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# A Two-Step Iterative Inexact-Newton Method for Electromagnetic Imaging of Dielectric Structures from Real Data

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**Abstract** – A new inverse scattering method is assessed against some of the real input data measured by the Institut Fresnel, Marseille, France. The method is based on the application of an Inexact-Newton method to the Lippmann-Schwinger integral equation of the inverse scattering problem within the second-order Born approximation. The regularization properties of the approach are evaluated by considering the reconstruction of multiple dielectric cylinders.

# 1. Introduction

In the last years, the inverse scattering problem has been addressed by using several different approaches in order to face the various well-known problematic aspects (e.g., nonlinearity, ill-posedness, ill-conditioning, etc.).

Several inversion methods are now available [1]-[11], especially for two-dimensional configurations. They can be grouped into two main categories. The first one includes deterministic and stochastic methods aimed at facing the nonlinear equations of the inverse scattering problem without approximations different from the numerical ones. The second category includes simplified methods based on some kind of approximation.

The aim of the present paper is to assess (by using the new real data collected by the Institut Fresnel, Marseille, France) the reconstruction capabilities of a new inverse method, which combines

a regularization Inexact-Newton method with the inverse-scattering formulation developed in the framework of the second-order Born approximation.

Although accurate reconstructions could be expected only for weakly scattering objects, the new approach has been proven (through numerical simulations [12]) to be very efficient in regularizing the ill-posed inverse problem, particularly robust against the noise on the input data, and computationally efficient, since the internal distribution of the total electric field (or related quantities), which changes for each illumination, is not to be retrieved.

The results shown in the present paper represent the first validation of the approach against real experimental data.

The paper is organized as follows. In section 2, the inversion method is briefly outlined, whereas the results of the dielectric reconstructions of some sets of real input data are reported in Section 3. Finally, Section 4 draws some conclusions.

# 2. Mathematical Formulation

The dielectric properties of the investigation area (which includes the cross sections of the scatterers and is denoted by  $\Omega_{inv}$ ) are represented by means of the complex contrast function  $\chi(\mathbf{r})$ ,  $\mathbf{r} \in \Omega_{inv}$ , which is defined as

$$\boldsymbol{\chi}(\mathbf{r}) = \boldsymbol{\varepsilon}_r(\mathbf{r}) - 1, \tag{1}$$

where  $\mathcal{E}_r(\mathbf{r})$  is the complex relative dielectric permittivity at point  $\mathbf{r} \in \Omega_{inv}$ .

Omitting the  $e^{j\omega t}$  time dependence, the 2D-TM inverse scattering problem can be expressed by the following integral formulation

$$u_{scatt}^{(\nu)}(\mathbf{r}) = -k^2 \int_{\Omega_{in\nu}} \chi(\mathbf{r}') u_{tot}^{(\nu)}(\mathbf{r}') g(\mathbf{r}, \mathbf{r}') d\mathbf{r}' = F^{(\nu)} \left[ \chi u_{tot}^{(\nu)} \right] (\mathbf{r}), \ \nu = 1, \dots, V$$
(2)

where  $u_{tot}^{(v)}(\mathbf{r})$  denotes the unknown total electric field, superscript v indicates that the relative quantities are related to the v-th view, being V the total number of views, k is the free-space wavenumber given by  $k = \omega \sqrt{\varepsilon_0 \mu_0}$ , and  $g(\mathbf{r}, \mathbf{r'})$  is the free-space Green's function [13].

The electromagnetic inverse scattering problem consists in retrieving (a good approximation of) the complex contrast function  $\chi$ , starting from the knowledge of the total electric fields  $u_{meas}^{(v)}(\mathbf{r})$ , for v = 1, ..., V, in a region of observation  $\Omega_{obs}$  located outside the investigation domain  $\Omega_{inv}$ . This inverse problem leads to the following nonlinear equation with respect to the unknown  $\chi$ 

$$F[\chi u_{tot}^{(\nu)}](\mathbf{r}) + u_{inc}^{(\nu)}(\mathbf{r}) = u_{meas}^{(\nu)}(\mathbf{r}), \mathbf{r} \in \Omega_{obs}, \nu = 1,..,V,$$
(3)

where  $u_{inc}^{(\nu)}(\mathbf{r})$ ,  $\mathbf{r} \in \Omega_{obs}$ , is the known incident electric field in the observation region related to the *v*-th illumination.

