RESEARCH ARTICLE



Real non-degenerate two-step nilpotent Lie algebras of dimension eight

Mikhail Borovoi¹ · Bodgan Adrian Dina¹ · Willem A. de Graaf²

Received: 24 August 2023 / Revised: 14 December 2023 / Accepted: 6 January 2024 © The Author(s) 2024

Abstract

We classify the non-degenerate two-step nilpotent Lie algebras of dimension 8 over the field of real numbers, using known results over complex numbers. We write explicit structure constants for these real Lie algebras.

Keywords Two-step nilpotent Lie algebra · Real form · Real Galois cohomology

Mathematics Subject Classification 15A21 · 11E72 · 20G05 · 20G20

1 Introduction

Classification lists of Lie algebras, in particular of nilpotent Lie algebras, over the field of complex numbers $\mathbb C$ and over the field of real numbers $\mathbb R$, appear to be an often used tool in mathematical physics; see, for instance, [27, 28]. The problem of classification of nilpotent Lie algebras of arbitrary dimensions (even of two-step nilpotent Lie algebras of arbitrary dimension with 3-dimensional center) is wild; see [3]. However, it is possible to classify nilpotent Lie algebras in low dimensions. Up to now, nilpotent Lie algebras over some fields have been classified up to dimension 7. Lists of nilpotent Lie algebras of dimension at most 7 over different fields can be found,

Borovoi and Dina were supported by the Israel Science Foundation (Grant 1030/22).

 Mikhail Borovoi borovoi@tauex.tau.ac.il

> Bodgan Adrian Dina bogdanadrian.dina@gmail.com

Published online: 20 February 2024

Willem A. de Graaf degraaf@science.unitn.it



Raymond and Beverly Sackler School of Mathematical Sciences, Tel Aviv University, 6997801 Tel Aviv, Israel

Department of Mathematics, University of Trento, Povo, Trento, Italy

16 Page 2 of 27 M. Borovoi et al.

in particular, in [1, 16, 18, 23, 25, 30]. There is no known classification of nilpotent algebras of dimensions greater than 7, even over the field of complex numbers \mathbb{C} .

A *two-step nilpotent* Lie algebra over a field k (synonyms: metabelian Lie algebra, nilpotent Lie algebra of class 2) is a Lie algebra L over k such that

$$[[L, L], L] = 0.$$
 (1.1)

Write $\mathfrak{z}(L)$ for the center of L:

$$\mathfrak{z}(L) = \{ x \in L \mid [x, y] = 0 \text{ for all } y \in L \}.$$

Set $L^{(1)} = [L, L]$. Then condition (1.1) means that $L^{(1)} \subseteq \mathfrak{z}(L)$.

Two-step nilpotent Lie algebras form the first non-trivial subclass of nilpotent Lie algebras. A classification of two-step nilpotent Lie algebras in dimensions up to 7 was given by Gauger [15] over an *algebraically closed* field of characteristic different from 2, and by Stroppel [35] over an arbitrary field.

In [13], Galitski and Timashev introduced an invariant-theoretic approach to classification of two-step nilpotent Lie algebras, which allowed them to classify such Lie algebras over $\mathbb C$ up to dimension 9 (in almost all cases). They reduced the classification of two-step nilpotent Lie algebras up to dimension 9 to classification of orbits of $SL(m, \mathbb C) \times SL(n, \mathbb C)$ in $\wedge^2 \mathbb C^m \otimes \mathbb C^n$ for (m, n) taking values (5, 4), (6, 3), and (7, 2), and they solved the classification problems for (5, 4) and (6, 3) using the method of θ -groups due to Vinberg [36, 37].

Later, in the papers [29, 39], the two-step nilpotent Lie algebras over \mathbb{C} of dimension 8 were classified. These results are consistent with the results of Galitski and Timashev [13]; see Sect. 3 below.

In the present paper, using the known classification of 8-dimensional non-degenerate two-step nilpotent Lie algebras over $\mathbb C$ (due to Galitski and Timashev [13], and also to Ren and Zhu [29] and to Yan and Deng [39]) we obtain a classification over $\mathbb R$. See the next section for the definition of a *non-degenerate* two-step nilpotent Lie algebra. We start with known results over $\mathbb C$ and use Galois cohomology. We compute the Galois cohomology using the computer program [20] described in [6]. Our main results are Tables 1–3.

