

1 **Social Odour Activates the Hippocampal Formation in Zebra Finches**
2 **(*Taeniopygia Guttata*)**

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23 **Abstract**

24 Experiments from our research group have demonstrated that the olfactory sense of
25 birds, which has been considered as unimportant for a long time, plays a prominent
26 role as communication channel in social behaviour. Odour cues are used e.g. by zebra
27 finch chicks to recognize the mother, by adult birds to distinguish their own eggs from
28 others, or to recognize kin. While there is quite a lot of evidence for the importance of
29 odour for social behaviour, it is not known as yet which brain areas may be involved in
30 the processing of socially relevant odours. We therefore compared the brain activation
31 pattern of zebra finch males exposed to their own offspring odour with that induced by
32 a neutral odour stimulus. By measuring head saccade changes as behavioural reaction
33 and using the expression of the immediate early gene product c-Fos as brain activity
34 marker, we show here that the activation pattern, namely the activity difference
35 between the left and the right hemisphere, of several hippocampal areas in zebra finch
36 males is altered by the presentation of the odour of their own nestlings. In contrast, the
37 nucleus taeniae of the amygdala (TnA) exhibits a tendency of a reduction of c-Fos
38 activation in both hemispheres as a consequence of exposure to the nestling odour.
39 We conclude that the hippocampus is involved in odour based processing of social
40 information, while the role of TnA remains unclear.

41 **Highlights**

- 42 - Male zebra finches were exposed to own-offspring odour or neutral odour
- 43 - Offspring odour caused changes in arousal (head saccades)
- 44 - Brain activity was measured by immediate early gene (c-Fos) expression
- 45 - Social odour activated hippocampus in a lateralised way

46 **Keywords:** avian hippocampus, lateralisation, zebra finch, c-Fos, TnA, social odours,
47 parent-offspring communication

48 **Abbreviations:** HpV - ventral hippocampus; HpDM: dorsomedial hippocampus; HpD:
49 dorsolateral hippocampus; TnA: nucleus taeniae of the amygdala; c-Fos-ir cells: c-Fos
50 immunoreactive cells.

51 **1. Introduction**

52 Most birds have been considered to be ‘relatively anosmic’ for a long time, mainly
53 because the olfactory bulb of most birds is small [1] compared to that of mammals,
54 which are known to have an excellent sense of smell. Recent studies, however,
55 demonstrate that birds make frequent use of olfactory cues, for example when
56 searching for food, navigating over long distances, or in a social context (for reviews
57 see [2–5]). Also, the number of olfactory receptor genes, which is said to correlate with
58 the scent detection abilities of a given species [6], varies between 150 and 650 in birds.
59 As a comparison, the amount of olfactory receptor genes found in humans, 339, is
60 approximately in the middle of this range [7]. This indicates that the performance of the
61 sense of smell is not uniform among birds, and it may in many species be comparable
62 or even superior to that of humans. The zebra finch genome even comprises 479
63 olfactory receptor genes, including 111 pseudogenes (genes that have lost some
64 functionality), thus slightly more than humans [8]. The zebra finch, a gregarious-living
65 songbird from Australia, has become an important model organism for research on the
66 songbird specific song control system and the visual and acoustic sensory systems, all
67 involved in communication [9]. Olfaction was not presumed to be important for zebra
68 finch communication until Caspers and Krause [10] demonstrated that zebra finches
69 are able to recognize the odour of the nest where they had hatched. Since then, a good
70 amount of evidence has been accumulated [5] demonstrating that odour plays an
71 important role for the guidance of zebra finch social behaviour. It has been shown, for
72 example, that odour cues enable zebra finches to recognize kin [11], zebra finch
73 females can distinguish between own and other zebra finch eggs by smell [12], mate
74 choice is affected by conspecific odours [13] and zebra finch chicks recognize the
75 odour of their parents [14]. Research on the neural mechanisms involved in avian
76 odour perception is comparatively sparse (for a review see [5]). In addition to the gene
77 studies, there is only a limited number of publications concerning the processing of
78 odour within the brain. The function of the olfactory bulb and the general organisation
79 of the olfactory system are quite well known and very similar to those of mammals.
80 However, there is one difference that is important for our study: Birds lack a
81 vomeronasal system, which is supposed to be the main recipient of pheromones [15,
82 16]. This partly accounts for the reduced relative size of the avian olfactory bulb in
83 comparison to other vertebrates. The main olfactory bulb of birds, however, has been

84 shown to process pheromones as well as other odours [17]. In addition to the anatomy
85 and function of the olfactory bulb, the main projections and brain areas receiving
86 olfactory information from it have also been described, but there is only sparse
87 information on the function of these areas.

88 In the present experiment, we were especially interested in evaluating the role of two
89 brain regions, the nucleus taeniae of the amygdala (TnA) and the hippocampus, in the
90 processing of social odours. TnA strongly influences sociosexual behaviour in zebra
91 finches. For example, zebra finch males with TnA lesions were never chosen as sexual
92 partners by a female in a triadic situation with another not lesioned male, suggesting
93 that TnA plays an important role in the control of male-female and male-male
94 interactions [18], and ZENK expression within TnA correlates with the frequency of
95 social behaviour in females [19]. The nucleus receives a direct projection from the
96 olfactory bulb [16] and is considered to be homologue to the mammalian subpallial
97 medial amygdala [20–25]. Like its mammalian equivalent, it is rich in androgen and
98 estrogen receptors [26–30]. Involvement of TnA in a wide range of sociosexual
99 behaviours has also been shown in other bird species [20,31,32] and, due to its
100 homology with the mammalian medial amygdala, it can be seen as a central structure
101 of the so called "Social Decision Making Network" proposed by O'Connell and
102 Hofmann [33]. Indeed, TnA is one of the structures connecting the two subsystems of
103 this network evaluating stimulus salience and/or regulating social behaviour: the
104 'Mesolimbic Reward System' and the 'Social Behaviour Network' [34,35].

