# 1 THE EFFECT OF BI-AXIAL BEHAVIOUR OF MECHANICAL ANCHORS ON THE 2 LATERAL RESPONSE OF MULTI-PANEL CLT SHEARWALLS

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#### 4 Abstract

5 The importance of the bi-axial behaviour of some types of hold-downs and angle brackets 6 has recently been identified, highlighting the need to include such effect in the analysis and 7 design of Cross-Laminated Timber (CLT) shearwalls. The current study investigates elasticplastic analytical methods for multi-panel CLT shearwalls, including the bi-axial contribution 8 9 of the angle brackets and hold-downs connections and proposes expression in the elastic 10 region to establish the Coupled-Panel (CP) and Single-Wall (SW) kinematic behaviours of the 11 shearwall. The results from the elastic analysis show that considering the bi-axial effect of the 12 angle brackets leads to more panels maintaining contact with the ground and resulting in less 13 displacements and rotations. Sensitivity analyses are conducted to investigate the influence 14 of the bi-axial contribution of the angle brackets. The proposed methodologies are verified 15 using a numerical model, and the results showed that the analytical solution matches that 16 obtained from the numerical model almost perfectly. Also, the methods are validated by 17 comparing them with published experimental test results, and a reasonable match is obtained.

#### 18 Keywords

Cross-laminated timber; Multi-panel Shearwalls; bi-axial behaviour; Analytical approach;
Mechanical anchors; Lateral load.

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## 21 List of symbols

- 22 F concentrated lateral force applied on the top of the wall
- $F_j$  lateral horizontal load distributed in the  $j^{th}$  panel
- $F_{c,y,j}$  internal force in each fastener in the vertical joints used for joining panel j to j + 1
- *G* equivalent shear deformation of CLT panels
- $K_{V,P_{\kappa}}$  lateral stiffness of the shearwall at point  $P_{\kappa}$  for  $\kappa = [1: n_a + 2]$
- $R_{P_0}$  activation force
- $R_{P_{\kappa}}$  inelastic lateral capacity of the shearwall at point  $P_{\kappa}$  for  $\kappa = [1: n_a + 2]$
- $T_{a,x,i,j}$  horizontal force in the *i*<sup>th</sup> angle bracket from the centre of rotation placed in panel *j*
- $T_{a,z,i,j}$  uplift force in the *i*<sup>th</sup> angle bracket from the centre of rotation placed in panel *j*
- $T_{a,z,i}^{P_{\kappa}}$  uplift force in the *i*<sup>th</sup> angle bracket at point  $P_{\kappa}$  for  $\kappa = [1: n_a + 2]$
- $T_{h,x}$  horizontal force in the hold-down
- $T_{h,z}$  uplift force in the hold-down
- $T_{h,z}^{P_{\kappa}}$  uplift force in the hold-down at point  $P_{\kappa}$  for  $\kappa = [1, 2]$
- $W_{total}$  total potential energy in the system
- *b* length of individual panel
- $d_{y,ax}$  yielding displacement of the angle brackets in the horizontal direction (shear)
- $d_{y,az}$  yielding displacement of the angle brackets in the vertical direction (uplift)
- $d_{y,c}$  yield displacement of fasteners in the vertical joints
- $d_{y,hx}$  yielding displacement of the hold-down in the vertical direction (uplift)
- $d_{y,hz}$  yield displacement of the hold-down in the horizontal direction (shear)
- $d_{u,ax}$  ultimate displacement of the angle brackets in the horizontal direction (shear)
- $d_{u,az}$  ultimate displacement of the angle brackets in the vertical direction (uplift)
- $d_{u,c}$  ultimate displacement of fasteners in the vertical joints
- $d_{u,hx}$  ultimate displacement of the hold-down in the horizontal direction (shear)
- $d_{u,hz}$  ultimate displacement of the hold-down in the vertical direction (uplift)
- *h* height of the panels
- k elastic stiffness of a fastener in the vertical joint
- $\tilde{k}$  dimensionless stiffness ratio
- $k_{a,x}$  elastic stiffness of an angle bracket in the horizontal direction (shear)
- $k_{a,z}$  elastic stiffness of an angle bracket in the vertical direction (uplift)
- $k_{h,x}$  elastic stiffness of a hold-down in the horizontal direction (shear)
- $k_{h,z}$  elastic stiffness of a hold-down in the vertical direction (uplift)
- $k_v$  vertical contribution of hold-down and angle brackets in the total potential energy
- $k'_{v}$  contribution of the connections' stiffness in the rotation of panels
- $k'_{\nu,P_{\kappa}}$  contribution of the connections' stiffness in the uplift of panels at point  $P_{\kappa}$  for  $\kappa = [1:n_a + 2]$
- 60 m number of panels in the shearwall
- *n* number of fasteners per vertical joint
- $n_a$  number of angle brackets used in the length of each panel
- *q* uniform vertical load applied on the top of the wall
- $\tilde{q}$  dimensionless uniform vertical load
- $r_{a,x}$  yield strength of angle brackets in the horizontal direction (shear)

- $r_{a,z}$  yield strength of angle brackets in the vertical direction (uplift)
- $r_c$  yield strength of fasteners in the vertical joints
- $r_{h,x}$  yield strength of hold-down in the horizontal direction (shear)
- $r_{h,z}$  yield strength of hold-down in the vertical direction (uplift)
- $t_{a,z,i}^{P_{\kappa}}$  increase in the uplift force of the  $i^{th}$  angle bracket from point  $P_{\kappa-1}$  to  $P_{\kappa}$  for  $\kappa = [1: n_a + 2]$
- $t_{h,z}^{P_2}$  increase in the hold-down's uplift force at point  $P_2$
- $v_i$  vertical displacement of panel *j* at the rotation point
- $x_{\varphi=0}$  parameter studied when the vertical contribution of angle bracket is neglected
- $x_{\varphi>0}$  parameter studied when the vertical contribution of angle bracket is considered
- $\Delta_{P_0}$  lateral displacement due to sliding at the activation force (i.e.,  $P_0$ )
- $\Delta_{P_{\kappa}}$  lateral displacement at the top of the wall due to the rocking and sliding at point  $P_{\kappa}$  for  $\kappa = [1: n_a + 2]$
- $\Delta_r$  lateral displacement at the top of the wall due to the rocking
- $\Delta_{r,s}$  lateral displacement at the top of the wall due to the rocking and sliding
- $\Delta_s$  lateral displacement due to the sliding
- $\Delta_{s,P_{\kappa}}$  lateral displacement due to the sliding at point  $P_{\kappa}$  for  $\kappa = [3: n_a + 2]$
- $\Delta_{sh,i}$  shear deformation in the  $j^{th}$  panel
- $\Delta_{total}$  total lateral displacement due to the rocking, sliding and shear deformation
- 86 Ø variable considering the effect of multiple angel brackets used in sensitivity analysis
- $\alpha$  coefficient incorporating the effect of multiple angle brackets used in the length of panels
- $\beta$  coefficient incorporating the effect of compression zone in the panels
- $\gamma_a$  ratio incorporating the effect of multiple angle brackets in the limit expression of CP 92 kinematic region
- $\delta_{P_{\kappa}}$  increase in the total lateral displacement from point  $P_{\kappa-1}$  to  $P_{\kappa}$  for  $\kappa = [2: n_a + 2]$
- $\varphi$  angle bracket's vertical stiffness ratio
- $\rho(x)$  ratio of the studied parameter x
- $\vartheta$  angle of rotation of the panels

### 97 1. Introduction

- 98 Cross Laminated Timber (CLT) panels have increasingly been used in mid- and high-rise
- 99 buildings in the past decade, especially in Europe and North America. The appeal of using
- 100 this material has primarily been due to its structural reliability, environmental benefits and
- 101 rapid construction process.
- 102 In high wind and seismic regions, CLT walls are typically relied upon to resist both gravity and
- 103 lateral loads. The analysis and design procedures for gravity loads are clearly outlined in

timber design standards (e.g., [1, 2]), however, methodologies to design CLT shearwalls to resist lateral loads still lacks development. Although some general provisions, mainly based on hierarchy of failure amongst various components in the wall assembly, have been enacted, no clearly defined design method currently exist. Consequently, simplistic analysis assumptions based on the static methods, or comprehensive modelling techniques involving sophisticated 3-D finite element models, have been used by designers.

110 Experimental investigations of the behaviour of CLT shearwalls subjected to lateral in-plane 111 loading have revealed that the wall assembly exhibits rigid-body deformation in the CLT 112 panels, due to their high in-plane rigidity, while the non-linearity is principally achieved in the 113 connections [3, 4, 5]. This emphasizes the importance of the connection behaviour and their 114 contribution to the strength, stiffness and ductility of the wall assembly and the building as a 115 whole. Such connections typically consist of vertical joints that connect the individual CLT 116 panels together, hold-downs, which are typically placed at the ends of the shearwall, and 117 angle brackets with the capability to resist the shear force from the wall to the foundation or 118 floor below.