We performed our computations using computational algebra system GAP; see [14]. A small number of computations concerning automorphism groups of lattices were performed on Magma [10].

The plan of our paper is as follows. In Sect. 2 we reduce our classification problem to classification of orbits of the group $G(\mathbb{R}) = GL(m, \mathbb{R}) \times GL(n, \mathbb{R})$ in the set of non-degenerate tensors $e \in Y = (\wedge^2 U)^* \otimes V$ where $U = \mathbb{R}^m$, $V = \mathbb{R}^n$ for the pair (m, n) taking values (6, 2), (5, 3), and (4, 4). In Sect. 3 we give the tables of representatives of all orbits; this is our main result.

Section 4 contains preliminaries on real algebraic groups and real Galois cohomology. In Sect. 5, for a connected reductive complex algebraic group G, we describe the action of the automorphism group $\operatorname{Aut}(G)$ on the canonical based root datum $\operatorname{BRD}(G)$. Section 6 contains preliminaries on θ -representations. Starting Sect. 7, we compute our tables. See below the idea of the computations.



In Sect. 7, we consider a tensor $e \in \mathbf{Y}$ and the stabilizer \mathbf{G}_e of e in \mathbf{G} . We reduce the classification of the orbits of $\mathbf{G}(\mathbb{R})$ in $G \cdot e \subset Y$ (where $G = \mathbf{G}(\mathbb{C})$ and $Y = \mathbf{Y} \otimes_{\mathbb{R}} \mathbb{C}$) to computing the Galois cohomology set $\mathrm{H}^1\mathbf{G}_e$.

In order to compute $H^1\mathbf{G}_e$, we embed $\mathfrak{g} = \text{Lie }\mathbf{G}$ and \mathbf{Y} into a \mathbb{Z} -graded real Lie algebra $\hat{\mathfrak{g}}$ such that $\hat{\mathfrak{g}}_0 = \mathfrak{g}$ and $\hat{\mathfrak{g}}_1 = Y$. Moreover, we embed our real tensor e into a real homogeneous \mathfrak{sl}_2 -triple t = (e, h, f) with $h \in \hat{\mathfrak{g}}_0$ and $f \in \hat{\mathfrak{g}}_{-1}$. Using $\hat{\mathfrak{g}}$ and t, we construct a reductive \mathbb{R} -subgroup $\mathbf{P}_t \subseteq \mathbf{G}_e$ (not necessarily connected) such that

$$\mathbf{G}_e = R_{\mathrm{u}}(\mathbf{G}_e) \rtimes \mathbf{P}_t$$

 $R_{\rm u}$ denoting the unipotent radical. Then by Sansuc's lemma we have

$$H^1\mathbf{G}_e = H^1\mathbf{P}_t$$
.

For computing $H^1\mathbf{P}_t$, we have a computer program [20], described in [6], which computes the Galois cohomology of a real algebraic group \mathbf{H} , and the input for which is a real basis of the Lie algebra Lie \mathbf{H} and a set of representatives in $H = \mathbf{H}(\mathbb{C})$ of the component group $\pi_0(H)$. Thus we need Lie \mathbf{P}_t and $\pi_0(P_t)$. It is easy to compute Lie \mathbf{P}_t using computer, but computing $\pi_0(P_t)$ is tricky. We computed $\pi_0(P_t)$ case by case via a computer-assisted calculation with participation of a human mathematician. For details see Sects. 7–11.

In Appendix A we consider an alternative approach for the case (m, n) = (4, 4). Namely, by duality (see Gauger [15, Section 3] or Galitski and Timashev [13, Section 1.2]) our classification problem for (4, 4) reduces to the already solved classification problems for (4, 2) and (3, 2). Our results for (4, 4) are consistent with the results of [18] for (4, 2) and (3, 2).

