

# QUATERNIONIC REGULAR FUNCTIONS AND THE $\bar{\partial}$ -NEUMANN PROBLEM IN $\mathbb{C}^2$

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## 1. INTRODUCTION

Let  $\Omega$  be a smooth bounded domain in  $\mathbb{C}^2$ . Let  $\mathbb{H}$  be the space of real quaternions  $q = x_0 + ix_1 + jx_2 + kx_3$ , where  $i, j, k$  denote the basic quaternions. We identify  $\mathbb{H}$  with  $\mathbb{C}^2$  by means of the mapping that associates the quaternion  $q = z_1 + z_2j$  with the pair  $(z_1, z_2) = (x_0 + ix_1, x_2 + ix_3)$ .

In this paper we give a boundary differential criterium that characterizes (*left*) *regular* functions  $f : \Omega \rightarrow \mathbb{H}$  (in the sense of Fueter) among harmonic functions.

We show (Corollary 1) that there exist first order differential operators  $T$  and  $N$ , with complex coefficients, such that an harmonic function  $f : \Omega \rightarrow \mathbb{H}$ , of class  $C^1$  on  $\bar{\Omega}$ , is regular if and only if  $(N - jT)f = 0$  on  $\partial\Omega$ .

In order to obtain this result we study a related space of functions that satisfy a variant of the Cauchy-Riemann-Fueter equations, the space  $M(\Omega)$  of  $\psi$ -regular functions on  $\Omega$  (see §2 for the precise definitions) for the particular choice  $\psi = \{1, i, j, -k\}$  of the structural vector. These functions (also called  $\psi$ -hyperholomorphic functions) have been studied by many authors (see for instance [SV], [MS] and [No]). The space  $M(\Omega)$  contains the holomorphic maps and exhibits interesting links with the theory of two complex variables. In particular, Vasilevski and Shapiro [VS] have shown that the Bochner-Martinelli kernel  $U(\zeta, z)$  can be considered as a first complex component of the Cauchy-Fueter kernel associated to  $\psi$ -regular functions. This property had already been observed by Fueter (see [F]) in the general  $n$ -dimensional case, by means of an imbedding of  $\mathbb{C}^n$  in a real Clifford algebra. Note that regular functions are in a simple correspondence with  $\psi$ -regular functions, since they can be obtained from them by means of a real coordinate reflection in  $\mathbb{H}$ .

We prove (Theorem 1) that an harmonic function  $f$  on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ , is  $\psi$ -regular on  $\Omega$  if and only if  $(\bar{\partial}_n - jL)f = 0$  on  $\partial\Omega$ , where  $\bar{\partial}_n$  is the normal part of  $\bar{\partial}$  and  $L$  is a tangential Cauchy-Riemann operator.

This equation, which appeared in [P] in connection with the characterization of the traces of pluriharmonic functions, can be considered as a generalization both of the CR-tangential equation  $L(f) = 0$  and of the condition  $\bar{\partial}_n f = 0$  on  $\partial\Omega$  that distinguishes holomorphic functions among complex harmonic functions (Aronov and Kytmanov (see [A], [AK] and [KA])).

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As an application of the differential condition for  $\psi$ -regular functions, we also obtain (Theorem 4) a differential criterium for holomorphicity of functions that generalizes, for a domain with connected boundary in  $\mathbb{C}^2$ , the result of Aronov and Kytmanov.

In Theorem 2 and Theorem 3 we give weak versions of the results stated above and obtain trace theorems for  $\psi$ -regular functions, with applications to regular and holomorphic functions. Other results on this line have been given by Pertici in [Pe], with generalizations to several quaternionic variables.

In §4 we study the relation between regularity and the  $\bar{\partial}$ -Neumann problem in  $\mathbb{C}^2$  in the formulation given in [K]§14–18.

In particular we show (Corollary 5) that if  $\Omega$  is a strongly pseudoconvex domain of class  $C^\infty$  or a weakly pseudoconvex domain with real-analytic boundary, then the operator that associates to  $f = f_1 + f_2j$  the restriction to  $\partial\Omega$  of its first complex component  $f_1$  induces an isomorphism between the quotient spaces  $M^\infty(\Omega)/A^\infty(\Omega, \mathbb{C}^2)$  and  $C^\infty(\partial\Omega)/CR(\partial\Omega)$ , where  $M^\infty(\Omega)$  denotes the space of  $\psi$ -regular functions that are smooth up to the boundary and  $A^\infty(\Omega, \mathbb{C}^2)$  is the space  $Hol(\Omega, \mathbb{C}^2) \cap C^\infty(\bar{\Omega}, \mathbb{C}^2)$ .

Finally, in §5 we consider the particular case when  $\Omega$  is the unit ball  $B$  in  $\mathbb{C}^2$  and  $S = \partial B$  is the group of unit quaternions. We study in more detail the regular homogeneous polynomials, which appear as components in the power series of any regular function. In Theorem 6 and Corollary 6 we obtain differential conditions that characterize the homogeneous polynomials whose restrictions to  $S$  extend regularly into  $B$ . This result generalizes the analogous characterization for holomorphic extensions of polynomials proved by Kytmanov in [K1]. In §5.2 we make more explicit the isomorphism of Corollary 5 by means of (complex) spherical harmonics. In particular, we show (Theorem 8) how to construct bases of the right  $\mathbb{H}$ -module of (left)-regular homogeneous polynomials of a fixed degree starting from any choice of  $\mathbb{C}$ -bases of the spaces of complex harmonic homogeneous polynomials.

Some of the results contained in the present paper have been announced in [P1].

## 2. NOTATIONS AND PRELIMINARIES

**2.1.** Let  $\Omega = \{z \in \mathbb{C}^n : \rho(z) < 0\}$  be a bounded domain in  $\mathbb{C}^n$  with boundary of class  $C^m$ ,  $m \geq 1$ . We assume  $\rho \in C^m$  on  $\mathbb{C}^n$  and  $d\rho \neq 0$  on  $\partial\Omega$ .

Let  $\nu$  denote the outer unit normal to  $\partial\Omega$  and  $\tau = i\nu$ . For every  $f \in C^1(\bar{\Omega})$ , we set  $\bar{\partial}_n f = \frac{1}{2} \left( \frac{\partial f}{\partial \nu} + i \frac{\partial f}{\partial \tau} \right)$  (see [K]§§3.3 and 14.2).

Then in a neighbourhood of  $\partial\Omega$  we have the decomposition of  $\bar{\partial}f$  in the tangential and the normal parts

$$\bar{\partial}f = \bar{\partial}_b f + \bar{\partial}_n f \frac{\bar{\partial}\rho}{|\bar{\partial}\rho|}.$$

The normal part of  $\bar{\partial}f$  on  $\partial\Omega$  can also be expressed in the form

$$\bar{\partial}_n f = \sum_k \frac{\partial f}{\partial \bar{z}_k} \frac{\partial \rho}{\partial z_k} \frac{1}{|\bar{\partial}\rho|},$$

where  $|\bar{\partial}\rho|^2 = \sum_{k=1}^n \left| \frac{\partial \rho}{\partial \bar{z}_k} \right|^2$ , or, by means of the Hodge  $*$ -operator and the Lebesgue surface measure  $d\sigma$ , as  $\bar{\partial}_n f d\sigma = *\bar{\partial}f|_{\partial\Omega}$ .

