

# When the Whole Is Not Greater Than the Combination of Its Parts: A “Decompositional” Look at Compositional Distributional Semantics

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*Distributional semantics has been extended to phrases and sentences by means of composition operations. We look at how these operations affect similarity measurements, showing that similarity equations of an important class of composition methods can be decomposed into operations performed on the subparts of the input phrases. This establishes a strong link between these models and convolution kernels.*

## 1. Introduction

Distributional semantics approximates word meanings with vectors tracking co-occurrence in corpora (Turney and Pantel 2010). Recent work has extended this approach to phrases and sentences through vector composition (Clark 2015). Resulting **compositional distributional semantic models** (CDSMs) estimate degrees of semantic similarity (or, more generally, relatedness) between two phrases: A good CDSM might tell us that *green bird* is closer to *parrot* than to *pigeon*, useful for tasks such as paraphrasing.

We take a mathematical look<sup>1</sup> at how the composition operations postulated by CDSMs affect similarity measurements involving the vectors they produce for phrases or sentences. We show that, for an important class of composition methods, encompassing at least those based on linear transformations, the similarity equations can be decomposed into operations performed on the subparts of the input phrases,

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<sup>1</sup> Ganesalingam and Herbelot (2013) also present a mathematical investigation of CDSMs. However, except for the tensor product (a composition method we do not consider here as it is not empirically effective), they do not look at how composition strategies affect similarity comparisons.

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**Table 1**

Compositional Distributional Semantic Models:  $\vec{a}$ ,  $\vec{b}$ , and  $\vec{c}$  are distributional vectors representing the words  $a$ ,  $b$ , and  $c$ , respectively; matrices  $\mathbf{X}$ ,  $\mathbf{Y}$ , and  $\mathbf{Z}$  are constant across a phrase type, corresponding to syntactic slots; the matrix  $\mathbf{A}$  and the third-order tensor  $\mathbf{B}$  represent the predicate words  $a$  in the first phrase and  $b$  in the second phrase, respectively.

	2-word phrase	3-word phrase	reference
Additive	$\vec{a} + \vec{b}$	$\vec{a} + \vec{b} + \vec{c}$	Mitchell and Lapata (2008)
Multiplicative	$\vec{a} \boxtimes \vec{b}$	$\vec{a} \boxtimes \vec{b} \boxtimes \vec{c}$	Mitchell and Lapata (2008)
Full Additive	$\mathbf{X}\vec{a} + \mathbf{Y}\vec{b}$	$\mathbf{Y}\vec{a} + \mathbf{X}\vec{b} + \mathbf{Z}\vec{c}$	Guevara (2010), Zanzotto et al. (2010)
Lexical Function	$\mathbf{A}\vec{b}$	$\vec{a}\mathbf{B}\vec{c}$	Coecke, Sadrzadeh, and Clark (2010)

and typically factorized into terms that reflect the linguistic structure of the input. This establishes a strong link between CDSMs and convolution kernels (Haussler 1999), which act in the same way. We thus refer to our claim as the “Convolution Conjecture.”

We focus on the models in Table 1. These CDSMs all apply linear methods, and we suspect that linearity is a sufficient (but not necessary) condition to ensure that the Convolution Conjecture holds. We will first illustrate the conjecture for linear methods, and then briefly consider two nonlinear approaches: the **dual space** model of Turney (2012), for which it does, and a representative of the recent strand of work on neural-network models of composition, for which it does not.

## 2. Mathematical Preliminaries

Vectors are represented as small letters with an arrow  $\vec{a}$  and their elements are  $a_i$ , matrices as capital letters in bold  $\mathbf{A}$  and their elements are  $A_{ij}$ , and third-order or fourth-order tensors as capital letters in the form  $\mathbf{A}$  and their elements are  $A_{ijk}$  or  $A_{ijkl}$ . The symbol  $\boxtimes$  represents the element-wise product and  $\otimes$  is the tensor product. The dot product is  $\langle \vec{a}, \vec{b} \rangle$  and the Frobenius product—that is, the generalization of the dot product to matrices and high-order tensors—is represented as  $\langle \mathbf{A}, \mathbf{B} \rangle_F$  and  $\langle \mathbf{A}, \mathbf{B} \rangle_F$ . The Frobenius product acts on vectors, matrices, and third-order tensors as follows:

$$\langle \vec{a}, \vec{b} \rangle_F = \sum_i a_i b_i = \langle \vec{a}, \vec{b} \rangle \quad \langle \mathbf{A}, \mathbf{B} \rangle_F = \sum_{ij} A_{ij} B_{ij} \quad \langle \mathbf{A}, \mathbf{B} \rangle_F = \sum_{ijk} A_{ijk} B_{ijk} \quad (1)$$

