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**Improving spatial normalization of brain diffusion MRI to measure longitudinal changes of tissue microstructure in human cortex and white matter.**

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1 **Abstract**

2 Scalar diffusion tensor imaging (DTI) measures, such as fractional anisotropy (*FA*) and mean  
3 diffusivity (*MD*), are increasingly being used to evaluate longitudinal changes in brain tissue  
4 microstructure. In this study, we aimed at optimizing the normalization approach of longitudinal  
5 DTI data in humans to improve registration in gray matter and reduce artifacts associated with  
6 multisession registrations. For this purpose, we examined the impact of different normalization  
7 features on the across-session test-retest reproducibility error of *FA* and *MD* maps from  
8 multiple scanning sessions. Diffusion data were pre-processed, fit to a tensor model to obtain  
9 *FA* and *MD* scalar maps and registered to standard stereotaxic space using different  
10 approaches that only differed in the features used in the normalization process, namely: 1)  
11 registration algorithm (FSL vs ANTs), 2) target image template (FMRIB58 *FA* vs MNI152 T1),  
12 3) moving image (*FA*, *MD*, *b0*), and 4) normalization strategy (direct vs using an intermediate  
13 template). We found that a normalization approach using ANTs as the registration algorithm,  
14 MNI152 T1 template as the target image, *FA* as the moving image, and an intermediate *FA*  
15 template yielded the highest test-retest reproducibility in registering longitudinal DTI maps for  
16 both gray matter and white matter. Our optimized normalization pipeline opens a window to  
17 quantify longitudinal changes in microstructure at the cortical level.

18

19 **Keywords**

20 Diffusion weighted imaging; Diffusion tensor imaging; Registration; Normalization;  
21 Reproducibility; ANTs; FSL; longitudinal

22

## 1 **1. Introduction**

2 Scalar DTI measures, such as fractional anisotropy (*FA*) and mean diffusivity (*MD*), are  
3 increasingly being used in humans to evaluate longitudinal changes in tissue microstructure  
4 induced by learning (Landi et al., 2011), development (Krogsrud et al., 2016) or  
5 neurodegenerative disease (Keihaninejad et al., 2013). *FA* quantifies the directional diffusion  
6 preference of water molecules, primarily reflecting the alignment of fibers in white matter  
7 (Beaulieu, 2002). Hence, it has been a useful marker to assess the evolution of several  
8 neurological diseases such as multiple sclerosis, amyotrophic lateral sclerosis and  
9 Alzheimer's disease (Bodini and Ciccarelli, 2014). In healthy individuals, *FA* has been used to  
10 characterize plasticity induced by learning. For example, one week of visuomotor adaptation  
11 leads to an increase in *FA* in pyramidal tracts that correlates with the speed of learning (Landi  
12 et al., 2011), whereas 3 weeks of training on a juggling task increases *FA* in the posterior  
13 parietal cortex (Jan Scholz et al., 2009). Recent studies conducted in rats suggest that these  
14 macroscopic changes may reflect augmented myelination (Hughes et al., 2018; Sampaio-  
15 Baptista et al., 2013; Swire and French-Constant, 2018).

16 In contrast, *MD* is a direction-independent measure of the average diffusivity, reflecting  
17 water motility, and thus, may be used to estimate microstructural changes both in gray matter  
18 (GM) and white matter (WM). *MD* too has long been a marker to probe tissue microstructure  
19 in neurological patients. For example, tissue cellularity in brain tumors is well correlated with  
20 *MD* (Gauvain et al., 2001), whereas cell-swelling in acute cerebral ischemia is characterized  
21 by a short-term decrease in *MD* in the affected region (Benveniste et al., 1992; Davis et al.,  
22 1994; Mintorovitch et al., 1991). In addition, this measure has been recently shown to have  
23 great potential to detect changes in gray matter induced by learning. Specifically, training on  
24 a spatial memory task reduces *MD* in the hippocampus 30 minutes post learning (Sagi et al.,  
25 2012). This decrease is associated at the microscopic level with glial hypertrophy, likely  
26 induced by LTP-like plasticity (Blumenfeld-Katzir et al., 2011; Sagi et al., 2012). Based on  
27 these findings it has been hypothesized that a learning-related reduction in cortical *MD* would  
28 be compatible with a drop in the interstitial volume associated with astrocyte hypertrophy.

29 In sum, both *FA* and *MD* may provide relevant information regarding learning-related  
30 alterations in brain microstructure that are physiologically sound. Yet, there are at least two  
31 caveats when it comes to using DTI to detect longitudinal changes in plasticity. One concerns  
32 the tissue of interest, namely whether it is white or gray matter. To date, there is no single  
33 volumetric normalization approach that serves to detect learning-related changes in both gray  
34 and white matter using DTI. In fact, most analytical tools including Tract-Based Spatial  
35 Statistics (TBSS, Smith et al., 2007, 2006) are optimized to detect differences in white matter  
36 microstructure but not in gray matter. The other caveat concerns the artifacts resulting from  
37 registering DTI images acquired from multiple sessions to the standard stereotaxic space.

1 Normal interindividual anatomical variability in fiber tracts can produce residual misalignment  
2 when registered to standard space (Smith et al., 2006). Longitudinal approaches are even  
3 more susceptible to normalization artifacts due to the potential misalignment between images  
4 acquired from the same subject in multiple magnetic resonance imaging (MRI) sessions.  
5 Voxel-based morphometry is, in fact, highly sensitive to errors in the normalization process  
6 which can yield to false positives (Bookstein, 2001; Schwarz et al., 2014). Yet, to date, there  
7 is no consensus regarding the optimal normalization method to process longitudinal DTI data  
8 (Papinutto et al., 2013). An optimized normalization pipeline that allows drawing valid  
9 conclusions from voxel-wise analysis conducted on multisession *FA* and *MD* maps is therefore  
10 indispensable.

11 In this study, we seek to optimize the normalization approach of longitudinal DTI data  
12 with the aim of improving registration in gray matter and reducing artifacts associated with  
13 multiple session registration. For this purpose, we compared the across-session test-retest  
14 reproducibility error of DTI images (*MD* and *FA*) using four –non-exclusive– normalization  
15 approaches. The first approach assessed the *Registration algorithm*. In the widely-used TBSS  
16 pipeline from FSL (Smith et al., 2007, 2006, 2004), scalar maps resulting from DTI analyses  
17 are normalized to the standard space using FSL's linear and non-linear registration algorithms  
18 (*FLIRT* and *FNIRT*, respectively; Smith et al., 2004). However, in recent years one registration  
19 tool has gained substantial attention: ANTs (Klein et al., 2009; Avants et al., 2011). Schwarz  
20 and collaborators (2014) have shown that the algorithm used by ANTs is more sensitive than  
21 TBSS for detecting white matter *FA* changes and leads to lower type I error rates (2014). Here,  
22 we aim to estimate the reproducibility of ANTs to detect longitudinal changes in gray matter,  
23 in both *MD* and *FA*.

24 The second normalization approach we evaluated in this study was centered on the  
25 *Target image*, i.e., the template used to register DTI images to standard stereotaxic space.  
26 The FMRIB58 template is widely used in DTI analyses. It is constructed out of the *FA* maps  
27 from 58 subjects normalized to MNI152 standard stereotaxic space. Yet, it is substantially  
28 smaller than the MNI152 T1 template due to erosion of the boundaries of the raw individual  
29 images to include values of *FA* larger than 0.2. This threshold, aimed at improving alignment  
30 where *FA* values are too low, gets rid of a significant amount of gray matter (Smith et al.,  
31 2006), rendering it inadequate to detect DTI changes in the cortex. Here, we examined how  
32 using the MNI152 T1 image as the standard normalization template impacts on the  
33 reproducibility of the DTI normalization process both for *MD* and *FA*.

34 The third normalization approach we evaluated in this study assessed the *Moving*  
35 *image*, i.e., the DTI image warped to the standard stereotaxic space. Traditional normalization  
36 pipelines for DTI (such as TBSS), perform an *FA-FA* registration so that the moving image (*FA*  
37 scalar map) and the final template (FMRIB58) are of the same modality (Smith et al., 2007,

1 2006). Yet, if the target image is the MNI152 T1, it is not obvious that *FA* would be the most  
2 adequate moving image when one is also interested in measuring *MD* in the cortex. Therefore,  
3 in this study, we compared the reproducibility of normalization using five different moving  
4 images: *FA*, *MD*, an image in which no diffusion gradient was applied and provides T2-  
5 weighted structural information (hereafter called as *b0*), the combination of *FA* and *b0* images,  
6 and the combination of *MD* and *b0* images.

7 Finally, the fourth normalization approach we examined in this study focused on the  
8 *Normalization strategy*. In cross-sectional studies, registration of DTI images is usually  
9 performed directly to a standard stereotaxic template. Although this approach seems  
10 appropriate for unrelated images it may not be optimal for MRI data obtained in repeated  
11 acquisitions. Longitudinal studies have the advantage that images acquired from the same  
12 subject share common structural information. Thus, the creation of a template for each  
13 individual using these images as inputs may reduce bias in registration by treating all of the  
14 time points for one given subject similarly. The normalization process will require aligning all  
15 of the acquired time points to the individual template and then registering each individual  
16 template to the one in standard stereotaxic space. Using an intermediate template for each  
17 individual has been recommended over pairwise registration (Klein et al., 2010; Reuter et al.,  
18 2012; Tustison et al., 2014) and it is more suitable for datasets with several acquisitions. On  
19 the other hand, using a group template as an intermediate step in the normalization process  
20 can also help avoiding biases in registration (Klein et al., 2010; Tustison et al., 2014). Here,  
21 we compared the direct normalization approach to that achieved using an intermediate  
22 individual or group template.

