

# TYPICAL AND ADMISSIBLE RANKS OVER FIELDS

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ABSTRACT. Let  $X(\mathbb{R})$  be a geometrically connected variety defined over  $\mathbb{R}$  and such that the set of all its (also complex) points  $X(\mathbb{C})$  is non-degenerate. We introduce the notion of *admissible rank* of a point  $P$  with respect to  $X$  to be the minimal cardinality of a set of points of  $X(\mathbb{C})$  such that  $P \in \langle S \rangle$  that is stable under conjugation. Any set evincing the admissible rank can be equipped with a *label* keeping track of the number of its complex and real points. We show that, in the case of generic identifiability, there is an open dense euclidean subset of points with certain admissible rank for any possible label. Moreover we show that if  $X$  is a rational normal curve than there always exists a label for the generic element. We present two examples in which either the label doesn't exist or the admissible rank is strictly bigger than the usual complex rank.

## INTRODUCTION

A very important problem in the framework of tensor decomposition is to understand when a given real tensor  $T$  can be written as a linear combination of real rank 1 tensors with a minimal possible number of terms; that number is called the *rank* of  $T$ . Applications are often interested in knowing which are the ranks for which is possible to finding an euclidean open set of tensors with that given rank (*typical ranks*). For the specific case of tensors, computing the rank of  $T$  corresponds to finding the smallest space spanned by points on the real Segre variety that contains the projective class of  $T$  (multiplications by scalars does not change the rank). One can clearly define all these concepts for any variety  $X$  and saying that the real rank of  $P \in \langle X \rangle$  is the minimal cardinality of a set of points of  $X$  whose span contains  $P$ . In order to be as much as general as possible we will always work with a real field  $K$  instead of over  $\mathbb{R}$  and its real closure  $\mathcal{R}$ . We will indicate with  $\mathcal{C} := \mathcal{R}(i)$  the algebraic closure of  $\mathcal{R}$ . The reader not interested in abstract fields may take  $\mathbb{R}$  instead of  $\mathcal{R}$  and  $\mathbb{C}$  instead of  $\mathcal{C}$ .

We introduce the notion of *admissible rank*  $r_{X,\mathcal{R}}(P)$  of a point  $P$  (see Definition 12) to be the minimal cardinality of a set  $S \subset X(\mathcal{C})$  that is stable under the conjugation action and such that  $P \in \langle S \rangle$ . Any such a set can be labelled with the number of its the real points. Clearly the label of such an  $S$  is also a *label for*  $P$ .

A very initial result that one can show on admissible rank and label of a decomposition regards the case in which a point has a unique decomposition where clearly the label is uniquely associated to it. In this case it is possible to prove that if the generic element is identifiable, then the set of all real points with that rank is dense for the euclidean topology (see Theorem 3).

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A “partial particular case” of this situation is the case in which  $X$  is a rational normal curve. When the curve has odd degree, then the generic homogeneous bivariate polynomial of that degree is identifiable, so in this case we can apply the result just described. But if the rational normal curve is of even degree, then Theorem 3 does not apply. Anyway we can completely describe the situation for rational normal curves with the following theorem.

**Theorem 1.** *Let  $X \subset \mathbb{P}^d$ ,  $d \geq 2$ , be a rational normal curve defined over  $\mathcal{R}$ . Then there is a non-empty open subset  $\mathcal{U} \subset \mathbb{P}^d(\mathcal{R})$  such that  $\mathbb{P}^d(\mathcal{R}) \setminus \mathcal{U}$  has euclidean dimension  $< d$  and each  $q \in \mathcal{U}$  has admissible rank  $\lceil (d+1)/2 \rceil$ .*

After the initial result for the admissible rank in the identifiable case, it is possible to handle the cases in which there is a finite odd number of decompositions for the generic element and prove that there is an open set for the euclidean topology made by points having the admissible rank of the generic element (see Proposition 3 and Example 1).

The behavior of rational normal curves is peculiar. In fact it is not always true that if we don't have generic identifiability then we can find a label for the generic element (remark that the identifiability of the generic element is quite rare). In Section 3.4 we show an example of this phenomenon that uses an elliptic curve: we can explicitly build an euclidean neighborhood of a generic point of  $\mathbb{P}^3(\mathcal{R})$  such that no points in that neighborhood have a label with respect to the Variety of Sum of Powers  $VSP(P)$  (see Definition 16). However in this case  $VSP(P)$  is finite and we do not have a positive dimensional example that could be very interesting if it exists.

The last question that we like to address is what happens when the complex rank is smaller than the admissible rank. For a given  $P \in \mathbb{P}^r(\mathcal{R})$  consider the set  $\mathcal{S}(P, X, \mathcal{C})$  of all finite sets of points  $S \subset X(\mathcal{C})$  evincing the rank  $r_{X(\mathcal{C})}(P)$  of  $P$  (cf. Definition 1). Notice that such an  $S$  is a constructible subset of  $\mathbb{P}^r(\mathcal{C})$  invariant by the conjugation action, hence it is defined over  $\mathcal{R}$  and  $S \in \mathcal{S}(P, X, \mathcal{C})(\mathcal{R})$  if and only if  $S$  is fixed by the conjugation action, i.e. if and only if  $S$  has a label  $(s, a)$  for some  $a$  with  $0 \leq a \leq \lfloor \frac{r_{X(\mathcal{C})}(P)}{2} \rfloor$ . In this case  $P$  has admissible rank  $r_{X(\mathcal{C})}(P)$  if and only if  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) \neq \emptyset$ . Hence if  $r_{X(\mathcal{C})}(P) < r_{X, \mathcal{R}}(P)$  then  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) = \emptyset$ . In Example 3 we show this behavior by constructing a very special homogeneous polynomial in  $n \geq 2$  variables and even degree  $d \geq 6$  such that  $r_{X(\mathcal{C})}(P) = 3d/2 < r_{X, \mathcal{R}}(P)$ ,  $\sharp(\mathcal{S}(P, X, \mathcal{C})) = 2$  and  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) = \emptyset$ .

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## 1. $K$ -DENSE AND $K$ -TYPICAL RANKS

Let  $K$  be any field with characteristic zero and let  $\overline{K}$  denote its algebraic closure. Let  $X$  be a geometrically integral projective variety defined over  $K$  such that  $X(K)$  is Zariski dense in  $\overline{X} := X(\overline{K})$ . We fix an inclusion  $X \subset \mathbb{P}^r$  defined over  $K$  and such that  $X$  spans  $\mathbb{P}^r$ , i.e. no hyperplane defined over  $\overline{K}$  contains  $X(\overline{K})$ . Since  $X(K)$  is assumed to be Zariski dense in  $\overline{X}$ , the non-degeneracy of  $X$  is equivalent to assuming that  $X(K)$  spans  $\mathbb{P}^r(K)$  over  $K$ . Now,  $\mathbb{P}^r(\overline{K})$  and  $\mathbb{P}^r(K)$  have their own Zariski topology and the Zariski topology of  $\mathbb{P}^r(K)$  is the restriction of the one on  $\mathbb{P}^r(\overline{K})$ .

**Definition 1.** [ $\overline{X}$ -rank and  $X(K)$ -rank] For each point  $P \in \mathbb{P}^r(\overline{K})$  (resp.  $P \in \mathbb{P}^r(K)$ ) the  $\overline{X}$ -rank  $r_{\overline{X}}(P)$  (resp. the  $X(K)$ -rank  $r_{X(K)}(P)$ ) of  $P$  is the minimal cardinality of a subset  $S \subset \overline{X}$  (resp.  $S \subset X(K)$ ) such that  $P \in \langle S \rangle$ , where  $\langle \cdot \rangle$  denotes the linear span. We say that  $S$  *evinces*  $r_{\overline{X}}(P)$ .

**Definition 2.** For each integer  $a > 0$  let

$$(1) \quad U_{K,a} := \{P \in \mathbb{P}^r(K) \mid r_{X(K)}(P) = a\}$$

be the subset in  $\mathbb{P}^r(K)$  of the points of fixed  $\overline{X}$ -rank equal to  $a$  as in (1). We say that  $a$  is a  $K$ -dense rank if the set  $U_{K,a}$  is Zariski dense in  $\mathbb{P}^r(\overline{K})$ .

Since  $\mathbb{P}^r(K)$  is Zariski dense in  $\mathbb{P}^r(\overline{K})$ , then  $U_{K,a}$  is a dense subset of  $\mathbb{P}^r(K)$ .