119 Several full-scale experimental and numerical studies have been undertaken in order to 120 investigate the seismic behaviour of CLT assemblies. Ceccotti et al. [3] conducted shake-121 table tests on a seven-storey CLT structure consisting of multi-panel shearwalls with high-122 strength hold-downs. The building was reported to perform well even after being subjected to 123 several ground motions, and only local damage in the connectors was observed. Flatscher et 124 al. [6] investigated the behaviour of connectors used in CLT walls by conducting an experimental campaign as part of the SERIES research project. A full-scale test was 125 126 performed on a three-story CLT structure with single-panel shearwalls, and as a result, 127 smaller drift values were observed when compared to the study involving multi-panel 128 shearwalls [3]. Popovski and Gavric [7] investigated the seismic behaviour of a full-scale two-

story CLT structure, constructed with multi-panel walls and comprised of hold-downs, angle 129 130 brackets and vertical joints. The authors reported no overall instability in the structure, while 131 more deformations were observed in the vertical joints connecting the individual shearwall 132 panels. Yasumura et al [8] conducted experimental testing on two buildings with two storeys, 133 constructed with single-panel and multi-panel CLT walls with openings. The results showed 134 minor cracks in the corners of some openings within the single-panel walls, whereas no visible 135 cracks were observed in the opening when multi-panel walls were used. Rinaldin and 136 Fragiacomo [9] performed nonlinear simulations of the specimens reported in [3], based on a 137 proposed finite element model. Reasonable match was observed when the experimental 138 results were compared to the simulated models.

139 Due to the importance of the connection contribution in CLT wall assemblies, some studies 140 have focussed on establishing the connection behaviour in isolation as well as part of the wall 141 system. Of relevance to the current study is the investigation of the bi-axial behaviour (vertical 142 uplift and horizontal shear) of some types of angle brackets. Shen et al. [10] performed 143 experimental testing at the connection level for some angle brackets, as well as at the wall 144 level. Numerical simulation was used to determine the hysteresis behaviour of angle brackets 145 based on the results obtained from the experimental campaign. Gavric et al. [11] carried out 146 tests on hold-down and angle bracket connectors. The authors reported stiffness values for 147 the angle brackets that were of similar magnitude in the vertical and horizontal directions. 148 Reported stiffness values for the hold-down in shear were less than those in uplift but they 149 were not insignificant. Similarly, Flatscher et al. [6] and Schneider et al. [12] reported relatively 150 high stiffness and capacity of angle bracket when subjected to uplift loads. Pozza et al. [13, 151 14] investigated the axial-shear interaction effect of angle brackets and proposed a numerical 152 model to develop their hysteretic behaviour. Pozza et al. [15] also investigated the interaction 153 of shear and axial behaviours in the hold-down connection. Liu and Lam [16, 17] and Liu et 154 al. [18] also emphasized the need to include the coupled behaviour of angle brackets and 155 hold-downs in the analysis since the interaction between the uplift and horizontal shear could 156 have a significant effect on the wall behaviour. D'Arenzo et al. [19] proposed an innovative 157 angle bracket for CLT wall-to-floor connection fastened with fully threaded screws that helped 158 improve the tension behaviour of the angle brackets.

159 Analytical methods have also been developed in order to evaluate the design parameters of 160 CLT shearwalls, including internal forces in connectors as well as rotation and lateral 161 displacement of the wall [20]. Gavric et al. [21] proposed analytical methods for analyzing 162 two-panel CLT shearwalls, using moment equilibrium, where the bi-axial effect of the hold-163 down and angle brackets were considered. Comparisons between the analytical approach 164 and the experimental tests showed that the proposed analytical method may under- or over-165 estimate the shearwall behaviour for different practical cases. Flatscher and Schickhofer [22] 166 established an iterative displacement-based method for single-panel and two-panel CLT 167 shearwalls, where rotation, displacement and internal forces in connectors can be 168 determined. Tamagnone et al. [23] developed a nonlinear design methodology for single-169 panel CLT shearwalls using sectional design method. Casagrande et al. [24] proposed an 170 analytical approach in the elastic region for multi-panel CLT shearwalls using minimum 171 potential energy, where expressions for the wall capacity, rotation, displacement and stiffness 172 were developed. Nolet et al. [25] extended the study by Casagrande et al. [24] to include a 173 more comprehensive method for multi-panel CLT shearwalls, while considering different 174 possible failure mechanisms and assuming that the connectors have elastic-perfectly plastic 175 behaviour.

The review of the available literature highlights the need to develop a comprehensive analytical method in which the bi-axial behaviour of angle brackets and hold-downs are considered for multi-panel CLT shearwalls. Such comprehensive approach is achieved in this

study by building on existing models found in the literature [24, 25] and contributing to specific gaps in knowledge. Examples of contributions in the proposed model include considering the contribution of multiple angle brackets along the wall length, bi-axial contribution of both the hold-down and angle bracket connections, as well as accounting for the effect of compression zone in the CLT panel. The proposed model is verified using numerical models and validated against published experimental test results.

#### 185 **2. Development of analytical methods for multi-panel CLT shearwalls**

#### 186 2.1. Notations and assumptions

Figure 1 shows the investigated shearwalls and the placement of the connections used in this study. A hold-down is assumed at the ends of the shearwall, and equally spaced angle brackets connecting each wall panel to the floor below are assumed to be placed along the panel length. The shearwall consists of m panels that are connected to each other using vertical joints. The shearwalls are assumed to be subjected to a vertical uniformly distributed gravity load, q, and a concentrated lateral load, F.







The hold-down connectors in this model are assumed to resist both vertical (uplift) and horizontal (shear) loads, *n* fasteners in each vertical joint are used to connect the panels together, and  $n_a$  equally spaced angle brackets, capable of resisting both uplift and shear, are considered. The stiffness of the hold-down in the vertical and horizontal directions and the stiffness of the vertical joints are denoted  $k_{h,z}$ ,  $k_{h,x}$  and k, respectively. The angle brackets are assigned horizontal and vertical stiffness of  $k_{a,x}$  and  $k_{a,z}$ , respectively.

Based on the mechanical properties of connectors and the applied loads, three kinematic behaviours can be defined, as reported in [24]: 1) Coupled-wall (CP), where one center of rotation for each panel is attained, 2) Single-wall (SW), where only one global point of contact for the entire wall is attained, and 3) Intermediate behaviour (IN), which represents a special case, where only some panels are in contact with the ground.

206 The general assumptions used in the development of the proposed approach are:

- The same horizontal displacement is assumed for all points at the top and bottom of
   the shearwall due to the in-plane diaphragm behaviour of the floor elements.
- CLT panels are assumed as rigid elements for the purpose of investigating the
   kinematic behaviour.

211 The first assumption is attributed to the high in-plane stiffness in the diaphragm as well as the 212 connection between the CLT floor and the shearwall. Regarding the second assumption, the 213 contribution from the panel deformation has been found to be relatively small, and as such 214 assuming the panels to be perfectly rigid is a reasonable approximation based on the results 215 obtained from experimental tests (e.g., [3, 4, 5]). It should be noted that the contribution from 216 the panel deformation may be significant for certain wall configurations, such as panels with 217 very high aspect ratios, and walls with openings. In those cases, the flexure and shear deformation of the panels can be considered independently of the rocking and sliding 218 219 deformations, and methods that account for such contribution are readily available in the 220 literature (e.g., [21, 22]). Furthermore, experimental investigations of CLT shearwalls (e.g., 221 [4, 6]) have shown that the centers of rotation of the wall segments may not be located at the 222 corner of each panel due to the presence of a compressive zone in the panel. This effect is 223 incorporated in the proposed model by assuming a reduced length of panel equal to  $b \cdot \beta$  in 224 the CP behaviour. Such contribution can be neglected by adopting  $\beta$  equal to unity.

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In the following sections, the equations for CP and SW are developed, and the requiredanalytical expressions are determined.

228 2.2 Elastic analytical procedure to achieve CP behaviour

229 The analytical variables in the CP case include the horizontal displacement due to panel

sliding, denoted as  $\Delta_s$ , and the angle of rotation of the panels, defined as  $\vartheta$ , which is equal for all panels due to the diaphragm constraint (Figure 2). The connectors are defined as elastic springs, where the hold-downs are assumed to resist uplift and shear, vertical joints resist the shear force transferred between panels, and the angle brackets are considered as two springs along the bottom edge of the wall panels.