**2.2.** We recall the definition of tangential Cauchy-Riemann operators (see for example [R]§18). A linear first-order differential operator  $L$  is *tangential* to  $\partial\Omega$  if  $(L\rho)(\zeta) = 0$  for each point  $\zeta \in \partial\Omega$ . A tangential operator of the form

$$L = \sum_{j=1}^n a_j \frac{\partial}{\partial \bar{z}_j}$$

is called a *tangential Cauchy-Riemann operator*. The operators

$$L_{jk} = \frac{1}{|\partial\rho|} \left( \frac{\partial\rho}{\partial \bar{z}_k} \frac{\partial}{\partial \bar{z}_j} - \frac{\partial\rho}{\partial \bar{z}_j} \frac{\partial}{\partial \bar{z}_k} \right), \quad 1 \leq j < k \leq n,$$

are tangential and the corresponding vectors at  $\zeta \in \partial\Omega$  span (not independently when  $n > 2$ ) the (conjugate) complex tangent space to  $\partial\Omega$  at  $\zeta$ . Then a function  $f \in C^1(\partial\Omega)$  is a CR function if and only if  $L_{jk}(f) = 0$  on  $\partial\Omega$  for every  $j, k$ , or, equivalently, if  $L(f) = 0$  for each tangential Cauchy-Riemann operator  $L$ . In particular, when  $n = 2$ ,  $f$  is a CR function if and only if  $L(f) = 0$  on  $\partial\Omega$ .

**2.3.** Let  $\mathbb{H}$  be the algebra of quaternions. The elements of  $\mathbb{H}$  have the form

$$q = x_0 + ix_1 + jx_2 + kx_3,$$

where  $x_0, x_1, x_2, x_3$  are real numbers and  $i, j, k$  denote the basic quaternions.

We identify the space  $\mathbb{C}^2$  with the set  $\mathbb{H}$  by means of the mapping that associates the pair  $(z_1, z_2) = (x_0 + ix_1, x_2 + ix_3)$  with the quaternion  $q = z_1 + z_2j$ . The commutation rule is then  $aj = j\bar{a}$  for every  $a \in \mathbb{C}$ , and the quaternionic conjugation is

$$\bar{q} = x_0 - ix_1 - jx_2 - kx_3 = \bar{z}_1 - z_2j.$$

We refer to [S] for the basic facts of quaternionic analysis. We will denote by  $\mathcal{D}$  the left Cauchy-Riemann-Fueter operator

$$\mathcal{D} = \frac{\partial}{\partial x_0} + i \frac{\partial}{\partial x_1} + j \frac{\partial}{\partial x_2} + k \frac{\partial}{\partial x_3}.$$

A quaternionic  $C^1$  function  $f = f_1 + f_2j$ , is (*left-regular*) on a domain  $\Omega$ , that is  $\mathcal{D}f = 0$ , if and only if the complex components  $(f_1, f_2)$  of  $f$  satisfy the following system of complex differential equations on  $\Omega$ :

$$(1) \quad \begin{cases} \frac{\partial f_1}{\partial \bar{z}_1} = \frac{\partial \bar{f}_2}{\partial \bar{z}_2} \\ \frac{\partial f_1}{\partial z_2} = -\frac{\partial \bar{f}_2}{\partial z_1} \end{cases}$$

We will use also another class of regular functions, which are in the kernel of the following left differential operator:

$$\mathcal{D}' = \frac{\partial}{\partial x_0} + i \frac{\partial}{\partial x_1} + j \frac{\partial}{\partial x_2} - k \frac{\partial}{\partial x_3} = 2 \left( \frac{\partial}{\partial \bar{z}_1} + j \frac{\partial}{\partial \bar{z}_2} \right).$$

This is the Cauchy-Riemann operator defined by the structural vector

$$\psi = \{1, i, j, -k\}.$$

A quaternionic  $C^1$  function  $f = f_1 + f_2j$ , is called *(left-) $\psi$ -regular* on a domain  $\Omega$ , if  $\mathcal{D}'f = 0$  on  $\Omega$ . This condition is equivalent to the following system of complex differential equations:

$$(2) \quad \begin{cases} \frac{\partial f_1}{\partial \bar{z}_1} = \frac{\partial \bar{f}_2}{\partial z_2} \\ \frac{\partial f_1}{\partial \bar{z}_2} = -\frac{\partial \bar{f}_2}{\partial z_1} \end{cases}$$

or to the equation  $*\bar{\partial}f_1 = -\frac{1}{2}\partial(\bar{f}_2 d\bar{z}_1 \wedge dz_2)$ . Note that the  $(1, 2)$ -form  $*\bar{\partial}f_1$  is  $\partial$ -closed on  $\Omega$  when  $f_1$  is harmonic. Then, if  $\Omega$  is a pseudoconvex domain in  $\mathbb{C}^2$ , every complex harmonic function  $f_1$  on  $\Omega$  is a complex component of a  $\psi$ -regular function  $f = f_1 + f_2j$ . (cf. [N] and [No1] for this result and its converse).

*Remark.* Any holomorphic mapping  $(f_1, f_2)$  on  $\Omega$  defines a  $\psi$ -regular function  $f = f_1 + f_2j$ . Moreover, the complex components of a  $\psi$ -regular function are either both holomorphic or both not-holomorphic.

We refer, for instance, to [SV], [MS] and [No] for the properties of structural vectors and  $\psi$ -regular functions (in these references they are called  $\psi$ -hyperholomorphic functions). Regular and  $\psi$ -regular functions are real analytic on  $\Omega$ , and they are harmonic with respect to the Laplace operator in  $\mathbb{R}^4$ .

*Remark.* Let  $\gamma$  be the transformation of  $\mathbb{C}^2$  defined by  $\gamma(z_1, z_2) = (z_1, \bar{z}_2)$ . Then a  $C^1$  function  $f$  is regular on the domain  $\Omega$  if, and only if,  $f \circ \gamma$  is  $\psi$ -regular on  $\gamma^{-1}(\Omega)$ .

**2.4.** Let's denote by  $G$  the Cauchy-Fueter quaternionic kernel defined by

$$G(p - q) = \frac{1}{2\pi^2} \frac{\bar{p} - \bar{q}}{|p - q|^4},$$

and by  $G'$  the Cauchy kernel for  $\psi$ -regular functions:

$$G'(p - q) = \frac{1}{2\pi^2} \frac{y_0 - x_0 - i(y_1 - x_1) - j(y_2 - x_2) + k(y_3 - x_3)}{|p - q|^4},$$

where  $p = y_0 + iy_1 + jy_2 + ky_3$ ,  $q = x_0 + ix_1 + jx_2 + kx_3$ .

Let  $\sigma(q)$  be the quaternionic valued 3-form

$$\sigma(q) = dx[0] - idx[1] + jdx[2] + kdx[3],$$

where  $dx[k]$  denotes the product of  $dx_0, dx_1, dx_2, dx_3$  with  $dx_k$  deleted. Then the Cauchy-Fueter integral formula for left- $\psi$ -regular functions on  $\Omega$  that are continuous on  $\bar{\Omega}$ , holds true:

$$\int_{\partial\Omega} G'(p - q)\sigma(p)f(p) = \begin{cases} f(q) & \text{for } q \in \Omega, \\ 0 & \text{for } q \notin \bar{\Omega}. \end{cases}$$

In [VS] (see also [F] and [MS]) it was shown that, for a family of structural vectors, including  $\{1, i, j, -k\}$ , the two-dimensional Bochner-Martinelli form  $U(\zeta, z)$  can be considered as a first complex component of the Cauchy-Fueter kernel associated to  $\psi$ -regular functions. Let  $q = z_1 + z_2j$ ,  $p = \zeta_1 + \zeta_2j$ . Then

$$G'(p - q)\sigma(p) = U(\zeta, z) + \omega(\zeta, z)j,$$

where  $\omega(\zeta, z)$  is the following complex (1, 2)-form:

$$\omega(\zeta, z) = -\frac{1}{4\pi^2|\zeta - z|^4} ((\bar{\zeta}_1 - \bar{z}_1)d\zeta_1 + (\bar{\zeta}_2 - \bar{z}_2)d\zeta_2) \wedge \bar{d}\zeta.$$

Here  $d\zeta = d\zeta_1 \wedge d\zeta_2$  and we choose the orientation of  $\mathbb{C}^2$  given by the volume form  $\frac{1}{4}dz_1 \wedge dz_2 \wedge \bar{d}z_1 \wedge \bar{d}z_2$ .