**Definition 3** (Generic  $X(\overline{K})$ -rank). The *generic  $X(\overline{K})$ -rank* of  $\mathbb{P}^r(\overline{K})$  is the  $\overline{X}$ -rank of the generic element of  $\mathbb{P}^r(\overline{K})$ .

The minimal  $K$ -dense rank is just the generic  $X(\overline{K})$ -rank of  $\mathbb{P}^r(\overline{K})$  (Lemma 1).

**Definition 4.** A field  $K$  is *real* if  $x_1, \dots, x_n \in K$  are such that  $\sum_{i=1}^n x_i^2 = 0$  then  $x_i = 0$  for all  $i = 1, \dots, n$ .

We recall that a field  $K$  admits an ordering if and only if  $-1$  is not a sum of squares ([10, Theorem 1.1.8]). It is possible to prove (cf. [10, Chapter 4]) that when  $K$  admits an ordering, then the field  $K$  is real.

In the real field  $\mathbb{R}$  the notion of *typical rank* has been introduced by various authors (see e.g. [3], [5], [6], [7], [14]): An integer  $r$  is said to be an  $X$ -*typical rank* if the set  $U_{\mathbb{R},r}$  contains a non-empty subset for the euclidean topology of  $\mathbb{P}^r(\mathbb{R})$ .

We can also introduce this notion of typical rank into our setting, but we have to assume that  $K$  is real closed.

**Definition 5.** A real field is *closed* if it does not have trivial real algebraic extensions.

We recall that for any ordering  $\leq$  of  $K$ , there is a unique inclusion  $K \rightarrow \mathcal{R}$  of ordered field with  $\mathcal{R}$  real closed ([10, Theorem 1.3.2]).

If  $K$  is real closed, then the sets  $X(K)$  and  $\mathbb{P}^r(K)$  have the euclidean topology in the sense of [10, p. 26] where the euclidean topology of  $K^n$  comes from the ordering structure of  $K$ , i.e. the *euclidian topology* on  $K^n$  is the topology for which open balls form a basis of open subsets (cf. [10, Definition 2.19]).

**Definition 6** (Typical  $X(K)$ -rank). We say that  $a$  is a *typical  $X(K)$ -rank* if  $U_{K,a}$  contains a non-empty subset for the euclidean topology of  $\mathbb{P}^r(K)$ .

As in the case  $K = \mathbb{R}$  the minimal typical rank is the generic rank ([7, Theorem 2]). The set of all typical ranks has no gaps, i.e. if  $a$  and  $b \geq a + 2$  are typical ranks, then  $c$  is typical if  $a < c < b$  ([3, Theorem 2.2]).

**Notation 1.** If  $K$  is contained in a field  $F$  and  $S \subseteq \mathbb{P}^r(K)$ , let  $\langle S \rangle_F$  denote the linear span of  $S$  in  $\mathbb{P}^r(F)$ .

**Lemma 1.** *Each set  $U_{\overline{K},a}$  is constructible. If  $K$  is real closed, then each set  $U_{K,a}$  is semialgebraic.*

*Proof.* Since  $U_{\overline{K},1} = X(\overline{K})$  is Zariski closed, we may assume  $a > 1$  and that  $G := \cup_{c < a} U_{\overline{K},c}$  is constructible.

There is an obvious morphism from the set  $E \subset X(\overline{K})^a$  of all  $a$ -uple of linearly independent points to the Grassmannian  $G(a-1, r)(\overline{K})$  of all  $(a-1)$ -dimensional  $\overline{K}$ -linear subspaces of  $\mathbb{P}^r(\overline{K})$ :

$$\phi : E \rightarrow G(a-1, r)(\overline{K}).$$

As usual let  $I := \{(x, N) \in \mathbb{P}^r(\overline{K}) \times G(a-1, r)(\overline{K}) \mid x \in N\}$  be the incidence correspondence and let  $\pi_1 : I \rightarrow \mathbb{P}^r(\overline{K})$  and  $\pi_2 : I \rightarrow G(a-1, r)(\overline{K})$  denote the restrictions to  $I$  of the two projections.

Now  $U(\overline{K}, a)$  is the intersection with  $\mathbb{P}^r(\overline{K}) \setminus G$  of  $\pi_1(\pi_2^{-1}(\phi(E)))$ . Obviously the counter-image by a continuous map for the Zariski topology of a constructible set is constructible. The image of a constructible set is constructible by a theorem of Chevalley [16, Exercise II.3.19]. If  $K$  is real closed, it is sufficient to quote [10, Proposition 2.2.3], instead of Chevalley's theorem.  $\square$

**Proposition 1.** *If  $K$  is a real closed field, then an integer is  $K$ -typical if and only if it is  $K$ -dense.*

*Proof.* Since  $U_{K,a}$  is semialgebraic (Lemma 1), it is Zariski dense in  $\mathbb{P}^r(K)$  if and only if it has dimension  $r$  (or, equivalently, if and only if it contains a non-empty open subset in the euclidean topology). Since  $K$  is infinite,  $\mathbb{P}^r(K)$  is Zariski dense in  $\mathbb{P}^r(\overline{K})$ . Hence  $U_{K,a}$  is Zariski dense in  $\mathbb{P}^r(\overline{K})$  if and only if it is Zariski dense in  $\mathbb{P}^r(K)$ . Thus  $a$  is  $K$ -dense if and only if it is  $K$ -typical.  $\square$

**Remark 1.** Assume  $K$  real closed and let  $L \supset K$  be any real closed field containing  $K$ . By Proposition 1 the  $K$ -typical ranks of  $X(K) \subset \mathbb{P}^r(K)$  and of  $X(L) \subset \mathbb{P}^r(L)$  are the same (this also may be proved directly from the Tarski-Seidenberg principle). In particular this means that the typical ranks of real tensors and the typical rank of real homogeneous polynomials are realized over the real closure of  $\mathbb{Q}$ . Moreover, if  $a$  is typical, then  $U_{K,a}$  is dense in the interior of  $U(L, a)$  for the euclidean topology.

A very important result (see [10, Chapter 4]) is that for any real field  $K$ , there exists a unique real closed field  $\mathcal{R}$  such that  $K \subseteq \mathcal{R}$ .

**Theorem 2.** *Assume that  $K$  admits an ordering,  $\leq$ , and let  $\mathcal{R}$  be the real closure of the pair  $(K, \leq)$ . Assume that  $X(K)$  is dense in  $X(\mathcal{R})$  in the euclidean topology. Then every  $\mathcal{R}$ -typical rank of  $X(\mathcal{R})$  is a  $K$ -dense rank for  $X(K)$ .*

*Proof.* Let  $U \subset \mathbb{P}^r(\mathcal{R})$  be an open subset for the euclidean topology formed by points with fixed  $X(\mathcal{R})$ -rank equal to  $a$ . Let  $E \subset X(\mathcal{R})^a$  be the set of all linearly independent  $a$ -ples  $(Q_1, \dots, Q_a)$  of distinct points. For each  $(Q_1, \dots, Q_a) \in E$ , we have an  $(a-1)$ -dimensional  $\mathcal{R}$ -linear space  $\langle \{Q_1, \dots, Q_a\} \rangle_{\mathcal{R}}$ . By assumption there is an open subset  $F \subset E$  in the euclidean topology such that the union  $\Gamma$  of all  $\langle \{Q_1, \dots, Q_a\} \rangle_{\mathcal{R}}$  contains all points of  $U$ . Since  $X(K)$  is dense in  $X(\mathcal{R})$  for the euclidean topology, the set  $E(K)$  is dense in  $E$  for the euclidean topology. Hence the subset  $\Gamma'$  of  $\Gamma$  formed by the  $\mathcal{R}$ -linear spans of elements of  $E(K)$  is dense in  $\Gamma$  and hence its closure in the euclidean topology contains  $U$ . Since the closure in the euclidean topology of the set  $\Gamma'' \subset \Gamma'$  formed by the  $K$ -linear spans of elements of  $E(K)$  contains  $\Gamma'$ , every  $\mathcal{R}$ -typical rank is a  $K$ -dense rank.  $\square$

**Remark 2.** The condition that  $X(K)$  is Zariski dense in  $X(\overline{K})$  is very restrictive if  $K$  is a number field and  $X$  has general type. For example if  $X$  is a curve of geometric genus  $\geq 2$ , then it is never satisfied. But it is not restrictive in the two more important cases: tensors (where  $X$  is a product of projective spaces with the Segre embedding) and degree  $d$ -homogeneous polynomials (where  $X = \mathbb{P}^n$ , and the inclusion  $X \subset \mathbb{P}^{\binom{n+d}{n}-1}$  is the order  $d$  Veronese embedding). It applies also to Segre-Veronese embeddings of multiprojective spaces (the so-called Segre-Veronese varieties).

