1 Mediterranean Diet Influences Treatment Outcomes for Patients

2 Treated with Immune Checkpoint Blockade for Advanced Melanoma

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22 Key Points

- 23 **Question:** Does habitual diet affect tumor response to immune checkpoint blockade
- 24 (ICB) in advanced melanoma?
- 25 **Findings:** Higher adherence to the principles of a Mediterranean diet was associated
- 26 with improved response rates, both within and across cohorts from the UK and the
- 27 Netherlands. Patients consuming more whole grains and vegetables were less likely
- 28 to develop immune-related adverse events.
- 29 Meaning: While further studies across different countries will be needed to confirm
- 30 our findings and to offer patient-tailored advice, patients starting ICB may benefit from
- 31 dietary counselling.

32 Abstract

33	Importance: Immune checkpoint blockade (ICB) has improved the survival of patients				
34	with advanced melanoma. Durable responses are observed for 40-60% of patients,				
35	depending on treatment regimens. However, there is still large variability in the				
36	response to ICB and patients experience a range of immune-related adverse events				
37	(irAEs) of differing severity. Nutrition, through its wide effects on the immune system				
38	and the gut microbiome, is a poorly explored but appealing target with potential to				
39	improve efficacy and tolerability of ICB.				
40	Objective: To investigate the link between habitual diet and response to immune				
41	checkpoint blockade.				
42	Design: Multi-center cohort study (the <i>PRIMM</i> study)				
43	Setting: Cancer centers in the Netherlands and United Kingdom				
44	Participants: 91 ICB-naïve patients with advanced melanoma				
45	Exposure: Patients were treated with anti-PD-1 and anti-CTLA-4 monotherapy or				
46	combination therapy. Dietary intake was assessed through food frequency				
47	questionnaires before treatment.				
48	Main Outcomes and Measures: Clinical endpoints were defined as overall response				
49	rate (ORR), progression-free survival at 12 months (PFS-12) and immune-related				
50	adverse events (irAEs) grade ≥ 2.				
51	Results: Logistic generalized additive models revealed 25 associations between 7				
52	unique food groups, 4 dietary patterns and 6 nutrients with response and irAEs.				
53	Patients who responded to ICB treatment were more likely to follow a Mediterranean				
54	dietary pattern enriched in whole grains, fish, nuts, fruit, and vegetables at baseline				
55	(probability 0.74 for PFS-12, <i>P</i> = .007, edf=1.54; probability 0.77 for ORR, <i>P</i> = .021,				

edf=0.83). Plant-derived foods including whole grain foods and legumes were associated with improved response parameters as well as with a lower probability of irAEs (all P<.05).

59 **Conclusions and Relevance:** In this study we report a potential benefit of 60 Mediterranean diet, a widely recommended model of healthy eating, for improving ICB 61 treatment outcomes. These findings suggest a role for dietary counselling prior to 62 commencing ICB. Large prospective studies from different geographies are needed to 63 further elucidate the role of diet in the context of ICB.

64 Trial Registration: PRIMM-UK (NCT03643289) and PRIMM-NL (made up of

65 POINTING [NCT04193956] and OncoLifeS [METc number 2010/109]).

66 Introduction

67 While immune checkpoint blockade (ICB) has revolutionized the treatment of 68 advanced melanoma, a significant number of patients do not tolerate and/or respond 69 to this treatment (1). Recent evidence suggests that variability in the efficacy of ICB is 70 partly explained by the gut microbiome (2). Interestingly, the abundance of many of 71 the gut bacteria predictive of response to ICB are associated with diet (3). For 72 example, dietary fiber is degraded to short-chain fatty acids (SCFAs) by microbiota 73 such as Bifidobacteria and high fiber intake and high fecal SCFA concentrations have 74 been associated with improved progression-free survival in both mice and ICB-treated 75 patients (4-6). While evidence on immunomodulatory and anti-tumor activities of 76 specific nutrients is increasing (7), studies comprehensively assessing the impact of 77 overall diet composition on ICB response are still lacking. In this study, we aim to 78 investigate how different diets associate with ICB response and toxicity, using a 79 multinational prospective cohort of patients with advanced melanoma.