A simple property that relates the dot product between two vectors and the Frobenius product between two general tensors is the following:

$$\langle \vec{a}, \vec{b} \rangle = \langle \mathbf{I}, \vec{a}\vec{b}^T \rangle_F \quad (2)$$

where  $\mathbf{I}$  is the identity matrix. The dot product of  $\mathbf{A}\vec{x}$  and  $\mathbf{B}\vec{y}$  can be rewritten as:

$$\langle \mathbf{A}\vec{x}, \mathbf{B}\vec{y} \rangle = \langle \mathbf{A}^T \mathbf{B}, \vec{x}\vec{y}^T \rangle_F \quad (3)$$

Let  $\mathbf{A}$  and  $\mathbf{B}$  be two third-order tensors and  $\vec{x}, \vec{y}, \vec{a}, \vec{c}$  four vectors. It can be shown that:

$$\langle \vec{x}\mathbf{A}\vec{y}, \vec{a}\mathbf{B}\vec{c} \rangle = \left\langle \sum_j (\mathbf{A} \otimes \mathbf{B})_j, \vec{x} \otimes \vec{y} \otimes \vec{a} \otimes \vec{c} \right\rangle_F \tag{4}$$

where  $\mathbf{C} = \sum_j (\mathbf{A} \otimes \mathbf{B})_j$  is a non-standard way to indicate the tensor contraction of the tensor product between two third-order tensors. In this particular tensor contraction, the elements  $C_{iknm}$  of the resulting fourth-order tensor  $\mathbf{C}$  are  $C_{iknm} = \sum_j A_{ijk}B_{njm}$ . The elements  $D_{iknm}$  of the tensor  $\mathbf{D} = \vec{x} \otimes \vec{y} \otimes \vec{a} \otimes \vec{c}$  are  $D_{iknm} = x_i y_k a_n c_m$ .

### 3. Formalizing the Convolution Conjecture

*Structured Objects.* In line with Haussler (1999), a **structured object**  $x \in X$  is either a terminal object that cannot be furthermore decomposed, or a non-terminal object that can be decomposed into  $n$  subparts. We indicate with  $\vec{x} = (x_1, \dots, x_n)$  one such decomposition, where the subparts  $x_i \in X$  are structured objects themselves. The set  $X$  is the set of the structured objects and  $T_X \subseteq X$  is the set of the terminal objects. A structured object  $x$  can be anything according to the representational needs. Here,  $x$  is a representation of a text fragment, and so it can be a sequence of words, a sequence of words along with their part of speech, a tree structure, and so on. The set  $\mathcal{R}(x)$  is the set of decompositions of  $x$  relevant to define a specific CDSM. Note that a given decomposition of a structured object  $x$  does not need to contain all the subparts of the original object. For example, let us consider the phrase  $x = \text{tall boy}$ . We can then define  $\mathcal{R}(x) = \{(\text{tall}, \text{boy}), (\text{tall}), (\text{boy})\}$ . This set contains the three possible decompositions of the phrase:  $\underbrace{(\text{tall}, \text{boy})}_{x_1, x_2}$ ,  $\underbrace{(\text{tall})}_{x_1}$ , and  $\underbrace{(\text{boy})}_{x_1}$ .

*Recursive formulation of CDSM.* A CDSM can be viewed as a function  $f$  that acts recursively on a structured object  $x$ . If  $x$  is a non-terminal object

$$f(x) = \bigodot_{\vec{x} \in \mathcal{R}(x)} \gamma(f(x_1), f(x_2), \dots, f(x_n)) \tag{5}$$

where  $\mathcal{R}(x)$  is the set of relevant decompositions,  $\bigodot$  is a repeated operation on this set,  $\gamma$  is a function defined on  $f(x_i)$  where  $x_i$  are the subparts of a decomposition of  $x$ . If  $x$  is a terminal object,  $f(x)$  is directly mapped to a tensor. The function  $f$  may operate differently on different kinds of structured objects, with tensor degree varying accordingly. The set  $\mathcal{R}(x)$  and the functions  $f$ ,  $\gamma$ , and  $\bigodot$  depend on the specific CDSM, and the same CDSM might be susceptible to alternative analyses satisfying the form in Equation (5). As an example, under Additive,  $x$  is a sequence of words and  $f$  is