If  $K$  has an ordering  $\leq$  and  $\mathcal{R}$  is the real closure of  $(K, \leq)$ , then  $X(K)$  is dense in  $X(\mathcal{R})$  for the euclidean topology, because  $K^n$  is dense in  $\mathcal{R}^n$  for the euclidean topology. The set of typical  $X$ -ranks may be very large ([5], [6, Theorem 1.7] and [3, Theorem 2.2]).

## 2. JOIN, SET-THEORETIC $K$ -JOIN AND $K$ -JOIN

We describe now the situation of more than only one variety. First of all we need to distinguish if the join of two or more varieties is defined over  $\mathbb{P}^r(\overline{K})$  or over  $\mathbb{P}^r(K)$ . This will allow to introduce the notion of rank with respect to join varieties and the “label” associated to a decomposition of an element. We will label a decomposition of a point with the number of its  $\mathbb{P}^r(K)$  elements.

**Definition 7** (Join). Let  $X, Y \subset \mathbb{P}^r(\overline{K})$  be integral varieties over  $\overline{K}$ . We define the *join*  $[X; Y]$  of  $X$  and  $Y$  to be the closure in  $\mathbb{P}^r(\overline{K})$  of the union of all lines spanned by a point of  $X(\overline{K})$  and a different point of  $Y(\overline{K})$  (if  $X$  and  $Y$  are the same point  $Q$  we set  $[X; Y] := \{Q\}$ ).

The set  $[X; Y]$  is an integral variety of dimension at most  $\min\{r, \dim(X) + \dim(Y) + 1\}$ .

**Definition 8.** If we have  $s \geq 3$  integral varieties  $X_i \subset \mathbb{P}^r(\overline{K})$ , with  $1 \leq i \leq s$ , we define inductively their join  $[X_1; \dots; X_s]$  by the formula

$$[X_1; \dots; X_s] := [[X_1; \dots; X_{s-1}]; X_s].$$

The join is symmetric in the  $X_i$ 's. If  $X$  and  $Y$  are defined over  $K$ , then  $[X; Y]$  is defined over  $K$  and  $[X; Y](K)$  contains the closure (in the Zariski topology) of  $[X; Y] \cap \mathbb{P}^r(K)$ , but it is usually larger (even if  $K$  is real closed and  $X(K)$  and  $Y(K)$  are dense in  $X(\overline{K})$  and  $Y(\overline{K})$ ).

**Definition 9** (Set theoretic  $K$ -join and  $K$ -join). Assume that  $X_i(K)$  is Zariski dense in  $X_i(\overline{K})$  for all  $i$ . The *set-theoretic  $K$ -join*  $((X_1; \dots; X_s))_K \subseteq \mathbb{P}^r(K)$  of  $X_1, \dots, X_s$  is the union of  $K$ -linear subspaces spanned by points  $Q_1, \dots, Q_s$  with  $Q_i \in X_i(K)$  for all  $i$ .

The  *$K$ -join*  $[X_1; \dots; X_s]_K$  is the Zariski closure in  $\mathbb{P}^r(K)$  of  $((X_1; \dots; X_s))_K$ .

Take geometrically integral projective varieties  $X_i$ ,  $i \geq 1$ , defined over  $K$  and equipped with an embedding  $X_i \subset \mathbb{P}^r$  defined over  $K$ . We allow the case  $X_i = X_j$  for some  $i \neq j$  (in the case  $X_i = X$  for all  $i$ 's we would just get the set-up of Proposition 1 and Theorem 2). We assume that each  $X_i(K)$  is Zariski dense in  $X_i(\overline{K})$  and that  $((X_1; \dots; X_h))_K = \mathbb{P}^r(K)$  for some  $h$ . The latter condition implies that  $[X_1; \dots; X_h] = \mathbb{P}^r(\overline{K})$ .

**Definition 10** (Rank and label with respect to join). Fix a point  $Q \in \mathbb{P}^r(K)$ . The rank  $r_{X_i, i \geq 1}(Q)$  is the minimal cardinality of a finite set  $I \subset \mathbb{N} \setminus \{0\}$  such that there is  $Q_i \in X_i(K) \subset \mathbb{P}^r(K)$ ,  $i \in I$ , with  $Q \in \langle \{Q_i\}_{i \in I} \rangle$ . Any  $I$  as above will be called a *label* of  $Q$ .

In this new setup we can re-define  $U_{K,a}$  of (1) more generally.

**Notation 2.** Let  $U_{K,a}$  denote the set of all points of  $\mathbb{P}^r(K)$  with fixed rank  $a$  with respect to the sequence of varieties  $X_i$ ,  $i \geq 1$  as in Definition 10.

With this extended notion of  $U_{K,a}$  the Definitions 2 and 6 of  $K$ -dense and typical rank respectively can be re-stated here verbatim.

**Remark 3.** The statements and proof of Proposition 1 and Theorem 2 work verbatim in this more general setting. For the generalization of Theorem 2 we require that each  $X_i(K)$  is dense in  $X(\mathcal{R})$  in the euclidean topology.

The more general set-up clearly covers the tensor cases where  $X$  is the Segre or the Segre-Veronese variety. For example it applies to any  $X_i$  being closed subvarieties of  $\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  and then considering the Segre embedding of the multiprojective space  $\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  into  $\mathbb{P}^r$  with  $r + 1 = \prod_{i=1}^k (n_i + 1)$ . For instance, each  $X_i$  may be a smaller multiprojective space (depending on less multihomogeneous variables). If  $X_i = \mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  for sufficiently many indices, any rank is achieved by a set  $I$  such that  $X_i = \mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  for all  $i$ , but even in this case there may be cheaper sets  $J$ 's (i.e. with  $\sharp(J) = \sharp(I)$ , but  $X_i \subsetneq \mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  for some  $i \in J$ ). The case of Segre-Veronese embedding of multidegree  $(d_1, \dots, d_k)$  of  $\mathbb{P}^{n_1} \times \cdots \times \mathbb{P}^{n_k}$  (here  $r + 1 = \prod_{i=1}^k \binom{n_i + d_i}{n_i}$ ), is completely analogous.

### 3. ON THE “ GENERICALLY IDENTIFIABLE ” CASE

Let  $\mathcal{R}$  be a real closed field as in Definitions 4 and 5 and take  $\mathcal{C} := \mathcal{R}(i)$  to be the algebraic closure of  $\mathcal{R}$  (for these fundamental facts we always refer to [10]). Now  $X$  is a geometrically connected variety defined over  $\mathcal{R}$  with a fixed embedding  $X \subset \mathbb{P}^r$  and we assume that  $X(\mathcal{C})$  is non-degenerate, i.e.  $X(\mathcal{C})$  spans  $\mathbb{P}^r(\mathcal{C})$ .

**Definition 11** ( $X$ -rank). For each  $P \in \mathbb{P}^r(\mathcal{C})$  the  $X$ -rank of  $P$  is a minimal cardinality of a set  $S \subset X(\mathcal{C})$  such that  $P \in \langle S \rangle$ .

We want to consider the “ conjugation action ” on the elements appearing in a decomposition of  $P$ .

**Notation 3.** Let  $\sigma : \mathcal{C} \rightarrow \mathcal{C}$  be the field automorphism with  $\sigma(x) = x$  for all  $x \in \mathcal{R}$  and  $\sigma(i) = -i$ .

Remark that the map  $\sigma$  just introduced acts (not algebraically) on  $X(\mathcal{C})$  and  $\mathbb{P}^r(\mathcal{C})$  with  $\sigma^2$  as the identity and with  $X(\mathcal{R})$  and  $\mathbb{P}^r(\mathcal{R})$  as its fixed point set. Note that if  $\dim(X) = n$  and  $\mathcal{R} = \mathbb{R}$ , then  $\dim(X(\mathcal{C}) \setminus X(\mathbb{R})) = 2n$  and hence, topologically, a pair of complex conjugate points of  $X(\mathcal{C}) \setminus X(\mathbb{R})$  “ costs ” as two points of  $X(\mathbb{R})$ . It seems to us that the same should be true for the algorithms used in the case of the tensor decomposition or the decomposition of degree  $d$  homogeneous polynomial as a sum (with signs if  $d$  is even) of  $d$  powers of linear forms.