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Figure 2: CLT multi-panel shear wall CP behaviour

The relationship between the vertical stiffness of the hold-down and the angle brackets is presented in Equation (1), where  $\varphi$  represents the angle brackets' vertical stiffness ratio. This equation expresses a measure for the contribution of the angle brackets in the vertical direction and facilitates the comparison between including and excluding such contribution.

$$k_{a,z} = \varphi \cdot k_{h,z} \tag{1}$$

241 The total contribution of the hold-down and angle brackets to the vertical stiffness  $k_v$  can be

242 defined as shown in Equation (2), obtained using the total potential energy. Equation (2) 243 contains the flexibility of including multiple angle brackets by introducing the coefficient  $\alpha$ , as 244 expressed in Equation (3).

$$k_{\nu} = k_{h,z} \cdot (\beta^2 + \alpha \cdot \varphi \cdot m) \tag{2}$$

$$\alpha = \sum_{i=1}^{n_a} \left[ \frac{i}{(n_a + 1)} + (\beta - 1) \right]^2$$
(3)

Based on the model proposed in Figure 2, the total potential energy in CP behaviour is developed, as shown in Equation (4). It should be noted that this equation includes the angle brackets' vertical stiffness (incorporated in  $k_v$ ) and the sliding effect of the angle brackets and hold-downs, which are independent from the rocking motion. The contribution of the connectors' stiffness in rotation is denoted  $k'_v$ , and obtained using Equation (5), which combines the stiffness effect in the vertical direction of the hold-down and the angle brackets as well as the effect of the vertical joints.

$$W_{total} = \frac{1}{2} \cdot b^2 \cdot \vartheta^2 \cdot k'_{\nu} + \left(\frac{1}{2}k_{a,x} \cdot n_a \cdot m + k_{h,x}\right) \cdot \Delta_s^2 - F \cdot (h \cdot \vartheta + \Delta_s) + \frac{q \cdot m \cdot b^2 \cdot (2 \cdot \beta - 1)}{2} \cdot \vartheta$$

$$k'_{\nu} = k_{\nu} + (m - 1) \cdot n \cdot k \cdot \beta^2$$
(4)

By equating the first derivative of the total potential energy, defined in Equation (4), to zero, the angle of rotation,  $\vartheta$ , and the lateral displacement due to sliding,  $\Delta_s$ , can be recovered, as shown in Equations (6) and (7). It can be noted from Equation (7) that the sliding of the wall only depends on the horizontal stiffness of the angle brackets and hold-downs.

$$\vartheta = \left[\frac{F \cdot h}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{1}{k'_{\nu}}$$
(6)

$$\Delta_s = \frac{F}{k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}} \tag{7}$$

256 The lateral displacement at the top of the wall,  $\Delta_{r,s}$ , is defined in Equation (8), by considering 257 both rocking ( $\Delta_r$ ) and sliding ( $\Delta_s$ ) effects:

$$\Delta_{r,s} = \Delta_r + \Delta_s = \vartheta \cdot h + \Delta_s \tag{8}$$

The internal forces in the connectors can be obtained by multiplying the displacement with the stiffness of the associated connectors. The internal forces in the vertical joints,  $F_{c,y,j}$ , can be obtained using Equation (9) for panel *j*. The internal forces in all fasteners used in the vertical joints are equal due to equal displacements in the joints, when the CP behaviour is achieved.

$$F_{c,y,j} = \left[\frac{F \cdot h}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{k \cdot b \cdot \beta}{k'_{\nu}}, \qquad j = [1:m-1]$$
(9)

The uplift forces in the hold-down and the *i*<sup>th</sup> angle bracket away from the center of rotation of each panel (see Figure 2), can be expressed as presented in Equation (10) and (11), respectively. These equations are derived by multiplying the associated displacement with the stiffness of the connector.

$$T_{h,z} = \left[\frac{F \cdot h}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{k_{h,z} \cdot b \cdot \beta}{k'_{\nu}}$$
(10)

$$T_{a,z,i,j} = \left[\frac{i}{n_a + 1} + (\beta - 1)\right] \cdot \left[\frac{F \cdot n}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{\varphi \cdot \kappa_{h,z} \cdot b}{k'_{\nu}},$$
  

$$j = [1:m], i = [1:n_a]$$
(11)

The horizontal forces in each angle bracket and hold-down can be calculated using Equations(12) and (13), respectively.

$$T_{a,x,i,j} = \frac{F \cdot k_{a,x}}{k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}}, \qquad j = [1:m] \& i = [1:n_a]$$
(12)

$$T_{h,x} = \frac{F \cdot k_{h,x}}{k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}}$$
(13)

269 To ensure CP behaviour, the criterion is that the vertical reactions at the rotation points are positive (i.e., in compression). By establishing the dimensionless stiffness,  $\tilde{k} = k_{h,z}/n \cdot k$ , and 270 dimensionless uniform vertical load,  $\tilde{q} = (q \cdot m^2 \cdot b^2)/(2 \cdot F \cdot h)$ , and after simplification, 271 272 Equation (14) is obtained using vertical equilibrium of the first panel. This is considered for 273 the first panel only since the other panels automatically meet the requirement when the 274 reaction at the first panel is in compression and it is in contact with the ground. In this equation,  $\gamma_a$  is a ratio that accounts for the contribution of the multiple angle brackets, as expressed in 275 Equation (15). If the vertical contribution of the angle brackets is desired to be omitted, it can 276 277 be done by setting  $\varphi$  equal to zero.

$$\tilde{k} \ge \frac{1}{\left(1 + \frac{n_a}{2} \cdot \varphi\right)} \cdot \frac{1 - \frac{\tilde{q} \cdot (3 \cdot m - 2)}{m^2}}{1 - \frac{\tilde{q} \cdot (m - 2 \cdot \gamma_a)}{m^2}}$$

$$(14)$$

$$1 + \alpha \cdot m \cdot \varphi$$

$$\gamma_a = \frac{1 + \frac{n_a}{2} \cdot \varphi}{1 + \frac{n_a}{2} \cdot \varphi} \tag{15}$$

#### 278 2.3 Elastic analytical procedure to achieve SW behaviour

For the SW behaviour, the vertical displacements of the individual panels are different, however, the displacements due to rotation and sliding are the same as a result of the diaphragm constraint (Figure 3). The variables in the SW behaviour include rotation,  $\vartheta$ , vertical displacement of each panel at the point of rotation,  $v_j$ , and the sliding displacement,  $\Delta_s$ .



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Figure 3: CLT multi-panel shear wall SW behaviour

Based on the deformed shape shown in Figure 3, the total potential energy of the wallassembly can be developed, as shown in Equation (16).

$$W_{total} = \frac{1}{2} \cdot k_{h,z}$$

$$\cdot \left\{ (v_1 + b \cdot \vartheta)^2 + \varphi \right\}$$

$$\cdot \left[ \alpha \cdot b^2 \cdot \vartheta^2 + \sum_{j=1}^{m-1} \sum_{i=1}^{n_a} \left( v_j + \frac{i}{(n_a + 1)} \cdot b \cdot \vartheta \right)^2 \right] \right\}$$

$$+ \frac{1}{2} \cdot n \cdot k \cdot \left[ (b \cdot \beta \cdot \vartheta - v_{m-1})^2 + \sum_{j=1}^{m-2} (b \cdot \vartheta + v_{j+1} - v_j)^2 \right] + \frac{1}{2}$$

$$\cdot (k_{a,x} \cdot n_a \cdot m + 2 \cdot k_{h,x}) \cdot \Delta_s^2$$

$$-F \cdot (h \cdot \vartheta + \Delta_s) + \frac{m \cdot q \cdot b^2 \cdot (2 \cdot \beta - 1)}{2} \cdot \vartheta + q \cdot b \cdot \sum_{j=1}^{m-1} v_j$$
(16)

Calculating the first derivative of Equation (16) provides expressions to solve for unknowns such as rotation and vertical displacement at the rotation center of each panel and sliding. Although by excluding the bi-axial effect of the angle brackets, one can obtain generalized solutions for the variables in the SW behaviour, including such contribution leads to different expressions that are dependent on the number of panels in the wall.

Equation (17) expresses the internal forces in each fastener in the vertical joints. It should be noted that in the SW behaviour, fasteners located in the same panel have equal internal force, same as CP, however, the internal forces of the vertical joints between different panels are not equal. Also, the effect of the compression zone only includes for the fasteners that join panel m - 1 to m (i.e., j = m - 1) since only panel m remains on the ground.

$$F_{c,y,j} = k \cdot (b \cdot \vartheta + v_{j+1} - v_j), \qquad j = [1:m-2]$$
  

$$F_{c,y,j} = k \cdot (b \cdot \beta \cdot \vartheta - v_{m-1}), \qquad j = m-1$$
(17)

The uplift force in the hold-down can be developed, as presented in Equation (18). The uplift force in the  $i^{th}$  angle bracket from the center of rotation in panel *j*, can be calculated using Equation (19). For the last panel (*j* = *m*), Equation (20) can be used given that  $v_m$  is equal to zero.

$$T_{h,z} = k_{h,z} \cdot (b \cdot \vartheta + v_1) \tag{18}$$

$$T_{a,i,j,z} = k_{a,z} \cdot \left(\frac{i}{n_a + 1} \cdot b \cdot \vartheta + v_j\right), \qquad j = [1:m-1], i = [1:n_a]$$
(19)

$$T_{a,i,j,z} = k_{a,z} \cdot \left[\frac{i}{n_a + 1} + (\beta - 1)\right] \cdot b \cdot \vartheta, \qquad j = m, i = [1:n_a]$$
(20)

301 Similar to the case presented for the CP behaviour, the horizontal forces in an angle bracket 302 and hold-down can be obtained using Equations (12) and (13), respectively.