23

24

## 1 **2. Methods**

### 2 **2.1. Participants**

3 Twenty-one healthy subjects between 18 and 31 years old (11 female; ages: mean  $\pm$  SD =  
4  $23.6 \pm 3.1$ ) participated in the study. All subjects were healthy volunteers with no self-reported  
5 history of psychiatric, neurological or cognitive impairment. Subjects provided written consent  
6 and were compensated for their participation. The experimental procedure was approved by  
7 the local Ethics Committee and performed according to the Declaration of Helsinki.

### 9 **2.2. Image acquisition**

10 The MRI dataset used in this study was acquired as part of an ongoing international  
11 collaborative project between the Quebec Brain Imaging Network (QBIN) and the Latin  
12 American Brain Mapping Network (LABMAN) aimed at studying plasticity induced by learning.  
13 Participants trained on three different tasks: visuomotor adaptation, motor sequence learning,  
14 and a control task involving no learning. Each task condition required subjects to be scanned  
15 before, 30 minutes and 24 hours after training. Hence, each individual was scanned nine times  
16 in total with different MRI modalities including diffusion-weighted images (DWI), T1-weighted,  
17 T2-weighted and EPI-BOLD images. Here, we report the acquisition parameters  
18 corresponding only to the DWI protocol.

19 Magnetic resonance images were acquired with a 3T Siemens Tim TRIO scanner  
20 using a 12-channel head RF receive coil (Instituto Angel Roffo, University of Buenos Aires,  
21 Argentina). Each subject's head was positioned inside the head coil using the same  
22 anatomical landmarks as reference in all sessions. DWI were acquired using the multiband-  
23 accelerated sequence implemented by the Center for Magnetic Resonance Research (CMRR;  
24 Ugurbil et al., 2013; Xu et al., 2013). The following protocol was used for acquisition: voxel  
25 size= $2 \times 2 \times 2$  mm<sup>3</sup>; field of view (FOV)= $240 \times 240$  mm<sup>2</sup>; 30 monopolar gradient directions  
26 uniformly distributed (Jones, 2004; Jones et al., 1999); 70 axial slices; repetition time  
27 (TR)=5208 ms; echo time (TE)=89 ms; acquisition time (TA)=3 minutes and 34 seconds;  
28 bandwidth (BW)=1488 Hz/Px; multiband (MB) acceleration factor=2, SENSE1 *coil-combine*  
29 mode, pure axial slice orientation with interleaved slice acquisition, anterior-posterior (A-P)  
30 phase encoding direction, with a b-value=1000 s/mm<sup>2</sup>. Phase encoding in the anterior-  
31 posterior direction was chosen to preserve hemispheric symmetry (Smith et al., 2007). Eight  
32 *b0* volumes were acquired using an A-P phase encoding direction: two were acquired at the  
33 beginning of the sequence, one at the end and the rest interleaved every five b-1000 volumes.  
34 This configuration optimizes the signal-to-noise ratio of scalar images resulting from the fit of  
35 a diffusion tensor model (Jones et al., 1999). In addition, one *b0* volume was acquired with  
36 posterior-anterior (P-A) phase encoding direction to correct for susceptibility-induced  
37 geometric distortions (Andersson et al., 2003).

### 1 **2.3. Image pre-processing**

2 DWI *DICOM* images were converted to *NIFTI* format using the *dcm2nii* software (Li et al.,  
3 2016). Pre-processing steps for DWI were conducted for each of the nine scanning sessions  
4 separately, and included: i) correction of susceptibility-induced distortions using FSL's *topup*  
5 tool with *b0* volumes acquired with opposite phase encoding direction (Andersson et al., 2003),  
6 ii) correction of eddy currents-induced distortions, head motion correction and b-vector rotation  
7 using FSL's *eddy* tool (version 5.0.9; Andersson and Sotiropoulos, 2016). Next, DTIfit (FSL,  
8 Smith et al., 2004) was used to fit a diffusion tensor model to produce the scalar measures of  
9 interest: fractional anisotropy (*FA*) and mean diffusivity (*MD*). The “halo” of bright voxels that  
10 typically surrounds *FA* images due to eddy currents-induced distortions in cerebrospinal fluid  
11 (CSF; Bastin, 1999; Jones and Cercignani, 2010) was removed by eroding it with a spherical  
12 kernel of 6 mm radius (Smith et al., 2007, 2006). A brain mask generated out of the eroded  
13 *FA* image was subsequently applied to the associated *MD* and *b0* images. The resulting  
14 eroded *FA*, *MD* and *b0* images were then used to evaluate different normalization approaches.  
15

### 16 **2.4. Normalization approaches**

17 After pre-processing, the DTI scalar maps were normalized using four non-exclusive  
18 approaches that varied only in the registration features chosen to bring them into stereotaxic  
19 space. Only DWI data acquired during the baseline and the 24h session of the control  
20 condition, from now on referred to as *test* and *retest* images, were used to compute the across-  
21 session test-retest reproducibility error. Given the short time interval between these sessions  
22 and the absence of a learning manipulation, we assumed that the across-session variability of  
23 tissue microstructure metrics would mostly reflect reproducibility errors related to the MRI  
24 acquisition protocol and the analysis pipelines.

25 The following features were assessed in each normalization approach: 1) the  
26 registration algorithm used to compute and apply the transformations for image normalization  
27 (FSL vs ANTs), 2) the target image, i.e. the image in standard stereotaxic space used as the  
28 reference in the normalization process (FMRIB58 vs MNI152 templates), 3) the moving image,  
29 i.e. the image warped to standard space (*MD*, *FA* or *b0*), and 4) the normalization strategy,  
30 i.e., whether they were directly warped to the stereotaxic space or through an intermediate  
31 template. These normalization approaches were assessed sequentially, taking the feature of  
32 the most reproducible pipeline as the default of the subsequent approach. These pipelines,  
33 with the respective manipulated variables and fixed parameters are outlined in Table 1 and  
34 described in detail as follows.

35  
36  
37

1 **Table 1.** Outline of the four brain spatial normalization approaches evaluated in this diffusion  
 2 MRI study. For each approach, we show the parameters that were fixed and the tissues in  
 3 which test-retest reproducibility errors were assessed. Acronyms: fractional anisotropy (FA),  
 4 mean diffusivity (MD), mean unweighted diffusion volume (b0), white matter (WM), gray  
 5 matter (GM).

6

Human brain spatial normalization approach	Pipeline	Fixed parameters	Tissue in which test-retest reproducibility error (%) was evaluated
1) Registration algorithm	FSL ANTs	Target template: FA FMRIB58  Moving image: FA  Normalization strategy: Direct	Tissue: WM, from template mask  Error metrics: FA, MD
2) Target image template	T1 MNI152 FA FMRIB58	Registration algorithm: ANTs  Moving image: FA  Normalization strategy: Direct	Tissue: WM, from template masks  Error metrics: FA, MD
3) Moving image	FA MD b0 FA+b0 MD+b0	Registration algorithm: ANTs  Target template: T1 MNI152  Normalization strategy: Direct	Tissue: WM and GM, from template mask  Error metrics: FA, MD
4) Normalization strategy	Direct (no intermediate template)  Intermediate individual FA template  Intermediate group FA template	Registration algorithm: ANTs  Target template: T1 MNI152  Moving image: FA	Tissue: WM and GM, from template mask  Error metrics: FA, MD

7

#### 8 2.4.1. Registration algorithm

9 Some of the most common pipelines for DTI analyses involve the use of normalization  
 10 algorithms from FSL (Smith et al., 2007, 2006, 2004). However, recently ANTs' non-linear  
 11 normalization algorithm (Avants et al., 2011) has been shown to outperform FSL's on various



1 metrics (Klein et al., 2009; Schwarz et al., 2014). To assess the reproducibility of the DTI  
2 normalization approach from FSL versus the one from ANTs, we contrasted the following:

- 3
- 4 i. A normalization pipeline based on FSL's *FLIRT* and *FNIRT* registration algorithms.
- 5 ii. A normalization pipeline based on ANTs' linear and non-linear registration algorithms.
- 6

7 Given that *FNIRT* from FSL is optimized to warp images of the same modality  
8 (Andersson et al., 2007; Smith et al., 2004), *FA* images from the *test* and *retest* sessions were  
9 registered to the FMRIB58 *FA* template for both pipelines. Because a large portion of the  
10 cortex is missing from the FMRIB58 template, reproducibility was evaluated only for the WM  
11 tissue (see section 2.5. for details on the mask).

