluation to better assess the pattern of cognitive loss in an individual suspected and the exclusion of other neurodegenerative disorders, besides neuroimaging to determine where and how extensively brain regions have atrophied. However, this clinical diagnostic evaluation is hampered by the considerable overlap of the clinical manifestation within the subtypes and with other types of dementia. At present, there is no single diagnostic test that can confirm or rule out a diagnosis of FTD. Therefore, simple, non-invasive, cost-efficient and specific biomarkers that can help in diagnosing early FTD are urgently needed.

Noteworthy, miR-663a is associated with many critical biological processes, including development, inflammatory responses and carcinogenesis (Jian et al., 2011; Latruffe et al., 2015; Ni et al., 2011; Yi et al., 2012). Moreover, APP intracellular domain (AICD) has been found to act as a transcriptional regulator of miR-663 in human neural stem cells, where it shows a role in the negative modulation of neuronal differentiation (Shu et al., 2015). Microarray data further demonstrate that miR-663 suppresses the expression of genes implicated in neurogenesis, such as FBXL18 and CDK6 (Shu et al., 2015).

In regards to miR-502-3p, it seems to have a role in the suppression of cell proliferation, migration, and invasion in hepatocellular carcinoma (Sun et al., 2016) and in the inhibition of autophagy and tumor growth in colon cancer (Zhai et al., 2013). Interestingly, a variant in the precursor of miR-502-3p, predisposing males to schizophrenia was identified (Feng et al., 2009). Controversial literature was found regarding miR-502-3p dysregulation in AD. In a study, Satoh et al. reanalyzed a publicly available small RNA-Seq dataset identifying 27 miRNAs differentially expressed in AD blood samples including down-regulated miR-502-3p (Satoh et al., 2015). On the contrary, in a more recent study, miR-502-

3p was found consistently up-regulated in plasma of early AD patients. This discrepancy in miR-502-3p expression could be due to the difference in the methodologies used in the two studies (Nagaraj et al., 2017).

miR-206 is known as a key modulator of skeletal muscle development and disease (Ma et al., 2015). Moon et al. demonstrated miR-206 over-expression in the olfactory epithelium (OE) of patients with early dementia, also describing its sharp increase as dementia progressed (Moon et al., 2016). Xie and colleagues observed miR-206 increasing in serum of subjects with mild cognitive impairment (MCI) (Xie et al., 2015). In 2017, in a follow-up study, they found higher levels of miR-206 in amnesic MCI AD-converting group than in aMCI-stable group, thus suggesting that miR-206 might be a potential predictor of conversion from aMCI to AD (Xie et al., 2017). Several lines of evidence have suggested that this miRNA may regulate BDNF protein synthesis and that its inhibitor AM206 enhances BDNF levels, synaptogenesis, and neurogenesis (Lee et al., 2012).

FTD has been related in many ways to RNA dysregulation (Fontana et al., 2015). For example, several genes mutated in familial FTD (and in familial ALS) are RNA-binding proteins related to RNA processing. One of these proteins, TDP-43, has been reported to selectively disrupt miRNA-1/206 incorporation into RISC (King et al., 2014). TDP-43 has also been shown to bind to the hairpin precursor of miR-663, and the knockdown of TDP-43 resulted in miR-663 upregulation (Buratti et al., 2010). Some evidences in the literature show that both miR-663a and miR-206 can be encapsulated in exosomes and subsequently released outside the cells of origins in the bloodstream (De Gasperi et al., 2017; Koutsoulidou et al., 2017; Muroya et al., 2015; Ramezani et al., 2015). miR-206 was also detected in extracellular vesicles and as a component of protein/lipoprotein complexes (Guescini et al., 2015; Matsuzaka et al., 2016). To date very little attention, to the best of our knowledge, has been given to the state of miR-502-3p in the blood. By analysing all subjects on subgroups characterized by gender, we found that miR-663a and miR-502-3p showed significant differences in both genders. On the other hand, specific significant miR-206 differences were found only in male subjects while, interestingly, a significant difference in hsa-let-7e-5p was found by comparing HC and FTD females. Gender differences constitute a phenomenon greatly studied in the last years. Several human diseases display gender differences related to pathogenetic mechanisms, age of onset, progression and response to therapy. Moreover, it is well-known that women are at higher risk of developing AD than men (Gorelick, 2004). Recent studies suggest that the cause may lay in miRNA expression levels and that these differences might be influenced by hormonal and genetic background (Sharma and Eghbali, 2014). Ignoring gender differences can alter the meaning of the results obtained because cluster or families of important genes, which have the potential to cause different disease occurrence, can be lost or not further investigated concerning their function and roles. For most our validated miRNAs, as far as we know, there are no indications in the literature of their involvement in gender-specific discrimination between patients and controls. The only exception is provided by a few studies that have described a link between miR-206 and estrogens.

This miRNA seems to have an inhibitory role in estrogen-dependent ovarian cancer cells and is a promising candidate for the endocrine therapy of ER $\alpha$ -positive ovarian cancer (Li et al., 2014).

With regard to the age at onset or the MMSE, no significant correlation was found with our validated miRNAs levels. Concerning MMSE, it tests mainly memory impairment rather than behavioral disturbances, so the lack of correlation with miRNA levels is not unexpected. Moreover, no difference was observed between the two different phenotypes bvFTD and PPA. Probably, these three miRNAs are not able to distinguish between the two major FTD clinical subtypes. However, a larger cohort of patients is needed to verify this assumption. Finally, by using DIANA-miRPath we found that the predicted target genes of the differentially expressed miRNAs are involved in several neurological pathways: amphetamine addiction, gap junction, axonal guidance, glutamatergic synapse, long-term potentiation, dopaminergic synapse, etc. As already described in our results, several of these targets are also experimentally validated.

