1 Reproducible, interactive, scalable, and extensible microbiome data science using QIIME 2

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3 To the editor:

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Rapid advances in DNA sequencing and bioinformatics technologies in the past two decades 5 have substantially improved our understanding of the microbial world. These include our 6 growing understanding of the vast diversity of microorganisms; how our microbiota and 7 microbiomes impact disease¹ and medical treatment²; how microorganisms impact the health of 8 our planet³; and our nascent exploration of the medical⁴, forensic⁵, environmental⁶, and 9 agricultural⁷ applications of microbiome biotechnology. Much of this work has been driven by 10 marker gene surveys (e.g., bacterial/archaeal 16S rRNA genes, fungal internal transcribed spacer 11 (ITS) regions, eukaryal 18S rRNA genes), which profile microbiota with varying degrees of 12 taxonomic specificity and phylogenetic information. The field is now transitioning to integrate 13 other data types, such as metabolite⁸, metaproteome⁹, or metatranscriptome⁹¹⁰ profiles. 14

The QIIME 1 microbiome bioinformatics platform has supported many microbiome studies and gained a broad user and developer community. Interactions with QIIME 1 users in our online support forum, our workshops, and direct collaborations showed the potential to better serve an increasingly diverse array of microbiome researchers in academia, government, and industry. Here, we present QIIME 2, a completely reengineered and rewritten system that will facilitate reproducible and modular analysis of microbiome data to enable the next generation of microbiome science.

22 QIIME 2 is developed based on a plugin architecture (Supplementary Figure 1) that allows third parties to contribute functionality (see https://library.giime2.org). QIIME 2 plugins 23 24 exist for latest generation tools for sequence quality control from different sequencing platforms (DADA2 (ref. 11) and Deblur¹²), taxonomy assignment¹³, and phylogenetic insertion¹⁴, that 25 quantitatively improve results over QIIME 1 and other tools (detailed in the corresponding tool-26 specific publications). Plugins also support qualitatively new functionality, including 27 microbiome paired sample and time-series analysis¹⁵ (critical for studying the impact of 28 treatment on the microbiome) and machine learning¹⁶, with the ability to save trained models to 29 not only apply them to new data but also interrogate models to identify important microbiome 30 features. Several recently released plugins, including q2-cscs¹⁷, q2-metabolomics¹⁸, q2-shogun¹⁹, 31

q2-metaphlan2 (ref. 20), and q2-picrust2 (ref. 21), provide initial support for analysis of 32 metabolomics and shotgun metagenomics data. We are currently working with teams developing 33 bioinformatics tools for metatranscriptomics and metaproteomics, and expect to add new plugins 34 supporting these data types to the ecosystem shortly. Additionally, many of the existing 35 'downstream' analysis tools, such as q2-sample-classifier¹⁶, can already work with these data 36 types individually or in combination if they are provided in a feature table. This marks the 37 potential of QIIME 2 to serve not only as a marker gene analysis tool, but also a 38 multidimensional and powerful data science platform that can be rapidly adapted to analyze 39 diverse microbiome features. 40

QIIME 2 provides many new interactive visualization tools facilitating exploratory
analyses and result reporting. Static versions of interactive visualizations resulting from four
worked examples are provided in Figure 1. QIIME 2 View (https://view.qiime2.org) is a unique
new service (see Supplementary Methods) that allows users to securely share and interact with
results without installing QIIME 2. The QIIME 2 visualizations presented in Figure 1 are
provided in Supplementary File 1 for readers to interact with using QIIME 2 View.
Corresponding worked QIIME 2 example code is provided in Supplementary Methods.