12 For linear registration using FSL's *FLIRT* tool (FSL version 5.0.9), the default cost  
13 function (correlation ratio) was used, which normally allows the robust registration of all images  
14 including those with different contrasts. For non-linear registration using *FNIRT*, the only cost-  
15 function presently implemented is the "sum-of-squared differences" (Smith et al., 2004). We  
16 used the configuration file provided in FSL's toolbox for registration of *FA* images to FMRIB58  
17 *FA* template (*FA\_2\_FMRIB58\_1mm.cnf*). Linear and non-linear transformations were  
18 concatenated and applied to *FA* and *MD* maps using a single interpolation step with the tri-  
19 linear (default) method.

20 For linear registration using ANTs (version 2.2.0), translation, rigid and affine  
21 transformations were consecutively calculated using the following parameters: mutual  
22 information similarity metric, convergence threshold =  $1 \times 10^{-6}$ , convergence window size = 20,  
23 gradient step = 0.1. For the non-linear transformation the symmetric normalization (*SyN*)  
24 algorithm (*antsRegistration* command) was used with the following parameters: mutual  
25 information similarity metric, 100x100x50 iterations in three resolution levels with shrink  
26 factors = 3x2x1 and smoothing sigmas = 4x2x1, convergence window size = 5, gradient step  
27 = 0.2, update field variance in voxel space = 3, total field variance in voxel space = 0. Linear  
28 and non-linear transformations were concatenated and applied to *FA* and *MD* maps using a  
29 single linear interpolation step.

30 Given that ANTs yielded better reproducibility than FSL we used ANTs' algorithm for  
31 the remaining normalization approaches.

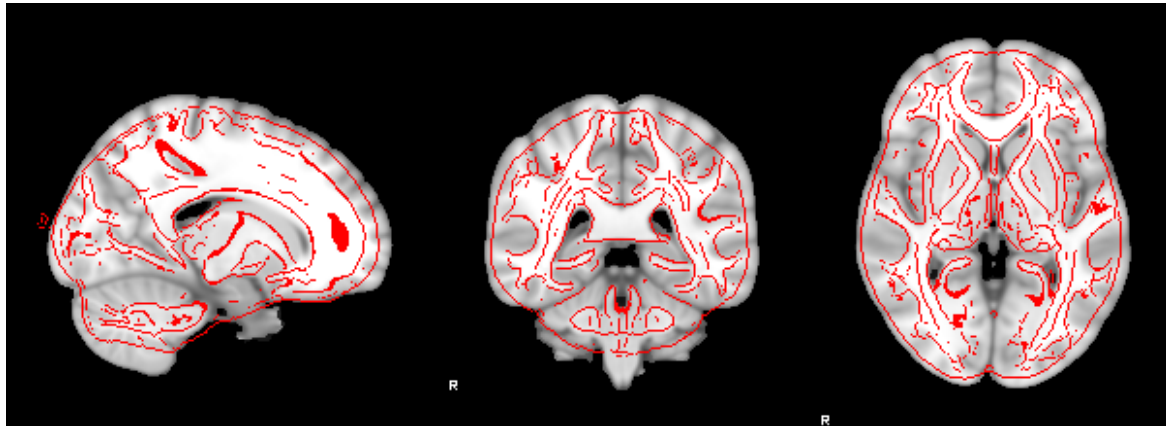
#### 32

#### 33 *2.4.2. Target image*

34 If one is interested in analyzing diffusion parameters in the neocortex, the template traditionally  
35 used in DTI studies (FMRIB58) may be suboptimal (Fig. 1). This is due to the fact that the  
36 outermost edges of the cortex, containing voxels that are primarily GM or CSF were excluded

1 from the template. Thus, we propose the use of the MNI152 T1-based template as target of  
2 registration.

3



4

5 **Figure 1.** The choice of normalization template matters: comparison of the two adult human  
6 brain templates commonly used as targets for spatial normalization in group studies. The  
7 FMRIB58 FA template (in red) is shown overlaid on MNI152 T1 template. Note the substantial  
8 amount of the cortex excluded from the FA template with respect to the T1 template.

9

10 The reproducibility associated with normalizing *FA* and *MD* images to two widely-used  
11 templates of different modalities was contrasted using the following pipelines:

12

- 13 i. A normalization pipeline using FMRIB58 *FA* template as the target image
- 14 ii. A normalization pipeline using MNI152 T1 template as the target image

15

16 *Test* and *retest FA* images were registered to either the *FA* template or the T1 template  
17 by concatenating linear and non-linear transformations using ANTs. The same parameters  
18 used for the *Registration algorithm* approach described in the previous section were chosen  
19 here. Transformations calculated for *FA* images were also applied to the corresponding *MD*  
20 images. We were only able to contrast the reproducibility for the pipelines at the level of white  
21 matter due to the lack of cortical gray matter information in the FMRIB58 template.

22 Given that using the MNI152 T1 template as target of normalization showed higher  
23 reproducibility for *MD* and *FA* than the FMRIB58 template, we chose it as the target image for  
24 the remaining normalization approaches.

25

### 26 2.4.3. *Moving image*

27 When the moving image and the target of normalization are of different modalities, as it is  
28 here, the choice of the DTI map to be warped to the standard stereotaxic space is not obvious.  
29 We compared the reproducibility for the normalization to the MNI152 template using five  
30 pipelines that differed only in the moving image:

- 1 i. A normalization pipeline using *FA* as moving image
- 2 ii. A normalization pipeline using *MD* as moving image
- 3 iii. A normalization pipeline using the *b0* as moving image
- 4 iv. A normalization pipeline combining information from *FA* and *b0* images (*FA+b0*) as
- 5 moving image
- 6 v. A normalization pipeline combining information from *MD* and *b0* images (*MD+b0*) as
- 7 moving image

8

9 In pipelines i) and ii), we assessed if the reproducibility of *FA* or *MD* is optimized when  
10 using the scalar image of interest as moving image for the normalization process. Using  
11 multiple modalities can improve pairwise registrations by providing complementary contrasts  
12 (Avants et al., 2011). Given that ANTs allows the use of one or more modalities to drive  
13 registration, in pipelines iii) through v) we examined if adding T2-weighted structural  
14 information from the *b0* image leads to a better registration of all tissues.

15 For each subject's *test* and *retest* sessions, the moving image in pipelines i) through  
16 v) were registered to the MNI152 T1 template by concatenating linear and non-linear  
17 transformations using ANTs (parameters for registration with ANTs used in the *Registration*  
18 *algorithm* approach were maintained). Then, these transformations were applied to the *FA*  
19 and *MD* maps for the same subject and session. Given that the MNI152 T1 template contains  
20 information from gray matter and white matter, we were able to compare the reproducibility  
21 error of *FA* and *MD* in both types of tissue. To this end, we created voxel-wise reproducibility  
22 error maps, and obtained the mean for gray and white matter within each corresponding mask  
23 (see section 2.5. for details).

24 Given that *FA* was the moving image yielding the best reproducibility both for *MD* and  
25 *FA*, we used this moving image for the last normalization approach.

26

#### 27 2.4.4. Normalization strategy

28 The first three normalization approaches proposed in this work were based on pipelines in  
29 which registration of the moving image to the target was performed in a direct fashion. The  
30 direct approach does not differ from what is often used in cross-sectional studies, but it may  
31 not be the best normalization strategy to make the most out of longitudinal data. One of the  
32 benefits of having a longitudinal data set is that within-subject variability may be reduced by  
33 taking advantage of the images acquired at different time points to create an intermediate  
34 template for normalization. It has been shown that the use of an intermediate template  
35 improves alignment over direct pairwise registration (Klein et al., 2010; Reuter et al., 2012;  
36 Tustison et al., 2014). Therefore, we evaluated two pipelines using different intermediate  
37 templates created with ANTs:

- 1 i. A normalization pipeline using an individual *FA* template as intermediate template
- 2 ii. A normalization pipeline using a group *FA* template as an intermediate template

3

4 When having two time points for the same subject, a common strategy to create an  
5 individual template is to refer both images to their halfway point or mid-space (Thomas et al.,  
6 2009). In contrast, when the number of time points is larger, as in this case in which 9 images  
7 were obtained per subject, creating a mid-space for all time points is cumbersome. ANTs  
8 provides a tool that allows for the construction of an unbiased multivariate template using  
9 images from many sessions (and modalities) from the same subject (Avants et al., 2011,  
10 2010). The intermediate templates proposed in pipelines i) and ii) were created using this tool:  
11 *antsMultivariateTemplateConstruction* (Avants et al., 2011, 2010).

12 DWI images from all nine sessions were used as input for the construction of each  
13 individual *FA* template. For the creation of the group *FA* template, individual *FA* templates  
14 from all subjects (twenty-one) were used as input. The following parameters were chosen for  
15 the template construction: rigid-body registration of inputs for the creation of an initial template  
16 used as seed, gradient step size=0.2, cross-correlation similarity metric, Greedy-SyN  
17 transformation model used for registration. Once the intermediate *FA* templates were  
18 produced (one individual template per subject, and one group template), they were registered  
19 to the MNI152 T1 using ANTs' linear and non-linear transformations.

20 The template construction tool automatically provides the transformations that map  
21 each one of the input images to the output template of choice. Hence, for the normalization of  
22 images in pipeline i), the transformations that map the *test* and *retest* *FA* images to the  
23 individual template were concatenated to those that map the individual template to MNI152  
24 space. Similarly, for pipeline ii), the transformations that map the *test* and *retest* *FA* images to  
25 the individual template, the corresponding individual template to the group template and the  
26 group template to MNI152 space were concatenated. Then, transformations were applied to  
27 the *FA* and *MD* maps in subject space to align them to the MNI152 standard space in a single  
28 interpolation step.