Among the validated target genes for miR-206, ERK2 is well known to be involved in Ras/MEK/ERK pathway of tau phosphorylation in several dementias (Sun et al., 2017). Moreover, in a previous study, we demonstrated a reciprocal functional interaction of PGRN and MEK pathway in neuroblastoma cell lines (Piscopo et al., 2010). Another validated target of miR-206 is EGFR, a gene playing pivotal roles in cell proliferation, differentiation, and tissue development and linked with CHMP2B and TMEM106B, two genes that are involved in FTD (Jun et al., 2015).

## 5. Conclusion

Our data demonstrate that the combined score of three differentially expressed circulating miRNAs (*ΔCt663a* + *ΔCt502-3p* + *ΔCt206*) is a robust diagnostic biomarker of FTD, especially for men. In fact, in males, combined miRNAs levels showed an excellent sensitivity (100%) and a good specificity (87.5%) for the diagnosis of FTD. In females, however, the same miRNA combined score shows lower sensitivity and specificity (77% and 75%, respectively) leaving a place for further improvement of a female-specific miRNA score. If evaluated in a larger population, including AD and patients with other neurodegenerative diseases, these miRNAs could help to diagnose FTD in a gender-specific manner. Moreover, understanding the expression divergence due to gender could help us to disclose mechanisms underlying the occurrence and the development of complex diseases as Frontotemporal Dementia.

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## Tables

**Table 1. Population demographics.**

	DISCOVERY SAMPLE		REPLICATION SAMPLE	
	FTD	HC	FTD	HC
<b>N</b>	10	10	48	46
<b>Females (%)</b>	5 (50)	5 (50)	28 (58)	28 (61)
<b>Age at evaluation</b>	66±4	66± 5	72±8	73± 7
<b>Age at onset</b>	64± 6	-	67± 8	-

**Table 2. miRNAs detectable in plasma obtained from FTD patients and HC: the table shows the number of subjects in each group, the average raw Ct and standard deviation, fold changes of expression, with the corresponding p values (calculated by unpaired two-tailed t-test). miRNAs are listed in order of significance from top to bottom and divided in down- and up-regulated.**

<b>miRNA</b>	<b>CT count</b>	<b>FTD count</b>	<b>CT avg</b>	<b>CT std</b>	<b>FTD avg</b>	<b>FTD std</b>	<b>FC</b>	<b>p value</b>
<b>DOWN-REGULATED</b>								
<b>hsa-miR-663a</b>	7	9	34.2	0.7	35.3	0.6	0.23	0.0017
<b>hsa-miR-502-3p</b>	8	9	35.1	0.5	35.2	1	0.57	0.0072
<b>hsa-miR-375</b>	8	10	33.9	0.7	34.4	0.9	0.43	0.0084
<b>hsa-miR-10b-5p</b>	10	10	32.2	0.7	32.4	1	0.49	0.0101
<b>hsa-miR-30a-5p</b>	10	10	33.3	0.9	33.3	1.2	0.58	0.0184
<b>hsa-let-7e-5p</b>	9	9	32.7	1.1	33.3	1.9	0.37	0.0182
<b>hsa-miR-548c-5p</b>	8	8	35.9	0.4	36.1	0.7	0.44	0.0240
<b>hsa-miR-548a-3p</b>	7	5	33.9	0.7	34.0	1.1	0.42	0.0314
<b>hsa-miR-206</b>	10	9	34.4	1.3	34.7	1	0.41	0.0713
<b>UP-REGULATED</b>								
<b>hsa-miR-454-3p</b>	10	10	33.4	0.9	31.8	1.1	1.67	0.0412
<b>hsa-miR-877-5p</b>	7	7	35.5	0.9	32.9	0.8	2.50	0.0471



**Table 3. Top miRNAs in the discovery sample (10 HC + 10 FTD) analyzed and prioritized based on t-test analysis along with validation in the replication sample (48 FTD + 46 HC).**

miRNA	p-value		FOLD CHANGE	
	discovery sample	replication sample	discovery sample	replication sample
<b>hsa-miR-663a</b>	0.0017	0.0001	0.228	0.512
<b>hsa-miR-502-3p</b>	0.0072	0.0002	0.569	0.573
<b>hsa-miR-375</b>	0.0084	0.1296	0.425	0.775
<b>hsa-miR-10b-5p</b>	0.0101	0.7377	0.487	0.939
<b>hsa-let-7e-5p</b>	0.0182	0.2642	0.374	0.777
<b>hsa-miR-548c-5p</b>	0.0240	0.5294	0.437	0.818
<b>hsa-miR-877-5p</b>	0.0471	0.7369	2.496	1.068
<b>hsa-miR-206</b>	0.0713	0.0222	0.411	0.593

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## Figure captions

**Fig. 1** Flowchart depicting miRNAs selection and sub sequential replication analyses

**Fig. 2** Volcano plot of differentially expressed miRNAs in FTD patients compared to HC. Negative  $\log_{10}$  p values on the y-axis versus mean  $\log_2$  fold change (FC) on the x-axis are represented.  $FC = 2 \text{ EXP} - [(\text{AvgCt}_{\text{miR-x}} - \text{AvgCt}_{\text{miR-93-5p}})_{\text{FTD}} - (\text{AvgCt}_{\text{miR-x}} - \text{AvgCt}_{\text{miR-93-5p}})_{\text{HC}}]$