Notation

In this paper, by an algebraic group we mean a *linear* algebraic group. By letters G, H, \ldots in the boldface font we denote *real* algebraic groups. By the same letters, but in the usual (non-bold) font G, H, \ldots , we denote the corresponding *complex* algebraic groups $G = G \times_{\mathbb{R}} \mathbb{C}$, $H = H \times_{\mathbb{R}} \mathbb{C}$, ... (though the standard notations are $G_{\mathbb{C}}$ and $H_{\mathbb{C}}$) and by the corresponding small Gothic letters $\mathfrak{g}, \mathfrak{h}, \ldots$, we denote the Lie algebras of G, H, \ldots . Similarly, for real vector spaces \mathbf{U}, \mathbf{V} , we write $U = \mathbf{U} \otimes_{\mathbb{R}} \mathbb{C}$, $V = \mathbf{V} \otimes_{\mathbb{R}} \mathbb{C}$,

For a real algebraic group G, we denote by $G(\mathbb{R})$ and $G(\mathbb{C})$ the groups of the real points and the complex points of G, respectively; see Sect. 4 for details. By abuse of notation, we identify $G := G \times_{\mathbb{R}} \mathbb{C}$ with the group of \mathbb{C} -points $G(\mathbb{C}) = G(\mathbb{C})$. In particular, $g \in G$ means $g \in G(\mathbb{C})$.

We gather some of our notations:

- Z(G) denotes the center of an algebraic group G;
- Aut(G) denotes the automorphism group of G;
- Inn(G) denotes the group of inner automorphisms of G;
- Out(G) = Aut(G)/Inn(G);



16 Page 4 of 27 M. Borovoi et al.

- Lie(G) denotes the Lie algebra of G;
- Dyn(G) denotes the Dynkin diagram of a connected reductive group G;
- G^0 denotes the identity component of an algebraic group G;
- $\pi_0(G) = G/G^0$ denotes the component group of G;
- $H^1G = H^1(\mathbb{R}, G)$, the first Galois cohomology of a real algebraic group G;
- GL (n, \mathbb{C}) denotes the complex Lie group of invertible complex $n \times n$ -matrices, and also the complex algebraic group with this group of \mathbb{C} -points;
- GL (n, \mathbb{R}) is the real *Lie group* of invertible real $n \times n$ -matrices;
- $GL_{n,\mathbb{R}}$ is the connected real *algebraic group* with the group of real points $GL(n,\mathbb{R})$.

2 First reductions

Let L be a two-step nilpotent Lie algebra over a field \mathbbm{k} of characteristic different from 2. If A is a nonzero abelian Lie algebra, then the direct sum of Lie algebras $L \oplus A$ is again a two-step nilpotent Lie algebra; we say that such a Lie algebra $L \oplus A$ is degenerate. Clearly, in order to classify two-step nilpotent Lie algebras of dimension d over a field \mathbbm{k} , it suffices to classify non-degenerate two-step nilpotent Lie algebras over \mathbbm{k} of dimension $\leq d$.

The following lemma is almost obvious, and so we skip the proof.

Lemma 2.1 Let L be a finite-dimensional two-step nilpotent Lie algebra over a field \mathbb{R} . Then L is non-degenerate if and only if $L^{(1)} = \mathfrak{z}(L)$.

In this paper we classify *non-degenerate* two-step nilpotent Lie algebras of dimension 8 over \mathbb{R} . Clearly, classification of degenerate two-step nilpotent Lie algebras of dimension 8 over \mathbb{R} can be reduced to classification of non-degenerate two-step nilpotent Lie algebras of smaller dimension over \mathbb{R} (which is known).

Let L be a non-degenerate two-step nilpotent Lie algebra over a field k of characteristic different from 2. Set $U = L/\mathfrak{z}(L)$ and $V = L^{(1)} \subseteq \mathfrak{z}(L)$. The Lie bracket in L defines a skew-symmetric bilinear map

$$\beta: U \times U \to V$$

and the induced linear map

$$\beta_* : \wedge^2 U \to V.$$

The triple (U, V, β) is non-degenerate in the following sense: the linear map β_* is surjective, and for any nonzero $u \in U$, there exists $u' \in U$ with $\beta(u, u') \neq 0$.

Let L be a non-degenerate two-step nilpotent Lie algebra. Write $m = \dim U$, $n = \dim V$ where U,V are as above. Then $m + n = \dim L$ (because L is non-degenerate). We say then that L is of signature (m, n).