29 To evaluate if adding an intermediate template to the normalization process improved  
30 reproducibility in comparison with a direct normalization approach, we contrasted the  
31 reproducibility from pipelines i) and ii) with that obtained for the pipeline in which normalization  
32 was performed directly to the MNI152 T1 template.

33

## 34 **2.5. Data analysis**

35 For all normalization approaches, the performance of the different pipelines was established  
36 based on the across-session test-retest reproducibility error using binary masks for each  
37 tissue type, namely gray and white matter. Furthermore, to assess the effect of applying the

1 optimal normalization pipeline on the integrity of the DTI images, we calculated the signal-to-  
2 noise ratio (SNR) of *MD* and *FA* on gray and white matter tissue.

3

#### 4 2.5.1. Across-session test-retest reproducibility error

5 Across-session test-retest reproducibility errors (RE) of *FA* and *MD* were computed in a voxel-  
6 wise fashion for each subject and each normalization approach as the absolute difference  
7 between the *test* and the *retest* DTI measure, divided by the mean value of both sessions, and  
8 multiplied by 100 to express it as percent change (Papinutto et al., 2013).

9

$$RE = \frac{100 * |TEST - RETEST|}{0.5 * (TEST + RETEST)} \quad (\text{Eq.1})$$

10

11 To assess differences in RE for each tissue type, we computed the average RE for  
12 each DTI measure over the voxels within a GM and a WM mask. Masks were created by  
13 segmenting the MNI152 T1 template into three tissues (GM, WM and CSF) using FSL's FAST  
14 tool (Zhang et al., 2001). The GM component obtained from the segmentation was used to  
15 create an atlas GM mask. An additional subcortical mask was created using the Harvard-  
16 Oxford subcortical structural atlas (Smith et al., 2004; thresholded=60% probability) to include  
17 a series of structures of interest that were missing from the automatically generated GM  
18 component. This included the right and left pallidum, putamen, caudate and thalamus. Cortical  
19 and subcortical GM masks were combined and binarized into a unique GM mask. On the other  
20 hand, the WM mask was created based on the intersection of the components automatically  
21 generated by segmenting WM tissues from the MNI152 template and the FMRIB58 template  
22 (the FMRIB58 is substantially smaller due to thresholding). This allowed comparing RE in WM  
23 for images normalized with either template.

24

#### 25 2.5.2. Signal-to-noise ratio (SNR) assessment

26 To obtain the SNR, we computed the mean across each normalized *test* DTI map and divided  
27 it by its standard deviation (Farrell et al., 2007). Thus, for each subject we generated one SNR  
28 value per DTI measure (one for *MD* and one for *FA*) and tissue type (GM and WM masks).

29

#### 30 2.5.3. Statistical analysis

31 Statistical analysis was performed using SPSS (IBM SPSS Statistics for Windows, version  
32 25.0). RE and SNR values were statistically compared using a repeated measures analysis of  
33 variance (ANOVA), with *pipeline*, DTI measure and tissue type as within-subject factors.  
34 Normality of the data was checked using Shapiro-Wilk's test. For analyses involving  
35 comparisons between more than two pipelines, sphericity of the data was tested using

1 Mauchly's test. In the cases in which the sphericity assumption was not met, a Greenhouse-  
2 Geisser degrees of freedom correction was performed. One subject consistently appeared as  
3 an outlier in the *Moving image* approach. Consequently, it was removed from all the analyses.  
4 Post-hoc Tukey tests were used to examine specific differences between pipelines. Bonferroni  
5 correction was used to adjust the significance threshold for multiple comparisons.

6

## 7 **2.6. Data and code availability statement**

8 The source-code of the optimized pipeline proposed for longitudinal DTI normalization is  
9 publicly available in GitHub (see section 3.4 for the link). The dataset used for this work is  
10 available upon request.

11

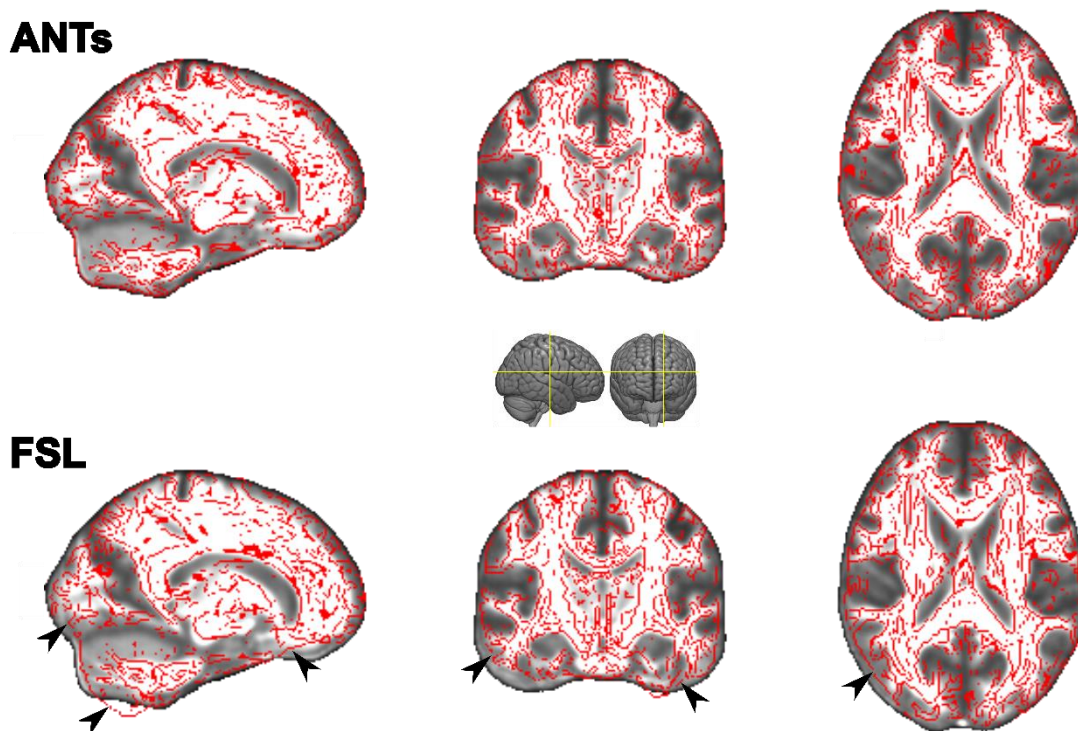
### 1 3. Results

2 DWI data were pre-processed, fit to a tensor model to obtain *FA* and *MD* scalar maps and  
3 registered to standard stereotaxic space using four approaches that only differed in the  
4 features used in the normalization process, namely: 1) registration algorithm, 2) target image,  
5 3) moving image and 4) normalization strategy.

#### 7 3.1. Registration algorithm: ANTs yields lower reproducibility error than FSL

8 With the aim of finding the optimal registration algorithm we first inspected the quality of  
9 registration visually by overlaying registered *FA* maps (red edges) on the FMRIB58 *FA*  
10 template (background image). Visual inspection of normalized *FA* images yielded overall  
11 better anatomical alignment to the standard template for ANTs than for FSL. Figure 2  
12 illustrates this observation for one representative image.

13



14

15 **Figure 2.** The choice of non-linear registration tool matters: comparison of registration to the  
16 FMRIB58 *FA* template (background image) from a sample subject using two different  
17 registration tools, ANTs (top row) and FSL (bottom row). Registered *FA* maps are displayed  
18 using red edges. Notice the suboptimal warping of FSL's tools in frontal, temporal and  
19 posterior regions (marked with arrows).

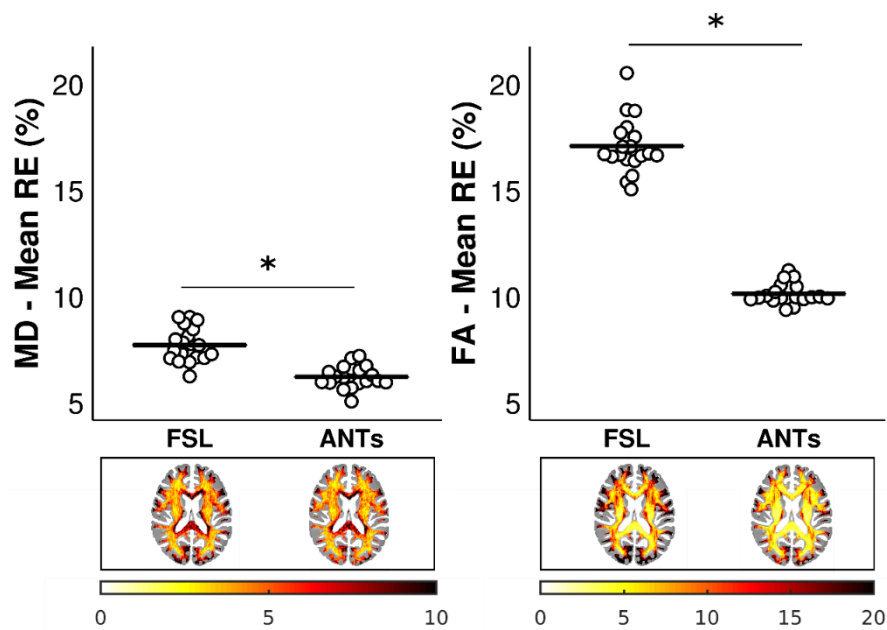
20

21 Next, we compared the RE obtained from a normalization pipeline using either FSL  
22 (Smith et al., 2007, 2006, 2004) or ANTs (Avants et al., 2011). Given that *FSL's* most used  
23 algorithm for non-linear registration (*FLIRT*) is optimized to warp images of the same modality,

1 FA images were registered to the FMRIB58 FA template for both pipelines. Thus,  
2 reproducibility was evaluated only for the WM tissue.