### 3. DIFFERENTIAL CRITERIA FOR REGULARITY AND HOLOMORPHICITY

**3.1.** We now rewrite the representation formula of Cauchy-Fueter for  $\psi$ -regular functions in complex form. We use results from [MS] to relate the form  $\omega(\zeta, z)$  to the tangential operator  $L_{12}$ , that we will denote simply by  $L$ . We show that the Bochner-Martinelli formula can then be applied to obtain a criterium that distinguishes regular functions among harmonic functions on a domain  $\Omega$  in  $\mathbb{C}^2 = \mathbb{H}$ .

Let  $g(\zeta, z) = \frac{1}{4\pi^2}|\zeta - z|^{-2}$  be the fundamental solution of the complex laplacian on  $\mathbb{C}^2$ .

**Proposition 1.** *Let  $\Omega$  be a bounded domain of class  $C^1$  in  $\mathbb{H}$ . Let  $f : \Omega \rightarrow \mathbb{H}$  be a quaternionic function, of class  $C^1$  on  $\bar{\Omega}$ . Then  $f$  is (left-) $\psi$ -regular on  $\Omega$  if, and only if, the following representation formula holds on  $\Omega$ :*

$$f(z) = \int_{\partial\Omega} U(\zeta, z)f(\zeta) + 2 \int_{\partial\Omega} g(\zeta, z)jL(f(\zeta))d\sigma$$

where  $d\sigma$  is the Lebesgue measure on  $\partial\Omega$  and the tangential operator  $L$  acts on  $f = f_1 + f_2j$  as  $L(f) = L(f_1) + L(f_2)j$ .

*Proof.* The integral of Cauchy-Fueter in complex form is

$$\int_{\partial\Omega} G'(p - q)\sigma(p)f(p) = \int_{\partial\Omega} U(\zeta, z)f(\zeta) + \int_{\partial\Omega} \omega(\zeta, z)jf(\zeta).$$

From Proposition 6.3 in [MS], we get that the last integral is equal to

$$\begin{aligned} \int_{\partial\Omega} \omega(\zeta, z)\bar{f}_1j - \int_{\partial\Omega} \omega(\zeta, z)\bar{f}_2 &= 2 \int_{\partial\Omega} g(\zeta, z) \left( \overline{L(f_1)}j - \overline{L(f_2)} \right) d\sigma \\ &= 2 \int_{\partial\Omega} g(\zeta, z)jL(f)d\sigma. \end{aligned}$$

Then the result follows from the Cauchy-Fueter integral formula for  $\psi$ -regular functions.

If  $f = f_1 + f_2j$  is a  $\psi$ -regular function on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ , then from equations (2) we get that it satisfies the equation

$$(3) \quad (\bar{\partial}_n - jL)f = 0 \quad \text{on } \partial\Omega,$$

since  $\bar{\partial}_n f_1 = -\overline{L(f_2)}$ ,  $\bar{\partial}_n f_2 = \overline{L(f_1)}$  on  $\partial\Omega$ .

This equation was introduced in [P]§4 in connection with the characterization of the traces of pluriharmonic functions. It can be considered as a generalization both of the CR-tangential equation  $L(f) = 0$  (for a complex-valued  $f$ ) and of the condition  $\bar{\partial}_n f = 0$  on  $\partial\Omega$  that distinguishes holomorphic functions among complex harmonic functions on  $\Omega$  (what is called the homogeneous  $\bar{\partial}$ -Neumann problem for functions, see [AK] and [K]§15).

**Theorem 1.** *Let  $\Omega$  be a bounded domain in  $\mathbb{H}$ , with boundary of class  $C^1$ . Let  $f = f_1 + f_2 j : \Omega \rightarrow \mathbb{H}$  be an harmonic function on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ . Then,  $f$  is (left-) $\psi$ -regular on  $\Omega$  if, and only if,*

$$(\bar{\partial}_n - jL)f = 0 \quad \text{on } \partial\Omega.$$

*Proof.* It remains to prove the sufficiency of condition (3) for  $\psi$ -regularity of harmonic functions. For every  $z \in \Omega$ , it follows from the Bochner-Martinelli integral representation for complex harmonic functions on  $\Omega$  (see for example [K]§1.1), that  $f(z) = f_1(z) + f_2(z)j$  is equal to

$$\begin{aligned} \int_{\partial\Omega} U(\zeta, z) f_1(\zeta) + 2 \int_{\partial\Omega} g(\zeta, z) \bar{\partial}_n f_1(\zeta) d\sigma \\ + \left( \int_{\partial\Omega} U(\zeta, z) f_2(\zeta) + 2 \int_{\partial\Omega} g(\zeta, z) \bar{\partial}_n f_2(\zeta) d\sigma \right) j. \end{aligned}$$

If  $\bar{\partial}_n f = jL(f)$  on  $\partial\Omega$ , then we obtain

$$\begin{aligned} f(z) &= \int_{\partial\Omega} U(\zeta, z) f(\zeta) + 2 \int_{\partial\Omega} g(\zeta, z) \bar{\partial}_n f(\zeta) d\sigma \\ &= \int_{\partial\Omega} U(\zeta, z) f(\zeta) + 2 \int_{\partial\Omega} g(\zeta, z) jL(f(\zeta)) d\sigma. \end{aligned}$$

The result now follows from Proposition 1.

Let  $N$  and  $T$  be the differential operators, defined in a neighbourhood of  $\partial\Omega$ ,

$$N = \frac{\partial\rho}{\partial z_1} \frac{\partial}{\partial \bar{z}_1} + \frac{\partial\rho}{\partial \bar{z}_2} \frac{\partial}{\partial z_2}, \quad T = \frac{\partial\rho}{\partial z_2} \frac{\partial}{\partial \bar{z}_1} - \frac{\partial\rho}{\partial \bar{z}_1} \frac{\partial}{\partial z_2}.$$

$T$  is a tangential (not Cauchy-Riemann) operator to  $\partial\Omega$ , while  $N$  is non-tangential, such that  $N(\rho) = |\bar{\partial}\rho|^2$ ,  $\text{Re}(N) = |\bar{\partial}\rho| \text{Re}(\bar{\partial}_n)$ . The remark made at the end of §2.3 shows that Theorem 1 gives also a boundary condition for regularity of an harmonic function on  $\Omega$ .

**Corollary 1.** *Let  $\Omega$  be a  $C^1$ -bounded domain in  $\mathbb{H}$ . Let  $f = f_1 + f_2 j : \Omega \rightarrow \mathbb{H}$  be an harmonic function on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ . Then,  $f$  is (left-)regular on  $\Omega$  if, and only if,*

$$(N - jT)f = 0 \quad \text{on } \partial\Omega.$$

**3.2.** We now give a weak formulation of the differential criterium of  $\psi$ -regularity, which makes sense for example when the harmonic function  $f$  is only continuous on the closure  $\bar{\Omega}$ .

Let  $Harm^1(\bar{\Omega})$  denote the space of complex harmonic functions on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ . By application of the Stokes' Theorem, of the complex Green formula

$$\int_{\partial\Omega} g \bar{\partial}_n h d\sigma = \int_{\partial\Omega} h \partial_n g d\sigma \quad \forall g, h \in Harm^1(\bar{\Omega}),$$

and of the equality  $\bar{\partial}f \wedge d\zeta|_{\partial\Omega} = 2L(f)d\sigma$  on  $\partial\Omega$ , we see that the equations  $\bar{\partial}_n f_1 = -\overline{L(f_2)}$ ,  $\bar{\partial}_n f_2 = \overline{L(f_1)}$  on  $\partial\Omega$ , imply the following (complex) integral conditions: for every function  $\phi \in Harm^1(\bar{\Omega})$ ,

$$(4) \quad \int_{\partial\Omega} \overline{f_1} * \bar{\partial}\phi = \frac{1}{2} \int_{\partial\Omega} f_2 \bar{\partial}(\phi d\zeta), \quad \int_{\partial\Omega} \overline{f_2} * \bar{\partial}\phi = -\frac{1}{2} \int_{\partial\Omega} f_1 \bar{\partial}(\phi d\zeta).$$

These are equivalent to one quaternionic condition, which is then necessary for the  $\psi$ -regularity of  $f \in C^1(\bar{\Omega})$ :

$$\int_{\partial\Omega} \bar{f} \left( * \bar{\partial}\phi - \frac{1}{2} j \bar{\partial}(\phi d\zeta) \right) = 0 \quad \forall \phi \in Harm^1(\bar{\Omega}),$$

that can be rewritten also as:

$$(5) \quad \int_{\partial\Omega} \bar{f} (\bar{\partial}_n - jL) (\phi) d\sigma = 0 \quad \forall \phi \in Harm^1(\bar{\Omega}).$$

Now we consider the sufficiency of the integral condition (5) when  $f$  is only continuous on  $\bar{\Omega}$ .