$$f(x) = \begin{cases} \sum_{y \in \mathcal{R}(x)} f(y) & \text{if } x \notin T_X \\ \vec{x} & \text{if } x \in T_X \end{cases} \tag{6}$$

where  $\mathcal{R}((w_1, \dots, w_n)) = \{(w_1), \dots, (w_n)\}$ . The repeated operation  $\odot$  corresponds to summing and  $\gamma$  is identity. For Multiplicative we have

$$f(x) = \begin{cases} \boxed{\odot}_{y \in \mathcal{R}(x)} f(y) & \text{if } x \notin T_X \\ \vec{x} & \text{if } x \in T_X \end{cases} \quad (7)$$

where  $\mathcal{R}(x) = \{(w_1, \dots, w_n)\}$  (a single trivial decomposition including all subparts). With a single decomposition, the repeated operation reduces to a single term; and here  $\gamma$  is the product (it will be clear subsequently, when we apply the Convolution Conjecture to these models, why we are assuming different decomposition sets for Additive and Multiplicative).

**Definition 1 (Convolution Conjecture)**

For every CDSM  $f$  along with its  $\mathcal{R}(x)$  set, there exist functions  $K, K_i$  and a function  $g$  such that:

$$K(f(x), f(y)) = \sum_{\substack{\vec{x} \in \mathcal{R}(x) \\ \vec{y} \in \mathcal{R}(y)}} g(K_1(f(x_1), f(y_1)), K_2(f(x_2), f(y_2)), \dots, K_n(f(x_n), f(y_n))) \quad (8)$$

The Convolution Conjecture postulates that the similarity  $K(f(x), f(y))$  between the tensors  $f(x)$  and  $f(y)$  is computed by combining operations on the subparts, that is,  $K_i(f(x_i), f(y_i))$ , using the function  $g$ . This is exactly what happens in convolution kernels (Haussler 1999).  $K$  is usually the dot product, but this is not necessary: We will show that for the dual-space model of Turney (2012)  $K$  turns out to be the fourth root of the Frobenius tensor.

**4. Comparing Composed Phrases**

We illustrate now how the Convolution Conjecture (CC) applies to the considered CDSMs, exemplifying with adjective–noun and subject–verb–object phrases. Without loss of generality we use *tall boy* and *red cat* for adjective–noun phrases and *goats eat grass* and *cows drink water* for subject–verb–object phrases.

*Additive Model.*  $K$  and  $K_i$  are dot products,  $g$  is the identity function, and  $f$  is as in Equation (6). The structure of the input is a word sequence (i.e.,  $x = (w_1 w_2)$ ) and the relevant decompositions consist of these single words,  $\mathcal{R}(x) = \{(w_1), (w_2)\}$ . Then

$$\begin{aligned} K(f(\text{tall boy}), f(\text{red cat})) &= \langle \vec{tall} + \vec{boy}, \vec{red} + \vec{cats} \rangle = \\ &= \langle \vec{tall}, \vec{red} \rangle + \langle \vec{tall}, \vec{cat} \rangle + \langle \vec{boy}, \vec{red} \rangle + \langle \vec{boy}, \vec{cat} \rangle = \\ &= \sum_{\substack{x \in \{\text{tall}, \text{boy}\} \\ y \in \{\text{red}, \text{cat}\}}} \langle f(x), f(y) \rangle = \sum_{\substack{x \in \{\text{tall}, \text{boy}\} \\ y \in \{\text{red}, \text{cat}\}}} K(f(x), f(y)) \end{aligned} \quad (9)$$

The CC form of Additive shows that the overall dot product can be decomposed into dot products of the vectors of the single words. Composition does not add any further information. These results can be easily extended to longer phrases and to phrases of different length.