303 To illustrate the concept of the procedure for a simple system, an example is presented in

Equations (21)-(23) for m = 2 and  $n_a = 3$ , and  $\beta = 1$ . In this case,  $v_2$  is equal to zero since in SW behaviour m - 1 panels displace vertically at the rotation point, while the last panel m is in contact with the ground.  $v_1$  is the vertical displacement of the first panel at the rotation point, and  $\vartheta$  is the rotation of the panels. The sliding displacement,  $\Delta_s$ , can be obtained using Equation (7), by equating m to 2, as shown in Equation (23). The total lateral displacement at the top of the panels due to the sliding and rotation,  $\Delta_{r,s}$ , can be obtained using Equation (8).

$$\vartheta = \frac{2 \cdot \left\{F \cdot h \cdot \left[k_{h,z} \cdot (6 \cdot \varphi + 2) + 2 \cdot k \cdot n\right] - q \cdot b^2 \cdot \left(4 \cdot k \cdot n + 3 \cdot \varphi \cdot k_{h,z}\right)\right\}}{b \cdot \left[\varphi \cdot k_{h,z}^2 \cdot (12 \cdot \varphi + 7) + k_{h,z} \cdot k \cdot n \cdot (31 \cdot \varphi + 16)\right]}$$
(21)

$$v_{1} = \frac{-\left\{F \cdot h \cdot \left[k_{h,z} \cdot (6 \cdot \varphi + 4) - 4 \cdot k \cdot n\right] + q \cdot b^{2} \cdot \left(8 \cdot k \cdot n + \varphi \cdot k_{h,z}\right)\right\}}{b \cdot \left[\varphi \cdot k_{h,z}^{2} \cdot (12 \cdot \varphi + 7) + k_{h,z} \cdot k \cdot n \cdot (31 \cdot \varphi + 16)\right]}$$
(22)

$$\Delta_s = \frac{F}{2 \cdot \left(3 \cdot k_{a,x} + k_{h,x}\right)} \tag{23}$$

To ensure SW behaviour, the expression presented in Equation (24) needs to be satisfied, where the vertical displacement at the rotation point of panel m - 1 is required to be positive. This means that all panels except the last one would lift up and not maintain any contact with ground at the rotation point. This equation varies depending on the number of panels. An example is provided for m = 2 and  $n_a = 3$  in Equation (25), by equating the vertical displacement at the rotation point for panel m - 1 ( $v_1$ ) to zero. After simplification, this equation is rewritten, as shown in Equation (26).

$$v_{m-1} \ge 0 \tag{24}$$

$$F \cdot h \cdot \left[k_{h,z} \cdot (6 \cdot \varphi + 4) - 4 \cdot k \cdot n\right] + q \cdot b^2 \cdot \left(8 \cdot k \cdot n + \varphi \cdot k_{h,z}\right) \ge 0$$
(25)

$$\tilde{k} < \frac{1 - \frac{\tilde{q}}{2}}{1 + \frac{\varphi}{2} \cdot \left(3 + \frac{\tilde{q}}{8}\right)}, \qquad for: m = 2, n_a = 3$$

$$(26)$$

#### 317 2.4 Inelastic analytical procedure

318 The elastic approach described in Section 2.2 is extended to the inelastic behaviour, including 319 the effect of bi-axial contribution of the angle brackets and hold-downs. Similar methodology 320 has been successfully used to describe the behaviour of CLT shearwalls with multiple panels 321 [25], however these approaches omitted the vertical contribution of angle brackets and the 322 horizontal effect of the hold-downs. The developed methodology focusses on the CP 323 behaviour, because the CP behaviour is a desired final plastic behaviour since it promotes 324 rocking kinematic mode [1, 20]. The CP plastic behaviour is attained when the vertical joints 325 yield before the hold-down and angle brackets.

326 In the developed methodology outlined in this section, the connectors are assumed to behave 327 as elastic-perfectly plastic. The properties used are:  $r_c$ ,  $r_{h,z}$ ,  $r_{h,x}$ ,  $r_{a,z}$  and  $r_{a,x}$ , representing the 328 strength of the fasteners in the vertical joints, hold-downs in vertical and horizontal direction, and angle brackets in vertical and horizontal direction, respectively. Parameters  $d_y$  and  $d_u$ 329 330 denote the yield and ultimate displacement of the connectors, respectively, with additional 331 subscripts indicating the type of connector represented (i.e., c for fasteners in the vertical 332 joints, hx and hz for hold-downs in horizontal and vertical directions, respectively, and ax and 333 az for angle brackets in the horizontal and vertical directions, respectively).

The interaction between the vertical and horizontal direction in the angle brackets and holddowns can be expressed in the circular domain, demonstrated in Equations (27) and (28), respectively, and shown in Figure 4 [26, 27]. In these equations,  $T_{a,z}$  and  $T_{a,x}$  are the internal forces in the angle brackets and  $T_{h,z}$  and  $T_{h,x}$  are the internal forces in the hold-downs.

$$\left(\frac{T_{a,z}}{r_{a,z}}\right)^2 + \left(\frac{T_{a,x}}{r_{a,x}}\right)^2 \le 1.0\tag{27}$$



(28)

Figure 4: The circular domain representing the interaction effect of the angle brackets or
 hold-downs

341 The behaviour of the CLT shearwall in the elastic and inelastic regions is described in a 342 generalized form in Figure 5. In Figure 5,  $P_0$  represents the activation point at which the wall 343 starts to rotate, corresponding to lateral force,  $R_{P_0}$ , and displacement,  $\Delta_{P_0}$ . Points  $P_1$  and  $P_2$ 344 represent the yielding of fasteners in the vertical joints and hold-down, respectively. Points  $P_3$ to  $P_{n_a+2}$  represent the yielding order of the angle brackets starting from the last angle bracket 345 346 away from the centre of rotation of each panel. The expression for the ultimate displacement 347 of the shearwall is not established due to the uncertainty associated with the contribution of 348 sliding and rotation after the yielding of all connections.

In the analytical procedure, a verification is made using Equations (27) and (28) to ensure that the angle brackets and hold-downs remain elastic. This verification is particularly important for the angle brackets because when the angle brackets start yielding, the wall is no longer capable of resisting additional horizontal loads, and consequently, it will proceed to slide until the ultimate failure in the angle brackets is reached.





355



The activation force,  $R_{P_0}$ , is defined as the lateral force at which the gravity load is overcome, and the panels start to rotate. The value of  $R_{P_0}$  can be obtained using Equation (29).

$$R_{P_0} = \frac{q \cdot m \cdot b^2 \cdot (2 \cdot \beta - 1)}{2 \cdot h} \tag{29}$$

At the activation force, the lateral displacement,  $\Delta_{P_0}$ , is presented in Equation (30), using Equation (7). Since there is no rotation in the panels immediately prior to reaching the activation force, the lateral displacement is due to the sliding alone. Equations (31) and (32) are used to verify whether the angle brackets and hold-downs remain elastic. These equations are derived by setting the vertical component of the angle brackets and holddowns,  $T_{a,z}$  and  $T_{h,z}$ , in Equations (27) and (28) equal to zero, since there is no rotation or uplift in the panels.

$$\Delta_{P_0} = \frac{R_{P_0}}{k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}} \tag{30}$$

$$0 + \left(\frac{T_{a,x}}{r_{a,x}}\right)^2 < 1 \quad \rightarrow \left[\frac{R_{P_0} \cdot k_{a,x}}{r_{a,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right] < 1 \tag{31}$$

$$0 + \left(\frac{T_{h,x}}{r_{h,x}}\right)^2 < 1 \quad \rightarrow \left[\frac{R_{P_0} \cdot k_{h,x}}{r_{h,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right] < 1 \tag{32}$$

The lateral force associated with the yielding of the fasteners in the vertical joints, denoted  $P_1$ in Figure 5, can be expressed as presented in Equation (33). This expression is obtained by equating the elastic force in vertical joints, defined in Equation (9), with the yield capacity of the fasteners in the vertical joints,  $r_c$ . In this equation,  $k'_{v,P_1} = k_v + (m-1) \cdot n \cdot k$ , where  $k_v$ can be obtained from Equation (2).

$$R_{P_1} = \left(r_c \cdot \frac{k'_{\nu, P_1} \cdot b}{k \cdot h \cdot \beta}\right) + R_{P_0} \tag{33}$$