Reproducibility, transparency, and clarity of microbiome data science are guiding 48 49 principles in the QIIME 2 design. Toward this end, QIIME 2 includes a decentralized data provenance tracking system: details of all analysis steps with references to intermediate data are 50 51 automatically stored in the results. Users can thus retrospectively determine exactly how any result was generated (Figure 2 illustrates a simplified provenance graph derived from the data 52 53 provenance of Figure 1c). QIIME 2 also detects corrupted results, indicating that provenance is no longer reliable and the results no longer contain information enabling reproducibility. 54 55 Provenance of the visualizations presented in Figure 1 can be interactively reviewed by loading the contents of Supplementary File 1 with QIIME 2 View, providing far more detailed 56 information than can typically be provided in Methods text. QIIME 2 results are also 57 semantically typed (Fig. 2) and actions indicate acceptable input types, clarifying the data that 58 actions should be applied to and making complex workflows less error-prone. Complex 59 workflows can be created and shared using Jupyter Notebooks²² or Common Workflow 60 Language (CWL)²³, and support for other workflow engines is currently in development. 61

62 Finally, QIIME 2 provides a software development kit (see https://dev.giime2.org) that can be used to integrate it as a component of other systems (e.g., such as Qiita²⁴ or Illumina 63 BaseSpace) and to develop interfaces targeted toward users with different levels of 64 computational sophistication (Supplementary Figure 2). QIIME 2 provides the QIIME 2 Studio 65 graphical user interface and QIIME 2 View, interfaces designed for end-user biologists, 66 clinicians, and policymakers; the QIIME 2 application programming interface, designed for data 67 scientists who want to automate workflows or work interactively in Jupyter Notebooks²²; and 68 g2cli and g2cwl, providing a command line interface and CWL^{23} wrappers for QIIME 2, 69 designed for high-performance computing experts. At present, computationally expensive steps 70 support parallel computing at the individual action level (for example, many actions including 71 72 de-noising and taxonomy assignment support multiple threads). We are currently developing deeper integration with parallelism strategies available in third-party workflow engines, and 73 workflow-level parallelism is currently possible through CWL. 74

75 There are many other powerful open-source software tools for microbiome data science, including mothur²⁵, phyloseq²⁶ and related tools available through Bioconductor²⁷, and the 76 biobakery suite^{20,21,28}. The microbiome bioinformatics platform mothur is often compared to 77 QIIME 1 and QIIME 2. A major difference between mother and QIME lies in the interactive 78 visualizations: QIIME 2 provides many interactive visualization tools (several examples are 79 provided in Figure 1), whereas mothur focuses on generating data that can be easily loaded and 80 81 visualized with other tools. The phyloseq tool focuses on microbiome statistical analysis and generating publication-ready visualizations but, unlike QIIME 2, begins with a feature or OTU 82 83 table, leaving 'upstream' processing steps, such as sequence demultiplexing and quality control, to other processing pipelines, many of which (like phyloseq) are available through Bioconductor. 84 85 The biobakery suite provides analytic functionality that complements that of QIIME 2, and we are actively working with biobakery developers to support interoperability by making their tools 86 accessible as QIIME 2 plugins (for example, the q2-metaphlan2 plugin allows users to run 87 MetaPhlAn2 through QIIME 2). QIIME 2 provides the only Python-based microbiome data-88 89 science platform that supports retrospective data provenance tracking to ensure reproducibility, multi-omics analysis support, interfaces geared toward different user types to enhance usability, 90 and an extensibility-focused design through the plugin architecture and software development 91

kit. We share feedback from users of QIIME 2 on these and other features in SupplementaryMethods.

The tools described in the preceding paragraph are all interoperable through plugins, 94 exchange of files in standard formats, or using multi-language environments, such as Jupyter 95 Notebooks²². For example, the BIOM format²⁹ is supported by all of them. A diverse ecosystem 96 of interoperable software is beneficial for the field, as it allows experienced users to get multiple 97 perspectives on their data and novice bioinformaticians to work in programming environments 98 that they are most comfortable with (e.g., phyloseq allows users to work in R, whereas QIIME 2 99 allows users to work in Python). We plan to continue working with the developers of these tools, 100 and organizations such as the Genomics Standards Consortium, on plugins and standards to 101 ensure interoperability, as well as on developing tools to automatically import data from 102 microbiome data sharing platforms such as Qiita, the European Bioinformatics Institute (EBI) 103 European Read Archive, and the National Center for Biotechnology Information (NCBI) Short 104 Read Archive. 105

Advances in microbiome research promise to improve many aspects of our health and our
 world, and QIIME 2 will help drive those advances by enabling accessible, community-driven
 microbiome data science.

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110 Code availability

QIIME 2 is open source and free for all use, including commercial. It is licensed under the BSD
three-clause license. Source code is available at https://github.com/qiime2. To get help with
QIIME 2, visit https://forum.qiime2.org.