**Theorem 2.** *Let  $\Omega$  be a bounded domain in  $\mathbb{H}$ , with boundary of class  $C^1$ . Let  $f : \partial\Omega \rightarrow \mathbb{H}$  be a continuous function. Then, there exists a (left-) $\psi$ -regular function  $F$  on  $\Omega$ , continuous on  $\bar{\Omega}$ , such that  $F|_{\partial\Omega} = f$ , if and only if  $f$  satisfies the condition (5).*

*Proof.* Let  $F^+$  and  $F^-$  be the  $\psi$ -regular functions defined respectively on  $\Omega$  and on  $\mathbb{C}^2 \setminus \bar{\Omega}$  by the Cauchy-Fueter integral of  $f$ :

$$F^\pm(z) = \int_{\partial\Omega} U(\zeta, z) f(\zeta) + \int_{\partial\Omega} \omega(\zeta, z) j f(\zeta).$$

From the equalities  $U(\zeta, z) = -2 * \partial_\zeta g(\zeta, z)$ ,  $\omega(\zeta, z) = -\partial_\zeta(g(\zeta, z) d\bar{\zeta})$ , we get that

$$\overline{F^-(z)} = -2 \int_{\partial\Omega} \overline{f(\zeta)} * \bar{\partial}_\zeta g(\zeta, z) + \int_{\partial\Omega} \overline{f(\zeta)} j \bar{\partial}_\zeta(g(\zeta, z) d\zeta)$$

for every  $z \notin \bar{\Omega}$ . If (5) holds, then  $F^-$  vanishes identically on  $\mathbb{C}^2 \setminus \Omega$ . From the Sokhotski-Plemelj formula (see [VS]§3.6), we then obtain that  $F^+$  is continuous on  $\bar{\Omega}$ , and  $F^+ = f$  on  $\partial\Omega$ . Conversely, if  $F \in C(\bar{\Omega})$  is a  $\psi$ -regular function on  $\Omega$  with trace  $f$  on  $\partial\Omega$ , and  $\Omega_\epsilon = \{z \in \Omega : \rho < \epsilon\}$ , then  $F$  satisfies (5) on  $\partial\Omega_\epsilon$  for every small  $\epsilon < 0$ . Passing to the limit as  $\epsilon \rightarrow 0$ , we obtain (5).

*Remark.* Using the results of §3.7 in [VS], the same conclusion can be achieved for functions  $f$  in  $L^p(\partial\Omega)$ . Moreover, in the orthogonality condition (5) it is sufficient to consider functions  $\phi \in Harm^1(\bar{\Omega})$  that are of class  $C^\infty$  on a neighbourhood of  $\bar{\Omega}$ .

**3.3.** In Theorem 2, the boundary of  $\Omega$  is not required to be connected. If  $\partial\Omega$  is connected, we can improve the result and show that only one of the complex conditions (4) is sufficient for the  $\psi$ -regularity of the harmonic extension of  $f$ .

**Theorem 3.** *Let  $\Omega$  be a bounded domain in  $\mathbb{H}$ , with connected boundary  $\partial\Omega$  of class  $C^1$ . Let  $f : \partial\Omega \rightarrow \mathbb{H}$  be a continuous function. Then, if  $f$  satisfies one of the conditions (4), there exists a (left-) $\psi$ -regular function  $F$  on  $\Omega$ , continuous on  $\overline{\Omega}$ , such that  $F|_{\partial\Omega} = f$ .*

*Proof.* Assume that

$$\int_{\partial\Omega} \overline{f_2} * \bar{\partial}\phi = -\frac{1}{2} \int_{\partial\Omega} f_1 \bar{\partial}(\phi d\zeta) \quad \forall \phi \in \text{Harm}^1(\overline{\Omega}).$$

We use the same notation as in the proof of Theorem 2. We get that

$$\begin{aligned} \overline{F^-(z)} &= -2 \int_{\partial\Omega} \overline{f(\zeta)} * \bar{\partial}_\zeta g(\zeta, z) + \int_{\partial\Omega} \overline{f(\zeta)} j \bar{\partial}_\zeta (g(\zeta, z) d\zeta) \\ &= -2 \int_{\partial\Omega} \overline{f_1(\zeta)} * \bar{\partial}_\zeta g(\zeta, z) + \int_{\partial\Omega} f_2(\zeta) \bar{\partial}_\zeta (g(\zeta, z)) \end{aligned}$$

for every  $z \notin \overline{\Omega}$ . Therefore,  $F^-$  is a complex-valued,  $\psi$ -regular function on  $\mathbb{C}^2 \setminus \overline{\Omega}$ . The system of equations (2) then implies that  $F^-$  is an holomorphic function. Since  $\partial\Omega$  is connected, from Hartogs' Theorem it follows that  $F^-$  can be holomorphically continued to the whole space. Let  $\tilde{F}^-$  be such extension. Then  $F = F^+ - \tilde{F}^-|_{\Omega}$  is a  $\psi$ -regular function on  $\Omega$ , continuous on  $\overline{\Omega}$ , such that  $F|_{\partial\Omega} = f$ . If the first condition in (4) is satisfied, it is sufficient to consider the function  $fj = -f_2 + f_1j$  in place of  $f$ .

*Remarks.* (1) The hypothesis on  $f$  in the preceding theorem is satisfied, for example, when  $f$  is of class  $C^1$  on  $\overline{\Omega}$ , harmonic on  $\Omega$ , and one of the equations  $\bar{\partial}_n f_1 = -\overline{L(f_2)}$ ,  $\bar{\partial}_n f_2 = \overline{L(f_1)}$  holds on  $\partial\Omega$ .

(2) The connectedness of  $\partial\Omega$  is a necessary condition in Theorem 3: consider a locally constant function on  $\partial\Omega$ .

The preceding result can be easily generalized in the following form:

**Corollary 2.** *Let  $\Omega$  be as above. Let  $a, b \in \mathbb{C}$  be two complex numbers such that  $(a, b) \neq (0, 0)$ .*

(i) *If  $f$  is of class  $C^1$  on  $\overline{\Omega}$ , harmonic on  $\Omega$ , and such that*

$$a \bar{\partial}_n f_1 + b \bar{\partial}_n f_2 = -a \overline{L(f_2)} + b \overline{L(f_1)} \quad \text{on } \partial\Omega,$$

*then  $f$  is  $\psi$ -regular on  $\Omega$ .*

(ii) *If  $f$  is continuous on  $\partial\Omega$ , such that*

$$\int_{\partial\Omega} (a\overline{f_1} + b\overline{f_2}) * \bar{\partial}\phi = \frac{1}{2} \int_{\partial\Omega} (af_2 - bf_1) \bar{\partial}(\phi d\zeta) \quad \forall \phi \in \text{Harm}^1(\overline{\Omega}),$$

*then there exists a  $\psi$ -regular function  $F$  on  $\Omega$ , continuous on  $\overline{\Omega}$ , such that  $F|_{\partial\Omega} = f$ .*

As we did in Corollary 1, from Theorem 3 we can deduce a boundary condition for regularity of an harmonic function on  $\Omega$ , when  $\partial\Omega$  is connected.