**Fig. 3** Validated differentially expressed miRNAs in HC vs FTD patients. Scatter plots of (a) miR-663a, (b) miR-663a males, (c) miR-663a females, (d) miR-502-3p, (e) miR-502-3p males, (f) miR-502-3p females, (g) miR-206, (h) miR-206 males, (i) miR-206 females

**Fig. 4** Histograms of  $\Delta\text{Ct}$  for each miRNA candidate. (a) miR-663a, (b) miR-502-3p, (c) miR-206 for samples belonging to the target class FTD (blue) and to the versus class HC (red). Overlapping regions are in magenta. The x-axis represents the respective  $\Delta\text{Ct}$ . The bin width is equal to 1. Each histogram is normalized to the respective set size and thus corresponds to a sample density. The bold lines represent the Gaussian probability densities that fit the data

**Fig. 5** Combined score ( $\Delta\text{Ct}_{663a} + \Delta\text{Ct}_{502-3p} + \Delta\text{Ct}_{206}$ ) as discriminating measure between FTD and HC. (a) Histogram of  $\Delta\text{Ct}$  for the combined score ( $\Delta\text{Ct}_{663a} + \Delta\text{Ct}_{502-3p} + \Delta\text{Ct}_{206}$ ). (b) Scatter plot of target (FTD) and versus (HC) samples. Dots corresponding to samples of the target class FTD and the versus class HC are colored in blue and red, respectively. The plots contains four different regions, bounded by three thresholds: orange (versus class with odds larger than 90:10); yellow (versus class with odds between 50:50 and 90:10); light green (target class with odds between 50:50 and 90:10); green (target class with odds larger than 90:10). The black bold line represents the main discrimination threshold (26.8); the two black, dashed lines correspond to the excursion of this threshold due to its uncertainty ( $\pm 0.5$ ) as computed by means of standard error propagation [35]. Similarly, the three red lines and the three blue lines represent the threshold of the 10,90 region with the related uncertainty excursion ( $23.9 \pm 1.1$ ) and the threshold of the 90,10 region with the related uncertainty excursion ( $30.7 \pm 1.8$ ), respectively

**Fig. 6** Receiver operating characteristic (ROC) curve of differentially expressed miRNAs in HC vs FTD patients. ROC curves of (a) miR-663a, (b) miR-663a males, (c) miR-663a females, (d) miR-502-3p, (e) miR-502-3p males, (f) miR-502-3p females, (g) miR-206, (h) miR-206 males, (i) miR-206 females, (l) combined miRNAs, (m) combined miRNAs males, (n) combined miRNAs females; AUC, area under the ROC curve

**Fig. 7** Scatter plots of miR-663a, miR-502-3p and miR-206 FTD clinical subtypes: bvFTD and PPA

**Fig. 8** miRNAs vs Pathways heatmap. miRPath v3.0 KEGG enrichment was computed using “pathways union”, DIANA-microT-CDS algorithm