3 Quantitative assessment of the algorithm's reproducibility is shown in Figure 3. The  
4 upper row shows the distributions of reproducibility errors on the white matter mask of the  
5 FMRIB58 FA template, for MD (left) and FA (right). For both metrics we found that ANTs  
6 yielded lower percent reproducibility errors: MD (Mean±SE: RE=7.75±0.18% for FSL vs.  
7 RE=6.24±0.11% for ANTs; F(1,19)=126.41, p<0.0001) and FA (RE=17.11±0.28% for FSL vs  
8 RE=10.16±0.11% for ANTs; F(1,19)=1103.83, p<0.0001). The lower row of Figure 3 shows  
9 the color coded (% errors) voxel-wise spatial map of mean RE computed across the WM mask  
10 (FMRIB58 FA template). Note that the reproducibility errors were higher for FA than for MD in  
11 both normalization pipelines (main effect of DTI measure F(1,19)=6095.44, p<0.0001, with  
12 mean RE=13.64±0.19 for FA vs RE=6.99±0.13 for MD). More details can be found in  
13 Supplementary Table 1.

14



15

16 **Figure 3.** The choice of non-linear registration tool (FSL or ANTs) used with the FMRIB58 FA  
17 template affects test-retest reproducibility of diffusion scalars in white matter. ANTs yields  
18 significantly lower reproducibility errors than FSL (\*p<0.0001). The upper plots show the mean  
19 percent test-retest reproducibility error for MD (left) and FA (right). The lower row shows the  
20 color-coded anatomical distribution of percent reproducibility errors in the white matter mask  
21 of the FA template; axial slice coordinate: z=19 mm. Acronyms: mean diffusivity (MD),  
22 fractional anisotropy (FA), test-retest reproducibility error (RE).

23

24 **3.2. Target image: MNI152 T1 template yields lower reproducibility error in WM than**  
25 **FMRIB58 FA template**

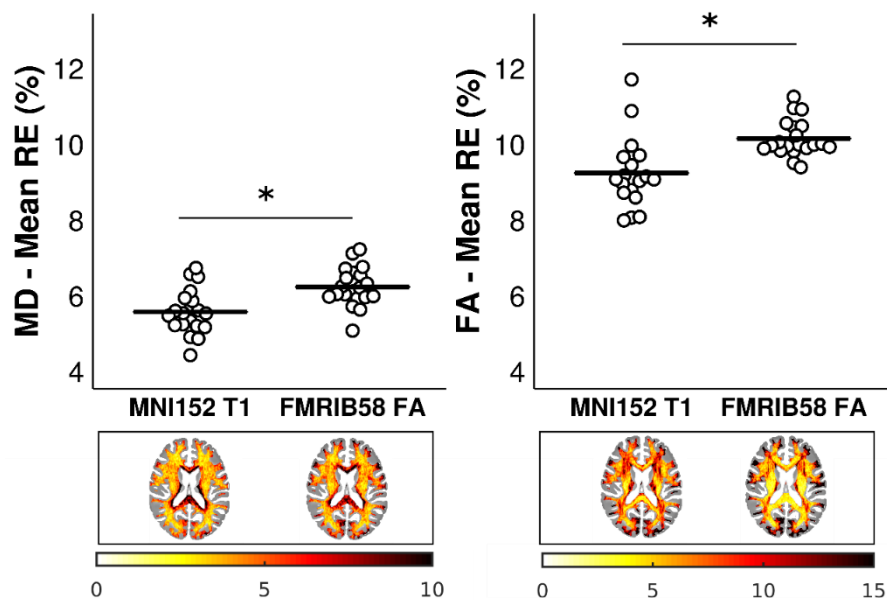
26 With the aim of optimizing the normalization process to include the cortex, we compared the  
27 RE associated with registering MD and FA maps to the FMRIB58 FA template with that



1 obtained from registering them to the MNI152 T1 template. RE was evaluated on the WM  
2 mask. We used ANTs for the registration given the improved reproducibility performance  
3 shown in Figure 3.

4 We found that reproducibility errors were significantly lower when using MNI152 as  
5 target image (Fig. 4) both for *MD* (RE=5.58±0.13% when normalized to MNI152 vs  
6 RE=6.24±0.11% when using FMRIB58 as target; F(1,19)=108.15; p<0.0001) and *FA*  
7 (RE=9.25±0.20% when normalized to MNI152 vs RE=10.16±0.11% when using FMRIB58 as  
8 target; F(1,19)=58.76; p<0.0001). In line with the previous normalization approach, RE was  
9 higher for *FA* than *MD* for both pipelines (main effect of DTI measure F(1,19)=2993.47,  
10 p<0.0001, with mean RE=9.70±0.15 for *FA* vs RE=5.91±0.12 for *MD*). More details can be  
11 found in Supplementary Table 2.

12



13

14 **Figure 4.** The choice of target template affects reproducibility errors in white matter *MD* and  
15 *FA*. MNI152 T1 template produced significantly lower reproducibility error than FMRIB58 FA  
16 template (\*p<0.0001). Similarly to Fig. 3, the upper row shows the group % error distributions  
17 and the lower row the voxel-wise % error maps for each diffusion metric (*MD* and *FA*) and  
18 each target template (MNI152 T1 and FMRIB58 FA). Acronyms: mean diffusivity (*MD*),  
19 fractional anisotropy (*FA*), test-retest reproducibility error (RE).  
20

21 **3.3. Moving image: Using *FA* as moving image reduces reproducibility error both in GM and**  
22 **WM**

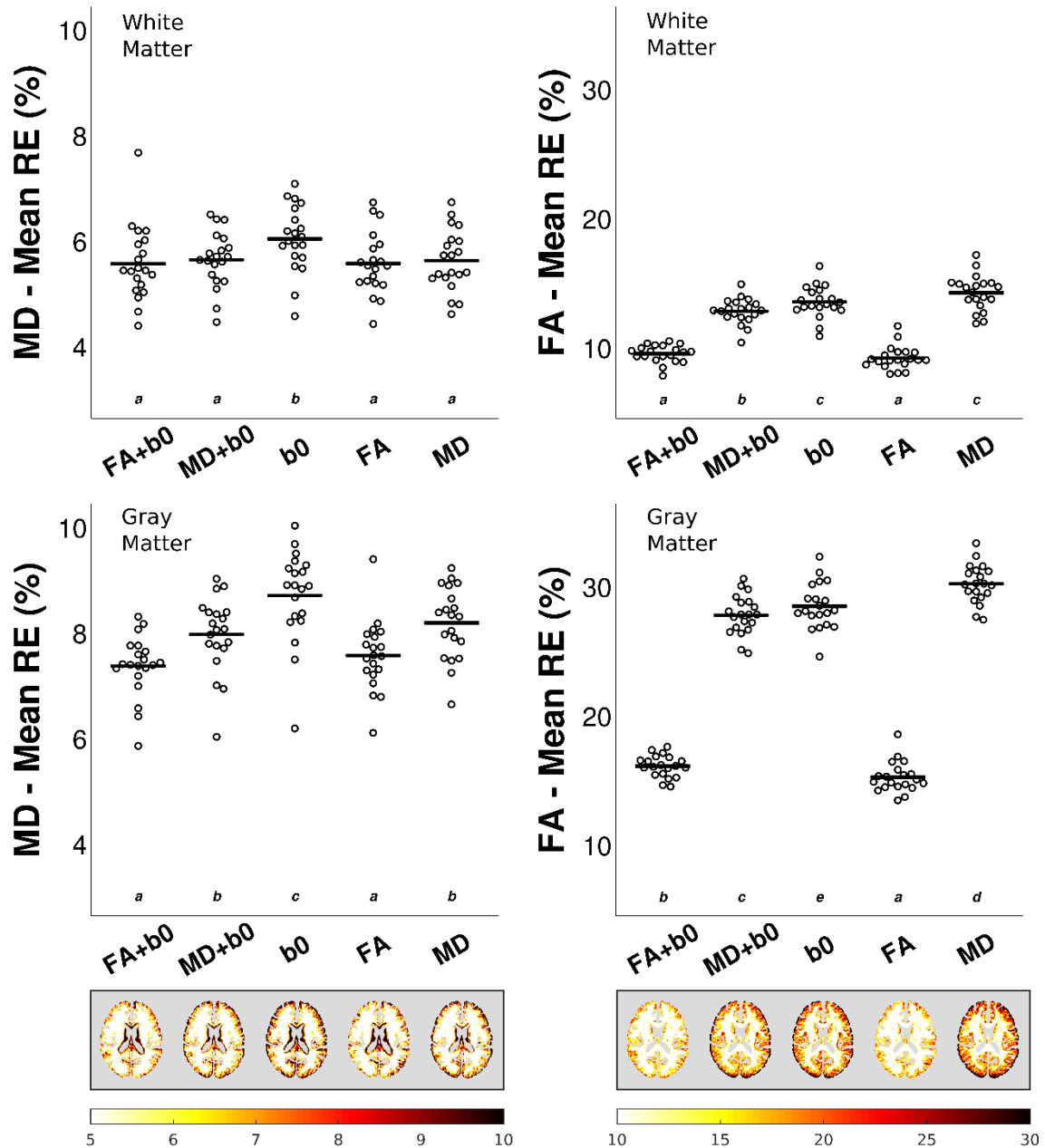
23 Given that so far the optimal normalization approach is based on the MNI152 T1 template as  
24 target of normalization the choice of moving image is not obvious. Here, we contrasted the RE  
25 obtained from five normalization pipelines differing only in the moving image: i) *FA*, ii) *MD*, iii)

1 *b0*, iv) *FA+b0*, and v) *MD+b0*. In this case, we were able to assess the RE both in white matter  
2 and gray matter using corresponding tissue masks.