A non-degenerate two-step nilpotent Lie algebra L of signature (m, n) defines a non-degenerate triple (U, V, β) of signature (m, n) (that is, with dim U = m, dim V = n). Conversely, a non-degenerate triple (U, V, β) of signature (m, n) defines



a non-degenerate two-step-nilpotent Lie algebra of signature (m, n) with underlying vector space

$$L = U \oplus V$$

and with the Lie bracket

$$[(u, v), (u', v')] = (0, \beta(u, u'))$$
 for $u, u' \in U, v, v' \in V$.

We see that to classify non-degenerate two-step nilpotent Lie algebras L of signature (m,n) up to an isomorphism is the same as to classify non-degenerate triples (U,V,β) with dim U=m and dim V=n up to isomorphism, which in turn is equivalent to classification of the orbits of the Lie group $\mathrm{GL}(m,\mathbb{k}) \times \mathrm{GL}(n,\mathbb{k})$ in the set of non-degenerate skew-symmetric maps

$$\beta: \mathbb{k}^m \times \mathbb{k}^m \to \mathbb{k}^n.$$

We wish to classify non-degenerate skew-symmetric maps β as above over $k = \mathbb{R}$ for the signatures (m, n) with m + n = 8, that is,

$$(1,7), (2,6), (3,5), (4,4), (5,3), (6,2), (7,1).$$

However, if (m,n)=(7,1), then β is a skew-symmetric bilinear form on \mathbb{R}^7 , but we know that there are no non-degenerate skew-symmetric bilinear forms on odd-dimensional spaces; see, for instance, Artin [2, Theorem 3.7] or Lang [22, Theorem XV.8.1]. Moreover, if $m \leq 3$, then dim $\bigwedge^2 U = m(m-1)/2 \leq 3$, while dim $V = n \geq 5$, and therefore the linear map $\beta_* \colon \bigwedge^2 U \to V$ cannot be surjective. Thus for (m,n)=(1,7), (2,6), (3,5) there are no non-degenerate skew-symmetric bilinear maps of those signatures. It remains to classify the non-degenerate skew-symmetric maps β for

$$(m, n) = (4, 4), (5, 3), (6, 2).$$

3 Tables

In Tables 1, 2 and 3, we classify the orbits of the group $G(\mathbb{R}) = GL(m, \mathbb{R}) \times GL(n, \mathbb{R})$ acting on the set of *non-degenerate* skew-symmetric bilinear maps $\mathbb{R}^m \times \mathbb{R}^m \to \mathbb{R}^n$ for m + n = 8. This corresponds to the isomorphism classes of non-degenerate two-step nilpotent real Lie algebras of dimension 8.

In these tables, our real two-step nilpotent Lie algebra L is \mathbb{R}^8 with the standard basis e_1, \ldots, e_8 . The notations like 1 and 1-bis denote two real orbits contained in the same complex orbit. The representatives $1, 2, 3 \ldots$ in each table were taken from [13, Tables 2 and 8]. Using Galois cohomology, we determined whether there are other orbits in the same complex orbit, and if yes, we computed a representative of each



 Table 1
 Nondegenerate real orbits of signature (6, 2)

No.	Representative e of an orbit	π_0 \mathfrak{g}_t''	\mathfrak{g}''_t	Rep. in U	Rep. in V
1	$e_{12\uparrow7} + e_{34\uparrow8} + e_{56\uparrow7} + e_{56\uparrow8}$	S_3	3st(2, R)	(1,0,0) + (0,1,0) + (0,0,1)	(0,0,0) + (0,0,0)
1-bis	$e_{12\uparrow7} + e_{34\uparrow8} - e_{36\uparrow7} + e_{45\uparrow7} - e_{56\uparrow8}$		$\mathfrak{sl}(2,\mathbb{C})+\mathfrak{sl}(2,\mathbb{R})$		
2	$e_{14\uparrow 8} + e_{15\uparrow 7} + e_{23\uparrow 8} + e_{46\uparrow 7}$	1	$2\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1,0) + (1,0) + (0,1)	(0,0) + (0,0)
3	$e_{14\uparrow 8} + e_{15\uparrow 7} + e_{23\uparrow 8} + e_{26\uparrow 7} + e_{34\uparrow 7}$	1	$\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1) + (1) + (1)	(0) + (0)
4	$e_{13\uparrow7} + e_{16\uparrow8} + e_{24\uparrow8} + e_{25\uparrow7}$	1	$2\mathfrak{sl}(2,\mathbb{R})+\mathfrak{sl}$	(1,1) + (0,1)	(1,0)
5	$e_{12\uparrow 8} + e_{34\uparrow 7} + e_{56\uparrow 7}$	1	$\mathfrak{sp}(4,\mathbb{R})+\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1,0,0) + (0,0,1)	(0,0,0) + (0,0,0)
9	$e_{12\uparrow 8} + e_{16\uparrow 7} + e_{25\uparrow 7} + e_{34\uparrow 7}$	1	$2\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1,0) + (1,0) + (0,1)	(0,0) + (0,0)