105 The role of the zebra finch hippocampus for visual orientation and spatial memory is
106 quite well established (reviewed by Mayer et al. [36]). Its involvement in social odour
107 processing, however, has not been investigated as yet. The avian hippocampal
108 formation receives a direct projection from TnA [37,38] and by the prepiriform cortex
109 [39]. Hippocampus is connected to the 'Mesolimbic Reward System', the neural circuit
110 where the salience of external stimuli is evaluated and where appetitive behaviour
111 seems to be regulated [40,41]. Because hippocampus and TnA are closely connected
112 with each other and both are positioned within the 'Social Decision Making Network'
113 as defined by O'Connell and Hofmann [33], we expected that we would find a change
114 in activation of one or both of these brain areas when the experimental birds were
115 exposed to a socially relevant stimulus like the offspring odour. This stimulus was

116 chosen because we, in another almost identical experiment, were able to show that
117 zebra finch males can differentiate between odours of the own and unrelated offspring
118 [44].

119 Activation of the brain areas was assessed using the expression of immediate early
120 genes (IEG's). IEG expression within cell nuclei increases rapidly in response to
121 neuronal activation and also leads to long-term structural changes affecting signal
122 transduction [43,44]. IEG products like the c-Fos protein can be detected by
123 immunohistochemistry. The technique has been used frequently to study neuronal
124 activation of specific brain regions in zebra finches [45–47] and in other birds [48–50].
125 The expression of c-Fos is involved in long-term neuronal modifications, which
126 characterize neuronal plasticity underlying learning and memory [51–54]. Therefore, c-
127 Fos baseline expression, e.g. at home cages, is typically low, whereas an experimental
128 treatment induces higher expression in specific brain regions.

129 In addition, we measured a behavioural parameter to assess differences in odour
130 recognition in adult males by counting head saccades of the birds in response to the
131 odour stimulus. Experiments exposing zebra finches to a "novel object" indicated that
132 the frequency of head saccades, which are fast horizontal movements of the head
133 observed in many birds, correlates with arousal [55]. By measuring the frequency of
134 these saccades in the experimental and the control odour groups, we wanted to obtain
135 a behavioural measure of the strength of the arousal reaction of the birds exposed to
136 the one or the other stimulus, which could then be compared with the brain activation
137 measurements.

138 **2. Material and Methods**

139 For the present experiment, breeding pairs of zebra finches from the Institute's stock
140 at the Department of Bielefeld University were housed in separate cages (80 x 30
141 x 40 cm³) until the offspring was independent. When the odour exposures were
142 finished, males were perfused for the IEG immunohistochemistry. Females and
143 offspring were returned to the laboratory stock aviaries when the offspring had reached
144 independence.

145 *2.1. Ethical Note*

146 Housing and breeding of birds were approved by the Gesundheits-, Veterinär- und
147 Lebensmittelüberwachungsamt der Stadt Bielefeld (#530.421630-1, 18.4.2002).
148 Housing conditions are assumed to be superior to natural conditions because all
149 animals had *ad libitum* access to water and food plus additional vitamins, germinated
150 seeds and egg food (CéDÉ, Evergem, Belgium) which were given daily once the first
151 chick hatched. The light cycle was 14h light: 10h dark. Chickweeds and a water bath
152 were provided once a week. All animals and their offspring were checked daily to verify
153 that the individuals were healthy. Experimental protocols were approved by the
154 responsible state authority (LANUV NRW (# 84 02.05.40.17.009). Behavioural tests
155 and perfusions were made at the Department of Animal Behaviour, Bielefeld
156 University. Brain sectioning and immunohistochemistry was performed at the Center
157 for Mind/Brain Sciences, University of Trento, Rovereto, Italy.

158 2.2. *Experimental setup*

159 The experiment took place in the same room in which the birds were housed to
160 minimize relocation stress. The mate and the offspring of the experimental male were
161 brought to an adjacent room for the duration of the test to avoid acoustic contact with
162 familiar individuals. The experimental cage (Figure 1) was 28cm wide, 20cm in length
163 and 51cm high, with two wooden perches 15cm above the floor and a feeder on the
164 floor. The short sides and the rear of the rectangular cage were solid and wooden, the
165 front part contained a grid to enable view to the room, like the housing cages. At the
166 back wall a wooden nest box was attached (15 x 15 x 15cm), filled with coconut fibres
167 and identical to the one in the breeding cages, but access was prevented by a wire
168 mesh. The back of the nest box had a round opening (diameter 7.5cm) with a fan
169 mounted behind (Sunon 40 x 40 x 10cm, 12 volt reduced to 9 volt). The odour stimulus
170 sample (see below) was placed between the fan and the nest box. The fan generated
171 a constant airflow transporting the odour across the nest box into the cage [12]. To
172 facilitate the recording of the bird's head saccades, a small triangle of reflectance foil
173 was fixed on the head feathers of the male. The foil was easily removable at the end
174 of the experiment, and did not lead to visible behavioural changes. The behaviour of
175 the bird was recorded by a video camera (Panasonic WV-BL202-E CCTV Camera)
176 from above, and recordings were stored on a laptop computer using an USB drive
177 adapter (Swann 4CH SW 24I-UD4 N3960).