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115 Data availability

Data for the analyses presented in Figure 1 are available as follows: Earth Microbiome Project
data in panel (a) was obtained from ftp://ftp.microbio.me/emp/release1, and the American Gut
Project (AGP) data was obtained from Qiita (http://qiita.microbio.me) study ID 10317. Sequence
data in panel (b) are available in Qiita under study ID 10249 and EBI under accession number
ERP016173. Sequence data in panel (c) are available in Qiita under study ID 925 and the
European Bioinformatics Institute (EBI) under accession number ERP022167. Data in panel (d)

are available in the q2-ili GitHub repository (<u>https://github.com/biocore/q2-ili</u>). Interactive

versions of the Figure 1 visualizations can be accessed at https://github.com/qiime2/paper1 .

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Figure 1: QIIME 2 provides many interactive visualization tools. The products of four worked

- examples are presented here, and interactive versions of these screen captures are available in
- 355 Supplementary File 1 and at https://github.com/qiime2/paper1 . Detailed descriptions and
- methods, including the commands used to generate each of these visualizations, are provided in
- 357 Supplementary Methods. (a) Unweighted UniFrac PCoA plot containing 37,680 samples,
- 358 illustrating the scalability of QIIME 2. Colors indicate sample type, as described by the Earth
- 359 Microbiome Project ontology (EMPO). (b) A feature volatility plot
- 360 (https://msystems.asm.org/content/3/6/e00219-18) illustrating change in *Bifidobacterium*
- abundance over time in breast-fed and formula-fed infants. Temporally interesting features can
- 362 be interactively discovered with this visualization. Bar charts rank the importance (predictive
- power for time point) and mean abundance of all microbial features. These bar charts provide an
- interface for visualizing volatility plots (line plots) of individual features in the context of their
- importance and abundance; clicking on a bar will display the volatility plot of that feature and
- highlight in blue that feature's importance and abundance in the bar charts below. (c) Interactive
- taxonomic composition bar plot illustrating phylum-level composition of microbial mat samples
- 368 collected along a temperature gradient in Yellowstone National Park Hot Spring outflow
- 369 channels (Steep Cone Geyser). The many interactive controls available in this plot vastly reduce
- 370 the burden of exploratory analysis over QIIME 1. (d) Molecular cartography of the human skin
- 371 surface. Colored spots represent the abundance of the small molecule cosmetic, sodium laureth
- sulfate, on the human skin. Sample data can be interactively visualized on three-dimensional
- 373 models, supporting the discovery of spatial patterns.
- 374

375 Figure 2: OIIME 2 iteratively records data provenance, ensuring bioinformatics reproducibility. This simplified diagram illustrates the automatically tracked information about the creation of 376 377 the taxonomy barplot presented in Figure 1c. QIIME 2 results (circles) contain network diagrams illustrating the data provenance stored in the result. Actions (quadrilaterals) are applied to 378 QIIME 2 results and generate new results. Arrows indicate flow of QIIME 2 results through 379 actions. TaxonomicClassifier and FeatureData[Sequence] inputs contain independent provenance 380 (red and blue, respectively) and are provided to a classify action (yellow), which taxonomically 381 annotates sequences. The result of the classify action, a FeatureData[Taxonomy] result, 382 integrates the provenance of both inputs with the classify action. This result is then provided to 383 the barplot action with a FeatureTable[Frequency] input, which shares some provenance with the 384 FeatureData[Sequence] input as they were generated from the same upstream analysis. The 385 resulting Visualization (Figure 1c), has the complete data provenance and correctly identifies 386 shared processing of inputs. This simplified representation was created manually from the 387 complete provenance graph for the purpose of illustration. An interactive and complete version 388 of this provenance graph (as well as those for other Figures 1 panels) can be accessed through 389 390 Supplementary File 1.

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ESM (reporting summaries	File type: PDF Title: Supplementary Information Description: Supplementary Figures 1–3 and Supplementary Methods
should always come second, unless there is no other ESM file)	File type: ZIP Title: Supplementary File 1 Description: Interactive versions of the visualizations presented in Figure 1. These can be viewed using QIIME 2, for example at https://view.qiime2.org.

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