 Table 2
 Nondegenerate real orbits of signature (5, 3)

No.	Representative e of an orbit	π_0	\mathfrak{g}''_t	Rep. in U	Rep. in V
1	$e_{1276} + e_{1578} + e_{2378} + e_{2577} + e_{3477} + e_{4576}$	1	$\mathfrak{sl}(2,\mathbb{R})$	(4)	(2)
1-bis	$e_{1276} - e_{1578} + 2e_{2376} + 2e_{2478} + e_{2577} - 2e_{3477} - 2e_{3578} + 2e_{4576}$		su(2)		
2	$e_{1476} + e_{1577} + e_{2378} + e_{2477} + e_{3576}$	C_2	2t		
2-bis	$e_{1476} - e_{1577} - e_{2378} + e_{2476} + e_{2577} + e_{3477} - e_{3576}$		$\mathfrak{t}+\mathfrak{u}$		
3	$e_{14\uparrow 8} + e_{15\uparrow 6} + e_{23\uparrow 7} + e_{24\uparrow 8} + e_{34\uparrow 6}$	C_2	2t		
3-bis	$e_{13\uparrow 8} + e_{14\uparrow 7} + e_{15\uparrow 6} - e_{23\uparrow 7} + e_{24\uparrow 8} + e_{34\uparrow 6}$		$\mathfrak{t}+\mathfrak{u}$		
4	$e_{1278} + e_{1577} + e_{2377} + e_{2576} + e_{3476}$	1	2t		
5	$e_{13\uparrow6} + e_{15\uparrow8} + e_{24\uparrow7} + e_{25\uparrow8}$	S_3	34		
5-bis	$e_{13\uparrow6} + e_{14\uparrow8} + e_{15\uparrow7} - e_{24\uparrow7} + e_{25\uparrow8}$		2t + u		
9	$e_{13\uparrow 8} + e_{15\uparrow 7} + e_{23\uparrow 7} + e_{24\uparrow 6}$	1	34		
7	$e_{13\uparrow 8} + e_{14\uparrow 7} + e_{15\uparrow 6} + e_{23\uparrow 7} + e_{24\uparrow 6}$	1	2t		
∞	$e_{12\uparrow7} + e_{15\uparrow8} + e_{25\uparrow6} + e_{34\uparrow6}$	1	$2\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1,0) + (0,1) + (0,0)	(0,1) + (0,0)
6	$e_{12\uparrow 8} + e_{13\uparrow 6} + e_{15\uparrow 7} + e_{24\uparrow 7} + e_{25\uparrow 6}$	1	$\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(2) + (1)	(1,0)
10	$e_{12\uparrow 8} + e_{14\uparrow 6} + e_{23\uparrow 7} + e_{35\uparrow 6}$	1	$\mathfrak{sl}(2,\mathbb{R})+2\mathfrak{t}$	(1) + (1) + (0)	(1) + (0)
11	$e_{14\uparrow7} + e_{15\uparrow8} + e_{23\uparrow6}$	1	$2\mathfrak{sl}(2,\mathbb{R}) + 2\mathfrak{t}$	(1,0) + (0,1) + (0,0)	(1,0) + (0,0)
12	$e_{12\uparrow 8} + e_{14\uparrow 7} + e_{15\uparrow 6} + e_{23\uparrow 6}$	1	3t		



 Table 3
 Nondegenerate real orbits of signature (4, 4)