178 *2.3 Stimulus preparation*

179 To get an odour sample of the chicks, we used dark cotton nylon socks (nylon socks,
180 63 % polyamide, 37 % cotton, Söckchen Naturelle 60, NUR DIE, DBA Deutschland
181 GmbH, Rheine, Germany), which were regularly used as a transport bag. These nylon
182 socks were only used for these experiments and were cleaned for each experiment
183 with a perfume free soap (Eubos liquid soap). The soft material of the socks snuggles
184 closely to the chicks' body and absorbs the body odours as shown in previous
185 experiments [14,56,57]. Chicks were placed in these nylon socks for half an hour, and
186 then returned to their natal nest boxes. To standardize the amount of odour transferred
187 into the socks, the number of nestlings placed in the sock was chosen according to the
188 body weight (i.e. in case nestlings were light, two or once three offspring were used).
189 The total mass of chicks within each sock was on average 8.73 ± 1.64 g (mean \pm s.d.),
190 with on average 1.57 ± 0.72 nestlings. The odour impregnated empty socks were then
191 used as odour stimuli within the next 2-5 minutes. Controls were made by placing
192 cleaned socks without a chick odour in front of the fan.

193 *2.4. Experimental procedure*

194 The experiments were executed when the brood, which was fathered by the
195 experimental male, was on average ten days of age. At this time, young zebra finches
196 are still nestlings and do not leave the nest, which happens usually around day 19.
197 Clutches were reduced early in the incubation period to two to three fertilized eggs so
198 that the females after removal of the male had to rear only a few hatchlings that
199 developed normally thereafter. On average, 2.88 ± 1.08 (mean \pm s.d.) nestlings fledged
200 successfully and survived until independence.

201 A total of 15 male zebra finches were divided in two groups: the experimental group
202 ("own chick odour group", n=8), which received the own chick odour stimulus and the
203 "control group" (n=7), which were exposed to a neutral odour stimulus, i.e. empty sock.
204 Before the experiment, individuals of both groups were treated in exactly the same
205 way, all of them fathered and reared offspring up to the day of the experiment.

206 Prior to the test, the animals were allowed to habituate to the experimental cage for 5
207 hours. Then, the odour of the own offspring or the control stimulus was presented for

208 one hour, and at the end of the session, the bird was perfused and the brain processed
209 for immunohistochemistry (Figure 2a). Both habituation and test were conducted under
210 normal light conditions during the day. The duration of the habituation time was chosen
211 because of the properties of c-Fos expression: Typically the c-Fos protein level
212 reaches a peak at about 1-2 hours after neuronal activation and returns to the baseline
213 level 6 hours later [58]. Thus, at the time the birds were perfused, 6h after being placed
214 in the experimental cages, the c-Fos expression induced by the handling procedure
215 was reduced to the baseline, whereas the c-Fos expression induced by the stimulus
216 presentation (1h prior to perfusion) was at peak levels.

217 The behaviour of the birds was observed using video recordings. Video recordings
218 started 1h before the odour presentation and continued for 2h until the end of the
219 experiment (Figure 2a). From the videos, head saccades were counted by a person
220 blind to the experimental condition and to the stimulus provided. A head saccade had
221 been defined as a rapid horizontal movement of the head to either side by more than
222 ten degrees. A measurement of the number of head saccades without a stimulus was
223 made directly after starting the recording session, and another one after starting the
224 stimulus presentation. For both, baseline and stimulus, the number of head saccades
225 was counted within ten consecutive intervals of one minute each. These ten counts
226 were then averaged to obtain the number of head saccades per minute.

227 To normalise for differences of the basic activity level of the individual birds, a head
228 saccade change score (Hs) during stimulus presentation was calculated in relation to
229 the baseline measurement:

230
$$\text{Rate of change in head saccades} = \left(\frac{\# Hs \text{ stimulus}}{\# Hs \text{ baseline}} * 100 \right) - 100$$

231 Positive values indicate an increase in head saccades after the stimulus presentation,
232 whereas negative values represent a decrease and 0 indicates that the number of head
233 saccades was unchanged between the two phases. The rate of change was used for
234 the comparison of the two groups exposed to the different odour stimuli.

235 Furthermore, we developed a MATLAB routine to count head saccades from the video
236 recordings, and compared the manual with the automated counting. However, only ten

237 of the fifteen males could be analysed by the software, as four individuals lost their
238 tracking marker (triangular reflectance foil on the head) during the test and a fifth
239 individual spent most of the time in the border region of the cage and was thus not
240 trackable by the program. We used both measurements (baseline and stimulus) of
241 each of the ten trackable birds and compared these twenty countings. Both procedures
242 provided similar results and were significantly correlated ($R_{\text{Pearson}}=0.66$, $t=3.7332$,
243 $df=18$, $p<0.002$, see supplement). The rate of change in head saccades using the
244 MATLAB routine was on average -15.26 ± 20.6 (mean \pm s.d.) for the males receiving
245 their own chick odour ($n=5$) and 41.81 ± 65.8 for the males receiving a control odour
246 ($n=5$). The difference between the two treatment groups was also significant using the
247 MATLAB based counting ($U=6$, $n=10$, $p=0.017$). Because the manual and the
248 automatic counting method correlated and yielded the same results, the automated
249 measurements are not described in the results section in detail.