The associated lateral displacement due to the sliding and rocking,  $\Delta_{P_1}$ , can be obtained using Equations (34), based on the elastic displacement of the wall in the CP behaviour, obtained from Equation (8). After simplification, Equation (35) is obtained as a function of yield displacement of fasteners in the vertical joints and sliding displacement. In Equation (34),  $K_{V,P_1}$  is the lateral stiffness of the shearwall at point  $P_1$ , obtained as  $k'_{v,P_1} \cdot b^2/h^2$ .

$$\Delta_{P_{1}} = \left[ \frac{R_{P_{1}} \cdot h^{2}}{b^{2}} - \frac{q \cdot m \cdot (2 \cdot \beta - 1) \cdot h}{2} \right] \cdot \frac{1}{k_{v,P_{1}}'} + \frac{R_{P_{1}}}{(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x})}$$

$$= \frac{R_{P_{1}} - R_{P_{0}}}{K_{V,P_{1}}} + \frac{R_{P_{1}}}{(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x})}$$

$$\Delta_{P_{1}} = d_{y,c} \cdot \frac{h}{b \cdot \beta} + \frac{R_{P_{1}}}{(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x})}$$
(34)
(35)

The internal uplift force in the angle brackets and hold-down at the point of yielding of the vertical joints,  $T_{a,z}^{P_1}$  and  $T_{h,z}^{P_1}$ , are presented in Equations (36) and (37), respectively. These equations are based on the elastic uplift load in the angle brackets and hold-down and where *F* is replaced by the associated lateral load at this point, equal to  $R_{P_1}$ .

$$T_{a,z,i}^{P_1} = \left[\frac{i}{n_a + 1} + (\beta - 1)\right] \cdot \left[\frac{R_{P_1} \cdot h}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{\varphi \cdot k_{h,z} \cdot b}{k'_{v,P_1}},$$

$$i = [1:n_a]$$
(36)

$$T_{h,z}^{P_1} = \left[\frac{R_{P_1} \cdot h}{b^2} - \frac{q \cdot m \cdot (2 \cdot \beta - 1)}{2}\right] \cdot \frac{k_{h,z} \cdot b \cdot \beta}{k'_{v,P_1}}$$
(37)

At this point, the angle brackets and hold-downs remain in the elastic region if the interaction expression outlined in Equation (38) and (39) are satisfied. These equations are based on the general interaction equation (i.e., Equation (27) and (28)), while replacing the associated internal forces using the equations provided in section 2.2.

$$\left(\frac{T_{a,z,i}^{P_1}}{r_{a,z}}\right)^2 + \left[\frac{R_{P_1} \cdot k_{a,x}}{r_{a,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right]^2 < 1.0, \qquad i = [1:n_a]$$
(38)

$$\left(\frac{T_{h,z}^{P_1}}{r_{h,z}}\right)^2 + \left[\frac{R_{P_1} \cdot k_{h,x}}{r_{h,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right]^2 < 1.0$$
(39)

The increase in the internal hold-down force from  $P_1$  to  $P_2$ ,  $t_{h,z}^{P_2}$ , can be obtained by replacing *F* in the internal forces of hold-down with the associated increase in lateral force,  $R_{P_2} - R_{P_1}$ . The lateral load capacity of the shearwall at point  $P_2$ , representing the yield point of the holddown, is denoted  $R_{P_2}$ . In Equation (40),  $k'_{v,P_2}$  is equal to  $k_v$ , since the vertical joints have yielded and are no longer contributing to the wall stiffness. It is noteworthy to mention that the effect of uniform load q is not considered in the equations developed subsequently, since such effect has already been taken into account in the equations at point  $P_1$  and  $P_0$ .

$$t_{h,z}^{P_2} = \left[\frac{\left(R_{P_2} - R_{P_1}\right) \cdot h}{b}\right] \cdot \frac{k_{h,z}}{k'_{\nu,P_2}} = \frac{\left(R_{P_2} - R_{P_1}\right) \cdot h \cdot \beta}{b \cdot \left(\beta^2 + \alpha \cdot \varphi \cdot m\right)}$$
(40)

By equating the interaction expression for the hold-down at  $P_2$  to 1, as shown in Equation (41), the lateral capacity at this level,  $R_{P_2}$ , can be obtained. The solution to this equation is cumbersome and is more suitable for use in the development of software solutions, especially for higher number of panels. 394 The associated uplift force in the hold-down,  $T_{h,z}^{P_2}$ , is equal to the sum of  $T_{h,z}^{P_1}$  and  $t_{h,z}^{P_2}$ .

$$\left(\frac{T_{h,z}^{P_2}}{r_{h,z}}\right)^2 + \left[\frac{R_{P_2} \cdot k_{h,x}}{r_{h,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right]^2 = 1.0$$
(41)

The increase in lateral displacement from  $P_1$  to  $P_2$ ,  $\delta_{P_2}$ , can be determined using Equation (42). This equation is based on the elastic displacement obtained in the CP behaviour, Equation (8), by replacing the lateral load, *F*, with the associated increase in lateral load capacity,  $R_{P_2} - R_{P_1}$ . Finally, the lateral displacement at  $P_2$  due to the sliding and rocking is presented in Equation (43), which can be obtained by summing the lateral displacement at  $P_1$ ,  $\Delta_{P_1}$ , and the increase in lateral displacement,  $\delta_{P_2}$ . The lateral stiffness of shearwalls at  $P_2$ ,  $K_{V,P_2}$ , is defined as  $k'_{\nu,P_2} \cdot b^2/h^2$ .

$$\delta_{P_{2}} = \left[\frac{\left(R_{P_{2}} - R_{P_{1}}\right) \cdot h^{2}}{b^{2}}\right] \cdot \frac{1}{k_{\nu,P_{2}}'} + \frac{R_{P_{2}} - R_{P_{1}}}{\left(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x}\right)}$$

$$= \frac{R_{P_{2}} - R_{P_{1}}}{K_{V,P_{2}}} + \frac{R_{P_{2}} - R_{P_{1}}}{\left(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x}\right)}$$

$$\Delta_{P_{2}} = \Delta_{P_{1}} + \delta_{P_{2}} = d_{y,c} \cdot \frac{h}{b \cdot \beta} + \frac{R_{P_{2}} - R_{P_{1}}}{K_{V,P_{2}}} + \frac{R_{P_{2}}}{\left(k_{a,x} \cdot m \cdot n_{a} + 2 \cdot k_{h,x}\right)}$$
(42)
$$(42)$$

402 To ensure that the fasteners in the vertical joints do not reach their ultimate displacement at 403 or before  $P_2$ , Equation (44) is required to be satisfied.

$$d_{y,c} + \frac{b \cdot \beta}{h} \cdot \frac{R_{P_2} - R_{P_1}}{K_{V,P_2}} < d_{u,c}$$
(44)

404 The increase in internal uplift force in the  $i^{th}$  angle brackets from  $P_1$  to  $P_2$ ,  $t_{a,z}^{P_2}$ , is presented 405 in Equation (45):

$$t_{a,z,i}^{P_2} = \left[\frac{i}{n_a + 1} + (\beta - 1)\right] \cdot \left[\frac{\left(R_{P_2} - R_{P_1}\right) \cdot h^2}{b^2}\right] \cdot \frac{\varphi \cdot k_{h,z} \cdot b}{k'_{\nu,P_2} \cdot h}$$
(45)

$$= \left[\frac{i}{n_a+1} + (\beta - 1)\right] \cdot \frac{\left(R_{P_2} - R_{P_1}\right) \cdot h \cdot \varphi}{b \cdot (\beta^2 + \alpha \cdot \varphi \cdot m)}, \qquad i = [1:n_a]$$

At this point, the vertical uplift load in the angle brackets is obtained as the sum of Equation (45) and (36). Also, the horizontal load in the angle brackets can be obtained using Equation (12). Equation (46) is used to check that the angle brackets remain in elastic region. It is only required to check the last angle brackets from the centre of rotation of each panel ( $i = n_a$ ).

$$\left(\frac{T_{a,z,n_a}^{P_1} + t_{a,z,n_a}^{P_2}}{r_{a,z}}\right)^2 + \left[\frac{R_{P_2} \cdot k_{a,x}}{r_{a,x} \cdot \left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)}\right]^2 < 1.0$$
(46)

Equation (47) represents the increase in the uplift forces in the angle brackets after the yielding of the vertical joints and the hold-down. The increase in the vertical uplift force in the angle brackets from  $P_{\kappa-1}$  to  $P_{\kappa}$ , is denoted as  $t_{a,z,i}^{P_{\kappa}}$ , where  $\kappa$  is equal to  $[3:n_a + 2]$ . Equation (48) represents the contribution to stiffness of those angle brackets that remain elastic in the uplift direction at  $P_{\kappa}$ ,  $k'_{\nu,P_{\kappa}}$ . In this equation, f is equal to  $(n_a + 3) - \kappa$ .