Figure 1

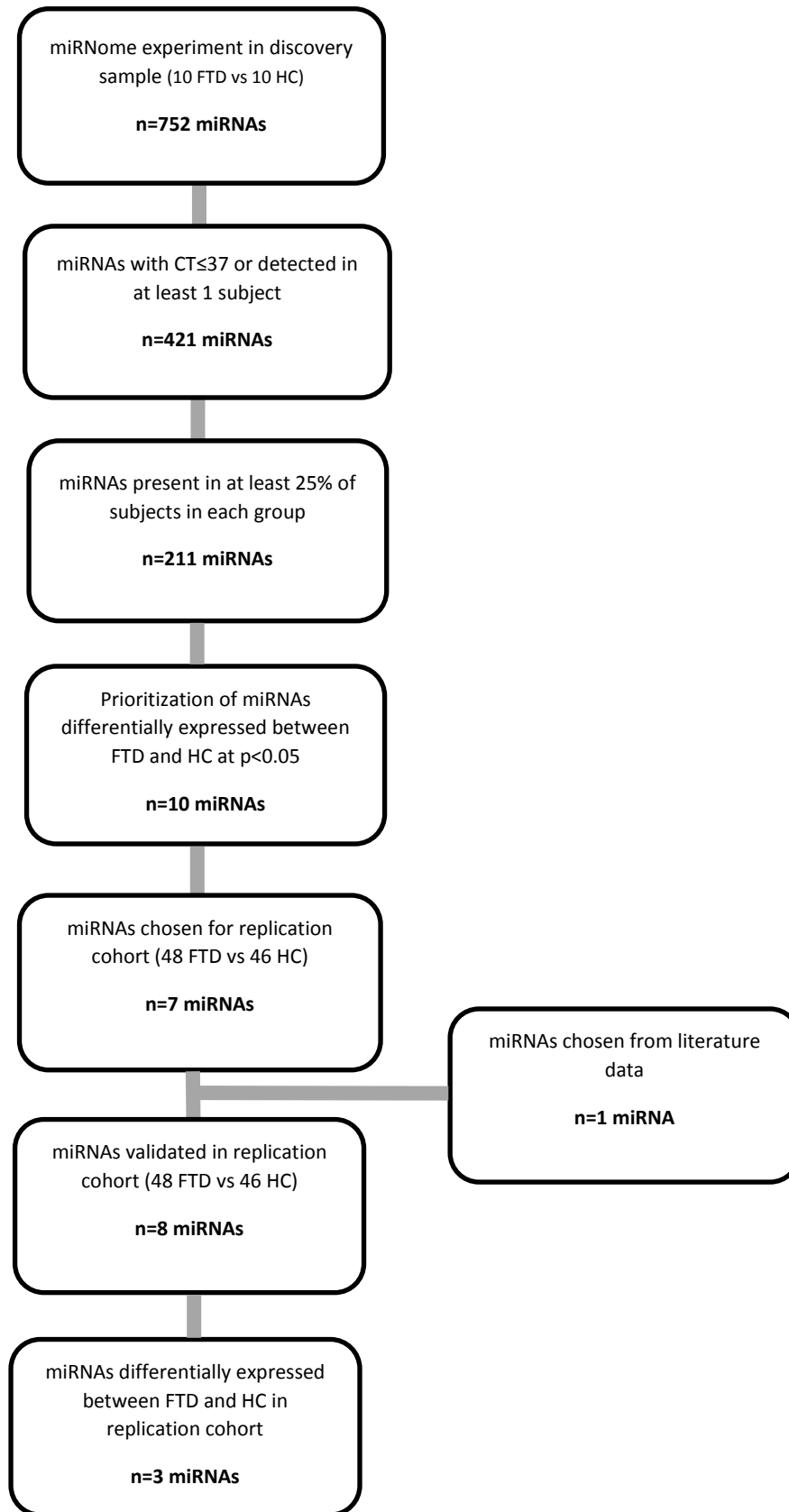


Figure 2

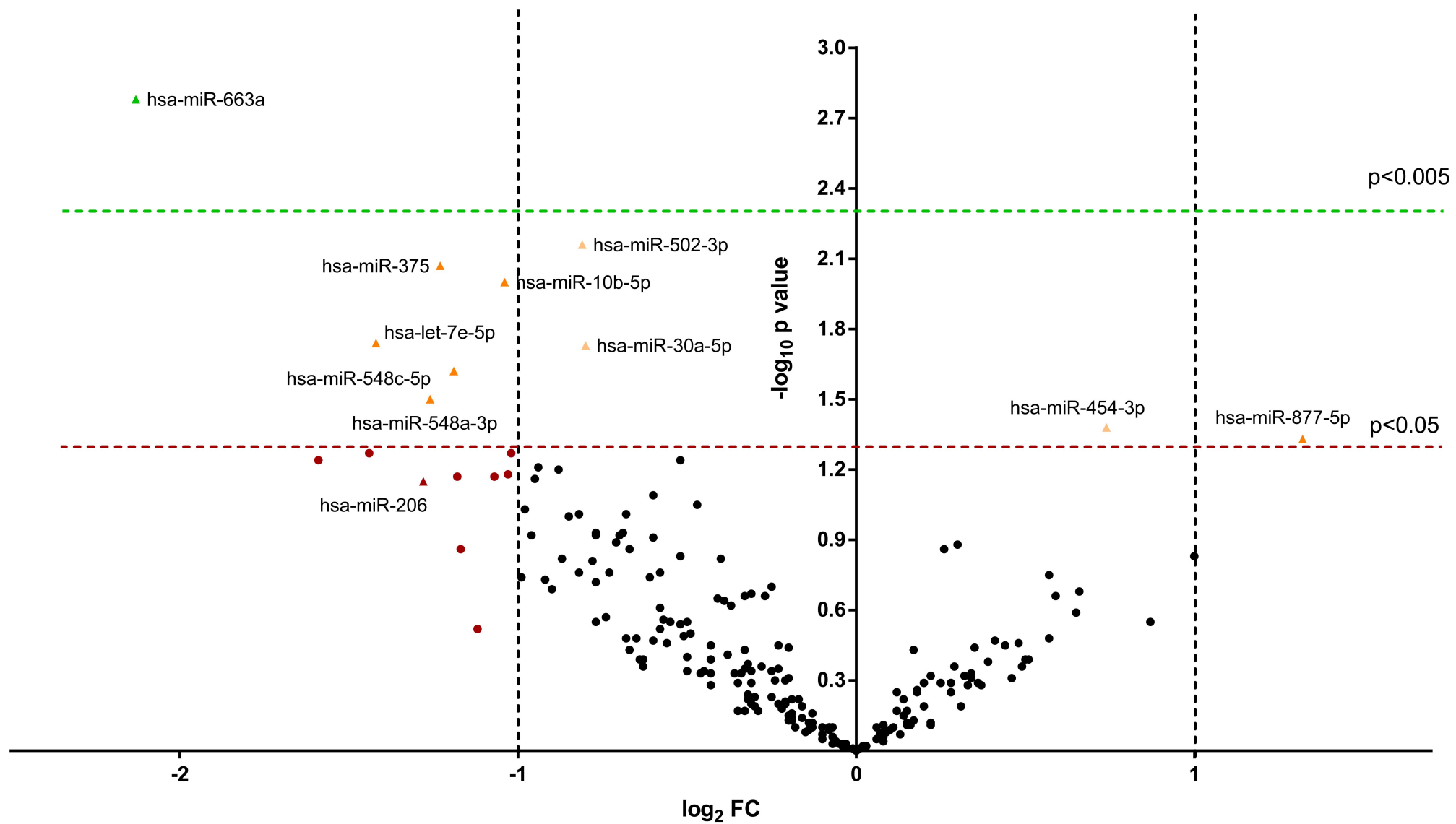