250 *2.5. Immunohistochemistry*

251 Sixty minutes after stimulus presentation the male zebra finches were overdosed by
252 an intramuscular injection of 0.03ml Narcoren (Rhone Merieux, Laupheim, Germany;
253 30% in 0.9% sodium chloride) and perfused transcardially via the left ventricle with cold
254 (4°C) phosphate-buffered saline (PBS; 0.1mol, pH=7.4, 0.9% sodium chloride) for
255 7 minutes, followed by 4% paraformaldehyde (PFA) in PBS for additional 7minutes.
256 The head was severed from the body, the skin was removed and the head was stored
257 in 4% PFA at the refrigerator for 4-6 weeks until processing. For removal of the brains
258 from the skulls, the heads were oriented at 45° in a stereotaxic head holder specifically
259 designed for zebra finches [59]. The caudal part of the skull was opened and a coronal
260 plane cut (0.5mm posterior to the Y-point) was made with a scalpel blade attached to a
261 micromanipulator. This plane surface was used for freezing of the brains to ensure that
262 the coronal brain sections of all brains had the same orientation and would correspond to
263 the atlas drawings [60]. The left and the right hemispheres were then separated and
264 processed separately. Each hemisphere was embedded in gelatine (7%) containing egg
265 yellow, post-fixed for 48h in 4% PFA/ PBS containing 20% sucrose at 4°C, and further 48h
266 in 30% sucrose in 0.4% PFA/ PBS. The brains were frozen in a refrigerator at -80°C
267 covered with OCT (Tissue-Tek freezing medium) and cut at -20° in a Cryostat (Leica
268 CM1850 UV). Three series of 40µm were cut, the sections of the first series were collected

269 for c-Fos labelling, whereas the other series were kept as backup and for testing antibody
270 specificity (processing without the primary antibody). Between each of the following
271 reaction steps, washing in PBS (3 x 15 sec + 3 x 5 min) was performed. After the
272 endogenous peroxidase activity was depleted in 0.3% H₂O₂ in PBS for 20min, blocking of
273 unspecific binding was performed by a 30min incubation with 3% normal goat serum (S-
274 1000; Vector Laboratories, Burlingame, CA, USA) in PBS. The anti-c-Fos antibody
275 solution (1:2000; made in rabbit, K-25, Santa Cruz Biotechnology, Santa Cruz, CA, USA)
276 was applied for 48h at 4°C, which was followed by an incubation with the biotinylated anti-
277 rabbit in PBS (1:200; BA-1000; Vector Laboratories) for 60min at room temperature. ABC
278 kit (Vectastain Elite ABC Kit, PK 6100; Vector Laboratories) was used for signal
279 amplification and VIP substrate kit for peroxidase (SK-4600; Vector Laboratories) for
280 visualization of c-Fos-immunoreactive (-ir) neurons. Sections were serially mounted on
281 gelatine-coated slides (Gatenby slides), dried at 50°C, counterstained with methyl green
282 (H-3402; Vector Laboratories) and cover slipped with Eukitt (FLUKA).

283 2.6. Brain Analysis

284 Brain sections were examined with a Zeiss microscope (objective: 20x with a numerical
285 aperture of 0.5) and a digital camera (Zeiss AxioCam MRc5). Counting of the c-Fos
286 immunoreactive neurons was performed blind to the experimental condition on the
287 computer screen with Zeiss imaging software (ZEN). Here, after the image on the
288 computer screen was matched in contrast, colour and cam exposure time to the view
289 under the microscope (eyepiece 10x, overall magnification 200x), every c-Fos-ir cell within
290 a sample area (see below) was marked with the 'event marker' of the ZEN software, which
291 automatically provided the total counts.

292 To estimate labelled cell density within hippocampus, five sections of each hemisphere
293 were selected from the part of hippocampus extending from A(nterior) 2.79 to A2.07. The
294 sections corresponding to these coordinates have been determined under microscope by
295 visual observation, based on the shape and anatomical organization of the hippocampal
296 sections, which had to match those visible in the zebra finch atlas for the above mentioned
297 coordinates [60]. The hippocampal area of each section was divided into three
298 subdivisions: the ventral hippocampus (HpVM), the dorsomedial hippocampus (HpDM)
299 and the dorsolateral hippocampus (HpDL) (Figure 3a). For counting, a rectangular square

300 of 150 x 250 μ m was positioned over the area comprising the highest density of c-Fos-ir
301 neurons within a given subdivision, while keeping a minimum distance of at least 20 μ m
302 from the border of a neighbouring subdivision and the edge of the brain section. The
303 location of the area with the highest density was determined by visual inspection under
304 the microscope by the experimenter who was blind to the experimental group. Typical
305 placements for each of the three subdivisions are schematically shown in Figure 3a.
306 Labelled cells in TnA were counted from three sections of each hemisphere, selected from
307 the region corresponding according to shape and anatomical landmarks with A 1.08 of the
308 zebra finch atlas [60]. Labelled neurons were marked within a counting square of 250 x
309 250 μ m, which was positioned over the area with the highest number of c-Fos-ir cells within
310 the borders of TnA (see Figure 3b). After completing the cell counts, means were
311 calculated for each brain region/subdivision in both hemispheres of all animals, and cell
312 densities were standardized to 1 mm². The resulting individual area means were
313 employed for further statistical analysis.

314 *2.7. Statistical Analysis*

315 In the behavioural part of the experiment, every bird was tested once, in one of two
316 different treatment groups. To compare the two groups, we used t-tests for
317 independent data. To evaluate the significance of the head saccade changes within
318 each group, we used one-sample t-tests. The presence of difference in the density of
319 c-Fos-ir neurons was tested by repeated measures ANOVA, with brain area and
320 hemisphere as within-subjects factors and group as a between-subjects factor. For
321 post hoc analyses of the hemispheric differences within the two groups, paired t-tests
322 were carried out for each brain area (HpV, HpDM, HpDL, TnA). To account for brain
323 area-specific differences in lateralisation between the two groups, a lateralisation index
324 (left minus right) was computed for each brain area. To confirm the region specific
325 lateralisation differences between the groups, a repeated measures ANOVA, with the
326 factors brain area and group, was performed on the lateralisation index data. For post
327 hoc analyses of group differences, t-tests were carried out for each brain area. All
328 statistical tests were two-tailed. Statistical analysis was performed with the software
329 IBM SPSS Statistic for Windows (Version 22.0).