**Definition 12** (Admissible rank). The *admissible rank*  $r_{X, \mathcal{R}}(P)$  of a real point  $P \in \mathbb{P}^r(\mathcal{R})$  is the minimal cardinality of a possibly complex set  $S \subset X(\mathcal{C})$  such that  $P \in \langle S \rangle$  and  $\sigma(S) = S$ .

As we did in Definition 10, we can again keep track of the elements appearing in a decomposition of a point by labeling those that are real.

**Definition 13** (Label of  $S$ ). In any finite set  $S \subset X(\mathcal{C})$  such that  $\sigma(S) = S$  there are  $a$  pairs of  $\sigma$ -conjugated points of  $X(\mathcal{C}) \setminus X(\mathcal{R})$ , with  $0 \leq a \leq \lfloor \frac{\#(S)}{2} \rfloor$  (the other  $\#(S) - 2a$  points of  $S$  are in  $X(\mathcal{R})$ ).

We say that  $(\#(S), a)$  is *the label* of  $S$ . If  $S$  evinces the admissible rank of  $P$ , then we say that  $(r_{X, \mathcal{R}}(P), a)$  is *a label* of  $P$ .

**Remark 4.** Fix a finite set  $S \subset X(\mathcal{C})$ . The set  $\sigma(S)$  is finite and  $\#(\sigma(S)) = \#(S)$ . It is very natural to say that  $S$  is defined over  $\mathcal{R}$  if and only if  $\sigma(S) = S$ . Clearly if  $\sigma(S) = S$ , then  $\sigma$  sends bijectively  $S$  onto itself and the label  $a$  of Definition 4 is the number of pairs  $\{p, \sigma(p)\}$ ,  $p \in X(\mathcal{C}) \setminus X(\mathcal{R})$ , contained in  $S$ , while  $\#(S) - 2a = \#(X(\mathcal{R}) \cap S)$ .

As already remarked in the Introduction, if  $P \in \mathbb{P}^r(\mathcal{R})$  has a unique decomposition, then we can directly associate a unique label to  $P$  itself.

**Notation 4** (Label of  $P$ ). Fix  $P \in \mathbb{P}^r(\mathcal{R})$  such that there is a unique set  $S \subset X(\mathcal{C})$  evincing the  $X$ -rank,  $s$ , of  $P$ . Since  $\sigma(P) = P$ , the uniqueness of  $S$  implies  $\sigma(S) = S$ . Hence  $S$  has a label  $(s, a)$  and we say that  $P$  has label  $(s, a)$ . If  $X(\mathcal{R}) = \emptyset$ , then each label is of the form  $(2a, a)$ .

**Definition 14.** For every integer  $t \geq 1$  the  *$t$ -secant variety*  $Sec_t(X(\mathcal{C}))$  of  $X(\mathcal{C})$  is the closure in  $\mathbb{P}^r(\mathcal{C})$  of the set of all points with  $X$ -rank  $t$ .

The set  $Sec_t(X(\mathcal{C}))$  is an integral variety defined over  $\mathcal{R}$  and we are interested in its real locus  $\sigma_t(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$ .

**Definition 15.** We say that  $Sec_t(X(\mathcal{C}))$  is *generically identifiable* if for a general  $P \in Sec_t(X(\mathcal{C}))$  there is a unique  $S \subset X(\mathcal{C})$  such that  $\#(S) = t$  and  $P \in \langle S \rangle$  (this notion has been already widely introduced in the literature, see e.g. [9], [12], [13]).

We have  $\mathcal{C} = \mathcal{R} + \mathcal{R}i$  and hence we may see  $\mathbb{P}^r(\mathcal{C})$  as an  $\mathcal{R}$ -algebraic variety of dimension  $2r$ . Hence  $\mathbb{P}^r(\mathcal{C})$  has an euclidean topology and this topology is inherited by all subsets of  $\mathbb{P}^r(\mathcal{C})$ . This topology on  $Sec_t(X(\mathcal{C}))$  is just the euclidean topology obtained seeing it as a real algebraic variety of dimension twice the dimension of  $Sec_t(X(\mathcal{C}))$ .

**Theorem 3.** Fix an integer  $t > 0$  and assume that  $Sec_s(X(\mathcal{C}))$  is generically identifiable. Then the set of all real points  $P \in Sec_s(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$  with one of the labels  $(s, a)$ ,  $0 \leq a \leq \lfloor s/2 \rfloor$  is dense in the smooth part  $Sec_s(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$  for the euclidean topology and its complementary is contained in a proper closed subset of  $Sec_s(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$  for the Zariski topology.

*Proof.* We may assume  $s \geq 2$ , because  $Sec_1(X(\mathcal{C})) = X(\mathcal{C})$ . Since  $Sec_s(X(\mathcal{C}))$  is generically identifiable, it has the expected dimension  $(s + 1) \dim(X) - 1$ .

Let  $E$  be the set of all subsets of  $X(\mathcal{C})$  formed by  $s$  linearly independent points. For each  $S \in E$ , the map  $S \mapsto \langle S \rangle$  defines a morphism  $\phi$  from  $E$  to the Grassmannian  $G(s - 1, r)(\mathcal{C})$  of all  $(s - 1)$ -dimensional  $\mathcal{C}$ -linear subspaces of  $\mathbb{P}^r(\mathcal{C})$ . Let  $I :=$

$\{(x, N) \in \mathbb{P}^r \times G(s-1, r) \mid x \in N\}$  be the incidence correspondence and let  $\pi_1 : I \rightarrow \mathbb{P}^r(\mathcal{C})$  and  $\pi_2 : I \rightarrow G(s-1, r)(\mathcal{C})$  denote the restriction to  $I$  of the two projections. The set  $U_{\mathcal{C}, a}$  of all points with rank exactly equal to  $s$  is the intersection with  $\{P \in \mathbb{P}^r(\mathcal{C}) \mid rk(P) \geq s\}$  with  $\pi_1(\pi_2^{-1}(\phi(E)))$  and hence it is constructible. Since  $X$  is real and the embedding  $X \subset \mathbb{P}^r$  is defined over  $\mathbb{R}$ , we have  $\sigma(U_{\mathcal{C}, a}) = U_{\mathcal{C}, a}$ . By assumption there is a non-empty open subset  $V_{\mathcal{C}, s}$  of  $U_{\mathcal{C}, s}$  for the Zariski topology such that each  $P \in V_{\mathcal{C}, s}$  comes from a unique point of  $\pi_1(\pi_2^{-1}(E))$  and in particular it is associated to a unique  $S_P \in E$ . Since the embedding is real, each point of  $\sigma(V_{\mathcal{C}, s})$  has the same property. Since  $V_{\mathcal{C}, s}$  is Zariski dense in  $Sec_s(X(\mathcal{C}))$ ,  $V_{\mathcal{C}, s} \cap \mathbb{P}^r(\mathcal{R})$  is Zariski dense in  $Sec_s(\mathcal{C}) \cap \mathbb{P}^r(\mathcal{R})$ . Fix any  $P \in V_{\mathcal{C}, s} \cap \mathbb{P}^r(\mathcal{R})$ . Since  $S_P$  is uniquely determined by  $P$  and  $\sigma(P) = P$ , we have  $\sigma(S_P) = S_P$ . Hence  $S_P$  has a label. Let  $U'_{\mathcal{C}, s}$  be the subset of  $U'_{\mathcal{C}, s}$  corresponding only to sets  $S \subset X_{\text{reg}}(\mathcal{C})$  and at which the map  $\pi_1$  has rank  $s(\dim(X) + 1) - 1$ . Note that  $U'_{\mathcal{C}, s}$  is contained in the smooth part of  $Sec_s(\mathcal{C})$ . Set  $V'_{\mathcal{C}, s} := U'_{\mathcal{C}, s} \cap V_{\mathcal{C}, s}$ . Since  $V'_{\mathcal{C}, s}$  is smooth,  $V'_{\mathcal{C}, s} \cap \mathbb{P}^r(\mathcal{R})$  is a smooth real algebraic variety. We saw that each point of  $V'_{\mathcal{C}, s}$  has a label  $(s, a)$ .  $V'_{\mathcal{C}, s}$  is dense in the smooth part of the real algebraic variety  $Sec_s(X(\mathcal{C}))(\mathcal{R}) = Sec_s(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$  for the euclidean topology and hence it is Zariski dense in  $Sec_s(X(\mathcal{C}))$  and  $Sec_s(X(\mathcal{C})) \cap \mathbb{P}^r(\mathcal{R})$ .  $\square$

**Remark 5.** When the set  $\mathcal{S}(P, X, \mathcal{C})$  of all  $S \subset X(\mathcal{C})$  evincing  $r_{X(\mathcal{C})}(P)$ , is finite, in order to have  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) \neq \emptyset$ , it is sufficient that  $\sharp(\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}))$  is odd (we use this observation to prove Theorem 1 for  $d$  odd).