*Multiplicative Model.*  $K, g$  are dot products,  $K_i$  the component-wise product, and  $f$  is as in Equation (7). The structure of the input is  $x = (w_1 w_2)$ , and we use the trivial single decomposition consisting of all subparts (thus summation reduces to a single term):

$$\begin{aligned} K(f(\text{tall boy}), f(\text{red cat})) &= \langle \vec{tall} \square \vec{boy}, \vec{red} \square \vec{cat} \rangle = \langle \vec{tall} \square \vec{red} \square \vec{boy} \square \vec{cat}, \vec{1} \rangle = \\ &= \langle \vec{tall} \square \vec{red}, \vec{boy} \square \vec{cat} \rangle = g(K_1(\vec{tall}, \vec{red}), K_2(\vec{boy}, \vec{cat})) \end{aligned} \quad (10)$$

This is the dot product between an indistinct chain of element-wise products and a vector  $\vec{1}$  of all ones or the product of two separate element-wise products, one on adjectives  $\vec{tall} \square \vec{red}$ , and one on nouns  $\vec{boy} \square \vec{cat}$ . In this latter CC form, the final dot product is obtained in two steps: first separately operating on the adjectives and on the nouns; then taking the dot product of the resulting vectors. The comparison operations are thus reflecting the input syntactic structure. The results can be easily extended to longer phrases and to phrases of different lengths.

*Full Additive Model.* The input consists of a sequence of (label,word) pairs  $x = ((L_1 w_1), \dots, (L_n w_n))$  and the relevant decomposition set includes the single tuples, that is,  $\mathcal{R}(x) = \{(L_1 w_1), \dots, (L_n w_n)\}$ . The CDSM  $f$  is defined as

$$f(x) = \begin{cases} \sum_{(L w) \in \mathcal{R}(x)} f(L)f(w) & \text{if } x \notin T_X \\ \mathbf{x} & \text{if } x \in T_X \text{ is a label } L \\ \vec{w} & \text{if } x \in T_X \text{ is a word } w \end{cases} \quad (11)$$

The repeated operation  $\odot$  here is summation, and  $\gamma$  the matrix-by-vector product. In the CC form,  $K$  is the dot product,  $g$  the Frobenius product,  $K_1(f(x), f(y)) = f(x)^T f(y)$ , and  $K_2(f(x), f(y)) = f(x)f(y)^T$ . We have then for adjective–noun composition (by using the property in Equation (3)):

$$\begin{aligned} K(f((A \text{ tall}) (N \text{ boy})), f((A \text{ red}) (N \text{ cat}))) &= \langle \mathbf{A} \vec{tall} + \mathbf{N} \vec{boy}, \mathbf{A} \vec{red} + \mathbf{N} \vec{cat} \rangle = \\ &= \langle \mathbf{A} \vec{tall}, \mathbf{A} \vec{red} \rangle + \langle \mathbf{A} \vec{tall}, \mathbf{N} \vec{cat} \rangle + \langle \mathbf{N} \vec{boy}, \mathbf{A} \vec{red} \rangle + \langle \mathbf{N} \vec{boy}, \mathbf{N} \vec{cat} \rangle = \\ &= \langle \mathbf{A}^T \mathbf{A}, \vec{tall} \vec{red}^T \rangle_F + \langle \mathbf{N}^T \mathbf{A}, \vec{boy} \vec{red}^T \rangle_F + \langle \mathbf{A}^T \mathbf{N}, \vec{tall} \vec{cat}^T \rangle_F + \langle \mathbf{N}^T \mathbf{N}, \vec{boy} \vec{cat}^T \rangle_F = \\ &= \sum_{\substack{(l_x w_x) \in \{(A \text{ tall}), (N \text{ boy})\} \\ (l_y w_y) \in \{(A \text{ red}), (N \text{ cat})\}}} g(K_1(f(l_x), f(l_y)), K_2(f(w_x), f(w_y))) \end{aligned} \quad (12)$$

The CC form shows how Full Additive factorizes into a more structural and a more lexical part: Each element of the sum is the Frobenius product between the product of two matrices representing syntactic labels and the tensor product between

two vectors representing the corresponding words. For subject–verb–object phrases  $((S w_1) (V w_2) (O w_3))$  we have