Figure 3

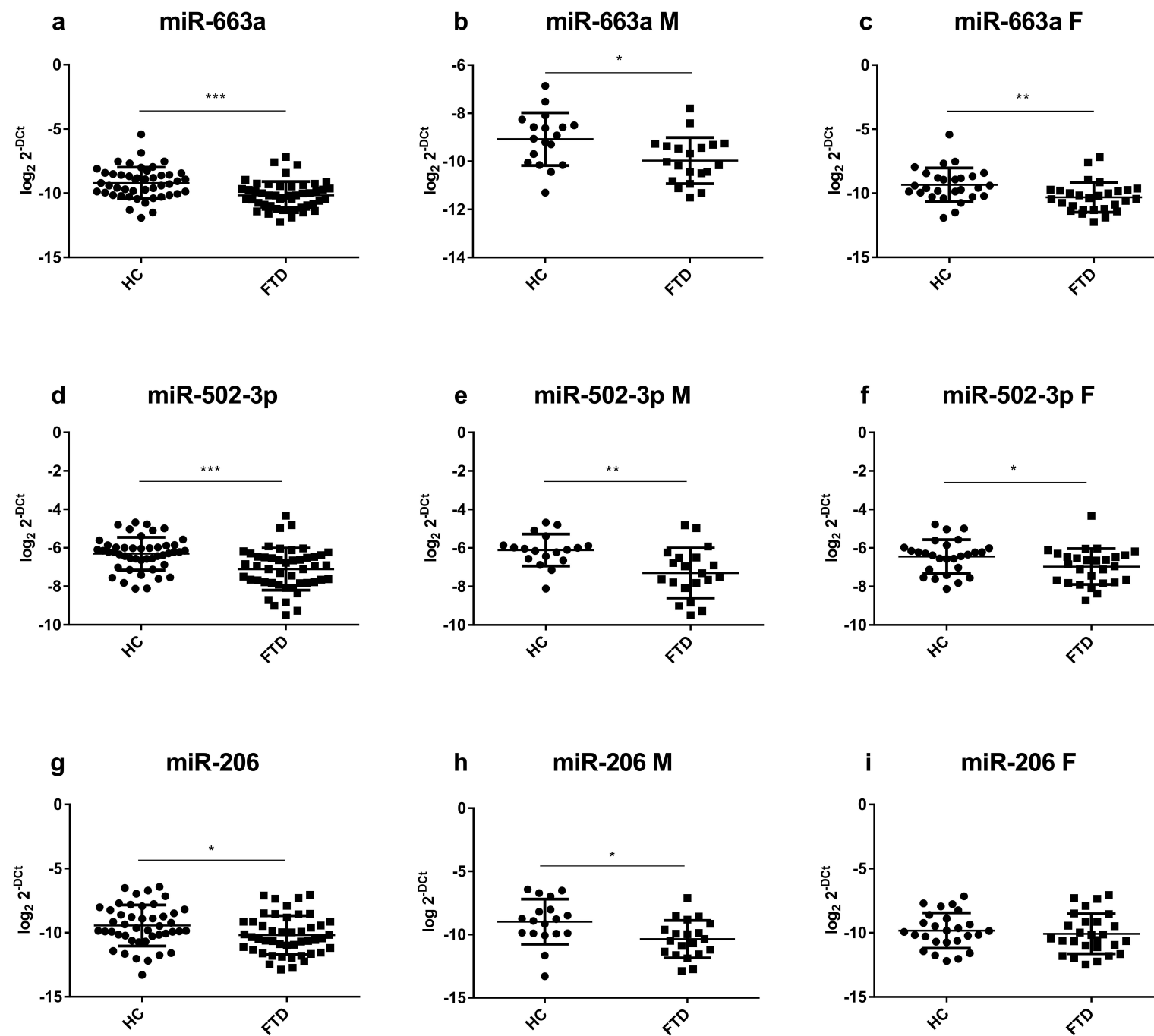


Figure 4

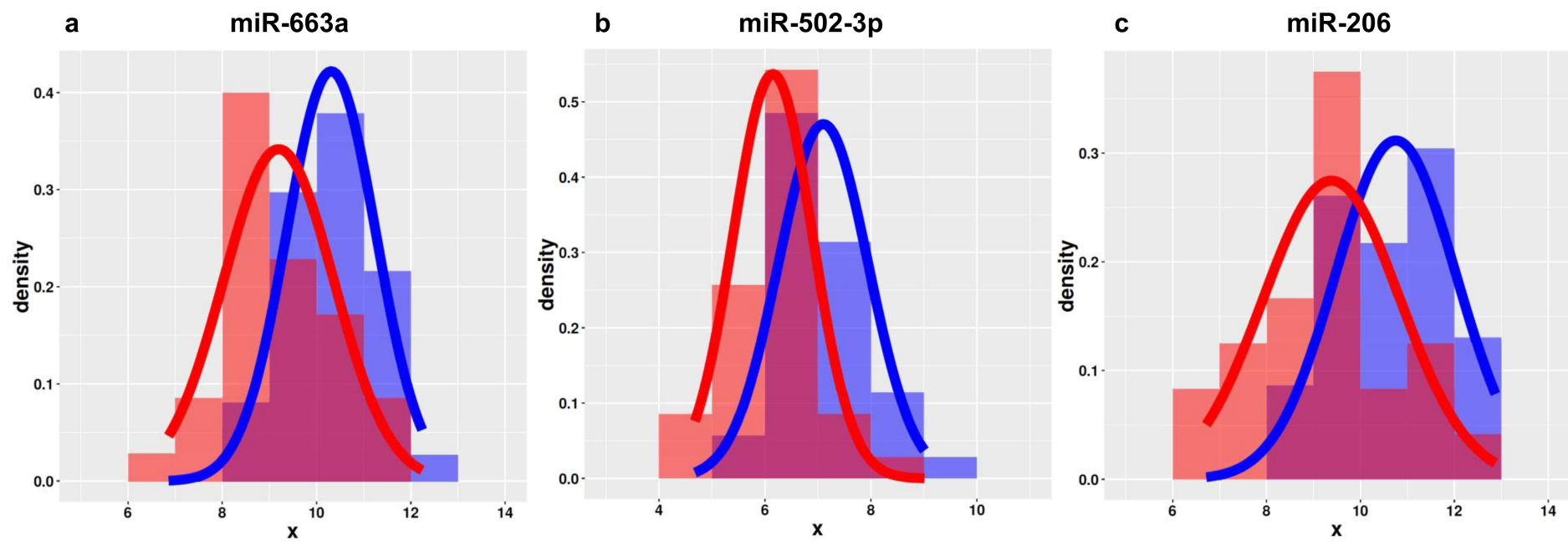