330 **3. Results**

331 Our analysis found that the change of head saccades of the 'own offspring odour group'
332 was significantly lower compared to the 'control group' (independent t-test: $t(13)=-$
333 2.685 ; $p=0.019$, Figure 2b). A significant decrease in number of head saccades was
334 observed in the 'own offspring odour group' after stimulus presentation ($N=8$; change
335 in head saccades: $-14.92\% \pm 6.4$ (mean \pm s.e.m.); one sample t-test against '0':
336 $t(7)=2.4970$; $p=0.041$). Such difference was not present in the 'control group' ($N=7$;
337 change in head saccades: $11.97\% \pm 7.9$; one sample t-test against '0': $t(6)=1.6495$;
338 $p=0.150$).

339 We processed the brains of all 'own offspring odour group' ($n=8$) and 'control group'
340 ($n=7$) zebra finch males. The nuclei of the c-Fos-immunoreactive (c-Fos-ir) cells were
341 coloured in black by the VIP stain and could thus easily be distinguished from the non-
342 activated cells, which were stained light green due to the methyl-green counterstaining
343 (Figure 3). We quantified the density of c-Fos-ir cells in three subdivision of the
344 hippocampus and also in the nucleus taeniae of the amygdala for the two hemispheres
345 separately (Table 1). In TnA, there was no difference of c-Fos labelled neurons
346 between hemispheres in either experimental group. Within hippocampus, the highest
347 densities were present in the dorsolateral region (HpDL), slightly lower counts were
348 obtained in the dorsomedial hippocampus (HpDM) and the lowest densities were
349 measured in the ventral hippocampus (HpV). More importantly, the hippocampus in
350 the control group, i.e. of birds perceiving no odour stimulus, showed a lateralized
351 expression of c-Fos: The densities of the labelled cells within the hippocampus of the
352 control group were between 33–75 % lower in the right hippocampus compared to that
353 of the left hemisphere. However, in the experimental group exposed to the own
354 offspring odour this hemisphere difference disappeared (Table 1).

355 Repeated measures ANOVA revealed a significant interaction of *Brain Area* \times
356 *Hemisphere* \times *Group*: $F_{(1.494, 19.425)} = 4.333$; $p = 0.037$ (Greenhouse-Geisser correction
357 was applied, because Mauchly test revealed a significant violation of sphericity
358 $p=0.001$). In the control group, post hoc t-tests revealed significant density differences
359 between the right and left hemisphere in the HpDM ($T_{(6)}=3.175$, $p=0.019$) and in the
360 HpV ($T_{(6)}=3.108$, $p=0.021$), and non-significant trends within HpDL ($T_{(6)}=2.283$, $p=0.06$)
361 and TnA ($T_{(6)}=2.017$, $p=0.09$). Such differences between the hemispheres were not
362 present in the odour stimulus group (HpDL $T_{(7)}=-0.450$, $p=0.667$; HpDM $T_{(7)}=-1.349$,

363 $p=0.219$; HpV $T_{(7)}=0.934$, $p=0.381$; TnA $T_{(7)}=1.303$, $p=0.234$). Also, the differences
364 between the two groups within either hemisphere were not significant (Left
365 hemisphere: HpDL $T_{(13)}=-0.728$, $p=0.479$; HpDM $T_{(13)}=-0.074$, $p=0.942$; HpV
366 $T_{(13)}=0.274$, $p=0.788$; TnA $T_{(13)}=-0.567$, $p=0.580$; Right hemisphere: HpDL $T_{(13)}=-$
367 1.116 , $p=0.285$; HpDM $T_{(13)}=1.335$, $p=0.205$; HpV $T_{(13)}=1.266$, $p=0.235$; TnA
368 $T_{(13)}=-0.431$, $p=0.674$)

369 To compare the differences in lateralization between the groups, a lateralization index
370 (number of activated neurons in the left hemisphere minus those of the right
371 hemisphere) was calculated (Figure 3). A repeated measures ANOVA with these data
372 confirmed that the groups were different in a region-specific manner by showing a
373 significant interaction of *Brain Area* \times *Group* ($F_{(1.494, 19.425)}=4.3333$; $p=0.037$). Post hoc
374 analysis revealed a significant difference between the groups in the HpDM ($T_{(13)}=-$
375 3.069 ; $p=0.01$, figure 3c), as well as marginally non-significant trends in the HpDL
376 ($T_{(13)}=-2.283$; $p=0.052$, figure 3d) and in the HpV ($T_{(13)}=-2.018$; $p=0.065$, figure 3b). In
377 all these three areas, the lateralization index was smaller in the treatment group
378 receiving the own offspring odour, due to an increase in activation of the right
379 hemisphere after stimulus exposure (Figure 3b,c,d).

380 In contrast, no differences between the groups were present in TnA ($T_{(13)}=-0.243$;
381 $p=0.812$, Figure 3e). However, there was a correlation of the number of c-Fos-ir cells
382 in TnA and the number of saccadic head movements in the control group
383 ($R_{\text{Pearson}}=0.85$, $T=3.66$, $p=0.015$), but not in the group exposed to the own offspring
384 odour ($R_{\text{Pearson}}=0.41$, $T=1.13$, $p=0.30$).