80 Methods

81 We prospectively collected dietary and clinical data from 91 patients receiving ICB 82 between 2018 and 2021 for advanced melanoma in the UK (PRIMM-UK) and the 83 Netherlands (PRIMM-NL, eFigure 1 in the Supplement). Clinical endpoints were 84 defined as overall response rate (ORR), progression-free survival at 12 months (PFS-85 12) and immune-related adverse events (irAEs). Patients were classified as 86 responders [complete response (CR), partial response (PR) and stable disease (SD)], 87 or non-responders [progressive disease (PD)], using the Response Evaluation Criteria 88 in Solid Tumors (RECIST) v1.1 criteria. IrAEs were assessed using the common

terminology criteria for adverse events (CTCAE) v5. As an outcome variable we focused on the development of irAEs \geq grade 2 in order to avoid the subjectivity and inter-individual variability associated with the mildest of adverse events.

Dietary intake was assessed through the EPIC-Norfolk FFQ (8) and the Dutch Healthy Diet-FFQ (DHD-FFQ) (9). FFQ processing is further described in the **Supplementary methods**. Food items were collapsed into standardized food groups, using the national food composition databases (**eTable 1**). To account for differences in nutritional profiling or diets, we performed both country-specific and joint analyses.

- 97 Four food-based scores were calculated to address dietary quality across cohorts
 98 (eTable 2):
- alternate Mediterranean diet score (aMED) (10)

• original plant-based diet index (oPDI) (11); further distinguished into:

- 101 o healthy plant-based diet index (h-PDI), and
- 102 o unhealthy plant-based diet index (u-PDI)

PCA was performed per cohort to identify data-driven dietary patterns. The first 5 principal components (PCs), collectively explaining 56.7% and 55.4% of total dietary variation in PRIMM-NL and PRIMM-UK, respectively, were retained for subsequent analyses (**eFigures 2-3**).

107 To determine whether a higher adherence to a particular diet is associated with a 108 higher probability of response or irAEs, we used logistic generalized additive models 109 (GAMs) (12). First, using the joint dataset, we modelled each outcome variable (ORR,

PFS-12, irAEs) and all four diet scores as independent variables adjusting for age, sex, BMI and cohort. Next, we modeled each outcome variable and the first 5 PCs per cohort. To test which dietary pattern had the largest influence on response and irAEs, we removed each diet score or PC from each model one at a time, keeping all other variables intact (**eTable 3**). Lastly, we analyzed specific food groups and nutrients in relation to treatment response and irAEs (**Supplementary methods**).

116 **Results**

117 Cohort characteristics and differences between PRIMM-NL and PRIMM-UK are 118 summarized in **Table 1** and **eTable 4**.

119 The Mediterranean diet score (aMED) was the diet score with the largest influence on 120 PFS-12, ORR, and irAEs (explained deviance by 54%, 51% and 24%, respectively, 121 eTable 3). Both PFS-12 and ORR showed a positive relationship with the aMED score, 122 where the maximum score was associated with the highest probability of response 123 (probability 0.74 for PFS-12, P= .007, edf=1.54; probability 0.77 for ORR, P= .021, 124 edf=0.83). With every increase in the score, we observed a consistent increase of 1.43 125 in the odds of being a responder. An analysis per-cohort revealed the same 126 relationships (eTable 5).

PCA per cohort (**eTable 6**) revealed a positive relationship between ORR and PC1 in PRIMM-NL, where a high intake of wholemeal bread, vegetables, and potatoes and a lower intake of foods high in sugar and savoury snacks was associated with the highest probability of response (P=.074; edf=2.7) (**eFigures 2-4**). PC2, characterized by a high fruit intake, showed a parabolic relationship with PFS-12 (P=.007; edf=2.14)

and ORR (*P*= .012; edf=2.7). No significant associations were found for PRIMM-UK
(eTable 3, eTable 7).

134 We identified positive linear relationships between polyunsaturated and 135 monounsaturated fatty acids with PFS-12 (P= .008, edf=0.88; P= .024, edf=0.74) (Figure 2, eTable 8). While nutrient analysis was only possible for the UK dataset, 136 137 this finding further supports the role of the Mediterranean diet. Other nutrients 138 associated with ORR and PFS-12 included beta-carotene (P=.033, edf=0.79), vitamin 139 C (P=.022, edf=1.73) and E (P=.054, edf=1.31).