There are many uniqueness results for submaximal tensors (see for example [9], [12], [13]) and so Theorem 3 applies in many cases.

A first interesting case where to study the admissible rank is the one of rational normal curves. If the degree of the curve is odd, then a general point is identifiable so Theorem 3 assures the existence of a dense set of points with any label  $(1+d/2, a)$ , while if  $d$  is even, the euclidean dimension of points with admissible rank  $\lceil (d+1)/2 \rceil$  has to be studied. This is the purpose of Theorem 1. We need to recall the following definition.

**Definition 16.** Fix any  $P \in V$  and let  $VSP(P)$  denote the set of all  $S \subset X(\mathcal{C})$  such that  $P \in \langle S \rangle_{\mathcal{C}}$  and  $\sharp(S) = \rho$ .

*Proof of Theorem 1:* If  $d$  is odd, then a general  $P \in \mathbb{P}^d(\mathcal{C})$  has rank  $\lfloor (d+1)/2 \rfloor$  and  $Sec_{\lfloor (d+1)/2 \rfloor}(X(\mathcal{C}))$  is generically identifiable ([19, Theorem 1.40]). Thus we may apply Theorem 3 in this case.

Now assume that  $d$  is even. In this case a general  $q \in \mathbb{P}^d(\mathcal{C})$  has rank  $1 + d/2$  and the set  $VSP(q)$  has dimension 1. Fix  $p \in X(\mathcal{R})$ .

*Claim :* There is a non-empty open subset  $\mathcal{U}$  of  $\mathbb{P}^d(\mathcal{R})$  such that  $\mathbb{P}^d(\mathcal{R}) \setminus \mathcal{U}$  has euclidean dimension  $< d$  and each  $q \in \mathcal{U}$  has admissible rank  $1 + d/2$  with label  $(1 + d/2, a)$  and  $2a \leq d/2$ , computed by a set  $S$  with  $\sigma(S) = S$  and  $p \in S$ .

*Proof of the Claim:* If  $d = 2$  we can simply take as  $\mathcal{U}$  the subset of  $\mathbb{P}^2(\mathcal{R}) \setminus X(\mathcal{R})$  formed by the points that are not on the tangent line to  $X(\mathcal{R})$  at  $p$ .

Therefore assume  $d \geq 4$ . Let  $\ell : \mathbb{P}^d(\mathcal{C}) \setminus \{p\} \rightarrow \mathbb{P}^{d-1}(\mathcal{C})$  denote the linear projection from  $p$ . Let  $Y(\mathcal{C})$  denote the closure of  $\ell(X(\mathcal{C}) \setminus \{p\})$ . Since  $p \in \mathbb{P}^d(\mathcal{R})$ ,  $\ell$  is defined over  $\mathcal{R}$  and  $Y(\mathcal{C})$  is defined over  $\mathcal{R}$ . By construction the curve  $Y(\mathcal{C})$  is a rational normal curve of degree  $d-1$  and  $Y(\mathcal{C}) \setminus \ell(X(\mathcal{C}) \setminus \{p\})$  is a unique point,  $p'$ , corresponding to the tangent line of  $X(\mathcal{C})$  at  $p$ . Since  $p \in X(\mathcal{R})$  and  $\ell$  is defined



over  $\mathcal{R}$ , then  $p' \in Y(\mathcal{R})$ .

Now  $d - 1$  is odd, so  $\text{Sec}_{[d/2]}(Y(\mathcal{C}))$  is generically identifiable and we can use Theorem 3 to find a non-empty open subset  $\mathcal{V} \subset \mathbb{P}^{d-1}(\mathcal{R})$  such that  $\mathbb{P}^{d-1}(\mathcal{R})$  has euclidean dimension  $\leq d - 2$ , each  $q \in \mathcal{V}$  has admissible rank  $d/2$  and  $\sharp(VPS(q)) = 1$  for all  $q \in \mathcal{V}$ . Since  $d/2 > 1$ , there is an open subset  $\mathcal{V}'$  of  $\mathcal{V}$  such that  $p' \notin S_q$  for all  $q \in \mathcal{V}'$ , where  $S_q$  is the unique element of  $VSP(q)$ . Note that  $\mathcal{V} \setminus \mathcal{V}'$  has euclidean dimension  $\leq d - 2$  and so  $\mathbb{P}^{d-1}(\mathcal{R}) \setminus \mathcal{V}'$  has euclidean dimension  $\leq d - 2$ . Now we have simply to lift  $\mathcal{V}'$  up. Consider  $\mathcal{U}' := \ell^{-1}(\mathcal{V}')$ , the set  $\mathbb{P}^r(\mathcal{C}) \setminus \mathcal{U}'$  has clearly euclidean dimension  $\leq d - 1$ . Fix any  $a \in \mathcal{U}'$ , call  $b := \ell(a)$  and take  $\{S_b\} := VPS(b)$ . We have  $\sigma(S_b) = S_b$  and  $p' \notin S_b$ . Since  $p' \notin S_b$ , there is a unique set  $S_a \subset X(\mathcal{C}) \setminus \{p\}$  such that  $\ell(S_a) = S_b$ . Now the set  $S$  we are looking for is nothing else than  $S := \{p\} \cup S_a$ . In fact since  $\sigma(S_b) = S_b$ ,  $\ell$  is defined over  $\mathcal{R}$  and  $p \in X(\mathcal{R})$ , we have  $\sigma(S) = S$ . Hence each  $q \in \mathcal{U}'$  has admissible rank  $\leq 1 + d/a$ . To get  $\mathcal{U}$  it is sufficient to intersect  $\mathcal{U}'$  with the set of all  $q \in \mathbb{P}^r(\mathcal{R})$  with  $\mathcal{C}$ -rank  $1 + d/2$ .  $\square$

Landsberg and Teitler gave an upper bound concerning the  $X$ -rank over  $\mathbb{C}$  ([20, Proposition 5.1]). Several examples in [6] show that over  $\mathbb{R}$  this upper bound is not always true, not even for typical ranks. The case for labels is easier and we adapt the proof of [20, Proposition 5.1] in the following way.

**Proposition 2.** *Let  $X$  be a geometrically integral variety defined over  $\mathcal{R}$  and equipped with an embedding  $X \subset \mathbb{P}^r$  defined over  $\mathcal{R}$  and of dimension  $m$ .*

- *If either  $r - m + 1$  is even or  $X(\mathcal{R})$  is Zariski dense in  $X(\mathcal{C})$ , then each  $P \in \mathbb{P}^r(\mathcal{R})$  has a label  $(s, a)$  with  $s \leq r - m + 1$ .*
- *If  $r - m + 1$  is odd and  $X(\mathcal{R})$  is not Zariski dense in  $X(\mathcal{C})$ , then  $P$  has a label  $(s, a)$  with either  $s \leq r - m + 1$  or  $s = r - m + 1$  and  $a = 0$ .*

*Proof.* Fix  $P \in \mathbb{P}^r(\mathcal{R})$ . If  $P \in X(\mathcal{R})$  it has  $(1, 0)$  as its unique label.

Now assume  $P \notin X(\mathcal{R})$ . Let  $U$  be the set of all linear spaces  $H \subset \mathbb{P}^r(\mathcal{C})$  defined over  $\mathcal{C}$ , containing  $P$  and transversal to  $X(\mathcal{C})$ . By Bertini's theorem,  $U$  is a non-empty open subset of the Grassmannian  $\mathbb{G}_{\mathcal{C}} := \mathbb{G}(r - m - 1, r - 1)$  of all  $(r - m)$ -dimensional linear subspaces of  $\mathbb{P}^r(\mathcal{C})$  containing  $P$ . Since  $X$  and  $P$  are defined over  $\mathcal{R}$ ,  $\mathbb{G}_{\mathcal{C}}$  is also defined over  $\mathcal{R}$ .

By definition of  $U$ , for each  $H \in U$  we have that  $P \in H$  and  $H$  intersects  $X(\mathcal{C})$  in  $\text{deg}(X)$  distinct points. There is a non-empty open subset  $V$  of  $U$  such that every  $H \in V$  has the following property: any  $S_1 \subseteq H \cap X(\mathcal{C})$  with  $\sharp(S_1) = r - m + 1$  spans  $H$ . Since  $\mathbb{G}_{\mathcal{C}}$  is a Grassmannian, this implies that  $V(\mathcal{R})$  is Zariski dense in  $V$  and in particular  $V(\mathcal{R}) \neq \emptyset$ . Take  $H \in V(\mathcal{R})$ . The set  $S := H \cap X(\mathcal{C})$  is formed by  $\text{deg}(X)$  points of  $X(\mathcal{C})$  and  $\sigma(S) = S$ .