**Corollary 3.** *Let  $\Omega$  be as above. Let  $f = f_1 + f_2j : \Omega \rightarrow \mathbb{H}$  be an harmonic function on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ . Let  $N$  and  $T$  be the differential operators introduced in §3.1. Then, the following conditions are equivalent:*

- (i)  $f$  is (left-)regular on  $\Omega$ .
- (ii)  $N(f_1) = -\overline{T(f_2)}$  on  $\partial\Omega$ .
- (iii)  $N(f_2) = \overline{T(f_1)}$  on  $\partial\Omega$ .
- (iv)  $aN(f_1) + bN(f_2) = -a\overline{T(f_2)} + b\overline{T(f_1)}$  on  $\partial\Omega$ , for some  $a, b \in \mathbb{C}$ ,  $(a, b) \neq (0, 0)$ .

Theorem 3 can be applied, in the case of connected boundary in  $\mathbb{C}^2$ , to obtain the following result of Aronov and Kytmanov (cf. [A] and [AK]), which holds in  $\mathbb{C}^n$ ,  $n > 1$ : if  $f$  is a complex harmonic function on  $\Omega$ , of class  $C^1$  on  $\bar{\Omega}$ , such that  $\bar{\partial}_n f = 0$  on  $\partial\Omega$ , then  $f$  is holomorphic. It is sufficient to take  $f_1 = f, f_2 = 0$ .

More generally, we can deduce a differential criterium for holomorphicity of functions on a domain with connected boundary in  $\mathbb{C}^2$ , analogous to those proposed in [C] and investigated in [K1] and [K]§23.2.

**Theorem 4.** *Let  $\Omega$  be a bounded domain in  $\mathbb{C}^2$ , with connected boundary of class  $C^1$ . Let  $h = (h_1, h_2) : \Omega \rightarrow \mathbb{C}^2$  be an holomorphic mapping of class  $C^1$  on  $\bar{\Omega}$ , such that  $h(\zeta) \neq 0$  for every  $\zeta \in \partial\Omega$ .*

- (i) *If  $h_1f, h_2f \in \text{Harm}^1(\bar{\Omega})$  and  $f : \bar{\Omega} \rightarrow \mathbb{C}$  satisfies the differential condition*

$$h_1\bar{\partial}_n f = \overline{h_2L(f)} \quad \text{on } \partial\Omega,$$

*then  $f$  is holomorphic on  $\Omega$ .*

- (ii) *If  $h_1f$  and  $h_2f$  are harmonic on  $\Omega$ ,  $f : \bar{\Omega} \rightarrow \mathbb{C}$  is continuous and it satisfies the integral condition*

$$\int_{\partial\Omega} \overline{h_1f} * \bar{\partial}\phi = \int_{\partial\Omega} h_2f \bar{\partial}(\phi d\zeta) \quad \forall \phi \in \text{Harm}^1(\bar{\Omega}),$$

*then  $f$  is holomorphic on  $\Omega$ .*

*Proof.* It is sufficient to prove (ii). Let  $h'_2 = -2h_2$ . From Theorem 3 we get that the harmonic function  $h'_2f + h_1fj$  is  $\psi$ -regular on  $\Omega$ . Let  $\Omega_\epsilon = \{z \in \Omega : \rho < \epsilon\}$  for a small  $\epsilon < 0$ . Then the following equalities hold on  $\partial\Omega_\epsilon$ :

$$\bar{\partial}_n(h_1f) = \overline{L(h'_2f)}, \quad \bar{\partial}_n(h'_2f) = -\overline{L(h_1f)}.$$

From the holomorphicity of  $h$ , we then obtain

$$h_1\bar{\partial}_n(f) = \overline{h'_2L(f)}, \quad h'_2\bar{\partial}_n(f) = -\overline{h_1L(f)} \quad \text{on } \partial\Omega_\epsilon,$$

which implies  $\bar{\partial}_n f = L(f) = 0$  on  $\partial\Omega_\epsilon$  for every  $\epsilon$  sufficiently small, such that  $h \neq 0$  on  $\partial\Omega_\epsilon$ . This means that there exists an holomorphic extension  $F_\epsilon$  of  $f$  on  $\Omega_\epsilon$ . From the equality of the harmonic functions  $h_jF_\epsilon = h_jf$  on  $\Omega_\epsilon$ , for  $j = 1, 2$ , we get  $F_\epsilon = f|_{\Omega_\epsilon}$ . Then  $f$  is holomorphic on the whole domain  $\Omega$ .

*Remark.* The class of functions  $f(z_1, z_2)$ , such that  $h_1f, h_2f$  are harmonic,  $h_1, h_2$  holomorphic, is wide also in the case when  $h_1, h_2$  are non-constant. For example, if  $h_1 = z_1, h_2 = 1$  and  $f$  is any harmonic function, holomorphic with respect to  $z_1$ , then  $\Delta(h_1f) = \Delta(h_2f) = 0$ .

4. REGULARITY AND THE  $\bar{\partial}$ -NEUMANN PROBLEM

**4.1.** The  $\bar{\partial}$ -Neumann problem for complex functions  $\square f = \psi$  in  $\Omega$ ,  $\bar{\partial}_n f = 0$  on  $\partial\Omega$  is equivalent, in the smooth case, to the problem

$$\bar{\partial}_n g = \phi \text{ on } \partial\Omega, \quad g \text{ harmonic in } \Omega$$

(see [K]§14). The compatibility condition for this problem is

$$(6) \quad \int_{\partial\Omega} \phi \bar{h} d\sigma = 0$$

for every  $h$  holomorphic in a neighbourhood of  $\bar{\Omega}$ . We now use the solvability of this problem in strongly pseudoconvex domains of  $\mathbb{C}^2$  to obtain some results on regular functions.

Let  $\Omega$  be a bounded domain in  $\mathbb{C}^2$  with connected,  $C^\infty$ -smooth boundary. We denote by  $W^s(\Omega)$  ( $s \geq 1$ ) the complex Sobolev space, and by  $G^s(\Omega)$  the space of harmonic functions in  $W^s(\Omega)$ . The space  $G^s(\Omega)$  is isomorphic to  $W^{s-1/2}(\partial\Omega)$  through the restriction operator.

**Theorem 5.** *Let  $\Omega$  be a bounded strongly pseudoconvex domain in  $\mathbb{C}^2$  with connected boundary of class  $C^\infty$ . Let  $f_1 \in W^{s-1/2}(\partial\Omega)$ , where  $s \geq 3$ . We identify  $f_1$  with its harmonic extension in  $G^s(\Omega)$ . Then there exists a function  $f_2 \in G^{s-2}(\Omega)$  such that  $f = f_1 + f_2 j$  is a  $\psi$ -regular function on  $\Omega$ .*

*Proof.* We show that the function  $\phi = \overline{L(f_1)} \in G^{s-1}(\Omega)$  satisfies the compatibility condition (6). If  $h$  is holomorphic in a neighbourhood of  $\bar{\Omega}$ ,

$$\int_{\partial\Omega} L(f_1) h d\sigma = \frac{1}{2} \int_{\partial\Omega} h \bar{\partial}(f_1 dz) = 0,$$

since  $h$  is a CR function on  $\partial\Omega$ . Then we can apply a result of Kytmanov ([K]§18.2) and get a solution  $f_2 \in G^{s-2}(\Omega)$  of the  $\bar{\partial}$ -Neumann problem  $\bar{\partial}_n f_2 = \overline{L(f_1)}$  on  $\partial\Omega$ . If  $s \geq 5$ , then  $f = f_1 + f_2 j$  is continuous on  $\bar{\Omega}$  by Sobolev embedding. From Theorem 3 we get that  $f$  is  $\psi$ -regular on  $\Omega$ , since it satisfies the second condition in (4). In any case,  $f_2 \in L^2(\partial\Omega)$  since  $s \geq 3$ . Then the result follows from the  $L^2(\partial\Omega)$ -version of Theorem 3, that can be proved as before using the results in [VS]§3.7.