$$\begin{aligned}
& K(f(((S \textit{goats}) (V \textit{eat}) (O \textit{grass}))), f(((S \textit{cows}) (V \textit{drink}) (O \textit{water})))) = \\
& = \langle \mathbf{S} \vec{goats} + \mathbf{V} \vec{eat} + \mathbf{O} \vec{grass}, \mathbf{S} \vec{cows} + \mathbf{V} \vec{drink} + \mathbf{O} \vec{water} \rangle = \\
& = \langle \mathbf{S}^T \mathbf{S}, \vec{goats} \vec{cows}^T \rangle_F + \langle \mathbf{S}^T \mathbf{V}, \vec{goats} \vec{drink}^T \rangle_F + \langle \mathbf{S}^T \mathbf{O}, \vec{goats} \vec{water}^T \rangle_F \\
& + \langle \mathbf{V}^T \mathbf{S}, \vec{eat} \vec{cows}^T \rangle_F + \langle \mathbf{V}^T \mathbf{V}, \vec{eat} \vec{drink}^T \rangle_F + \langle \mathbf{V}^T \mathbf{O}, \vec{eat} \vec{water}^T \rangle_F \\
& + \langle \mathbf{O}^T \mathbf{S}, \vec{grass} \vec{cows}^T \rangle_F + \langle \mathbf{O}^T \mathbf{V}, \vec{grass} \vec{drink}^T \rangle_F + \langle \mathbf{O}^T \mathbf{O}, \vec{grass} \vec{water}^T \rangle_F \\
& = \sum_{\substack{(l_x w_x) \in \{(S \textit{goats}), (V \textit{eat}), (O \textit{grass})\} \\ (l_y w_y) \in \{(S \textit{cows}), (V \textit{drink}), (O \textit{water})\}}} g(K_1(f(l_x), f(l_y)), K_2(f(w_x), f(w_y)))
\end{aligned} \tag{13}$$

Again, we observe the factoring into products of syntactic and lexical representations.

By looking at Full Additive in the CC form, we observe that when  $\mathbf{X}^T \mathbf{Y} \approx \mathbf{I}$  for all matrix pairs, it degenerates to Additive. Interestingly, Full Additive can also approximate a *semantic convolution kernel* (Mehdad, Moschitti, and Zanzotto 2010), which combines dot products of elements in the same slot. In the adjective–noun case, we obtain this approximation by choosing two nearly orthonormal matrices  $\mathbf{A}$  and  $\mathbf{N}$  such that  $\mathbf{A} \mathbf{A}^T = \mathbf{N} \mathbf{N}^T \approx \mathbf{I}$  and  $\mathbf{A} \mathbf{N}^T \approx \mathbf{0}$  and applying Equation (2):  $\langle \mathbf{A} \vec{tall} + \mathbf{N} \vec{boy}, \mathbf{A} \vec{red} + \mathbf{N} \vec{cat} \rangle \approx \langle \vec{tall}, \vec{red} \rangle + \langle \vec{boy}, \vec{cat} \rangle$ .

This approximation is valid also for three-word phrases. When the matrices  $\mathbf{S}$ ,  $\mathbf{V}$ , and  $\mathbf{O}$  are such that  $\mathbf{X} \mathbf{X}^T \approx \mathbf{I}$  with  $\mathbf{X}$  one of the three matrices and  $\mathbf{Y} \mathbf{X}^T \approx \mathbf{0}$  with  $\mathbf{X}$  and  $\mathbf{Y}$  two different matrices, Full Additive approximates a semantic convolution kernel comparing two sentences by summing the dot products of the words in the same role, that is,

$$\begin{aligned}
& \langle \mathbf{S} \vec{goats} + \mathbf{V} \vec{eat} + \mathbf{O} \vec{grass}, \mathbf{S} \vec{cows} + \mathbf{V} \vec{drink} + \mathbf{O} \vec{water} \rangle \approx \\
& \approx \langle \vec{goats}, \vec{cows} \rangle + \langle \vec{eat}, \vec{drink} \rangle + \langle \vec{grass}, \vec{water} \rangle
\end{aligned} \tag{14}$$

Results can again be easily extended to longer and different-length phrases.