Food groups associated with response included vegetables (P= .039; edf=1.4) and legumes (P= .057; edf=0.75) in PRIMM-UK and wholemeal bread (P= .046; edf=1.2) and potatoes (P= .014; edf=0.87) in PRIMM-NL, common sources of fiber in these populations (**eTable 9**).

144 IrAEs exhibited negative associations with whole grain foods (P= .018; edf=0.84), 145 legumes (P= .052; edf=0.75) and magnesium (P= .016, edf=1.45) in PRIMM-UK and 146 were positively associated with a group of processed meats (P= .020; edf=0.85). Due 147 to a lower number of cases (n=21), these relationships did not reach statistical 148 significance in PRIMM-NL where a non-linear association between irAEs and fruit 149 intake was identified (P= .037, edf=0.79).

150 **Discussion**

151 To our knowledge, this study represents the first examining detailed dietary data in 152 relation to patient outcome when receiving ICB. We show that a Mediterranean-style 153 diet is associated with a higher probability of response in ICB-treated patients with 154 advanced melanoma. The traditional principles of Mediterranean diet remain the most widely used dietary recommendations of public health institutions globally. 155 156 Interestingly, Mediterranean diet, rich in unsaturated fatty acids, fiber, and 157 polyphenols, is associated with an increased abundance of microbiota producing 158 SCFA (3) that have been linked to immunotherapy response in several studies (2,4-159 6).

We observe positive associations between ORR and PFS-12 with a number of fiberrich foods, including vegetables, whole grains, potatoes and legumes which aligns with a recent observational study reporting an association between high fibre intake and improved PFS (5). Separately, Vitamin E and C have recently gained interest related to their immunomodulatory and anti-tumor activities (7,13-15, **eTable 10**) and are associated with response to ICB in our study.

Lastly, we show that plant-derived foods are associated with a lower probability of irAEs with the opposite association observed for processed meat. The current challenge in the treatment with ICB lies in maintaining or improving treatment efficacy, while minimizing severe and long-term irAEs. Plant-dominated diets have the potential to assist in this goal due to their diverse effects on the immune system. For example, fiber-derived SCFA affect both, regulatory and effector T cells and have been implicated in immunotolerance as well as anti-tumor response (2-6).

173 Strenghts & Limitations

174 Collecting extensive dietary data from patients with advanced cancer is challenging, 175 and the primary strength of this study lies in the prospective dietary assessment, and 176 the depth of data collected from a real-world population of patients across two 177 European countries. Limitations include sample size and the difference between the 178 UK and Dutch FFQs. However, these differences have been accounted for in the 179 statistical models used. We move a step past the studies that have linked specific 180 dietary components to favorable outcomes and chose to complement the analysis of 181 nutrients by whole foods and dietary pattern analyses.

182 Conclusions

We found that a Mediterranean dietary pattern is linked to higher likelihood of PFS and ORR in a cohort of patients due to receive ICB for advanced melanoma. Plantderived foods high in fiber have a potential to reduce irAEs. These findings underline the importance of dietary assessment in patients starting ICB and support a role for dietary strategies to improve treatment outcomes.