*Remark.* There is a unique solution  $f_2$  of  $\bar{\partial}_n f_2 = \overline{L(f_1)}$  on  $\partial\Omega$  that is orthogonal to holomorphic functions in  $L^2(\partial\Omega)$ . It is given by the bounded Neumann operator  $N_\Omega$ :  $f_2 = N_\Omega(\overline{L(f_1)})$ .

**Corollary 4.** *Suppose  $\Omega$  is a bounded strongly pseudoconvex domain in  $\mathbb{C}^2$  with connected boundary of class  $C^\infty$ . Let  $f_1 : \partial\Omega \rightarrow \mathbb{C}$  be of class  $C^\infty$ . Then there exists a  $\psi$ -regular function  $f$  on  $\Omega$ , of class  $C^\infty$  on  $\bar{\Omega}$ , such that the first complex component of the restriction  $f|_{\partial\Omega}$  to  $\partial\Omega$  is  $f_1$ .*

*Remark.* The preceding statements remain true if  $\Omega$  is a bounded weakly pseudoconvex domain in  $\mathbb{C}^2$  with connected real-analytic boundary, since on these domains the  $\bar{\partial}$ -Neumann problem for smooth functions is solvable (cf. [K]§18).

**4.2.** We denote by  $M(\Omega)$  the right  $\mathbb{H}$ -module of (left-) $\psi$ -regular functions on  $\Omega$  and by  $M^\infty(\Omega)$  the functions in  $M(\Omega)$  that are of class  $C^\infty$  on  $\bar{\Omega}$ . We consider the space  $Hol(\Omega, \mathbb{C}^2)$  as a real subspace of  $M(\Omega)$  by identification of the map  $(f_1, f_2)$  with  $f = f_1 + f_2j$ .

If  $\Omega$  is pseudoconvex, it follows from what observed in §2.3 that the map that associates to  $f = f_1 + f_2j$  the first complex component  $f_1$  induces an isomorphism between the quotient real spaces  $M(\Omega)/Hol(\Omega, \mathbb{C}^2)$  and  $Harm(\Omega)/\mathcal{O}(\Omega)$ .

Now we are also interested in the regularity up to the boundary of the functions. Let  $A^\infty(\Omega, \mathbb{C}^2) = Hol(\Omega, \mathbb{C}^2) \cap C^\infty(\bar{\Omega}, \mathbb{C}^2)$  be identified with a  $\mathbb{R}$ -subspace of  $M^\infty(\Omega)$ .

Let  $C : M^\infty(\Omega) \rightarrow C^\infty(\partial\Omega)$  be the linear operator that associates to  $f = f_1 + f_2j$  the restriction to  $\partial\Omega$  of its first complex component  $f_1$ . From the Corollary 4 and the remark preceding it, we get a right inverse  $R$  of  $C$ . The function  $R(f_1)$  is uniquely determined by the orthogonality condition with respect to the functions holomorphic on a neighbourhood of  $\bar{\Omega}$ :

$$\int_{\partial\Omega} (R(f_1) - f_1)\bar{h}d\sigma = 0 \quad \forall h \in \mathcal{O}(\bar{\Omega}).$$

Note that  $f_1 \in CR(\partial\Omega) \cap C^\infty(\partial\Omega)$  if and only if  $R(f_1) \in A^\infty(\Omega, \mathbb{C}^2)$ . Besides, the operator  $C$  has kernel

$$\ker C = \{f_2j : f_2 \in A^\infty(\Omega)\} = A^\infty(\Omega)j$$

where  $A^\infty(\Omega)$  is the space of the holomorphic functions on  $\Omega$  that are  $C^\infty$  up to the boundary. Then  $C$  induces the following isomorphism of real spaces:

$$\tilde{C} : \frac{M^\infty(\Omega)}{A^\infty(\Omega)j} \rightarrow C^\infty(\partial\Omega).$$

**Corollary 5.** *Let  $\Omega$  be a bounded domain in  $\mathbb{C}^2$  with connected boundary. Suppose that  $\Omega$  is a strongly pseudoconvex domain of class  $C^\infty$  or a weakly pseudoconvex domain with real-analytic boundary. Then the operator  $C$  induces an isomorphism of real spaces:*

$$\hat{C} : \frac{M^\infty(\Omega)}{A^\infty(\Omega, \mathbb{C}^2)} \rightarrow \frac{C^\infty(\partial\Omega)}{CR(\partial\Omega)}.$$

*Remark.* If  $\Omega$  is a  $C^\infty$ -smooth bounded pseudoconvex domain, from application of Kohn's Theorem on the solvability of the  $\bar{\partial}$ -problem to the equation  $*\bar{\partial}f_1 = -\frac{1}{2}\partial(\bar{f}_2d\bar{z}_1 \wedge d\bar{z}_2)$  we can still deduce the isomorphism of Corollary 5.

## 5. THE CASE OF THE UNIT BALL IN $\mathbb{C}^2$

**5.1.** Let  $\Omega$  be the unit ball  $B$  in  $\mathbb{C}^2$ ,  $S = \partial B$  the unit sphere. In this case the operators  $\bar{\partial}_n$  and  $L$  have the following forms:

$$\bar{\partial}_n = \bar{z}_1 \frac{\partial}{\partial \bar{z}_1} + \bar{z}_2 \frac{\partial}{\partial \bar{z}_2}, \quad L = z_2 \frac{\partial}{\partial z_1} - z_1 \frac{\partial}{\partial z_2}$$

and they preserve harmonicity. Theorem 3 and Corollary 2 can be applied to get differential conditions that characterize the homogeneous polynomials whose

restrictions to  $S$  extend regularly (or  $\psi$ -regularly) into  $B$ . We use a computation made by Kytmanov in [K1] (cf. also [K] Corollary 23.4), where the analogous result for holomorphic extensions is proved.

Let  $\Delta = \frac{\partial^2}{\partial z_1 \partial \bar{z}_1} + \frac{\partial^2}{\partial z_2 \partial \bar{z}_2}$  be the Laplacian in  $\mathbb{C}^2$  and  $D_k$  the differential operator

$$D_k = \sum_{0 \leq l \leq k/2-1} \frac{(k-2l-1)!(2l-1)!!}{k!(l+1)!} 2^l \Delta^{l+1}.$$

**Theorem 6.** *Let  $f = f_1 + f_2 j$  be a  $\mathbb{H}$ -valued, homogeneous polynomial of degree  $k$ . Then its restriction to  $S$  extends as a  $\psi$ -regular function into  $B$  if, and only if,*

$$(\bar{\partial}_n - D_k)f_1 + \overline{L(f_2)} = 0 \quad \text{on } S.$$

*More generally, the harmonic extension of  $f|_S$  is  $\psi$ -regular on  $B$  if there exists a pair of complex numbers  $(a, b) \neq (0, 0)$  such that*

$$(\bar{\partial}_n - D_k)(af_1 + bf_2) + \overline{L(\bar{a}f_2 - \bar{b}f_1)} = 0 \quad \text{on } S.$$

*Proof.* We can proceed as in [K1]. The harmonic extension  $\tilde{f}_1$  of  $f_1|_S$  into  $B$  is given by Gauss's formula:  $\tilde{f}_1 = \sum_{s \geq 0} g_{k-2s}$ , where  $g_{k-2s}$  is the homogeneous harmonic polynomial of degree  $k-2s$  defined by

$$(6) \quad g_{k-2s} = \frac{k-2s+1}{s!(k-s+1)!} \sum_{j \geq 0} \frac{(-1)^j (k-j-2s)!}{j!} |z|^{2j} \Delta^{j+s} f_1.$$

Then  $\bar{\partial}_n \tilde{f}_1 = \bar{\partial}_n f_1 - D_k f_1$  on  $S$  (cf. [K]§23) and the conclusion follows from Theorem 3. The last part is a consequence of Corollary 2.

Let  $\gamma$  be the reflection introduced at the end of §2.3. The operator  $D_k$  is  $\gamma$ -invariant, i.e.  $D_k(f \circ \gamma) = D_k(f) \circ \gamma$ , since  $\Delta$  is invariant. It follows a criterium for regularity of homogeneous polynomials.