*Lexical Function Model.* We distinguish composition with one- vs. two argument predicates. We illustrate the first through adjective–noun composition, where the adjective acts as the predicate, and the second with transitive verb constructions. Although we use the relevant syntactic labels, the formulas generalize to any construction with the same argument count. For adjective–noun phrases, the input is a sequence of (label, word) pairs  $(x = ((A, w_1), (N, w_2)))$  and the relevant decomposition set again includes only the single trivial decomposition into all the subparts:  $\mathcal{R}(x) = \{((A, w_1), (N, w_2))\}$ . The method itself is recursively defined as

$$f(x) = \begin{cases} f((A, w_1))f((N, w_2)) & \text{if } x \notin T_x = ((A, w_1), (N, w_2)) \\ \mathbf{W}_1 & \text{if } x \in T_x = (A, w_1) \\ \vec{w}_2 & \text{if } x \in T_x = (N, w_2) \end{cases} \tag{15}$$

Here,  $K$  and  $g$  are, respectively, the dot and Frobenius product,  $K_1(f(x), f(y)) = f(x)^T f(y)$ , and  $K_2(f(x), f(y)) = f(x) f(y)^T$ . Using Equation (3), we have then

$$\begin{aligned} K(f(\text{tall boy}), f(\text{red cat})) &= \langle \mathbf{TALL} \vec{b}oy, \mathbf{RED} \vec{c}at \rangle = \\ &= \langle \mathbf{TALL}^T \mathbf{RED}, \vec{b}oy \vec{c}at^T \rangle_F = g(K_1(f(\text{tall}), f(\text{red})), K_2(f(\text{boy}), f(\text{cat}))) \end{aligned} \quad (16)$$

The role of predicate and argument words in the final dot product is clearly separated, showing again the structure-sensitive nature of the decomposition of the comparison operations. In the two-place predicate case, again, the input is a set of (label, word) tuples, and the relevant decomposition set only includes the single trivial decomposition into all subparts. The CDSM  $f$  is defined as

$$f(x) = \begin{cases} f((S w_1)) \otimes f((V w_2)) \otimes f((O w_3)) & \text{if } x \notin T_X = ((S w_1) (V w_2) (O w_3)) \\ \vec{w} & \text{if } x \in T_X = (l w) \text{ and } l \text{ is } S \text{ or } O \\ \mathbf{w} & \text{if } x \in T_X = (V w) \end{cases} \quad (17)$$

$K$  is the dot product and  $g(x, y, z) = \langle x, y \otimes z \rangle_F$ ,  $K_1(f(x), f(y)) = \sum_j (f(x) \otimes f(y))_j$ —that is, the tensor contraction<sup>2</sup> along the second index of the tensor product between  $f(x)$  and  $f(y)$ —and  $K_2(f(x), f(y)) = K_3(f(x), f(y)) = f(x) \otimes f(y)$  are tensor products. The dot product of *goats EAT gräss* and *coŭs DRINK wāter* is (by using Equation (4))

$$\begin{aligned} K(f(((S goats) (V eat) (O grass))), f(((S cows) (V drink) (O water)))) &= \\ &= \langle \vec{goats} \mathbf{EAT} \vec{gräss}, \vec{coŭs} \mathbf{DRINK} \vec{wāter} \rangle = \\ &= \langle \sum_j (\mathbf{EAT} \otimes \mathbf{DRINK})_j, \vec{goats} \otimes \vec{gräss} \otimes \vec{coŭs} \otimes \vec{wāter} \rangle_F = \\ &= g(K_1(f((V eat)), f((V drink))), \\ &\quad K_2(f((S goats)), f((S cows))) \otimes K_3(f((O grass)), f((O water)))) \end{aligned} \quad (18)$$

We rewrote the equation as a Frobenius product between two fourth-order tensors. The first combines the two third-order tensors of the verbs  $\sum_j (\mathbf{EAT} \otimes \mathbf{DRINK})_j$  and the second combines the vectors representing the arguments of the verb, that is:  $\vec{goats} \otimes \vec{gräss} \otimes \vec{coŭs} \otimes \vec{wāter}$ . In this case as well we can separate the role of predicate and argument types in the comparison computation.

Extension of the Lexical Function to structured objects of different lengths is treated by using the identity element  $\epsilon$  for missing parts. As an example, we show here the comparison between *tall boy* and *cat* where the identity element is the identity matrix  $\mathbf{I}$ :

$$\begin{aligned} K(f(\text{tall boy}), f(\text{cat})) &= \langle \mathbf{TALL} \vec{b}oy, \vec{c}at \rangle = \langle \mathbf{TALL} \vec{b}oy, \mathbf{I} \vec{c}at \rangle = \\ &= \langle \mathbf{TALL}^T \mathbf{I}, \vec{b}oy \vec{c}at^T \rangle_F = g(K_1(f(\text{tall}), f(\epsilon)), K_2(f(\text{boy}), f(\text{cat}))) \end{aligned} \quad (19)$$