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242 Figures and Tables

Table 1. Cohort characteristics

	PRIMM-NL (n=44)	PRIMM-UK (n=47)	<i>P</i> -value
Age (years) at stage IV diagnosis, mean (SD)	59.43 (12.74)	66.21 (16.63)	.020
BMI (kg/m²), <i>mean (SD)</i>	27.51 (5.55)	29.06 (5.32)	.189
Gender, <i>n (%)</i>			.123
Male	22 (50)	32 (68)	
Female	22 (50)	15 (32)	
Outcomes following ICB, n (%)			
PFS-12	20 (46)	23 (49)	.930
ORR	26 (59)	27 (58)	1.000
irAEs (CTCAE grade ≥ 2)	21 (48)	25 (53)	.756
Metastatic stage, <i>n (%)</i>			.014
Stage 3, unresectable	1 (2)	4 (9)	
M1a	6 (14)	11 (23)	
M1b	8 (18)	11 (23)	
M1c	12 (27)	17 (36)	
M1d*	17 (39)	4 (9)	
BRAF mutant, <i>n (%)</i>	23 (52)	14 (30)	.049
ECOG Performance score ≥1, <i>n (%)</i>	16 (36)	33 (70)	.002*
ICB regimen, <i>n (%)</i>			0.043
Ipilimumab-nivolumab combination	11 (25)	23 (49)	
Single agent PD-1/PDL-1 inhibition	32 (73)	24 (51)	
Single agent CTLA-4 inhibition	1 (2)	0 (0)	
Previous BRAF or MEK inhibition, <i>n (%)</i>	17 (39)	9 (19)	.068
Antibiotic use at baseline, <i>n (%)</i>	10 (23)	8 (18)	.710
PPI use at baseline, <i>n (%)</i>	19 (43)	12 (26)	.120

Diet scores, mean (SD)			
aMED	3.07 (1.25)	2.55 (1.28)	.083
OriginalPDI	30.52 (4.29)	34.23 (4.45)	1.222x10 ^{-4*}
hPDI	32.84 (5.81)	35.49 (7.37)	.130
uPDI	31.70 (4.56)	34.32 (5.65)	.021

244 Characteristics of the PRIMM cohorts. Baseline characteristics are presented as mean and 245 standard deviation (SD) for continuous variables and as counts and percentages for 246 categorical variables. χ^2 tests for categorical variables and Mann-Whitney U test (MWU) for 247 continuous data were performed to calculate differences between cohorts. P-values written in 248 **bold** indicate nominally significant differences between PRIMM-UK and PRIMM-NL (P < .05). 249 Asterisks (*) indicate statistical significance under a false discovery rate (FDR) of 5%. BMI, 250 body-mass index; aMED, alternate Mediterranean diet score; original PDI, original plant-based 251 diet index, further differentiated into hPDI, healthy; and uPDI, unhealthy plant-based diet 252 index; ICB, immune checkpoint blockade; ECOG, Eastern Cooperative Oncology Group; 253 BRAF, v-raf murine sarcoma viral oncogene homolog B1, MEK, mitogen-activated protein 254 kinase, PFS-12, progression-free survival at 12 months; ORR, overall response rate; CTCAE, 255 common terminology criteria for adverse events; irAE, immune-related adverse event; SD, 256 standard deviation.

257 Figure 1. Relationship between ORR and the alternate Mediterranean diet score (aMED)

across both cohorts. The Y-axis shows the probability of ORR on a scale from 0 to 0.9. The X-axis shows adherence to a Mediterranean diet high in vegetables, legumes, fruit, and whole grains and low in red and processed meat, expressed by the aMED score ranging from 0 (minimum score) to 5 (maximum score). Abbreviations: ORR, overall response rate; PFS-12,

262 progression-free survival at 12 months; aMED, alternate Mediterranean diet score.

Figure 2. Relationships between treatment outcomes and specific nutrients and food groups. Associations between response and specific dietary factors in PRIMM-UK (grams per day) and PRIMM-NL (frequencies per day). Abbreviations: PUFA, polyunsaturated fatty acids; MUFA, monounsaturated fatty acids; PFS-12, progression-free survival at 12 months; ORR, overall response rate; irAEs, immune-related adverse events; gr, grams.

268 Ethical approval and informed consent

269 PRIMM-UK (NCT03643289) is sponsored by East & North Hertfordshire NHS Trust 270 with UK central ethical approval. PRIMM-NL, consisting of eligible patients from the 271 POINTING (NCT04193956) and OncoLifeS studies (METc 2010/109; 272 https://www.trialregister.nl/trial/7839), was approved by the Medical Ethical 273 Committee of the University Medical Center Groningen in the Netherlands.

Data availability

All relevant data supporting the key findings of this study are available within the article and the supplementary files. Other data are available from the corresponding author upon reasonable requests. All statistical analysis scripts are written in R and can be found here: https://github.com/WeersmaLabIBD.

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282 Competing interests

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