$$t_{a,z,i}^{P_{\kappa}} = \left[\frac{i}{n_{a}+1} + (\beta - 1)\right] \cdot \left[\frac{\left(R_{P_{\kappa}} - R_{P_{\kappa-1}}\right) \cdot h}{b^{2}}\right] \cdot \frac{\varphi \cdot k_{h,z} \cdot b}{k_{\nu,P_{\kappa}}'}, \quad i = [1:f]$$
(47)  
$$k_{\nu,P_{\kappa}}' = k_{h,z} \cdot \varphi \cdot m \cdot \sum_{i=1}^{f} \left[\frac{i}{(n_{a}+1)} + (\beta - 1)\right]^{2}$$
(48)

In order to determine the yield point of the associated angle brackets, Equation (49) is  
obtained by equating the interaction equation (i.e., Equation (27)) to 1. The associated lateral  
capacity, 
$$R_{P_{K}}$$
, can be obtained by solving this equation, however similar to Equation (41), the  
solution to this equation is cumbersome and does not lend itself to reasonable simple  
expressions, especially for higher number of panels.

$$\left(\frac{T_{a,z,f}^{P_1} + t_{a,z,f}^{P_2} + \sum_{\chi=3}^{\kappa} t_{a,z,f}^{P_{\chi}}}{r_{a,z}}\right)^2 \tag{49}$$

$$+\left\{\frac{1}{r_{a,x}} \cdot \left[\frac{R_{P_2} \cdot k_{a,x}}{\left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)} + \sum_{x=3}^{\kappa} \frac{\left(R_{P_x} - R_{P_{x-1}}\right) \cdot k_{a,x}}{m \cdot k_{a,x}(n_a + 3 - x) + k_{h,x}}\right]\right\}^2 = 1.0$$

The increase in the lateral displacement from  $P_{\kappa-1}$  to  $P_{\kappa}$ ,  $\delta_{P_{\kappa}}$ , can be derived using the same procedure as described for Equation (42), by considering the associated increase in the lateral load capacity, as expressed in Equation (50). The lateral displacement due to sliding and rocking,  $\Delta_{P_{\kappa}}$ , can be calculated using Equation (51), which is the sum of the total lateral displacement at  $P_{\kappa-1}$ , and the increase in the displacement from  $P_{\kappa-1}$  to  $P_{\kappa}$ , obtained using Equation (50).  $K_{V,P_{\kappa}}$  is defined as  $k'_{\nu,P_{\kappa}} \cdot b^2/h^2$ .

$$\delta_{P_{\kappa}} = \left[\frac{\left(R_{P_{\kappa}} - R_{P_{\kappa-1}}\right) \cdot h^{2}}{b^{2}}\right] \cdot \frac{1}{k_{\nu,P_{\kappa}}^{\prime}} + \frac{R_{P_{\kappa}} - R_{P_{\kappa-1}}}{k_{a,x} \cdot m \cdot f + k_{h,x}}$$

$$= \frac{R_{P_{\kappa}} - R_{P_{\kappa-1}}}{K_{V,P_{\kappa}}} + \frac{R_{P_{\kappa}} - R_{P_{\kappa-1}}}{k_{a,x} \cdot m \cdot f + k_{h,x}}$$

$$\Delta_{P_{\kappa}} = \Delta_{P_{\kappa-1}} + \delta_{P_{\kappa}} = \Delta_{P_{\kappa-1}} + \frac{R_{P_{\kappa}} - R_{P_{\kappa-1}}}{K_{V,P_{\kappa}}} + \frac{R_{P_{\kappa}} - R_{P_{\kappa-1}}}{k_{a,x} \cdot m \cdot f + k_{h,x}}$$
(50)
$$(50)$$

426 At  $P_{\kappa}$ , it is required to ensure that the fasteners in the vertical joints have not reached their 427 respective ultimate displacements by satisfying Equation (52).

$$d_{y,c} + \frac{b \cdot \beta}{h} \cdot \sum_{i=2}^{\kappa} \frac{R_{P_i} - R_{P_{i-1}}}{K_{V,P_i}} < d_{u,c}$$
(52)

To ensure that the hold-down connections do not reach their ultimate displacement at  $P_{\rm K}$ , Equation (53) needs to be satisfied. In this equation,  $\Delta_{s,P_{\rm K}}$  and  $D_{z,P_{\rm K}}$  are the sliding and uplift displacement in the hold-down, respectively, as presented in Equations (54) and (55).

$$\left(\frac{D_{z,P_{\rm K}}}{d_{u,hz}}\right)^2 + \left(\frac{\Delta_{s,P_{\rm K}}}{d_{u,hx}}\right)^2 < 1 \tag{53}$$

$$\Delta_{s,P_{\kappa}} = \frac{R_{P_2}}{\left(k_{a,x} \cdot m \cdot n_a + 2 \cdot k_{h,x}\right)} + \sum_{i=3}^{\kappa} \frac{R_{P_i} - R_{P_{i-1}}}{k_{a,x} \cdot m \cdot (n_a + 3 - i) + k_{h,x}}$$
(54)

$$D_{z,P_{\kappa}} = d_{y,c} + \frac{b \cdot \beta}{h} \cdot \sum_{i=2}^{\kappa} \frac{R_{P_i} - R_{P_{i-1}}}{K_{V,P_i}}$$
(55)

431 It is required to ensure that the last angle bracket from the centre of rotation of each panel, 432 which has the highest uplift force, does not reach its ultimate displacement, as presented in 433 Equation (56). This equation is expected to be checked for values of  $\kappa$  greater than 3, since 434 for values equal or less than 3, the angle brackets would not have started to yield.

$$\left\{ \left[ \frac{n_a}{n_a + 1} - (1 - \beta) \right] \cdot \frac{D_{z, P_{\mathrm{K}}}}{d_{u, az} \cdot \beta} \right\}^2 + \left( \frac{\Delta_{s, P_{\mathrm{K}}}}{d_{u, ax}} \right)^2 < 1$$
(56)

#### 435 2.5 Shear deformation in CLT shear walls

436 The CLT panels have so far been assumed as rigid bodies and as such their shear 437 deformation has been ignored in the development of the proposed model. The shear 438 deformation contribution of the panels can be independently calculated and then incorporated 439 into the total lateral deformation equation containing the lateral displacements due to rocking 440 and sliding [28]. The shear deformation of panel j,  $\Delta_{sh,j}$ , can be calculated using the expression presented in Equation (57). In this equation,  $F_i$  is the lateral load in the  $j^{th}$  panel, 441 442 G is the equivalent shear modulus, which can be obtained using the equation proposed by 443 Brandner et al. [29], and t is the thickness of CLT panels.

$$\Delta_{sh,j} = \frac{F_j \cdot h}{G \cdot t \cdot b}, \qquad j = [1:m]$$
(57)

By incorporating the sum of shear deformation from all the CLT panels into the lateral displacement due to the rocking and sliding proposed from sections 2.2-2.4., one obtains the expression in Equation (58) for the total lateral displacement of the CLT shearwall,  $\Delta_{total}$ .

$$\Delta_{total} = \Delta_r + \Delta_s + \sum_{j=1}^m \Delta_{sh,j}$$

#### 447 **3.** The influence of angle brackets

#### 448 3.1 Kinematic consistency regions

449 The kinematic regions, depicting areas where different kinematic modes govern the behaviour 450 of the wall, are plotted using the consistency (limit) expressions provided in Equations (14) 451 and (24) for the CP and SW behaviour, respectively. The IN region can be obtained as the 452 area between the CP and SW behaviours. The graphs are based on the dimensionless vertical load,  $\tilde{q}$ , and dimensionless stiffness,  $\tilde{k}$ , allowing for the representation of different 453 454 properties of connectors and applied loads. The angle brackets stiffness ratio,  $\varphi$ , is 455 considered for discrete values of 0, 0.5 and 1. An example of such representation is 456 demonstrated in Figure 6, for shearwalls consisting of 4 and 6 panels with one angle bracket 457 at the middle of each panel (i.e.,  $n_a = 1$ ), while also neglecting the compression zone (i.e., 458  $\beta = 1$ ) for simplicity.

(58)

As expected, when the bi-axial effect of the angle brackets is considered in the analysis, especially for relatively stiff angle brackets in vertical direction (i.e., large values of  $\varphi$ ), more panels are likely to maintain contact with the ground, resulting in less displacements and rotations. Comparing Figure 6-a (depicting 4 panels) to Figure 6-b (depicting 6 panels), it can be observed that as the number of panels increases, the SW region becomes smaller for all values of  $\varphi$ , since more connectors are involved in resisting the vertical uplift load, resulting in a stronger and stiffer wall assembly.





Figure 6: The consistency regions for CLT shearwalls a) m = 4 b) m = 6

For the case with no vertical load ( $\tilde{q} = 0$ ), it can be observed that when the bi-axial behaviour of angle brackets is neglected ( $\varphi = 0$ ), either CP or SW behaviour is attained for  $\tilde{k}$  values greater than or lesser than 1, respectively, independent of the number of panels. However, when the vertical contribution of angle brackets is considered, the SW region is smaller for larger values of  $\varphi$ , and all three kinematic modes (CP, SW, and IN) are possible.