385 **4. Discussion**

386 The results of the present study demonstrate that zebra finch males are able to
387 differentiate offspring odour from other non-social odour cues. Head saccade
388 frequency (reflecting arousal) was significantly affected by the presentation of a social
389 odour, such as that obtained from the 'own chicks' bodies. On the contrary, the non-
390 social odour provided by the empty sock to the control group did not elicit significant
391 changes in the head saccades. Thus, we can conclude that social odours specifically
392 affect zebra finches' arousal, which is not the case for non-social odours. Our
393 experiment also shows that offspring odour cues induce changes of the hippocampal

394 activation patterns and, at least tentatively, that of TnA. As mentioned above, TnA
395 receives direct input from the olfactory bulb [16] and has a central position within the
396 'Social Decision Network'. As in the other brain areas investigated here, the number of
397 c-Fos-ir cells within the TnA was lateralised in the control group, i.e. higher in the left
398 than in the right hemisphere. In contrast to the other regions, this TnA lateralisation
399 was also present in the birds exposed to the offspring odour (Figure 3e). Regardless
400 of lateralization, in the 'own offspring odour group' in both hemispheres there was a
401 slight, non-significant, reduction of the density of activated cells (Table 1). Moreover,
402 the correlation between head saccades and IEG expression, which could be shown in
403 the control group, but not in the birds exposed to the offspring odour, suggests that
404 TnA activation was in some way affected by the own offspring odour and that TnA
405 could be involved in odour-induced brain activation. However, it should be kept in mind
406 that, due to a lack of significant group differences, considerations on the role of TnA
407 are at present quite speculative. Additional experiments may clarify the as yet not fully
408 understood role of this nucleus.

409 More specific effects were present in all three subdivision of the hippocampus. The
410 significant differences in the lateralisation pattern of hippocampal activation between
411 the two odour groups confirm that male zebra finches were able to recognise the
412 presence of the offspring odour. At present, we can only speculate about the
413 interpretation of this result. There is quite a lot of evidence for a participation of olfactory
414 cues in pigeon navigation [61,62], and there is no doubt that the hippocampus is
415 important for spatial memory and navigation in all vertebrates [63-64]. Moreover, in
416 rodents odour information contributes to the response properties of place cells in the
417 hippocampus [66], but see [67]. The role of hippocampus for spatial orientation has
418 been demonstrated also in zebra finches [36]. In a series of experiments using
419 immediate early genes as neuronal activity markers, these authors showed that the
420 avian hippocampal formation is not only involved in spatial information learning, but
421 also in the recall of spatial memory [46,47].

422 However, the present experiment did not involve a spatial orientation component. The
423 zebra finch males were exposed to the familiar own offspring odour or to a neutral
424 odour within exactly the same environment. Therefore, the difference in hippocampal
425 activation pattern may reflect the recall of previously stored memories. The results from

426 the present study seem to support the idea that hippocampus is not only the location
427 where cognitive maps are built and processed, but is also involved in the formation and
428 recall of declarative or episodic memory [65]. This type of memory is said to store
429 information about what has happened at a certain time at a certain place. It is
430 conceivable that olfactory information could be an important aspect to describe such
431 episodes, as it has been suggested for rats [66]. Probably, the role of the hippocampus
432 for the construction of these episodes might be to encode the spatial context
433 associated with the actual socially arousing odours. Such a view is supported by the
434 connectivity pattern of the hippocampus, suggesting that it is strongly involved in social
435 behaviour control. The hippocampus is connected with the core regions of the reward
436 system [33] and also receives direct input from the TnA, which connects the two
437 subsystems of the 'Social Decision Making System' [33], 'The Mesolimbic Reward
438 System' and the 'Social Behaviour Network' and sends several afferents to the
439 Septum, another very important node of the same network [33,34]. The involvement of
440 the hippocampus in social control has also been shown with immediate early gene
441 studies in zebra finches [69-71]. Hippocampal c-Fos expression was upregulated after
442 presentation of conspecific song in female zebra finches [69,70]. Also, in the course of
443 sexual imprinting, the dorsomedial part of the left hippocampus showed strong c-Fos
444 activity, while the right one was almost inactive [71].

445 Lateralisation of brain activation in birds has been demonstrated frequently [72–83].
446 For instance, a rightward bias in the integration of visual and olfactory non-social cues
447 has been found in chicks [84]. In zebra finches, several cases of a lateralization pattern
448 have been demonstrated by both, behavioural and IEG-expression studies, which are
449 compatible with that found in other species (reviewed in [85]). This includes a right
450 hemisphere specialisation for monitoring threatening stimuli [85], a left hemisphere
451 specialisation for controlling foraging behaviour [86] and complementary specialisation
452 of the two hemispheres for different aspects of song processing [87-89]. In the present
453 experiment, the activity differences between the left and the right hemisphere in the
454 'own offspring odour group' were reduced compared with the 'control group'. This effect
455 can be explained by an enhancement of the activation of the right hemisphere in birds
456 receiving the social odour (see Table 1). This counteracted the normal, baseline,
457 lateralization pattern, which is visible in the control group and in TnA (i.e., higher
458 activation of the left hemisphere). A similar trend for a spontaneously higher c-Fos

459 expression in the left hemisphere has been reported in two previous studies on septal
460 activation in domestic chicks exposed to visual social stimuli [90,91]. In this case, the
461 septum of the control group showed a spontaneous left lateralisation, and an increase
462 of activity of the right hemisphere septum equalized the activation of the left and the
463 right septum of chicks exposed to a social stimulus. Accordingly, in a study on olfactory
464 lateralisation in domestic chicks, the right hemisphere has been found to be important
465 for recognising the familiar artificial imprinting objects (a red cylinder hanging in the
466 chicks' rearing cage, containing an odorant substance) [76]. When two such visually
467 identical objects were presented, chicks were able to use their right nostril (and so with
468 direct olfactory input to the right hemisphere) chose the one that smelled like the
469 imprinting object, whereas chicks with their left nostril choose at random. These
470 findings support the general idea of a preferential involvement of the right hemisphere
471 in social responses, social recognition and the rapid recognition of emotional stimuli
472 [72,73,75–79,80,92–96]. Thus, the current study is in agreement with the previous
473 literature in confirming both the presence of a task-independent, leftward lateralization
474 of c-Fos expression in the brain of different bird species, and in implicating the right
475 hemisphere in social odour processing. Why in the previous literature, sexual
476 imprinting, which is learning in a social context, increases the activation of the left
477 hippocampus, has to be determined. It might be associated with the left hemispheric
478 dominance found in zebra finches for courtship behaviours [97-100], as testified both
479 by behavioural and IEG-expression studies [101,102]. This has been explained based
480 on the ability of the left hemisphere to sustain attention towards a preferred and familiar
481 stimulus, towards which a motor response is planned [83, 101,103-104].