In this paper, the second-order Born approximation is exploited, i. e.,

$$u_{scatt}^{(\nu)}(\mathbf{r}) \cong -k^{2} \int_{\Omega_{in\nu}} \chi(\mathbf{r}') \left[ u_{inc}^{(\nu)}(\mathbf{r}') - k^{2} \int_{\Omega_{in\nu}} \chi(\mathbf{r}'') u_{inc}^{(\nu)}(\mathbf{r}'') g(\mathbf{r}', \mathbf{r}'') d\mathbf{r}' \right] g(\mathbf{r}, \mathbf{r}') d\mathbf{r}' = \widetilde{F}^{(\nu)}[\chi](\mathbf{r}).$$

$$\tag{4}$$

The solution of equation (3) is then recasted as the solution of the following equation

$$\widetilde{F}^{(\nu)}[\boldsymbol{\chi}](\mathbf{r}) + u_{inc}^{(\nu)}(\mathbf{r}) = u_{meas}^{(\nu)}(\mathbf{r}), \mathbf{r} \in \Omega_{obs}, \nu = 1,..,V.$$
(5)

It is worth noting that, although equation (5) is still nonlinear, now the nonlinearity is limited to the contrast function. Furthermore,  $u_{tot}^{(v)}(\mathbf{r})$ ,  $\mathbf{r} \in \Omega_{inv}$ , is not needed, leading to a significant reduction in the computational load. In our approach, equation (5) is solved by using an iterative regularization method based on an Inexact-Newton scheme: At any step, equation (5) is first linearized (outer iteration), and the generalized solution of such a linear equation is approximated and regularized by using the iterative Landweber method [14] [15] for linear operators (inner iteration).

From (4), we can write that

$$\widetilde{F}^{(\nu)}(\chi+h) - \widetilde{F}^{(\nu)}(\chi) = \widetilde{F}_{\chi}^{(\nu)} h + O(\|h\|^2),$$
(6)

where  $\widetilde{F}_{\chi}^{(\nu)}$  is the Fréchet derivative of the operator  $\widetilde{F}^{(\nu)}$  at the point  $\chi$ , defined as follows

$$\left[\widetilde{F}_{\chi}^{(\nu)}h\right](\mathbf{r}) = \left[F_{1}^{(\nu)}h\right](\mathbf{r}) - k^{2} \int_{\Omega_{inv}} \chi(\mathbf{r}') \left[F_{1}^{(\nu)}h\right](\mathbf{r}')g(\mathbf{r},\mathbf{r}')d\mathbf{r}' - k^{2} \int_{\Omega_{inv}} h(\mathbf{r}') \left[F_{1}^{(\nu)}\chi\right](\mathbf{r}')g(\mathbf{r},\mathbf{r}')d\mathbf{r}', \quad (7)$$

and  $F_1^{(v)}$  denotes the first order Born approximation

$$\left[F_{1}^{(\nu)}\chi\right](\mathbf{r}) = -k^{2} \int_{\Omega_{in\nu}} \chi(\mathbf{r}') u_{inc}^{(\nu)}(\mathbf{r}') g(\mathbf{r},\mathbf{r}') d\mathbf{r}'.$$
(8)

Linearization (6) leads to the Newton method as follows. Let  $\chi_0$  be a complex contrast used as initial guess. For j = 0, 1, ..., compute  $\chi_{j+1} = \chi_j + h_j$ , where  $h_j \in X$  solves the linear problem

$$\widetilde{F}_{\chi_j}^{(\nu)} h_j = u_{meas}^{(\nu)} - u_{inc}^{(\nu)} - \widetilde{F}^{(\nu)}(\chi_j), \quad \nu = 1, ..., V,$$
(9)

and repeat until some pre-specified stopping rule holds true.