3 The assessment of RE in white-matter tissue yielded a significant effect of moving  
4 image both for *MD* ( $F(1.909,36.272)=9.483$ ;  $p<0.001$ ) and *FA* ( $F(2.406,45.714)=199.158$ ;  
5  $p<0.0001$ ). A post-hoc test conducted on the *MD* measure, revealed that the “*b0*” pipeline  
6 yielded higher RE than the other four pipelines ( $p<0.01$ ), while the rest of the pipelines did not  
7 differ from one another (Fig. 5, top left). On the other hand, a post-hoc test conducted on the  
8 *FA* measure, showed that “*FA+b0*” and “*FA*” pipelines yielded the lowest RE, and that these  
9 pipelines differed from the rest ( $p<0.0001$ ). Combining *FA* and *b0* images did not significantly  
10 improve reproducibility over using *FA* alone ( $p=0.107$ ). These results are depicted in Figure 5  
11 (top, right).

12 The assessment of RE in gray-matter tissue yielded a significant effect of moving  
13 image on RE both for *MD* ( $F(2.413,45.838)=39.856$ ;  $p<0.0001$ ) and *FA*  
14 ( $F(2.395,45.500)=1078.86$ ;  $p<0.0001$ ). A post-hoc test conducted on the *MD* measure (Fig. 5,  
15 bottom left), showed that both the “*FA+b0*” and the “*FA*” pipelines yielded the lowest RE  
16 ( $p<0.05$ ), both being equally reliable ( $p=0.177$ ). A post-hoc test conducted on the *FA* measure  
17 (Fig. 5, bottom right), showed that the “*FA*” pipeline yielded the lowest RE ( $p<0.001$ ). Voxel-  
18 wise distribution of RE is depicted at the bottom of Figure 5. Refer to Supplementary Tables  
19 3 and 4 for additional information regarding differences between these pipelines and post-hoc  
20 comparisons.

21



1

2 **Figure 5.** The choice of moving image warped to the MNI152 T1 template affects test-retest  
 3 reproducibility error. Using FA as moving image reduces reproducibility error significantly in  
 4 both brain tissues (GM and WM), for both FA and MD ( $p < 0.001$ ). Shown are the mean RE  
 5 (top), and the color-coded anatomical distribution of RE for MD (left) and FA (right) in white  
 6 and gray matter. Letters above the horizontal axis represent the compact display of all pair-  
 7 wise comparisons using Tukey's test. Different letters express differences between pipelines  
 8 with an adjusted  $p$ -value  $< 0.05$ . Same letters indicate no statistical differences. As indicated in  
 9 Eq. (1) RE is expressed as percent change. Acronyms: mean diffusivity (MD), fractional  
 10 anisotropy (FA), test-retest reproducibility error (RE), gray matter (GM), white matter (WM),  
 11 mean diffusion unweighted volume (b0).  
 12

13 In conclusion, regardless of the measure (*MD* or *FA*) and the tissue (WM or GM), *FA*  
 14 is the most reliable moving image to use in the registration to the MNI152 T1 template.

1 Consistent with results from the previous sections, the reproducibility error associated with *FA*  
2 was higher than *MD* for all pipelines and across tissues (main effect of DTI measure  
3  $F(1,19)=6799.91$ ,  $p<0.0001$ , with mean  $RE=17.76\pm 0.21\%$  for *FA* vs  $RE=6.84\pm 0.3\%$  for *MD*).  
4 Note that reproducibility errors were higher in GM than in WM, regardless of diffusion metric  
5 (main effect of tissue type  $F(1,19)=6151.91$ ,  $p<0.0001$ , with mean  $RE=15.79\pm 0.18$  for GM vs  
6  $RE=8.81\pm 0.14$  for WM).

7

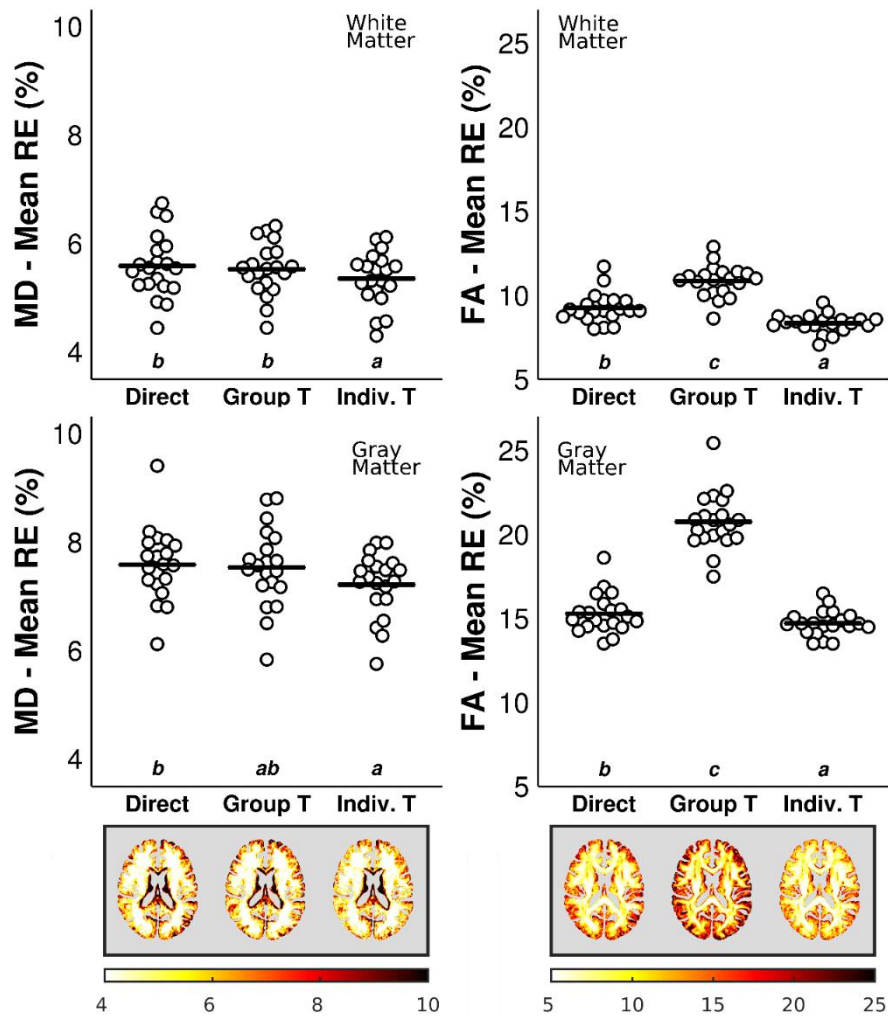
8 *3.4. Normalization strategy: Using an intermediate individual FA template for normalization*  
9 *reduces reproducibility error in GM and WM.*

10 So far, our results indicate that using ANTs for registration, the MNI152 T1 template as target  
11 image and the *FA* map as moving image yield the optimal normalization approach for  
12 longitudinal DTI images. However, normalization steps in previous sections were performed  
13 in a direct fashion, that is, the moving image was aligned to the target image with no  
14 intermediate registration steps. Here we examined the reproducibility error associated with  
15 pipelines involving two different intermediate templates: an individual *FA* template and a group  
16 *FA* template. We compared reproducibility results from these two pipelines with those from  
17 the direct pipeline using “*FA*” as moving image, which yielded the lowest RE for *FA* and *MD*  
18 in both tissues, as shown in the previous section.

19 Reproducibility error in white matter differed significantly both for *MD* ( $F(2,38)=10.188$ ;  
20  $p<0.0001$ ) and *FA* ( $F(1.510,28.684)=98.573$ ;  $p<0.0001$ ). A post-hoc test showed that using an  
21 individual *FA* template as an intermediate step in the normalization process yielded the lowest  
22 RE (Fig. 6, top panel), and differed from the rest of the pipelines for both *MD* ( $p<0.03$ ) and *FA*  
23 ( $p<0.0001$ ).