Assume for the moment that either  $r - m + 1$  is even or  $S \cap X(\mathcal{R}) \neq \emptyset$ . We may find  $S_1 \subseteq S$  such that  $\sharp(S_1) = r - m + 1$  and  $\sigma(S_1) = S_1$ . Hence  $S_1$  is a set with a label  $(r - m + 1, a)$  for some integer  $a$ .

If  $r - m + 1$  is odd and  $H \cap X(\mathcal{R}) = \emptyset$ , then we have a set  $S_2 \subseteq S$  with  $\sharp(S_2) = r - m + 2$  with  $\sigma(S_2) = S_2$  and hence  $P$  has a label  $(r - m + 2, (r - m + 2)/2)$ .

Finally if  $r - m + 1$  is odd and  $X(\mathcal{R})$  is Zariski dense in  $X(\mathcal{R})$ , the set of all  $H \in V(\mathcal{R})$  with  $H \cap X(\mathcal{R}) \neq \emptyset$  is non-empty (and Zariski dense in  $V$ ).  $\square$

**3.1. Odd perfect cases.** The idea of Theorem 1 is not limited to rational normal curves but it can be extended to cases where maybe there is not the generic

identifiability but there is a finite odd number of decompositions for the generic element.

**Definition 17.** A variety  $X \subset \mathbb{P}^r$  with  $X(\mathcal{C})$  non-degenerate is said to be *s-perfect*, if there exist an integer  $s \geq 2$  such that  $r + 1 = s(\dim(X) + 1)$  and if  $X$  is not *s-defective*, i.e.  $\sigma_s(X) = \mathbb{P}^r$ .

**Remark 6.** Notice that if  $X$  is an *s-perfect* variety, then the map

$$\phi : X^{(s)} := \{(P, \langle P_1, \dots, P_s \rangle) \mid P \in \langle P_1, \dots, P_s \rangle, P_1, \dots, P_s \in X\} \rightarrow \sigma_s(X)$$

from the abstract secant variety  $X^{(s)}$  to the *s-secant* variety is generically finite (clearly this excludes again the defective secant varieties). The degree  $\deg(\phi)$  is sometime called the *generic s-secant degree* and it gives the number of sets  $S \subset X$  evincing the  $X$ -rank of a generic element of  $\mathbb{P}^r$  (by definition this number has to be finite). For example, if  $\deg(\phi) = 1$  there is the generic  $X$ -identifiability.

**Proposition 3.** *Let  $X \subset \mathbb{P}^r$  be an s-perfect variety. Let  $\phi : X^{(s)} \rightarrow \sigma_s(X)$  be the map of Remark 6. If the generic s-secant degree  $\deg(\phi)$  is odd, then there is a dense subset  $\mathcal{U}$  of  $\mathbb{P}^r(\mathcal{R})$  for the euclidean topology such that  $r_{X, \mathcal{R}}(p) = s$  for all  $p \in \mathcal{U}$ .*

*Proof.* There is a hypersurface  $\Delta \subset \mathbb{P}^r$  defined over  $\mathcal{R}$  and such that the restriction  $\psi$  of  $\phi$  to  $X^{(s)} \setminus \phi^{-1}(\Delta)$  is a smooth map  $\psi : X^{(s)}(\mathcal{C}) \setminus \phi^{-1}(\Delta)(\mathcal{C}) \rightarrow \mathbb{P}^r(\mathcal{C}) \setminus \Delta(\mathcal{C})$  with all fibers of cardinality  $\deg(\phi)$ . Set  $\mathcal{U} := \mathbb{P}^r(\mathcal{R}) \setminus \Delta(\mathcal{R})$ . Fix any  $p \in \mathcal{U}$ . Since  $\phi$  is defined over  $\mathcal{R}$  and  $p \in \mathbb{P}^r(\mathcal{R})$ , complex conjugation acts on the set  $\phi^{-1}(p) \subset X^{(s)}(\mathcal{C})$ . Since  $\deg(\phi)$  is odd, at least one element  $\eta$  of  $\phi^{-1}(p)$  is fixed by complex conjugation. Therefore  $\eta$  is a label for  $p$  and gives  $r_{X, \mathcal{R}}(p) = s$ .  $\square$

It is worth to remark that *s-perfect* varieties are rare, moreover, those with odd generic *s-secant* degree are even more rare. Anyway some cases are known. For example, Proposition 3 may be applied in the following cases:

**Example 1.**

- $X$  rational normal curve of odd degree  $d$  and  $s = \frac{d+1}{2}$ ,  $\deg(\phi) = 1$ , cf. [25];
- $X$  the Veronese variety of  $\mathbb{P}^3$  embedded with  $\mathcal{O}(3)$  and  $s = 5$ ,  $\deg(\phi) = 1$ , Sylvester's Pentahedral Theorem, cf. [25];
- $X$  a Veronese surface embedded with  $\mathcal{O}(d)$  such that  $d \not\equiv 0 \pmod{3}$  and  $\deg(\phi)$  is odd: the first example is  $d = 5$  where  $s = 7$  and  $\deg(\phi) = 1$ , cf. [18, 21, 22] (very recently F. Galuppi and M. Mella in [15] proved that the three cases above are the only identifiable ones for the polynomial case); the next case is  $d = 7$  where  $s = 12$  and  $\deg(\phi) = 5$ , cf. [23];
- $X$  the Veronese variety of  $\mathbb{P}^3$  embedded with  $\mathcal{O}(5)$  and  $s = 14$ ,  $\deg^*(\phi) = 101$ , cf. [17] (with the notation “ $\deg^*(\phi)$ ” we indicate that the *s-secant* degree was computed numerically);
- $X$  the Grassmannian of planes in  $\mathbb{P}^5$  and  $s = 2$ ,  $\deg(\phi) = 1$ , cf. [24] (notice that the next perfect case for Grassmannian is  $\sigma_6(Gr(\mathbb{P}^3, \mathbb{P}^8)) \simeq \sigma_6(Gr(\mathbb{P}^4, \mathbb{P}^8)) = \mathbb{P}(\wedge^4(\mathbb{C}^9))$  where the 6-secant degree is bigger than 7000 but nowadays it has been estimated only numerically, cf. [4]);
- $X$  the Segre variety of  $\mathbb{P}^2 \times \mathbb{P}^n \times \mathbb{P}^n$  and  $s = n + 1$ ,  $\deg(\phi) = 1$ , this is classically known as “Kronecker normal form”, cf. [11];
- $X$  the Segre variety of  $\mathbb{P}^2 \times \mathbb{P}^4 \times \mathbb{P}^8$  and  $s = 9$ ,  $\deg(\phi) = 5005$ , cf. [9];
- $X$  the Segre variety of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^7$  and  $s = 8$ ,  $\deg(\phi) = 495$ , cf. [9];
- $X$  the Segre variety of  $\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^4$  and  $s = 6$ ,  $\deg(\phi) = 1$ , cf. [17];

- $X$  the Segre variety of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^2$  and  $s = 4$ ,  $\deg(\phi) = 1$ , cf. [17];
- $X$  the Segre variety of  $\mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^3$  and  $s = 8$ ,  $\deg^*(\phi) = 471$ , cf. [17];
- $X$  the Segre variety of  $\mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^4$  and  $s = 9$ ,  $\deg^*(\phi) = 7225$ , cf. [17];
- $X$  the Segre variety of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^3$  and  $s = 8$ ,  $\deg^*(\phi) = 447$ , cf. [17];
- $X$  the Segre-Veronese variety of  $\mathbb{P}^7 \times \mathbb{P}^2$  embedded with  $\mathcal{O}(1, 3)$  and  $s = 8$ ,  $\deg(\phi) = 9$ , cf. [1];
- $X$  the Segre-Veronese variety of  $\mathbb{P}^{16} \times \mathbb{P}^3$  embedded with  $\mathcal{O}(1, 3)$  and  $s = 17$ ,  $\deg(\phi) = 8436285$ , cf. [1].

Clearly, this is not an exhaustive list (for example in [1] there are other perfect examples with odd secant degree where  $X$  is the scroll  $\mathbb{P}(\mathcal{E})$  over  $\mathbb{P}^n$  with  $\mathcal{E} \simeq \bigoplus_i \mathcal{O}_{\mathbb{P}^n}(a_i)$ ).