Since (4) involves Fredholm integral operators of the first kind onto the infinite dimensional space of all the contrast functions  $\chi$ , equation (5) gives rise to an ill-posed inverse problem. It follows that any Newton step (equation (9)) can be ill-posed, too, since it is a linear approximation of the ill-posed equation (5) under linearization (6) [16].

Under these arguments, instead of solving (9) directly, we search for a regularized solution of the normal, or least square, equation

$$\sum_{\nu=1}^{V} \widetilde{F}_{\chi_{j}}^{(\nu)} * \widetilde{F}_{\chi_{j}}^{(\nu)} h_{j} = \sum_{\nu=1}^{V} \widetilde{F}_{\chi_{j}}^{(\nu)} * \left( u_{meas}^{(\nu)} - u_{inc}^{(\nu)} - \widetilde{F}^{(\nu)}(\chi_{j}) \right),$$
(10)

where as usual  $\widetilde{F}_{\chi_j}^{(\nu)*}$  denotes the adjoint operator of the Fréchet derivative  $\widetilde{F}_{\chi_j}^{(\nu)*}$ . As already mentioned, in the present paper we use the Landweber iterative regularization method. In this method, the number of iterations plays the role of a regularization parameter. Indeed, in the case of noisy data, it can be easily proven that the first iterations provide noise filtering, while the subsequent ones restore from components with higher noise, and then the iterative solution becomes more and more inaccurate [14]. Besides its easy implementation, it is known that this method presents very good regularization and robustness features (some recent applications can be found in [2][17]-[19]).

In order to apply the Landweber method to equation (10), let  $h_{j,0} \equiv 0$  be the initial guess and let  $k_{\max}$  be a pre-assigned total number of iterations. Compute, for a fixed convergence parameter  $0 < \tau_j < 2 \left\| \sum_{\nu=1}^{V} \widetilde{F}_{\chi_j}^{(\nu)} * \widetilde{F}_{\chi_j}^{(\nu)} \right\|_s^{-1}$  (being  $\|L\|_s$  the spectral norm of L), the iterative step  $h_{j,k+1} = h_{j,k} - \tau_j \sum_{\nu=1}^{V} \widetilde{F}_{\chi_j}^{(\nu)} * \left[ \widetilde{F}_{\chi_j}^{(\nu)} * h_{j,k} - \left( u_{meas}^{(\nu)} - u_{inc}^{(\nu)} - \widetilde{F}^{(\nu)}(\chi_j) \right) \right],$  (11)

then update k := k+1 and repeat until  $k = k_{max}$ . In this way, the last Landweber iteration  $h_{j,k_{max}}$  is a regularized solution of the Gauss normal equation (10), that is,  $h_{j,k_{max}}$  is a regularized approximation of the exact solution  $h_j$  of (10). It should be noticed that the proposed (two-steps) Newton-Landweber method is a (much faster) generalization of the classical (single-step) Landweber iterative method for nonlinear operators [15][16]. In particular, if  $k_{max} = 1$ , the proposed iterative scheme (9)-(11) and the Landweber iterative method for nonlinear operators.

## 4. Numerical Results

In this section, some of the real input data measured by the Institut Fresnel, Marseille, France, are used to assess the proposed method. In particular, we consider the following configurations (TM wave scattering):

(a) A "foam" circular cylinder with contrast function value of  $\chi^1_{obj} = 0.45$ . Inside the "foam" there is another circular dielectric cylinder of  $\chi^2_{obj} = 2$  (*FoamDielIntTM*);

(b) Same as in (a), with the dielectric cylinder of contrast function  $\chi^2_{obj} = 2$  located outside the "foam";

(c) A combination of (a) and (b) (FoamTwinDielTM).

The investigation domain,  $\Omega_{inv}$ , is a square area of side l = 0.2 m, which is discretized by using a grid of  $40 \times 40$  square subdomains.