24 Reproducibility error in gray matter also differed significantly both for *MD*  
25 ( $F(1.447,27.498)=3.950$ ;  $p=0.043$ ) and *FA* ( $F(1.393,26.472)=230.70$ ;  $p<0.0001$ ). A post-hoc  
26 test revealed that using an intermediate individual *FA* template yielded the lowest RE (Fig. 6,  
27 bottom panel), and differed from the rest of the pipelines for *FA* ( $p<0.02$ ). For *MD*, using the  
28 individual *FA* template as an intermediate step produced lower RE than using the direct  
29 registration strategy ( $p=0.001$ ) but it did not differ from using the group *FA* template ( $p=0.2$ ).  
30 Voxel-wise maps for the mean RE across subjects are shown in Figure 6 to illustrate RE  
31 distribution. Refer to Supplementary Tables 5 and 6 for additional information regarding  
32 differences between these pipelines and post-hoc comparisons.

33

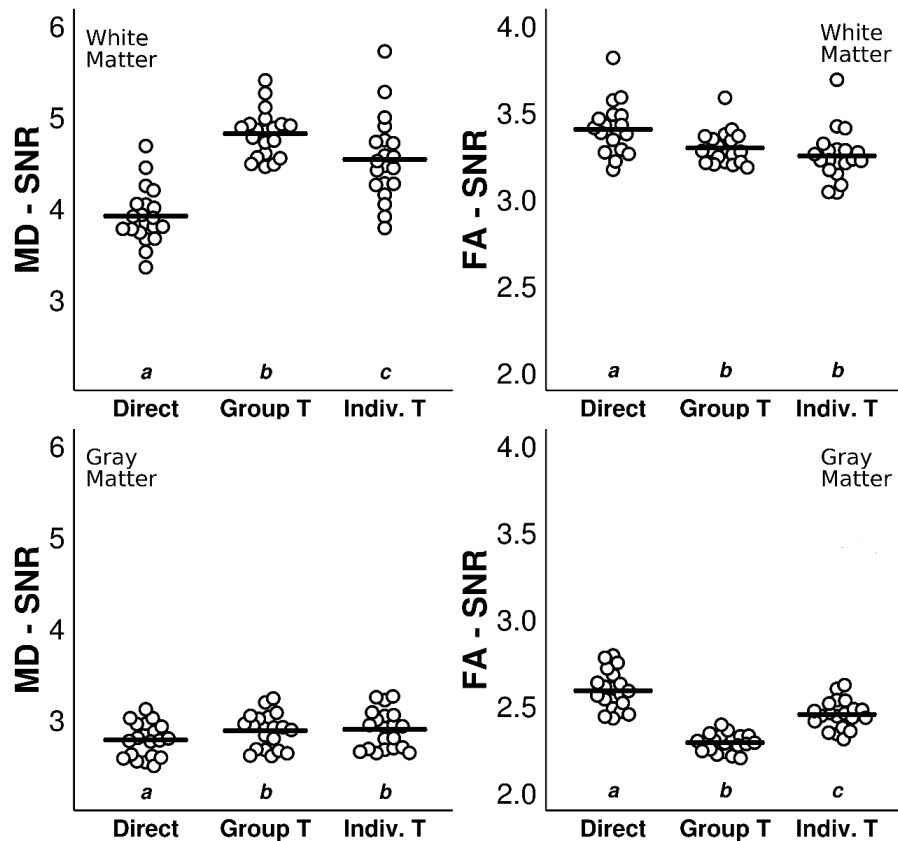


1

2 **Figure 6.** The intermediate individual FA template reduces reproducibility error in GM and  
3 WM. Shown are the mean RE (top), and the color-coded anatomical distribution of RE for MD  
4 (left) and FA (right) for white and gray matter. As indicated in Eq. (1) RE is expressed as  
5 percent change. **Direct:** direct normalization, **Group T:** normalization via group FA template  
6 (created out of 21 individual templates), and **Indiv. T:** normalization via individual FA template  
7 (created out of 9 scans per subject). Letters above the horizontal axis represent the compact  
8 display of all pair-wise comparisons using Tukey's test. Different letters express differences  
9 between pipelines with an adjusted  $p$ -value  $< 0.05$ . Same letters indicate no statistical  
10 differences. Acronyms: mean diffusivity (MD), fractional anisotropy (FA), test-retest  
11 reproducibility error (RE), gray matter (GM), white matter (WM).  
12

13 Consistent with results from the previous sections, the reproducibility error associated  
14 with *FA* was higher than *MD* for all pipelines and across tissues (main effect of DTI measure  
15  $F(1,19)=2866.98$ ,  $p < 0.0001$ , with mean  $RE=13.20 \pm 0.18\%$  for *FA* vs  $RE=6.46 \pm 0.11\%$  for *MD*).  
16 Note that reproducibility errors were higher in GM than in WM, regardless of pipeline and  
17 diffusion metric (main effect of tissue type  $F(1,19)=3590.21$ ,  $p < 0.0001$ , with mean  
18  $RE=12.18 \pm 0.16$  for GM vs  $RE=7.48 \pm 0.12$  for WM).

1 So far we have focused on the test-retest percent reproducibility error as index to  
2 evaluate normalization approaches. Yet, an optimal approach in terms of RE may also impact  
3 on the integrity of the image, hindering the signal-to-noise ratio (SNR). Figure 7 depicts the  
4 mean SNR computed for *FA* and *MD* in WM and GM for the *Normalization strategy* approach.  
5



6

7 **Figure 7.** The choice of an intermediate template for brain spatial normalization strategy  
8 affects the global signal-to-noise ratio (SNR) in *MD* and *FA* maps. Note that different scales  
9 are used for panels showing MD and FA results. **Direct:** direct normalization, **Group T:**  
10 normalization via group FA template (created out of 21 individual templates), and **Indiv. T:**  
11 normalization via individual FA template (created out of 9 scans per subject). Letters above  
12 the horizontal axis represent the compact display of all pair-wise comparisons using Tukey's  
13 test. Different letters express differences between pipelines with an adjusted p-value<0.05.  
14 Same letters indicate no statistical differences. Acronyms: mean diffusivity (MD), fractional  
15 anisotropy (FA).  
16

17

18 Statistical assessment of SNR in white matter identified a significant effect of  
19 normalization strategy for *MD* ( $F(1,460,27.737)=167.543$ ;  $p<0.0001$ ) and *FA* ( $F(2,38)=19.056$ ;  
20  $p<0.0001$ ). A post-hoc test conducted on *MD* revealed that using a group *FA* template as an  
21 intermediate step for normalization pipeline yielded the highest SNR ( $p<0.001$ ). On the other  
22 hand, a post-hoc test conducted on *FA* showed that the direct normalization using *FA* as  
moving image yielded the highest SNR ( $p<0.005$ ).

1           Statistical assessment of SNR in gray matter also identified a significant effect of  
2 normalization strategy both for *MD* ( $F(1.440,27.358)=22.518$ ;  $p<0.0001$ ) and *FA*  
3 ( $F(1.521,28.904)=168.093$ ;  $p<0.0001$ ). A post-hoc assessment on *MD* showed that  
4 normalization pipelines using an intermediate template (individual *FA* template or group *FA*  
5 template) yielded the highest SNR ( $p<0.0001$ ), with no difference between them. Post-hoc  
6 assessment on *FA* showed that the direct pipeline produced the highest SNR ( $p<0.0001$ ).  
7 Refer to Supplementary Tables 7 and 8 for additional information regarding differences  
8 between these pipelines and post-hoc comparisons.

9           In sum, using an intermediate individual *FA* template yields the lowest RE for both *MD*  
10 and *FA* across tissues. This approach was associated with high SNR for *MD* both in GM and  
11 WM. In contrast, using a direct normalization strategy, i.e. registering *FA* directly to MNI152  
12 template, yielded the highest SNR for *FA* in both tissues. In agreement with the previous  
13 approaches, RE was higher for *FA* than *MD*, and the SNR was higher for *MD* than for *FA*.

14           The codes for the optimal normalization pipeline are publicly available at:  
15 <https://github.com/florjaco/DWIReproducibleNormalization>.

16

17

#### 1 **4. Discussion**

2 Brain diffusion MRI scalar maps like fractional anisotropy and mean diffusivity provide an  
3 increasingly valuable noninvasive tool to quantify structural plasticity (Keihaninejad et al.,  
4 2013; Krogsrud et al., 2016; Lam et al., 2014; Landi et al., 2011; Sagi et al., 2012; Scholz et  
5 al., 2009; Sexton et al., 2014). Yet, longitudinal changes in gray matter are usually overlooked  
6 in traditional pipelines of DTI analysis, which mostly focus on white matter tissue. Here we  
7 seek to optimize current spatial normalization approaches to detect longitudinal changes in  
8 diffusion scalar maps both at the level of the cortex and the white matter. To this end, we  
9 explored the impact of varying different features of the spatial normalization process on the  
10 across-session test-retest reproducibility error of *FA* and *MD* maps produced from multiple  
11 scanning sessions. We found that the most reliable approach, both for *MD* and *FA* in gray and  
12 white matter tissues, consisted on a spatial normalization pipeline using ANTs as the  
13 registration algorithm, MNI152 T1 template as the target image, *FA* as the moving image, and  
14 an individual *FA* template as an intermediate step.