By increasing the dimensionless vertical load,  $\tilde{q}$ , the difference between the boarder lines for both CP and SW becomes smaller. This implies that the influence of the vertical contribution of angle brackets on the overall wall behaviour is less significant when the gravity load increases. It can be observed that at the SW limit, the boarder lines coincide at a value of  $\tilde{q}$ equal to 1, irrespective of the number of panels (Figure 6), which means that for  $\tilde{q} > 1$ , the SW behaviour cannot be attained. For the CP behaviour, the boarder lines coincide at a  $\tilde{q}$ value that depends on the number of panels, number of fasteners in the vertical joints and 481 the geometry of panels.

When  $\tilde{k}$  exceeds a limit value, CP behaviour can be achieved regardless of the value of  $\tilde{q}$ and of the number of panels. This limit is equal to 1 ( $\tilde{k} > 1$ ), for the case where the bi-axial effect of angle brackets is neglected. For the case where angle brackets with high vertical stiffness is considered ( $\varphi = 1$ ) the limit value is obtained by equating the vertical uniform load, q, to zero based on the CP limit equation (Equation (14)), yielding a value of 0.67 ( $\tilde{k} > 0.67$ ).

#### 487 3.2 Sensitivity analysis

488 Sensitivity analyses are carried out to investigate the contribution of the vertical stiffness of 489 the angle brackets, considering the developed elastic analytical expressions for values of  $\tilde{k}$ 490 between 0 and 1.5. This is done by varying the vertical stiffness of hold-down and maintaining 491 constant stiffness and number of fasteners in the vertical joints, while assuming only one 492 angle bracket at the middle of each panel. The analysis is repeated for a range of angle 493 brackets' stiffness ratios,  $\varphi$ , between 0.25 to 1, and the results are compared to the reference 494 case where the angle bracket stiffness is neglected ( $\varphi = 0$ ). The analyses are conducted for 495 number of panels equal to 4 and 8, and for a constant height and width of panels equal to 2.7 496 m and 1.4 m, respectively. Different  $\tilde{q}$  values are considered (0, 1, 2 and 3.5), depending on 497 the number of panels, in order to evaluate all possible kinematic modes (i.e., CP, SW and IN). 498 The parameters used in sensitivity analysis are summarized in Table 1.

Parameter	Value(s)/range
${ ilde k}$	0 to1.5
$\widetilde{q}$	0,1,2,3.5
arphi	0.25,0.5,0.75,1
n	18
$n_a$	1
k (KN/m)	700
$k_{h,z} (KN/m)$	variable
h (m)	2.7
<i>b</i> ( <i>m</i> )	1.4

Table 1: The input of sensitivity analysis

500 The parameters studied include panel rotation ( $\vartheta$ ) and internal forces in hold-down in the vertical direction as well as fasteners in the vertical joints ( $T_{h,z}$  and  $F_{c,y,j}$ , respectively). In the 501 502 CP region, the internal forces in the connectors are related linearly to the rotations, as 503 concluded by considering Equations (9)-(11). For this reason, all the studied parameters are expected to have the same trends and are expressed as the same variable, x (i.e.,  $\vartheta$ ,  $T_{h,z}$  and 504  $F_{c,v,i}$ ). On the contrary, for the SW region all three parameters are independent and therefore 505 506 investigated separately.  $\rho(x)$  expresses the ratio of the parameters studied (x) when the bi-507 axial effect of the angle brackets is neglected,  $x_{\omega=0}$ , and considered,  $x_{\omega>0}$ , as presented in 508 Equation (59).

$$\rho(x) = \frac{x_{\varphi=0}}{x_{\varphi>0}} \tag{59}$$

Figure 7 presents the sensitivity analysis results for a 4 panel CLT shearwall with no uniform vertical load ( $\tilde{q} = 0$ ), where all three possible behaviours can be achieved. The ratio of rotation, uplift forces in the hold-down and forces in the vertical joints are presented in Figure 7-a, Figure 7-b and Figure 7-c, respectively.

513 The results of rotation and vertical forces in the hold-down show almost the same trend, where 514 the highest effect is observed in the SW region, while in the CP region, the effect is relatively 515 less significant. The internal forces in vertical joints are almost unaffected by the vertical







519 Figure 7: Sensitivity analysis for 4<sup>th</sup> panel CLT shearwalls for  $\tilde{q} = 0$ : a) rotation b) Hold-down 520

0.5

Ñ

(c)

1

 $- - \varphi = 0.5 - - \varphi = 0.75 \cdots \varphi = 1$ 

1.5

1.20

1.00

0

*φ*=0.25

vertical forces c) Vertical joints' forces 521

522 Figure 8 compares the results of the sensitivity analyses in the CP and SW behaviour for CLT 523 shearwalls consisting of 4 and 8 panels. In order to maintain the CP behaviour, Figure 8-a), 524 a value of  $\tilde{q}$  was selected to be 2.0 and 3.5 for walls with 4 and 8 panels, respectively. For 525 the SW behaviour, (Figure 8-b),  $\tilde{q}$  was set equal to 0 and the results are shown for the uplift 526 forces in hold-down for which the most differences are observed. It can be observed that the

527 effect of the number of panels seems insignificant in CP. On the contrary, significant 528 differences are observed in the SW when the number of panels are considered doubled.



Figure 8: Sensitivity analysis for the 4<sup>th</sup> and 8<sup>th</sup> panels CLT shearwall a) CP behaviour b) SW
behaviour

533 In order to measure the effect of multiple angle brackets in CP, a new variable,  $\phi$ , is defined, 534 as shown in Equation (60). In this equation,  $\alpha$  and  $\phi$  can be obtained using Equations (1) and 535 (3), respectively.

$$\phi = \alpha \cdot \varphi \tag{60}$$

The results of the sensitivity analyses in the CP behaviour are summarized in Table 2. The analyses are conducted for values of  $\tilde{k}$  equal to 0.5, 1 and 3.5, and values of  $\tilde{q}$  equal to 0, 1, 2 and 3.5. To investigate the effect of multiple angle brackets, different values of  $\emptyset$  between 0.125 and 1, are adopted. As seen in the table, varying  $\tilde{k}$  seems to have a relatively small impact on the results. Comparing the differences of considering and neglecting the contribution of the angle brackets for different values of  $\tilde{q}$ , it can be observed that identical 542 values are obtained. Figure 8 shows that for Ø values equal to 0.125 and 0.25, the greatest 543 ratio observed is 1.17 and 1.33, respectively. This observation has a significant implication 544 on the analysis and design of CLT shearwalls, and whether the bi-axial effect of the angle 545 brackets need to be considered. Based on the results of this study, it can be concluded that 546 the biaxial contribution of the angle brackets may be neglected if relatively flexible angle 547 brackets are used ( $\emptyset < 0.125$ ) in the CP behaviour. In those cases, a maximum difference of 548 17% or less is obtained. However, in the case with relatively stiff angle brackets or when 549 multiple angle brackets with significant stiffness ( $\emptyset = 1$ ) are used, it is observed that the bi-550 axial effect of the angel brackets is significant, in the range of 53 to 141%. Also, it should be 551 noted, that if the SW behaviour is attained, considering the bi-axial effect of angle brackets is 552 required since neglecting such effect would lead to errors of more than 20%.

553

Table 2: The results of sensitivity analysis for CP behaviour

			$\widetilde{q} = 0$			$\widetilde{q} = 1$			$\widetilde{q} = 2$			$\widetilde{q}=3.5$	5
			Ĩ			Ĩ			Ĩ			Ĩ	
		0.5	1	1.5	0.5	1	1.5	0.5	1	1.5	0.5	1	1.5
Num. of panels	Ø		$\rho(x)$			$\rho(x)$			$\rho(x)$			$\rho(x)$	
	0.125	N.A.	1.13	1.17	1.07	1.13	1.17	1.07	1.13	1.17	1.07	1.13	1.17
	0.25	N.A.	1.25	1.33	1.14	1.25	1.33	1.14	1.25	1.33	1.14	1.25	1.33
4	0.375	N.A.	1.38	1.5	1.21	1.38	1.5	1.21	1.38	1.5	1.21	1.38	1.5
4	0.5	N.A.	1.50	1.67	1.29	1.50	1.67	1.29	1.50	1.67	1.29	1.50	1.67
	0.75	N.A.	1.75	2	1.43	1.75	2	1.43	1.75	2	1.43	1.75	2
	1	N.A.	2	2.33	1.57	2	2.33	1.57	2	2.33	1.57	2	2.33
	0.125	N.A.	1.13	1.18	N.A.	1.13	1.18	1.07	1.13	1.18	1.07	1.13	1.18
	0.25	N.A.	1.25	1.35	N.A.	1.25	1.35	1.13	1.25	1.35	1.13	1.25	1.35
0	0.375	N.A.	1.38	1.53	N.A.	1.38	1.53	1.2	1.38	1.53	1.2	1.38	1.53
o	0.5	N.A.	1.5	1.71	N.A.	1.5	1.71	1.27	1.5	1.71	1.27	1.5	1.71
	0.75	N.A.	1.75	2.06	N.A.	1.75	2.06	1.4	1.75	2.06	1.4	1.75	2.06
	1	N.A.	2	2.41	N.A.	2	2.41	1.53	2	2.41	1.53	2	2.41

#### 554 **4. Verification of the proposed methods**

555 The proposed methodologies are verified using commercially available analysis software

(SAP2000) [30] and validated against experimental tests results obtained from the literature[21].