**Corollary 6.** *Let  $f = f_1 + f_2 j$  be a  $\mathbb{H}$ -valued, homogeneous polynomial of degree  $k$ . Then its restriction to  $S$  extends as a regular function into  $B$  if, and only if,*

$$(N - D_k)f_1 + \overline{T(f_2)} = 0 \quad \text{on } S.$$

*The same conclusion holds if there exists a pair of complex numbers  $(a, b) \neq (0, 0)$  such that*

$$(N - D_k)(af_1 + bf_2) + \overline{T(\bar{a}f_2 - \bar{b}f_1)} = 0 \quad \text{on } S.$$

**5.2.** Now we give a formulation of Corollary 4 that holds for homogeneous polynomials. Let  $\mathcal{P}_k$  denote the space of homogeneous complex-valued polynomials of degree  $k$  on  $\mathbb{C}^2$ , and  $\mathcal{H}_k$  the space of harmonic polynomials in  $\mathcal{P}_k$ . The space  $\mathcal{H}_k$  is the sum of the pairwise  $L^2(S)$ -orthogonal spaces  $\mathcal{H}_{p,q}$  ( $p+q=k$ ), whose elements are the harmonic homogeneous polynomials of degree  $p$  in  $z_1, z_2$  and  $q$  in  $\bar{z}_1, \bar{z}_2$  (cf. for example [R]§12.2). The spaces  $\mathcal{H}_k$  and  $\mathcal{H}_{p,q}$  can be identified with the spaces of the restrictions of their elements to  $S$  (*spherical harmonics*). These spaces will be denoted by  $\mathcal{H}_k(S)$  and  $\mathcal{H}_{p,q}(S)$  respectively.

Let  $U_k^\psi$  be the right  $\mathbb{H}$ -module of (left) $\psi$ -regular homogeneous polynomials of degree  $k$ .

**Theorem 7.** *For every  $f_1 \in \mathcal{P}_k$ , there exists  $f_2 \in \mathcal{P}_k$  such that the trace of  $f = f_1 + f_2j$  on  $S$  extends as a  $\psi$ -regular polynomial of degree at most  $k$  on  $\mathbb{H}$ . If  $f_1 \in \mathcal{H}_k$ , then  $f_2 \in \mathcal{H}_k$  and  $f = f_1 + f_2j \in U_k^\psi$ .*

*Proof.* We can suppose that  $f_1$  has degree  $p$  in  $z$  and  $q$  in  $\bar{z}$ ,  $p + q = k$ , and then extend by linearity. Let  $\tilde{f}_1 = \sum_{s \geq 0} g_{p-s, q-s}$  be the harmonic extension of  $f_1$  into  $B$ , where  $g_{p-s, q-s} \in \mathcal{H}_{p-s, q-s}$  is given by formula (6). Then  $\overline{\partial_n L(g_{p-s, q-s})} = (p - s + 1)L(g_{p-s, q-s})$ . We set

$$\tilde{f}_2 = \sum_{s \geq 0} \frac{1}{p - s + 1} \overline{L(g_{p-s, q-s})} \in \bigoplus_{s \geq 0} \mathcal{H}_{k-2s}.$$

Then  $\overline{\partial_n \tilde{f}_2} = \overline{L(\tilde{f}_1)}$  on  $S$  and it follows from Theorem 3 that  $\tilde{f} = \tilde{f}_1 + \tilde{f}_2j$  is a  $\psi$ -regular polynomial of degree at most  $k$ . Now it suffices to define

$$f_2 = \sum_{s \geq 0} \frac{|z|^{2s}}{p - s + 1} \overline{L(g_{p-s, q-s})} \in \mathcal{P}_k$$

to get a homogeneous polynomial  $f = f_1 + f_2j$ , of degree  $k$ , that has the same restriction to  $S$  as  $\tilde{f}$ . If  $f_1 \in \mathcal{H}_k$ , then  $\tilde{f}_1 = f_1$ ,  $\tilde{f}_2 = f_2$  and therefore  $f \in U_k^\psi$ .

*Remark.* The function  $\tilde{f}$  in the preceding proof is the image  $R(f_1|_S)$  under the action of the operator  $R$  described in §4.2. In particular,  $R : \mathcal{H}_k(S) \rightarrow U_k^\psi$  is a right inverse of the restriction operator  $C$ .

**Corollary 7.** (i) *The restriction operator  $C$  defined on  $U_k^\psi$  induces isomorphisms of real vector spaces*

$$\frac{U_k^\psi}{\mathcal{H}_{k,0}j} \simeq \mathcal{H}_k(S), \quad \frac{U_k^\psi}{\mathcal{H}_{k,0} + \mathcal{H}_{k,0}j} \simeq \frac{\mathcal{H}_k(S)}{\mathcal{H}_{k,0}(S)}.$$

(ii)  $U_k^\psi$  has dimension  $\frac{1}{2}(k+1)(k+2)$  over  $\mathbb{H}$ .

*Proof.* The first part follows from  $\ker C = \{f = f_1 + f_2j \in U_k^\psi : f_1 = 0 \text{ on } S\} = \mathcal{H}_{k,0}j$ . Part (ii) can be obtained from any of the above isomorphisms, since  $\mathcal{H}_{k,0}$  (as every space  $\mathcal{H}_{p,q}$ ,  $p + q = k$ ) and  $\mathcal{H}_k(S)$  have real dimensions respectively  $2(k+1)$  and  $2(k+1)^2$ .

As an application of Corollary 7, we have another proof of the known result (see [S] Theorem 7) that the right  $\mathbb{H}$ -module  $U_k$  of left-regular homogeneous polynomials of degree  $k$  has dimension  $\frac{1}{2}(k+1)(k+2)$  over  $\mathbb{H}$ .

The operator  $R : \mathcal{H}_k(S) = \bigoplus_{p+q=k} \mathcal{H}_{p,q}(S) \rightarrow U_k^\psi$  can also be used to obtain  $\mathbb{H}$ -bases for  $U_k^\psi$  starting from bases of the complex spaces  $\mathcal{H}_{p,q}(S)$ . On  $\mathcal{H}_{p,q}(S)$ ,  $R$  acts in the following way:

$$R(h) = h + M(h)j, \quad \text{where } M(h) = \frac{1}{p+1} \overline{L(h)} \in \mathcal{H}_{q-1, p+1} \quad (h \in \mathcal{H}_{p,q})$$

Note that  $M \equiv 0$  on  $\mathcal{H}_{k,0}(S)$ . If  $q > 0$ ,  $M^2 = -Id$  on  $\mathcal{H}_{p,q}(S)$ , since  $qh = \overline{\partial_n h} = -\overline{L(M(h))}$  on  $S$ , and therefore

$$h = -\frac{1}{q} \overline{L(M(h))} = -\frac{1}{q(p+1)} \overline{LL(h)} = -M^2(h).$$

If  $k = 2m + 1$  is odd, then  $M$  is a complex conjugate isomorphism of  $\mathcal{H}_{m,m+1}(S)$ . Then  $M$  induces a quaternionic structure on this space, which has real dimension  $4(m + 1)$ . We can find complex bases of  $\mathcal{H}_{m,m+1}(S)$  of the form

$$\{h_1, M(h_1), \dots, h_{m+1}, M(h_{m+1})\}.$$

**Theorem 8.** Let  $\mathcal{B}_{p,q}$  denote a complex base of the space  $\mathcal{H}_{p,q}(S)$  ( $p + q = k$ ). Then:

(i) if  $k = 2m$  is even, a basis of  $U_k^\psi$  over  $\mathbb{H}$  is given by the set

$$\mathcal{B}_k = \{R(h) : h \in \mathcal{B}_{p,q}, p + q = k, 0 \leq q \leq p \leq k\}.$$

(ii) if  $k = 2m + 1$  is odd, a basis of  $U_k^\psi$  over  $\mathbb{H}$  is given by

$$\mathcal{B}_k = \{R(h) : h \in \mathcal{B}_{p,q}, p + q = k, 0 \leq q < p \leq k\} \cup \{R(h_1), \dots, R(h_{m+1})\},$$

where  $h_1, \dots, h_{m+1}$  are chosen such that the set

$$\{h_1, M(h_1), \dots, h_{m+1}, M(h_{m+1})\}$$

forms a complex basis of  $\mathcal{H}_{m,m+1}(S)$ .