Figure 5

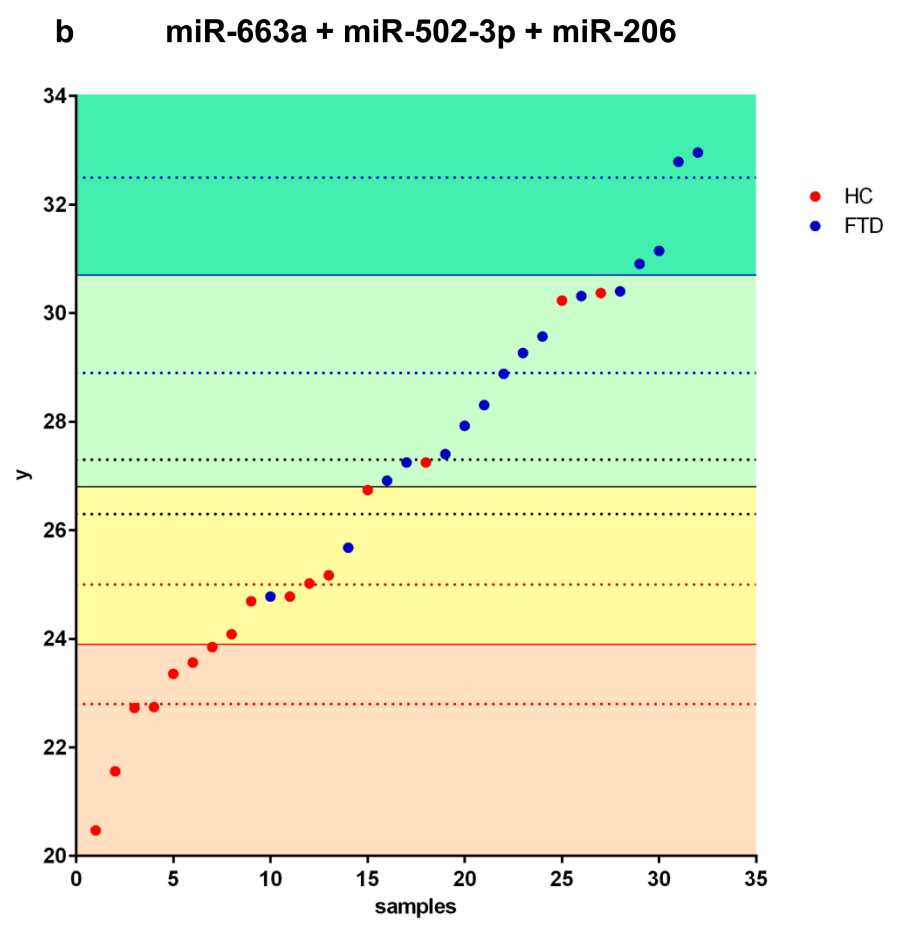
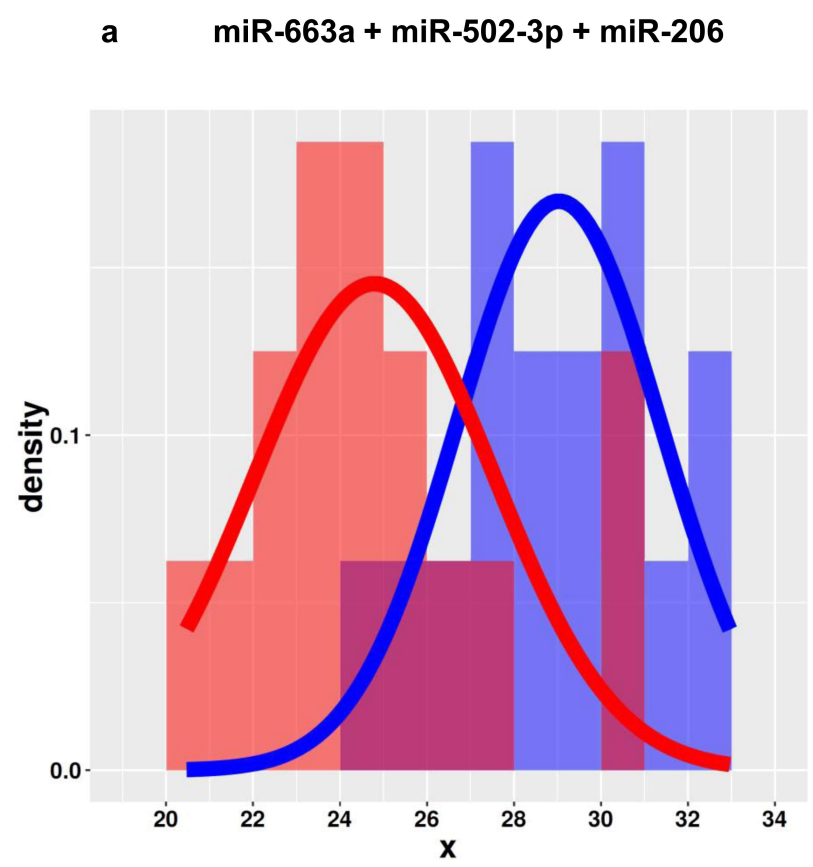