4.1. Verification of the method with numerical model

559 In order to ensure that the proposed analysis procedure is mathematically correct, a general 560 example is presented for the inelastic range. In this example, the following input parameters 561 are assumed: the elastic mechanical properties for the hold-down stiffness in the vertical and 562 horizontal directions,  $k_{h,z}$  and  $k_{h,x}$ , are assumed equal to 5700 KN/m and 2000 KN/m, 563 respectively, the angle brackets' stiffness ratio,  $\varphi$ , is set equal to 0.5, the horizontal stiffness 564 of angle brackets,  $k_{a,x}$ , is equal to 2600 KN/m, and the stiffness of the fasteners in the vertical 565 joints, k, is equal to 700 KN/m. The number of panels (m) and number of fasteners in each 566 vertical joint (n) are taken as 3 and 18, respectively. Also, for simplicity, values related to the 567 number of angle brackets and compression zone of  $n_a = 1$  and  $\beta = 1$  are assumed.

568 The inelastic behaviour of the connectors is modelled using bi-linear springs. The properties 569 of the variables used are summarized in Table 3. This verification is meant to illustrate the 570 trend of the generalised diagram (Figure 5), considering the ultimate displacements of 571 connectors set to infinity. The analysis is undertaken until 50 mm lateral displacement in the 572 shearwall is achieved.

573

Table 3: Inelastic properties used in inelastic verification

r <sub>h,Z</sub>	r <sub>c</sub>	$r_{a,x}$	r <sub>a,z</sub>	$d_{y,hz}$	$d_{y,c}$	$d_{y,az}$	$d_{y,ax}$	$d_{u,hz}$	$d_{u,c}$	$d_{u,az}$	$d_{u,ax}$
30	2.8	40	20	5.25	4	7	15.2	Inf.	Inf.	Inf.	Inf.

The results obtained from the inelastic analysis are shown in Figure 9. As can be seen, the analytical solution matches that obtained from the numerical model almost perfectly. This comparison reflects the mathematical accuracy of the proposed model and shows that for a general case the match between the proposed analytical method and the numerical analysis

#### 578 can be obtained with reasonable accuracy.







Figure 9: The inelastic results of analytical and numerical models

### 581 4.2. Validation of the method with published experimental results

582 The methods proposed in this paper are validated by comparing the results from the analytical model with experimental results obtained from [21]. Four examples of two-panel CLT 583 584 shearwalls in the CP behaviour were investigated, using the same mechanical properties and 585 configurations as those used in the tests. Table 4 presents the mechanical properties of the 586 connectors, including yield strength, r, stiffness, k, yield displacement,  $d_{y}$ , and ultimate displacement,  $d_{\mu}$ , obtained by idealizing the connection behaviour as elastic-perfectly plastic, 587 588 using the EEEP methods outlined in the ASTM E2126 [31] standard. The hold-downs are 589 assumed elastic in the horizontal direction, and for simplicity, the compression zone is 590 neglected ( $\beta = 1$ ).

591

Table 4 <sup>.</sup> Mechanical	properties of	<sup>i</sup> connectors	obtained from	experiments	in [21]
		0011100001010			

Connectors	<i>r</i> ( <i>KN</i> )	k(KN/m)	$d_{y}(mm)$	$d_u(mm)$
Hold-down, z	44.41	4592	9.67	23.75
Hold-down, <i>x</i>	-	3195	-	-
Angle brackets, z	21.36	2647	8.07	23.19
Angle brackets, x	24.9	1958	12.72	31.86
Vertical joints, a	4.33	1267	3.42	31.55

Vertical joints, b	6.15	851	7.23	37.66
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Table 5 provides details summarizing the configurations of the experimental wall specimens used in the comparison. This includes the number of vertical joints and angle brackets in each panel, the number of hold-downs, and the type of fasteners used in the vertical joints. Vertical joints *a* and *b* consisted of half-lap and spline joint with laminated veneer lumber (LVL), respectively (see Table 5 and Figure 10-a and -b). Figure 10-c illustrates the wall configuration, including spaces between anchors and overall dimensions of the panels.

598

Table 5: General information about the experimental configurations								
Examples	Number of fasteners per vertical joint (n)	Type of fasteners in Vertical joints	Number of angle brackets $(n_a)$	Number of hold-downs on each side of the wall	Failure modes			
1	10	а	2	1	CP			
2	5	а	2	2	CP			
3	10	b	2	1	CP			
4	5	b	2	2	CP			





The complete force-displacement curves for the experimental results could not be obtained from the original study since what is reported is only an idealization of the continuous curves using tri-linear curves. A comparison between the results obtained from the reported

experimental study [21] and those from the proposed model can be seen in Figure 11. It can 604 605 be observed that a reasonable fit is obtained between the proposed model and the 606 experimental results, particularly related to the peak load. It is difficult to directly compare the 607 initial stiffness and the yield points, due to the idealization of the experimental curves (i.e. 608 using a tri-linear curve), however, it can be seen that the general shape of the curves is 609 consistent and matches reasonably well. It is noteworthy to mention that the model developed 610 in this study is not capable of predicting the post-peak behaviour of the shearwalls due to the 611 idealization made regarding the behaviour of the hold-down and angle bracket connection 612 being elastic-perfectly plastic. In an attempt to quantify the error in the prediction, Table 6 presents the ratio between the peak lateral forces,  $F_{max,ex}/F_{max,an}$ , and elastic stiffness, 613 614  $K_{ex}/K_{an}$ , obtained from the experimental and analytical methods.  $K_{ex}$  represents the slope of the initial line of the tri-linear curve obtained from the experimental results and Kan is obtained 615 616 using the EEEP procedure outlined in [31] on the multi-linear analytical curves obtained from 617 the proposed model. It should be noted that the ultimate displacement cannot be predicted 618 using the established method and therefore for the purpose of comparing the model to the 619 test results (Figure 11), the proposed model is terminated such that the ultimate displacement 620 matches that obtained from the experimental tests.





623

#### Figure 11: The curves from analytical and experimental results

625

624

626 Table 6: Comparison between the experimental results from [21] and analytical methods

Comparison	Ex.1	Ex.2	Ex.3	Ex.4
$F_{max,ex}/F_{max,an}$	1.08	1.02	1.06	1.09
$K_{ex}/K_{an}$	1.04	1.05	0.99	1.12

#### 627 5. Conclusion

Elastic analytical methods for multi-panel CLT shearwalls, including the bi-axial contribution 628 629 of the angle brackets and hold-downs, have been developed using the method of minimum 630 potential energy. Expressions are developed in the elastic region to establish the coupled-631 panel and single-wall kinematic behaviours of the shearwall, and kinematic regions, depicting 632 areas where different kinematic modes govern the behaviour of the wall are investigated. The 633 inelastic expressions are provided for the coupled-panel behaviour as the initial elastic and 634 ultimate inelastic behaviour.

635 The conclusions that can be drawn from the current study are:

636 As expected, when the bi-axial effect of the angle brackets is considered in the analysis, especially for relatively stiff angle brackets in vertical direction and higher 637 number of angle brackets, more panels are likely to maintain contact with the ground, 638

resulting in less displacements and rotations. It was also observed that as the number
of panels increases, the SW region becomes smaller for all values of angle bracket
stiffness, since more connectors are involved in resisting the vertical uplift load.

642 Results from the sensitivity analyses showed that the biaxial contribution of the angle 643 brackets may be neglected if relatively flexible angle brackets are used ( $\emptyset < 0.125$ ), 644 while ensuring CP behaviour. In those cases, a maximum difference of 17% or less is obtained. When the SW behaviour is attained, considering the bi-axial effect of angle 645 646 brackets is required, since neglecting such effect would lead to errors of at least 20%. 647 The proposed methodologies for the elastic and inelastic models are verified using a 648 numerical model and validated with results from published experimental tests. The 649 results obtained from inelastic analysis show that the analytical solution matches that 650 obtained from the numerical model almost perfectly. Reasonable match is observed in 651 terms of general shape of the results' curves and maximum lateral capacity of the 652 shearwalls when the proposed model is compared to the test results.

Future efforts by the authors aims at validating the proposed methodologies and expressionsthrough extensive experimental testing on multi-panel CLT Shearwalls.

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