**3.2. Typical  $a$ -ranks.** As above, let  $X \subset \mathbb{P}^r$  be a geometrically integral non-degenerate variety defined over  $\mathcal{R}$  and with a smooth real point, i.e. with  $X(\mathcal{R})$  Zariski dense in  $X(\mathcal{C})$ .

**Notation 5.** We use either  $\langle \cdot \rangle$  or  $\langle \cdot \rangle_{\mathcal{C}}$  to denote linear span over  $\mathcal{C}$  inside  $\mathbb{P}^r(\mathcal{C})$ . For any  $S \subseteq \mathbb{P}^r(\mathcal{R})$  let  $\langle S \rangle_{\mathcal{R}}$  be its linear span in  $\mathbb{P}^r(\mathcal{R})$ . Note that  $\langle S \rangle_{\mathcal{C}} \cap \mathbb{P}^r(\mathcal{R}) = \langle S \rangle_{\mathcal{R}}$ .

Here we consider the case in which  $\text{Sec}_s(X) = \mathbb{P}^r$  and hence almost never we have generic uniqueness and we won't be able to apply Theorem 3. The notion of typical rank may be generalized in the following way.

Fix an integer  $a \geq 0$ . For any set of complex points  $P_1, \dots, P_a \in X(\mathcal{C}) \setminus X(\mathcal{R})$  the linear space  $L := \langle \{P_1, \sigma(P_1), \dots, P_a, \sigma(P_a)\} \rangle_{\mathcal{C}}$  is defined over  $\mathcal{R}$  and hence  $L \cap \mathbb{P}^r(\mathcal{R})$  is a linear space with  $\dim_{\mathcal{R}} L \cap \mathbb{P}^r(\mathcal{R}) = \dim_{\mathcal{C}} L$  and  $L = (L \cap \mathbb{P}^r(\mathcal{R}))_{\mathcal{C}}$ .

**Definition 18** ( $a$ - $X(\mathcal{R})$ -rank). For any  $P \in \mathbb{P}^r(\mathcal{R})$ , the  $a$ - $X(\mathcal{R})$ -rank of  $P$  is the minimal integer  $c$  such that there are  $P_1, \dots, P_a \in X(\mathcal{C}) \setminus X(\mathcal{R})$  and  $Q_1, \dots, Q_c \in X(\mathcal{R})$  such that  $P \in \langle \{P_1, \sigma(P_1), \dots, P_a, \sigma(P_a), Q_1, \dots, Q_c\} \rangle_{\mathcal{C}}$ .

The  $a$ -typical  $X(\mathcal{R})$ -ranks are the integers occurring as  $a$ -ranks on a non-empty euclidean open subset of  $\mathbb{P}^r(\mathcal{R})$ .

Note that 0 is typical if and only if  $\text{Sec}_a(X(\mathcal{C})) = \mathbb{P}^r(\mathcal{C})$ . The proof of [3, Theorem 1.1] easily prove the following result.

**Proposition 4.** *All the integers between two different  $a$ -typical ranks are  $a$ -typical ranks.*

One may wonder if a label for a general element in  $\mathbb{P}^r(\mathcal{R})$  of certain typical rank always exists. The answer is “no” and we present an example in the following section by using varieties of sum of powers.

**3.3. Variety of Sum of Powers.** We indicate with  $\rho$  the generic  $X$ -rank of  $\mathbb{P}^r(\mathcal{C})$  and with  $\mathcal{U} \subset \mathbb{P}^r(\mathcal{C})$  a non-empty open subset of  $\mathbb{P}^r(\mathcal{C})$  of points of generic rank:  $r_{X(\mathcal{C})}(P) = \rho$  for all  $P \in \mathcal{U}$ . Since  $X$  is defined over  $\mathcal{R}$ , also all point  $P \in \sigma(\mathcal{U})$  have the same generic rank. Now if

$$U := \mathcal{U} \cap \sigma(\mathcal{U}) \text{ and } V := U \cap \mathbb{P}^r(\mathcal{R}),$$

then the real part  $V$  of  $U$  is a non-empty open subset of  $\mathbb{P}^r(\mathcal{R})$  whose complement has dimension smaller than  $\rho$ . For all the points  $P$  of generic rank, the variety of sum of powers  $VSP(P)$ , recalled in Definition 16, is non-empty. Since  $P \in \mathbb{P}^r$ , we

have  $\sigma(VSP(P)) = VSP(P)$  and hence the constructible set  $VSP(P)$  is defined over  $\mathcal{R}$ . Now the real part  $VSP(P)(\mathcal{R})$  of  $VSP(P)$  is non empty if and only if there is an integer  $a$  with  $0 \leq a \leq \rho/2$  and  $P$  has a label  $(\rho, a)$ . In a few cases  $VSP(P)$  is known (see e.g. [8], [9], [13]).

The following example says that  $VSP(P)$  may not have a label for a general  $P \in \mathbb{P}^r(\mathcal{R})$  with generic rank over  $\mathcal{C}$ . However in this case  $VSP(P)$  is finite and we do not have positive dimensional examples. If  $VSP(P)$  is finite, we have  $VSP(P)(\mathcal{R}) \neq \emptyset$  (and so  $P$  has a label) if  $\sharp(VSP(P))$  is odd.

**Example 2.** Let  $C$  be a smooth elliptic curve defined over  $\mathcal{R}$ . Take two points  $P'_1, P'_2 \in C(\mathcal{C}) \setminus C(\mathcal{R})$  and set  $P''_i := \sigma(P'_i)$ . By assumption  $P''_i \neq P'_i$ . For general  $P'_1, P'_2$ , we have  $P''_1 \neq P'_2$  and so  $P'_1 \neq P''_2$ .

Let  $E$  be a geometrically integral curve of arithmetic genus 3 and with exactly 2 singular points  $O'$  and  $O''$ , both of them ordinary nodes, where  $C$  has its normalization and with  $O'$  obtained gluing together the set  $\{P'_1, P'_2\}$  and  $O''$  obtained gluing together the set  $\{P''_1, P''_2\}$ . The involution  $\sigma$  acts on  $E$  with  $\sigma(O') = O''$ . For general  $P'_1, P'_2$  the divisors  $P'_1 + P'_2$  and  $P''_1 + P''_2$  are not linearly equivalent and hence  $E$  is not hyperelliptic. Hence  $\omega_E$  is very ample and embeds  $E$  in  $\mathbb{P}^2(\mathcal{C})$  as a degree 4 curve with 2 ordinary nodes,  $Q_1, Q_2$ , and no other singularity. Since  $\sigma(O') = O''$  and  $O' \neq O''$ , we have that for  $i = 1, 2$ ,  $\sigma(Q_i) = Q_{3-i}$  and  $Q_i \in \mathbb{P}^2(\mathcal{C}) \setminus \mathbb{P}^2(\mathcal{R})$ .

Let  $u : C \rightarrow E$  denote the normalization map. Set  $\mathcal{O}_C(1) := u^*(\omega_E)$ . Since  $\omega_C \cong \mathcal{O}_C$ , we have  $P'_1 + P''_1 + P'_2 + P''_2 \in |\mathcal{O}_C(1)|$ . Thus  $\mathcal{O}_C(1)$  is a degree 4 line bundle on  $C$  defined over  $\mathcal{R}$  and the complete linear system  $|\mathcal{O}_C(1)|$  induces an embedding  $j : C \rightarrow \mathbb{P}^3(\mathcal{C})$  defined over  $\mathcal{R}$  and with  $X := j(C)$  a smooth elliptic curve of degree 4. The pull-back by  $u$  of the linear system  $|\omega_E|$  is a codimension 1 linear subspace of the 3-dimensional  $\mathcal{C}$ -linear space  $|\mathcal{O}_C(1)|$ , the one associated to the  $\mathcal{C}$ -vector space of all rational 1-forms on  $C$  with poles only at the points  $P'_1, P'_2, P''_1, P''_2$ , each of them at most of order one and such that the sum of their residues at the 4 points  $P'_1, P'_2, P''_1, P''_2$  is zero. Since  $\sigma(\{P'_1, P'_2, P''_1, P''_2\}) = \{P'_1, P'_2, P''_1, P''_2\}$ , this 2-dimensional linear subspace of  $|\mathcal{O}_C(1)|$  is defined by one equation  $\ell = 0$  with  $\sigma(\ell) = \ell$ , i.e. it is defined over  $\mathcal{R}$ . Since this is the linear system  $|\omega_E|$  whose image is the curve that we indicate with  $D$ , then  $D$  is defined over  $\mathcal{R}$ . Since  $D$  is defined over  $\mathcal{R}$ , the curve  $D$  is the image of  $X(\mathcal{C})$  by the linear projection from a point  $P \in \mathbb{P}^3(\mathcal{R}) \setminus X(\mathcal{R})$ . By construction  $X$  has exactly 2 secant lines passing through  $Q$  and they are the lines  $L', L''$  with  $L'$  spanned by  $j(P'_1)$  and  $j(P'_2)$  and  $L''$  spanned by  $j(P''_1)$  and  $j(P''_2)$ . Now, since  $L' \neq L''$ , we get that  $Q$  has no label. Now take  $P \in \mathbb{P}^3(\mathcal{R})$  near  $Q$  in the euclidean topology. The linear projection from  $Q$  is a geometrically integral curve  $D_p$  defined over  $\mathcal{R}$ , with  $C$  as its normalization and near  $D$  and so with two ordinary nodes  $Q_1(P)$  and  $Q_2(P)$  near  $Q_1$  and  $Q_2$ . Thus none of these nodes is defined over  $\mathcal{R}$ . Therefore there is an euclidean neighborhood  $V$  of  $Q$  in  $\mathbb{P}^3(\mathcal{R})$  such that no  $P \in V$  has a label.