Figure 6

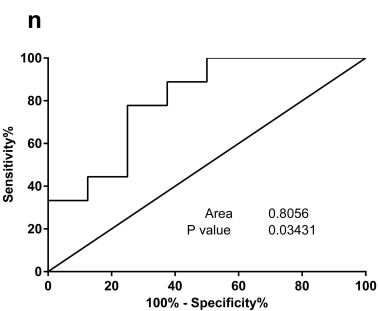
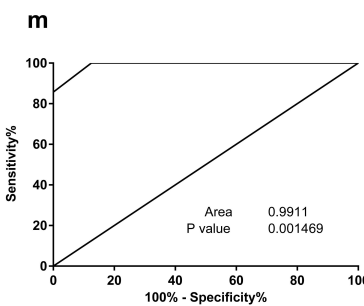
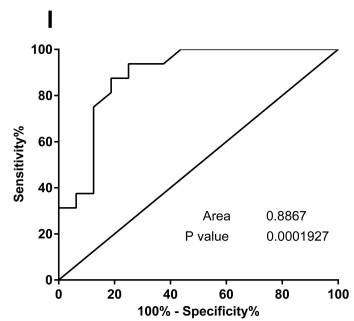
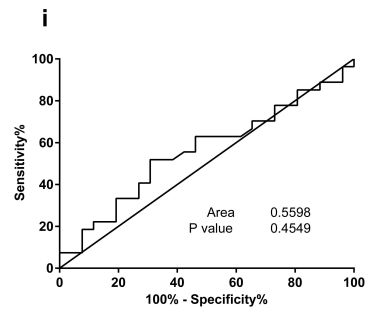
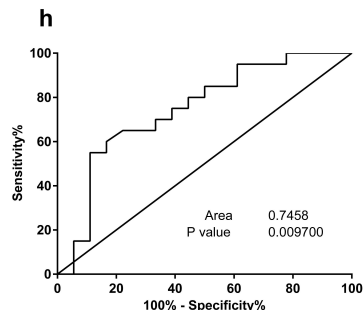
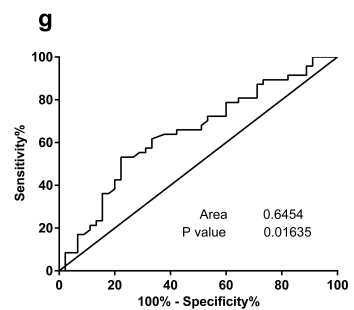
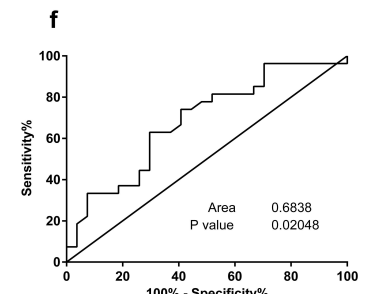
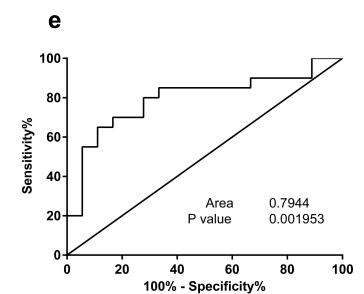
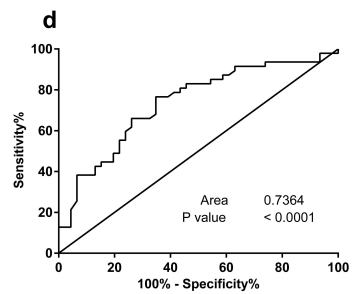
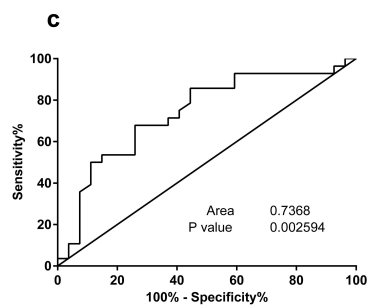
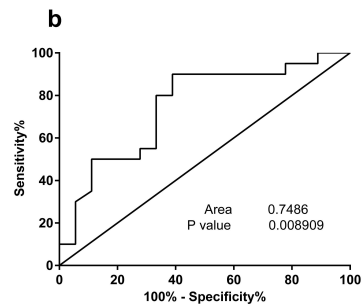
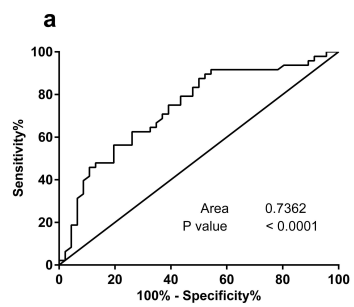