15 The impact of the normalization parameters on the reproducibility of DTI registration  
16 has previously been explored by a few laboratories. For example, Liu and collaborators (2014)  
17 showed that traditional linear and non-linear algorithms such as *FLIRT* and *FNIRT* (FSL) or  
18 tensor-based algorithms were equally reliable. This was attributed to the high accuracy of DTI  
19 measures extracted from images acquired with more than 30 encoding directions. Around the  
20 same time, however, Schwarz and collaborators (2014) showed that the reproducibility of the  
21 spatial normalization process may in fact be improved further by using the non-linear algorithm  
22 from ANTs (*SyM*). Using a simulation approach, the authors showed that ANTs was more  
23 sensitive to detect true changes, yielding a lower rate of false positives than FSL. The ANTs  
24 registration algorithm was later also found to outperform FSL in terms of the alignment of white  
25 matter tracts, as assessed through quantification of fiber similarity (mean-square error) and  
26 *FA* profiles (Wang et al., 2017). Using an alternative approach to assess normalization  
27 reproducibility, in the present study we demonstrated that ANTs' registration algorithm yielded  
28 better reproducibility than FSL's. Thus, altogether, both Schwarz's and our study point to a  
29 better performance of ANTs for non-linear registration of DTI maps.

30 A unique contribution of our work is the application of ANTs to detect longitudinal  
31 changes of *FA* and *MD* in gray matter tissue. Estimating the reproducibility of these maps in  
32 both types of tissue was possible because we used a T1 stereotaxic template as the target  
33 image of normalization. Unlike DTI-based templates (e.g., Cabeen et al., 2017; Schwarz et  
34 al., 2014; Van Hecke et al., 2011; Zhang and Arfanakis, 2018), the MNI152 preserves the  
35 integrity of the cortex. To our knowledge, this is the first study to examine the direct registration  
36 of DTI maps to a T1 stereotaxic template. Interestingly, using the MNI152 T1 template not only  
37 improved reproducibility over the FMRIB58 in gray matter but also in white matter. Therefore,



1 using a T1-based standard as target image appears to be advantageous over DTI-based  
2 templates to improve detection of longitudinal changes in microstructure in both types of  
3 tissues.

4 Most studies aimed at detecting longitudinal changes in *FA* have used the *FA* map as  
5 the moving image to register to stereotaxic space. This is likely due to the fact that these maps  
6 provide better white matter contrast (although see Bach et al., 2014 and Park et al., 2003 for  
7 tensor-based registration). Yet, one would expect the choice of moving image to be measure  
8 dependent, with *MD* yielding better reproducibility to examine changes in gray matter, and *FA*  
9 in white matter. Given that not all DTI measures have good tissue contrast, we also examined  
10 the impact of including the *b0* on the reproducibility error. We found that using *FA* as moving  
11 image yielded the best reproducibility for both DTI measures in both tissues, whereas using  
12 *MD* deteriorated reproducibility in general, even when the *b0* was included to improve tissue  
13 contrast. Tustison and colleagues (2014) have pointed out that conducting the statistics on  
14 the same DTI measure that was used as moving image could bias the analysis, raising the  
15 rate of false positives. This does not hold, however, when the similarity metric used in the  
16 registration is based on mutual information, the quantity of choice in our study. Why using *FA*  
17 as moving image yields better reproducibility than *MD*? One possible explanation may lie on  
18 the anatomical correspondence between moving image and template. *FA* quantifies the  
19 diffusion of water molecules along axons, thereby providing relatively good resolution of white  
20 matter tracks. Thus, an accurate spatial matching between white matter in *FA* images and in  
21 the MNI152 T1 would show the best results. On the contrary, *MD* maps offer little tissue  
22 contrast, probably hampering good correspondence to the T1 template.

23 Another relevant issue to consider at the time of normalizing longitudinal data is the  
24 use of an intermediate step (either a group or an individual template) in the registration  
25 process. The use of a group template has been shown to reduce normalization bias (Tustison  
26 et al., 2014), increase accuracy of the DTI analysis and preserve the underlying diffusion  
27 information in voxel-based analysis (Van Hecke et al., 2008). This approach may be optimal  
28 when dealing with different population samples such as patients and healthy subjects, whose  
29 brains may differ critically from the standard. Yet, when dealing with a longitudinal study,  
30 obtaining a good alignment of multiple scans from each subject is a critical step. The use of  
31 an individual template has been implemented successfully in *TBSS* (FSL) to improve  
32 registration to the skeleton (Madhyastha et al., 2014). Here, we found that preregistration to  
33 an individual *FA* template reduced reproducibility error both in gray matter and white matter  
34 for *FA* as well as *MD*. This approach outperformed the direct normalization to the standard  
35 space. Using an individual template was also better than using a group template, constructed  
36 based on all individual templates, as the intermediate step. Our results suggest that, for  
37 longitudinal studies of a uniform population of subjects (e.g., healthy volunteers), where intra-

1 individual correspondence is crucial, warping images to a group template may hinder  
2 reproducibility. This may be due to the high inter-subject variability in some white matter tracts  
3 (Smith et al., 2006).

4 To examine if the transformations imposed by the normalization pipelines  
5 compromised the integrity of the DTI images, we assessed their impact on the signal-to-noise  
6 ratio. Even though we found some differences between the three normalization strategies, the  
7 SNR was overall preserved. Given that in longitudinal studies intra-individual reproducibility is  
8 critical, we suggest that at acceptable SNR values priority is given to the normalization strategy  
9 that minimizes reproducibility error.

10 One systematic bias we observed across all normalization approaches was the  
11 magnitude of whole-brain reproducibility errors, which was higher, almost two-fold, for *FA* than  
12 for *MD* regardless of the feature examined and type of tissue. These findings are in agreement  
13 with previous 1.5T and 3T studies, which showed that *FA* has a higher spatial variability of  
14 reproducibility errors across brain regions than *MD* (Farrell et al., 2007; Liu et al., 2014;  
15 Marengo et al., 2006). Moreover, both *FA* and *MD* were more reproducible in white matter  
16 than in gray matter. This tissue dependent difference in reproducibility errors has been  
17 reported previously for *FA* in white and deep gray matter (Farrell et al., 2007) and for both *FA*  
18 and *MD* in gray and white matter (Marengo et al., 2006). Cortical voxels can be affected by  
19 partial-volume effects in the gray matter/CSF interface, which could explain why areas of gray  
20 matter in the cortex show higher reproducibility errors (for example, see voxel-wise maps of  
21 RE in Figure 5).

22 Despite its strengths, our work presents limitations. First, the reproducibility error was  
23 assessed at the level of tissue. Although this approach facilitates extracting conclusions on  
24 the global impact of the contrasted features, it overlooks any spatial variations. A voxel-wise  
25 approach would allow estimating the influence of other factors such as anatomical variability,  
26 signal-to-noise ratio and image inhomogeneities. Second, the reproducibility error provides  
27 only one way to measure the reproducibility of a spatial normalization approach. Other aspects  
28 such as the specificity and sensitivity of the method in question would allow establishing the  
29 false positive rate, false negative rate and the minimum detectable difference of the DTI  
30 measure of interest. The specificity and sensitivity of different normalization approaches have  
31 been addressed for *FA* maps in white matter (Schwarz et al., 2014; Zhang and Arfanakis,  
32 2018), and may be extended to other DTI maps. Lastly, and rather beyond this study, a more  
33 fundamental challenge is related to the interpretation of *FA* or *MD* changes in relation to the  
34 specificity of tissue microstructure properties and limitations of the diffusion tensor model  
35 (Jones et al., 2013; Jones and Cercignani, 2010; Zatorre et al., 2012). Alternative diffusion  
36 scalar metrics have been proposed, for example using higher-order information derived by  
37 fiber orientation distribution estimations (Raffelt et al., 2012; Riffert et al., 2014). The sensitivity

1 of those metrics for plasticity effects in gray and white matter remains to be seen, and spatial  
2 normalization optimization approaches for them may follow the strategy proposed in this study.

3 In summary, our work explored different normalization approaches with the aim of  
4 optimizing the detection of subtle changes in microstructure both in gray and white matter  
5 tissue. We showed that using ANTs' non-linear algorithm to warp *FA* images to MNI152 T1  
6 through an individual template yielded the best reproducibility. This pipeline outperformed  
7 traditional algorithms currently used to assess microstructure in white matter tracts.  
8 Furthermore, it allowed exploring changes in gray matter, opening a window to quantify  
9 plasticity at the cortical level.

10

### 11 **Acknowledgments**

12 We want to thank Chiara Maffei for her advice in early phases of this work, Lisa Novello for  
13 her help testing the distributed analysis scripts and related documentation, and Arnaud Boré  
14 for technical assistance. We also would like to thank the University of Buenos Aires and,  
15 especially, Vice-rector Juan Pablo Mas Velez for their support in getting the first MRI facility  
16 dedicated to research going in Buenos Aires. This work was supported by a collaborative grant  
17 from the Quebec Bioimaging Network (QBIN, Canada), a grant from the Argentinian Ministry  
18 of Defense (PIDDEF), and a grant from the Argentinian Agency for the promotion of Science  
19 and Technology (FONCyT, ANPCyT).

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