**Remark 7.** If  $VSP(P)$  is finite, we have  $VSP(P)(\mathcal{R}) \neq \emptyset$  (and so  $P$  has a label) if  $\sharp(VSP(P))$  is odd. If  $r = 3$  and  $X$  is a smooth curve of genus  $g$  and degree  $d$  the genus formula for plane curves gives  $\sharp(VSP(P)) = (d-1)(d-2)/2 - g$ . When  $\mathcal{S}(P, X, \mathcal{C})$  is infinite, it is not clear that at least one irreducible component of  $\mathcal{S}(P, X, \mathcal{C})$  is  $\sigma$ -invariant and hence defined over  $\mathcal{R}$ .

**3.4. Polynomials with admissible rank bigger than complex rank.** We use elliptic curves and [8, Example 3.4] to construct an example of a pair  $(X, P)$  with

$\sharp(\mathcal{S}(P, X, \mathcal{C})) = 2$  and  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) = \emptyset$  with  $P$  a symmetric tensor and with  $X$  a  $d$ -Veronese embedding of  $\mathbb{P}^n$ ,  $n \geq 2$  and  $d$  even (here  $r = \binom{n+d}{n} - 1$ ) and  $r_{X(\mathcal{C})}(P) = 3d/2$ .

**Example 3.** We consider the Veronese variety  $X$  of dimension  $n \geq 2$  embedded into  $\mathbb{P}^{\binom{n+d}{n}-1}$  with the complete linear system  $|\mathcal{O}(d)|$  with  $d \geq 6$  and  $d$  even. Set  $k := d/2$ . The projective space  $\mathbb{P}^n$  and the embedding are defined over  $\mathcal{R}$ .

Let  $E$  be a smooth curve of genus 1 defined over  $\mathcal{R}$  and with  $E(\mathcal{R}) \neq \emptyset$  (so if  $\mathcal{R} = \mathbb{R}$ , then  $E(\mathcal{R})$  is homeomorphic either to a circle or to the disjoint union of 2 circles). Fix  $O \in E(\mathcal{R})$ . By Riemann-Roch the complete linear system  $|\mathcal{O}_E(3O)|$  defines an embedding  $j : E \rightarrow \mathbb{P}^2$  defined over  $\mathcal{R}$  and with  $j(E)$  a smooth plane cubic. With an  $\mathcal{R}$ -linear change of homogeneous coordinates  $x, y, z$  we may assume that  $j(O) = (0 : 1 : 0)$  and that  $j(E) = \{y^2z = x^3 + Axz^2 + Bz^3\}$  for some  $A, B \in \mathcal{R}$ . The restriction to  $j(E) \setminus \{O\}$  of the linear projection  $\mathbb{P}^2 \setminus \{O\} \rightarrow \mathbb{P}^1$  induces the morphism  $\phi : E \rightarrow \mathbb{P}^1$  which is induced by the complete linear system  $|\mathcal{O}_E(2O)|$ . Since  $O \in E(\mathcal{R})$ ,  $\phi$  is defined over  $\mathcal{R}$ . If  $t \in \mathcal{R}$  and  $-t \gg 0$ , then  $t^3 + At + B < 0$  and so  $y^2 = t^3 + At + B$  has two solutions  $q', q'' \in \mathcal{C}$  with  $q'' = \sigma(q')$ . Hence we may find  $S' = \{q_1, \dots, q_{3k}\} \subset E(\mathcal{C}) \setminus E(\mathcal{R})$  such that  $\sharp(S' \cup \sigma(S')) = 6k$  and  $q_i + \sigma(q_i) \in |\mathcal{O}_E(2O)|$  for all  $i$ . Note that  $\sum_{i=1}^{3k} q_i + \sum_{i=1}^{3k} \sigma(q_i) \in |\mathcal{O}_E(6kO)| = |\mathcal{O}_E(3dO)|$ .

Now fix a plane  $M \subseteq \mathbb{P}^n$  defined over  $\mathcal{R}$  and identify the  $\mathbb{P}^2$  where  $j(E)$  is embedded with  $M$  so that  $j(E) \subset \mathbb{P}^n$ . If  $\nu_d$  is the Veronese embedding that maps  $\mathbb{P}^n$  to  $X$ , we can now embed  $E$  into  $X \subset \mathbb{P}^{r := \binom{n+d}{n}-1}$  and define  $C := \nu_d(j(E))$ ,  $Q := \nu_d(j(O))$ ,  $S := \nu_d(S')$  and consider the space spanned by  $C$ :  $\Lambda_C := \langle C \rangle_{\mathcal{C}}$ . Since the smooth plane curve  $j(E)$  is projectively normal, the embedding  $E \rightarrow \Lambda_C$  is induced by the complete linear system  $|\mathcal{O}_C(3dQ)|$  and so  $\dim_{\mathcal{C}} \Lambda_C = 3d - 1 = 6k - 1$ . Since  $\nu_d$ , the inclusion  $M \subseteq \mathbb{P}^n$  and  $j$  are defined over  $\mathcal{R}$ ,  $\Lambda_C \cap \mathbb{P}^r(\mathcal{R})$  is an  $\mathcal{R}$ -projective space of dimension  $6k - 1$ . Let  $Z \subset C(\mathcal{C})$  be any set with  $a := \sharp(Z) \leq 6k$ . Since  $C$  is embedded in  $\Lambda_C$  by the complete linear system  $|\mathcal{O}_C(6kQ)|$ , Riemann-Roch gives that  $\dim_{\mathcal{C}}(\langle Z \rangle_{\mathcal{C}}) = a - 1$ , unless  $a = 6k$  and  $Z \in |\mathcal{O}_C(6kQ)|$ . If  $Z \in |\mathcal{O}_C(6kQ)|$ , then  $\langle Z \rangle_{\mathcal{C}}$  is a hyperplane of  $\Lambda_C$ . Hence  $\dim_{\mathcal{C}}(\langle S \rangle) = \dim(\langle \sigma(S) \rangle) = 3k - 1$  and  $\dim_{\mathcal{C}}(\langle S \cup \sigma(S) \rangle) = 3k - 2$ . By the Grassmann's formula the set  $\langle S \rangle \cap \langle \sigma(S) \rangle$  is a unique point,  $P$ . Since  $\sigma(P) \in \langle S \rangle \cap \langle \sigma(S) \rangle$ , we have  $\sigma(P) = P$ , i.e.  $P \in \mathbb{P}^r(\mathcal{R})$ . Since  $P \in \langle S \rangle_{\mathcal{C}}$  and  $C \subset X$ , we have  $r_{X(\mathcal{C})}(P) \leq 3k$ . Over  $\mathcal{C}$  this is the construction of [8, Example 3.2]. In [8, Example 3.2] it is proved that  $r_{X(\mathcal{C})}(P) = 3k$  and that  $\sharp(\mathcal{S}(P, X, \mathcal{C})) = 2$ . Hence  $\mathcal{S}(P, X, \mathcal{C}) = \{S, \sigma(S)\}$ . Since  $S \neq \sigma(S)$ , we have  $\mathcal{S}(P, X, \mathcal{C})(\mathcal{R}) = \emptyset$  and hence  $P$  has admissible rank  $> 3k$ .

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