Figure 7

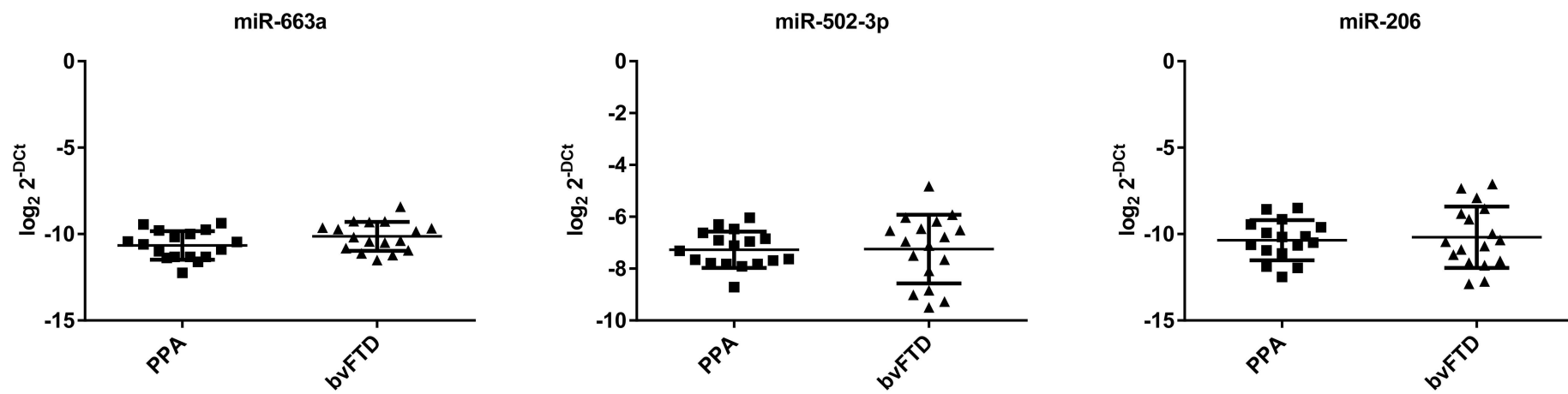
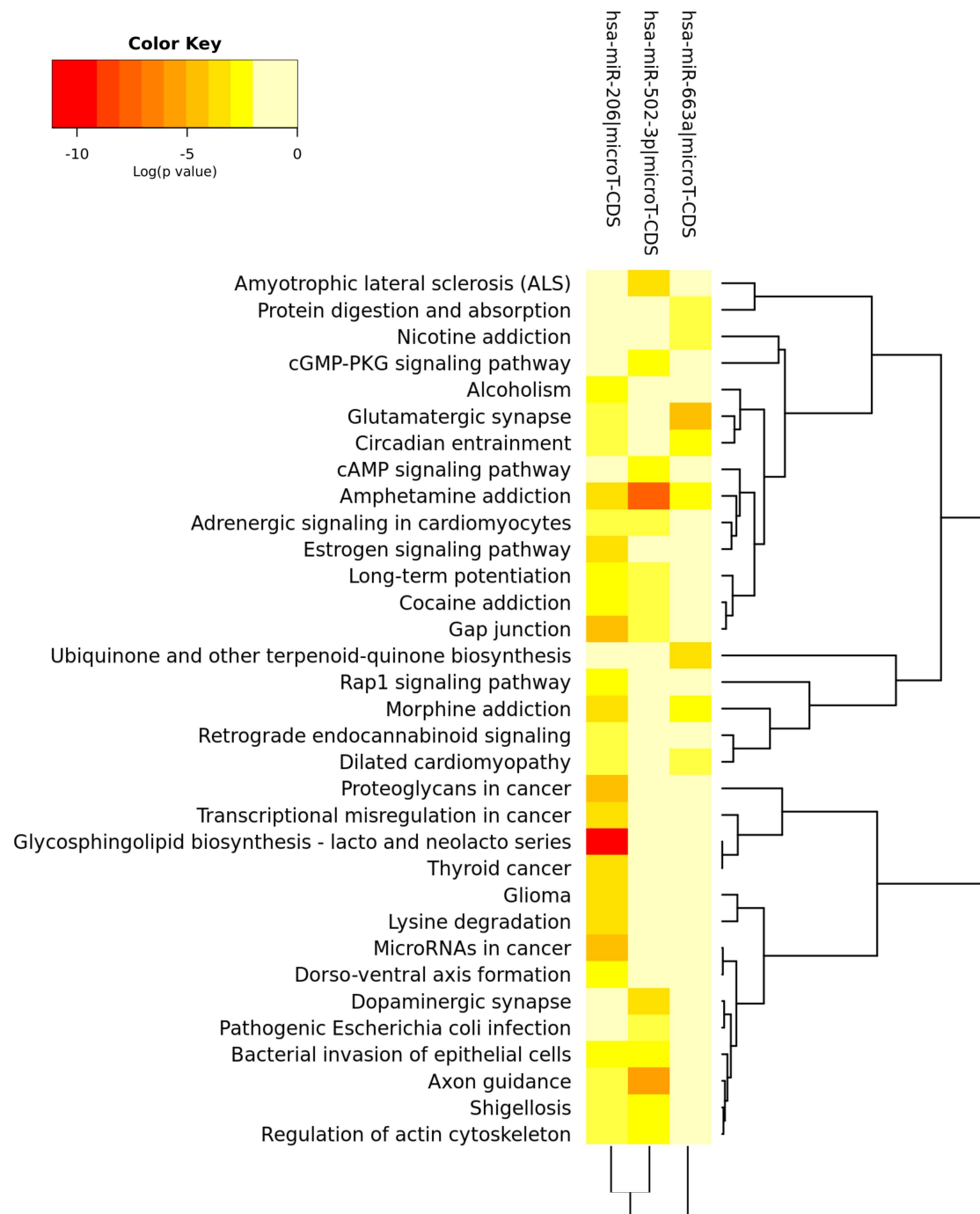


Figure 8





**Fig. A.1**

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**Fig. A.4**

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**Table A.1**

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**Table A.2**

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