482 The idea to use fast saccadic head movements as an indicator of arousal was based
483 on the observation that birds appear to increase the frequency of these movements in
484 arousing situations, e.g. noise from outside the cage. As shown in this paper, this
485 measurement is useful to determine whether an experimental animal has perceived an
486 odour stimulus. Whether it is also useful in other perceptual contexts has to be
487 determined. Saccades, i.e. the fast movements of the eyes or the head, or a
488 combination of both, are the common strategy of vertebrates for changing their gaze
489 from one fixation point to the next [105,106]. In contrast to slow scanning movements
490 of the eye, which lead to a constantly moving, blurred image on the retina, the saccade-
491 fixation strategy optimizes the time a certain image can be fixed without blur, and

492 minimizes the time for a change between consecutive targets. While the general
493 strategy is similar between vertebrates, and neurobiological experiments indicate that
494 there is a strong coupling of head and eye movements, there are differences between
495 species in the proportion of head and eye movements. Birds have been shown to use
496 mainly head movements for gaze changes, although eye movements cannot be
497 neglected (e.g., 80-90% of gaze changes in pigeons are due to head movement, [107]).
498 Based on the assumption that both head and eye saccades have the same motivation,
499 we assumed that a change in head saccades in birds indicates changes of the arousal
500 level, as suggested for humans [108,109]. This is supported by experiments with
501 peacocks showing that head saccades are altered after presenting a predator [110]. If
502 this interpretation is true, the arousal level of our experimental males was reduced
503 when they were exposed to the odour of the own offspring. This gives way to a lot of
504 speculations; further experimentation also with stimuli from other sensory domains is
505 necessary to create a solid basis for a connection between the amount of head
506 movement and arousal.

507 *4.1. Conclusion*

508 Our experiment provides the first hints of how social odour cues may be processed
509 within the avian brain. The results indicate that the 'Social Decision Making Network'
510 receives olfactory information, in addition to other sensory cues. While the role of TnA
511 remains unclear, the hippocampus is obviously involved in social odour processing in
512 a lateralised way. It is tempting to speculate that odours are also included into the
513 information about events stored in episodic memory. As ever, our results cause more
514 questions than they solve. The spectrum of odours eliciting brain activation has to be
515 broadened to see whether there are differences in responses due to familiarity and
516 specific social relations (e.g. kin vs. non-kin). It is also urgent to broaden the number
517 of brain areas examined for responses to odours, within and outside the 'Social
518 Decision Making Network'. Our study has shown that the zebra finch is an ideal subject
519 for such studies, and that our experimental setup is well suited to answer the questions
520 raised above.

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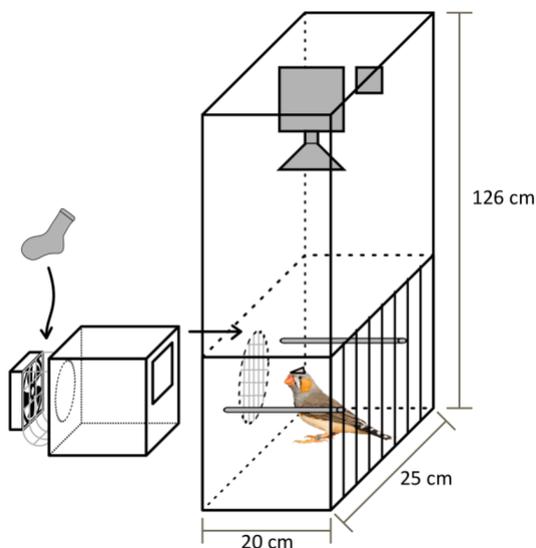
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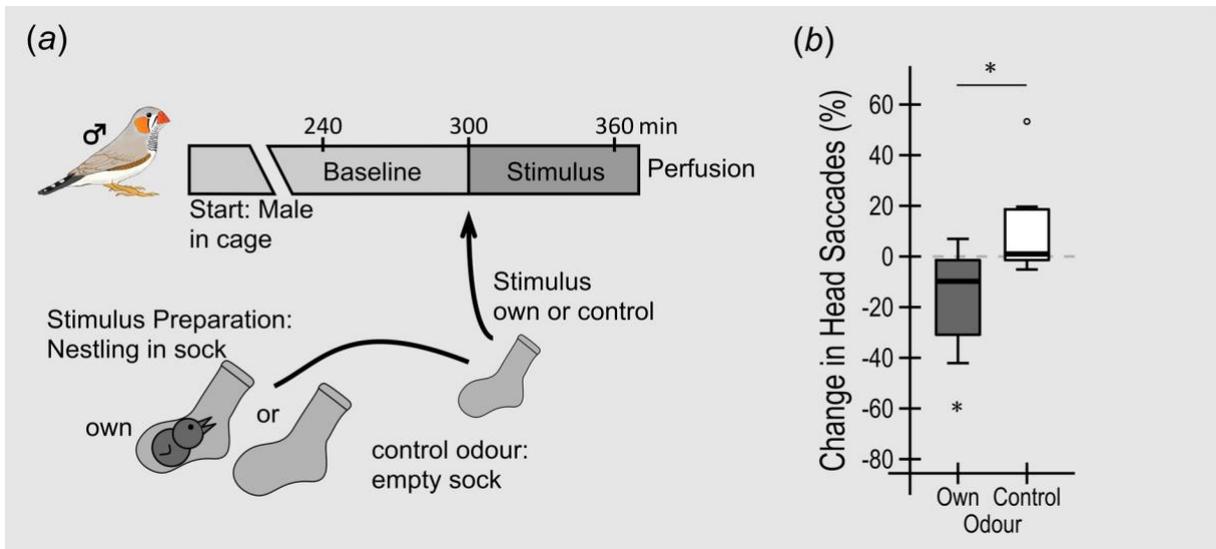
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848