Since the approximation used in this work is mainly valid for weak scatterers, only the data collected at  $f \le 4$  GHz are used in the reconstruction process. The accuracy of the reconstruction is evaluated by means of two following error parameters

$$\gamma = \frac{\left\| \chi - \chi_{obj} \right\|_{\Omega_{inv}}}{\left\| \chi_{obj} \right\|_{\Omega_{inv}}}$$
(14)

and

$$\kappa = \sqrt{\sum_{\nu=1}^{V} \left\| u_{tot}^{(\nu)} - \widetilde{u}_{tot}^{(\nu)} \right\|_{\Omega_{obs}}^2} , \qquad (15)$$

where  $\chi$  and  $\chi_{obj}$  are respectively the reconstructed and true contrast functions,  $u_{tot}^{(\nu)}$  is the total measured electric field related to the *v*-th view, and  $\widetilde{u}_{tot}^{(\nu)}$  is the correspondent electric field computed on the basis of the reconstructed contrast function  $\chi$ . Here  $\|\bullet\|_{\Omega_{obs}}$  and  $\|\bullet\|_{\Omega_{obs}}$  denote the classical discrete 2-norm in the investigation area and observation area, respectively. The first error parameter  $\gamma$  is the relative error on the dielectric reconstruction, while the second error parameter  $\kappa$  is the discrepancy between actual and computed electric fields.

#### 4.1 Configuration (a) (FoamDielInt)

As previously stated, the object under test is composed by a circular cylinder (with contrast function  $\chi^1_{obj} = 0.45$ ) with embedded a second homogeneous circular cylinder with contrast function  $\chi^2_{obj} = 2$ . The two cross sections have diameters  $d^1_{obj} = 0.08$  m and  $d^2_{obj} = 0.03$  m, and are centered at  $\mathbf{r}^1_{obj} = (0.0, 0.0)$  m and  $\mathbf{r}^2_{obj} = (-0.005, 0.0)$  m, respectively.

The best values of the parameters  $k_{max}$  and  $j_{max}$  have been empirically determined by means of several simulations. For the present application, it has been found that the values  $k_{max} = 5$  and

 $j_{max} = 20$  result in quite good solutions. It is worth noting that, in all cases, the initial guess  $\chi_0$  of contrast-function distribution for the outer iteration (9) corresponds to a completely empty investigation domain.

The results obtained by using these data are reported in Figure 1. As can be seen from this figure, the proposed method is able to obtain quite good results for the considered frequencies, especially for f = 2 GHz and f = 3 GHz.





Figure 1 – Reconstructed distributions of the contrast function  $\chi$  for different values of the frequency. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.

The samples of the electric field, both measured and computed on the basis of the reconstructed distribution of the contrast function, are reported in Figure 2 for the first view. In these graphs, the calculated values are very close to the measured ones in all cases. We remark that such a fitting of the input data is very important when dealing with iterative inverse scattering methods.



Figure 2 – Original and reconstructed electric fields (amplitude) at the measurement points for the first view. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.

Finally, the behaviors of the errors parameters  $\gamma$  and  $\kappa$  versus the outer iteration number are reported in Figure 3.



Figure 3 – Behavior of the error parameters (a)  $\gamma$  and (b)  $\kappa$  versus the outer iteration number and for different values of the frequency,  $f_q = (q + 1)10^9$  Hz.

We point out that, for this first configuration, the data collected at frequency f = 5 GHz have been considered, too. The reconstructed distribution of the contrast function and the corresponding values of the electric field at the measurement points are reported in Figure 4. As expected, although there is a good agreement with the electric field data and the shape of the object is accurately determined, the overall reconstruction is rather poor.



Figure 4 – (a) Reconstructed distribution of the constrast function and (b) corresponding values of the electric field at the measurement points for the first view. f = 5 GHz.

#### 4.2 Configuration (b) (FoamDielExt)

In this configuration, two circular cylinders are centered at  $\mathbf{r}_{obj}^1 = (0.0,0.0) \,\mathrm{m}$  and  $\mathbf{r}_{obj}^2 = (-0.055,0.0) \,\mathrm{m}$ , respectively. The contrast functions of the two objects are  $\chi_{obj}^1 = 0.45$  and  $\chi_{obj}^2 = 2$ , and the two cross sections have diameters  $d_{obj}^1 = 0.08 \,\mathrm{m}$  and  $d_{obj}^2 = 0.03 \,\mathrm{m}$ , respectively. In these reconstructions, too, the initial guess for the contrast function distribution is a void investigation domain.