If the bases  $\mathcal{B}_{p,q}$  are orthogonal in  $L^2(S)$  and  $h_1, \dots, h_{m+1} \in \mathcal{H}_{m,m+1}(S)$  are mutually orthogonal, then  $\mathcal{B}_k$  is orthogonal, with norms

$$\|R(h)\|_{L^2(S, \mathbb{H})} = \left(\frac{p + q + 1}{p + 1}\right)^{1/2} \|h\|_{L^2(S)} \quad (h \in \mathcal{B}_{p,q})$$

w.r.t. the scalar product of  $L^2(S, \mathbb{H})$ .

*Proof.* From dimension count, it suffices to prove that the sets  $\mathcal{B}_k$  are linearly independent. When  $q \leq p$ ,  $q' \leq p'$ ,  $p + q = p' + q' = k$ , the spaces  $\mathcal{H}_{p,q}$  and  $\mathcal{H}_{q'-1, p'+1}$  are distinct. Since  $R(h) = h + M(h)j \in \mathcal{H}_{p,q} \oplus \mathcal{H}_{q-1, p+1}j$ , this implies the independence over  $\mathbb{H}$  of the images  $\{R(h) : h \in \mathcal{B}_{p,q}\}$ . It remains to consider the case when  $k = 2m + 1$  is odd. If  $h \in \mathcal{H}_{m,m+1}(S)$ , the complex components  $h$  and  $M(h)$  of  $R(h)$  belongs to the same space. The independence of  $\{R(h_1), \dots, R(h_{m+1})\}$  over  $\mathbb{H}$  follows from the particular form of the complex basis chosen in  $\mathcal{H}_{m,m+1}(S)$ .

The scalar product of  $L(h)$  and  $L(h')$  in  $\mathcal{H}_{p,q}(S)$  is

$$(L(h), L(h')) = (h, L^*L(h')) = -(h, \bar{L}L(h')) = q(p + 1)(h, h'),$$

since the adjoint  $L^*$  is equal to  $-\bar{L}$  (cf. [R]§18.2.2) and  $\bar{L}L = q(p + 1)M^2 = -q(p + 1)Id$ . Therefore, if  $h, h'$  are orthogonal,  $M(h)$  and  $M(h')$  are orthogonal in  $\mathcal{H}_{q-1, p+1}$  and then also  $R(h)$  and  $R(h')$ . Finally, the norm of  $R(h)$ ,  $h \in \mathcal{H}_{p,q}(S)$ , is

$$\|R(h)\|^2 = \|h\|^2 + \|M(h)\|^2 = \|h\|^2 + \frac{1}{(p + 1)^2} \|L(h)\|^2 = \frac{p + q + 1}{p + 1} \|h\|^2$$

and this concludes the proof.

*Remark.* From Theorem 8 it is immediate to obtain also bases of the right  $\mathbb{H}$ -module  $U_k$  of left-regular homogeneous polynomials of degree  $k$ .

**Examples.** (i) The case  $k = 2$ . Starting from the orthogonal bases  $\mathcal{B}_{2,0} = \{z_1^2, z_1 z_2, z_2^2\}$  of  $\mathcal{H}_{2,0}$  and  $\mathcal{B}_{1,1} = \{z_1 \bar{z}_2, z_2 \bar{z}_1, |z_1|^2 - |z_2|^2\}$  of  $\mathcal{H}_{1,1}$  we get the orthogonal basis

$$\mathcal{B}_2 = \{z_1^2, z_1 z_2, z_2^2, z_1 \bar{z}_2 - \frac{1}{2} \bar{z}_1^2 j, z_2 \bar{z}_1 + \frac{1}{2} \bar{z}_2^2 j, |z_1|^2 - |z_2|^2 + \bar{z}_1 \bar{z}_2 j\}$$

of the six-dimensional right  $\mathbb{H}$ -module  $U_2^\psi$ .

(ii) The case  $k = 3$ . From the orthogonal bases

$$\mathcal{B}_{3,0} = \{z_1^3, z_1^2 z_2, z_1 z_2^2, z_2^3\}, \quad \mathcal{B}_{2,1} = \{z_1^2 \bar{z}_2, z_2^2 \bar{z}_1, 2z_2 |z_1|^2 - z_2 |z_2|^2, 2z_1 |z_2|^2 - z_1 |z_1|^2\},$$

$$\mathcal{B}_{1,2} = \{h_1 = z_2 \bar{z}_1^2, M(h_1) = z_1 \bar{z}_2^2, h_2 = 2\bar{z}_2 |z_1|^2 - \bar{z}_2 |z_2|^2, M(h_2) = 2\bar{z}_1 |z_2|^2 - \bar{z}_1 |z_1|^2\},$$

we get the orthogonal basis

$$\begin{aligned} \mathcal{B}_3 = & \{z_1^3, z_1^2 z_2, z_1 z_2^2, z_2^3, z_1^2 \bar{z}_2 - \frac{1}{3} \bar{z}_1^3 j, z_2^2 \bar{z}_1 + \frac{1}{3} \bar{z}_2^3 j, 2z_2 |z_1|^2 - z_2 |z_2|^2 + \bar{z}_1 \bar{z}_2^2 j, \\ & 2z_1 |z_2|^2 - z_1 |z_1|^2 - \bar{z}_1^2 \bar{z}_2 j, z_2 \bar{z}_1^2 + z_1 \bar{z}_2^2 j, 2\bar{z}_2 |z_1|^2 - \bar{z}_2 |z_2|^2 + (2\bar{z}_1 |z_2|^2 - \bar{z}_1 |z_1|^2) j\}. \end{aligned}$$

of the ten-dimensional right  $\mathbb{H}$ -module  $U_3^\psi$ .

In general, for any  $k$ , an orthogonal basis of  $\mathcal{H}_{p,q}$  ( $p + q = k$ ) is given by the polynomials  $\{P_{q,l}^k\}_{l=0,\dots,k}$  defined by formula (6.14) in [S]. The basis of  $U_k$  obtained from these bases by means of Theorem 8 and applying the reflection  $\gamma$  is essentially the same given in Proposition 8 of [S].

Another spanning set of the space  $\mathcal{H}_{p,q}$  is given by the functions

$$g_\alpha^{p,q}(z_1, z_2) = (z_1 + \alpha z_2)^p (\bar{z}_2 - \alpha \bar{z}_1)^q \quad (\alpha \in \mathbb{C})$$

(cf. [R]§12.5.1). Since  $M(g_\alpha^{p,q}) = \frac{(-1)^q q \bar{\alpha}^{p+q}}{p+1} g_{-1/\bar{\alpha}}^{q-1, p+1}$  for  $\alpha \neq 0$  and  $M(g_0^{p,q}) = -\frac{q}{p+1} z_2^{q-1} \bar{z}_1^{p+1}$ , where we set  $g_\alpha^{p,q} \equiv 0$  if  $p < 0$ , from Theorem 8 we get that  $U_k^\psi$  is spanned over  $\mathbb{H}$  by the polynomials

$$R(g_\alpha^{p,q}) = \begin{cases} g_\alpha^{p,q} + \frac{(-1)^q q \bar{\alpha}^{p+q}}{p+1} g_{-1/\bar{\alpha}}^{q-1, p+1} j & \text{for } \alpha \neq 0 \\ z_1^p \bar{z}_2^q - \frac{q}{p+1} z_2^{q-1} \bar{z}_1^{p+1} j & \text{for } \alpha = 0 \end{cases} \quad (\alpha \in \mathbb{C}, p + q = k)$$

Any choice of  $k + 1$  distinct numbers  $\alpha_0, \alpha_1, \dots, \alpha_k$  gives rise to a basis of  $U_k^\psi$ .

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