849 **Figure 1:** Schematic representation of the experimental set up. On the left, a nest-box
850 connected to a ventilator was attached to the experimental cage (a grid prevented the
851 finch from entering the box). The odour stimulus (the sock) was placed between the
852 box and the ventilator. On the right, a zebra finch male is shown inside the experimental
853 cage, which contained two perches. One of the long walls of the experimental cage
854 consisted of a grid that enabled the zebra finch male to see the room, as the animals
855 were used to in their holding cages. Above the experimental cage, an additional
856 compartment contained the infra-red camera and an infra-red light source, for
857 recording the subject's behaviour. On the finch head a triangular tracking marker, made

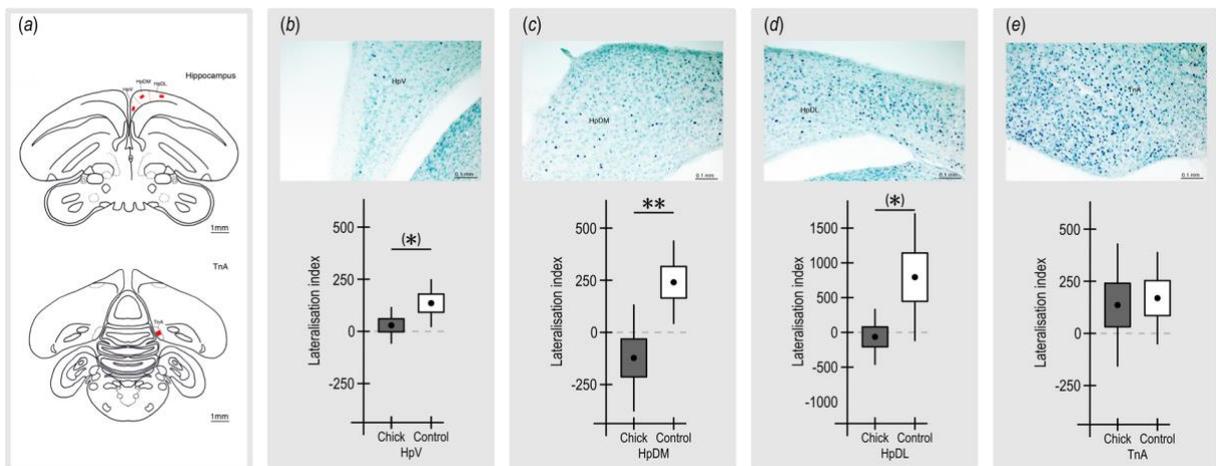
858 of infra-red reflecting tape, has been attached, allowing automated counting of the
 859 head saccades.



860

861 **Figure 2:** Experimental procedure (a) and results of the behavioural experiments (b).
 862 Male zebra finches received either the odour of the own nestling (n=8), or a control,
 863 neutral odour (empty sock, n=7). In the results, the y-axis represents the change in the
 864 rate of head saccades (in %) between the baseline measurement and after stimulus
 865 presentation. Positive values indicate an increase in the rate of head saccades after
 866 the stimulus, negative values represent a decrease. Data is given as boxplots with
 867 median and quartiles. The circle represents an outlier, whereas an asterisk represents
 868 a significance difference between the two groups or departure from the no-change
 869 level (0). Significance level was set up to with $p < 0.05$.

870



871

872 **Figure 3:** (a) Typical placements of the cell count zone (red) in coronal sections for the
873 three subdivision of hippocampus (HpV, HpDM, HpDL) and TnA. (b) - (e) Upper panel:
874 Photomicrographs showing typical examples of c-Fos-ir cell distribution patterns within
875 the three subdivision of hippocampus and TnA of the own odour treatment. c-Fos-ir
876 cells are stained black after the immunohistochemical procedure. The non-activated
877 cells are counterstained in green. Lower panel: Lateralisation of c-Fos-ir densities after
878 presentation of an own nestling odour (dark grey box) or a neutral control stimulus
879 (white box). Lateralisation index represents the difference in number of c-Fos-ir cells
880 between left and right hemisphere (see methods). Positive values of the lateralisation
881 index indicate a stronger response of the left, negative values correspondingly of the
882 right hemisphere. Dots represent the mean, boxes the standard error and vertical lines
883 give the range of the standard deviation. Two asterisks represent significant
884 differences ($p=0.01$) and one asterisk in brackets indicates a trend ($0.05 < p < 0.07$).
885 HpV - ventral hippocampus; HpDM - dorsomedial hippocampus; HpD - dorsolateral
886 hippocampus; TnA - nucleus taeniae of the amygdala.

887 **Table 1:** Measured densities of c-Fos-ir cells/mm² (mean \pm s.e.m) within the three
888 hippocampal subdivisions and nucleus taeniae of the amygdala (TnA)

	Offspring odour (n=8)		Control (n=7)	
	Left Hemisphere	Right Hemisphere	Left Hemisphere	Right Hemisphere
HpDL	1395.8 \pm 333	1460 \pm 326	1780 \pm 389	985.7 \pm 242
HpDM	705.8 \pm 126	828.3 \pm 210	720 \pm 135	479.7 \pm 133
HpV	395 \pm 100	365.8 \pm 101	361.3 \pm 61	226 \pm 43
TnA	956.7 \pm 217	820.7 \pm 244	1122.3 \pm 177	953 \pm 161

889