*Dual Space Model.* We have until now applied the CC to linear CDSMs with the dot product as the final comparison operator (what we called  $K$ ). The CC also holds for the effective Dual Space model of Turney (2012), which assumes that each word has two distributional representations,  $w_d$  in “domain” space and  $w_f$  in “function” space. The similarity of two phrases is directly computed as the geometric average of the separate similarities between the first and second words in both spaces. Even though

<sup>2</sup> Grefenstette et al. (2013) first framed the Lexical Function in terms of tensor contraction.

there is no explicit composition step, it is still possible to put the model in CC form. Take  $x = (x_1, x_2)$  and its trivial decomposition. Define, for a word  $w$  with vector representations  $w_d$  and  $w_f$ :  $f(w) = \vec{w}_d \vec{w}_f^T$ . Define also  $K_1(f(x_1), f(y_1)) = \sqrt{\langle f(x_1), f(y_1) \rangle_F}$ ,  $K_2(f(x_2), f(y_2)) = \sqrt{\langle f(x_2), f(y_2) \rangle_F}$  and  $g(a, b)$  to be  $\sqrt{ab}$ . Then

$$\begin{aligned}
 g(K_1(f(x_1), f(y_1)), K_2(f(x_2), f(y_2))) &= \\
 &= \sqrt{\sqrt{\langle \vec{x}_{d1} \vec{x}_{f1}^T, \vec{y}_{d1} \vec{y}_{f1}^T \rangle_F} \cdot \sqrt{\langle \vec{x}_{d2} \vec{x}_{f2}^T, \vec{y}_{d2} \vec{y}_{f2}^T \rangle_F}} = \\
 &= \sqrt[4]{\langle \vec{x}_{d1}, \vec{y}_{d1} \rangle \cdot \langle \vec{x}_{f1}, \vec{y}_{f1} \rangle \cdot \langle \vec{x}_{d2}, \vec{y}_{d2} \rangle \cdot \langle \vec{x}_{f2}, \vec{y}_{f2} \rangle} = \\
 &= \text{geo}(\text{sim}(x_{d1}, y_{d1}), \text{sim}(x_{d2}, y_{d2}), \text{sim}(x_{f1}, y_{f1}), \text{sim}(x_{f2}, y_{f2}))
 \end{aligned} \tag{20}$$

*A Neural-network-like Model.* Consider the phrase  $(w_1, w_2, \dots, w_n)$  and the model defined by  $f(x) = \sigma(\vec{w}_1 + \vec{w}_2 + \dots + \vec{w}_n)$ , where  $\sigma(\cdot)$  is a component-wise logistic function. Here we have a single trivial decomposition that includes all the subparts, and  $\gamma(x_1, \dots, x_n)$  is defined as  $\sigma(x_1 + \dots + x_n)$ . To see that for this model the CC cannot hold, consider two two-word phrases  $(a\ b)$  and  $(c\ d)$

$$\begin{aligned}
 K(f((a, b)), f((c, d))) &= \langle f((a, b)), f((c, d)) \rangle = \sum_i \left[ \sigma(\vec{a} + \vec{b}) \right]_i \cdot \left[ \sigma(\vec{c} + \vec{d}) \right]_i \\
 &= \sum_i \left( 1 + e^{-a_i - b_i} + e^{-c_i - d_i} + e^{-a_i - b_i - c_i - d_i} \right)^{-1}
 \end{aligned} \tag{21}$$

We need to rewrite this as

$$g(K_1(\vec{a}, \vec{c}), K_2(\vec{b}, \vec{d})) \tag{22}$$

But there is no possible choice of  $g$ ,  $K_1$ , and  $K_2$  that allows Equation (21) to be written as Equation (22). This example can be regarded as a simplified version of the neural-network model of Socher et al. (2011). The fact that the CC does not apply to it suggests that it will not apply to other models in this family.

### 5. Conclusion

The Convolution Conjecture offers a general way to rewrite the phrase similarity computations of CDSMs by highlighting the role played by the subparts of a composed representation. This perspective allows for a better understanding of the exact operations that a composition model applies to its input. The Convolution Conjecture also suggests a strong connection between CDSMs and semantic convolution kernels. This link suggests that insights from the CDSM literature could be directly integrated in the development of convolution kernels, with all the benefits offered by this well-understood general machine-learning framework.

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