Figure 5 – Reconstructed distributions of the contrast function  $\chi$  for different values of the frequency. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.

The results related to these data are reported in Figure 5. We notice that, even in this more complex configuration, the proposed simple method is able to obtain a quite good location and shaping of the two cylinders, at least for the three frequencies considered. Although a certain smoothing effect is present, it is worth noting that in all the three cases, the approach is quite able to correctly separate the cylinders.

For completeness, Figure 6 gives the electric fields (measured and calculated values) at the measurement points for the first view and Figure 7 shows the error parameters  $\gamma$  and  $\kappa$ .



Figure 6 – Original and reconstructed electric fields (amplitude) at the measurement points for the first view. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.



Figure 7 – Behavior of the error parameters  $\gamma$  (a) and  $\kappa$  (b) versus the outer iteration number, for different values of the frequency,  $f_q = (q + 1)10^9$  Hz.

## 4.3 Configuration (c) (FoamTwinDiel)

In the last considered case, the target object is a combination of the ones of the previous two configurations. There are two circular cylinders, and the first one ( $\chi^1_{obj} = 0.45$ ) includes another

homogeneous circular cylinder with contrast function  $\chi^2_{obj} = 2$ . The two cross sections have diameters  $d^1_{obj} = 0.08 \text{ m}$  and  $d^2_{obj} = 0.03 \text{ m}$ , respectively, and they are centered in  $\mathbf{r}^1_{obj} = (0.0,0.0) \text{ m}$  and  $\mathbf{r}^2_{obj} = (-0.005,0.0) \text{ m}$ . The second cylinder, which is characterized by a diameter  $d^3_{obj} = 0.03 \text{ m}$  and by a contrast function  $\chi^3_{obj} = 2$ , is located at  $\mathbf{r}^3_{obj} = (-0.055,0.0) \text{ m}$ . Figure 8 shows the reconstructions obtained by using the proposed method. We can state that the results are good, although the accuracy in the shaping is worse than in the previous cases of configurations (a) and (b).



Figure 8 – Reconstructed distributions of the contrast function  $\chi$  for different values of the frequency. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.

For this example, the values of the measured and reconstructed electric fields at the measurement points (first view) are reported in Figure 9. The figure shows that, for this configuration, only when f = 2 GHz and f = 3 GHz the proposed approach is able to approximate with good accuracy the

measured data. For f = 4 GHz, the fitting of the data is not as good as for the considered lower frequencies.



Figure 9 – Original and reconstructed electric fields (amplitude) at the measurement point for the first view. (a) f = 2 GHz; (b) f = 3 GHz; (c) f = 4 GHz.

This fact is also confirmed by Figure 10, which shows the error parameters  $\gamma$  and  $\kappa$ . For f = 4 GHz (q = 3), both the error on the reconstruction and the residual on the electric field data are higher than the ones obtained by using the lower frequencies. However, it should be noted that, for these "successful" lower frequencies, very few iterations are required to obtain a good solution with real input data starting from an empty domain.



Figure 10 – Behavior of the error parameters (a)  $\gamma$  and (b)  $\kappa$  versus the outer iteration number, for different values of the frequency,  $f_q = (q + 1)10^9$  Hz.

## 4. Conclusions

In this paper, a new method for the reconstruction of dielectric cylinders has been validated by using experimental data. The approach is based on a two-step Inexact-Newton method applied to the Lippmann-Schwinger integral equation of the inverse scattering problem in the framework of the second-order Born approximation. Starting by initial solutions corresponding to completely empty test areas, the approach has shown excellent regularization capabilities in reconstructing separate and inhomogeneous dielectric cylinders. Although more sophisticated approaches can be applied, which are computationally much more expensive, in the authors' opinion the obtained reconstructions are quite interesting. In fact, despite a certain smoothing effects, the localization of the scatters is good and also the separation is acceptable for almost the considered configurations. In addition, the algorithm is simple to be implemented and seems to provide very good regularization and robustness features.

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