No.	Representative e of an orbit	π_0	\mathfrak{g}''_t	Rep. in U	Rep. in V
1	$e_{12\uparrow 5} + e_{13\uparrow 7} + e_{24\uparrow 8} + e_{34\uparrow 6}$	C_2	$2\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1,0) + (0,1)	(1,1)
1-bis	$e_{1275} + e_{1377} - e_{1478} - e_{2378} - e_{2477} + e_{3476}$		$\mathfrak{sl}(2,\mathbb{C})+\mathfrak{u}$		
2	$e_{12\uparrow 8} + e_{13\uparrow 5} + e_{14\uparrow 7} + e_{23\uparrow 7} + e_{24\uparrow 6}$	1	$\mathfrak{sl}(2,\mathbb{R})+\mathfrak{t}$	(1) + (1)	(2) + (0)
3	$e_{12\uparrow7} + e_{13\uparrow8} + e_{14\uparrow5} + e_{23\uparrow6}$	1	$\mathfrak{sl}(2,\mathbb{R})+2\mathfrak{t}$	(1) + (0) + (0)	(1) + (0) + (0)



real orbit. It turned out that there are at most two real orbits in each complex orbit; the other real orbit in the complex orbit containing the real orbit 1 is denoted by 1-bis.

In each row, the Lie bracket is given by the (2, 1)-tensor e given in the table, as explained in Sect. 2.

For example, in the row 3-bis in Table 2, our (2, 1)-tensor is

$$e = e_{13\uparrow 8} + e_{14\uparrow 7} + e_{15\uparrow 6} - e_{23\uparrow 7} + e_{24\uparrow 8} + e_{34\uparrow 6}$$
(3.1)

where we write $e_{13\uparrow 8}$ for the (2, 1)-tensor $(e_1^* \wedge e_3^*) \otimes e_8$. Here e_1^* and e_3^* are basis vectors of the dual space $\mathbf{U}^* := \operatorname{Hom}(\mathbb{R}^5, \mathbb{R})$ with basis e_1^*, \ldots, e_5^* , and e_8 is a basis vector of the space $\mathbf{V} = \mathbb{R}^3$ with basis e_6, e_7, e_8 . This tensor e of formula (3.1) defines the following Lie bracket:

$$[e_1, e_3] = e_8;$$
 $[e_1, e_4] = e_7;$ $[e_1, e_5] = e_6;$ $[e_2, e_3] = -e_7;$ $[e_2, e_4] = e_8;$ $[e_3, e_4] = e_6;$ $[e_1, e_2] = 0;$ $[e_2, e_5] = 0;$ $[e_3, e_5] = 0;$ $[e_4, e_5] = 0.$

In the columns 3–6 (π_0 , \mathfrak{g}''_t , "Rep. in U", "Rep. in V") of each of the tables, we give certain invariants of the stabilizer \mathbf{G}_e of our tensor $e \in \operatorname{Hom}(\bigwedge^2 \mathbf{U}, \mathbf{V})$ in the group $\mathbf{G} = \operatorname{GL}(\mathbf{U}) \times \operatorname{GL}(\mathbf{V})$. We use these invariants in order to compute the Galois cohomology of \mathbf{G}_e , which permits us to determine the real orbits in the complex orbit $G \cdot e$.

We define the invariant π_0 here: it is the component group $\pi_0(G_e)$ of the stabilizer G_e of our tensor e. The real Lie algebra \mathfrak{g}_t'' is defined in Sect. 7, and the representations in the columns "Rep. in U" and "Rep. in V" are defined in Sect. 11. We remark that the most tricky part of our calculations is the calculation of $\pi_0(G_e)$; see Sect. 11 for an outline of the methods that we have used.

We see from the table that there are 27 isomorphism classes of non-degenerate two-step nilpotent Lie algebras of dimension 8 over \mathbb{R} : seven isomorphism classes of signature (6, 2), sixteen isomorphism classes of signature (5, 3), and four isomorphism classes of signature (4, 4). Any isomorphism class over \mathbb{C} comes from one or two isomorphism classes over \mathbb{R} .

We say that a Lie algebra is *indecomposable* if it is not a direct sum of Lie algebras of smaller dimension. Any indecomposable two-step nilpotent Lie algebra is non-degenerate. Our tables give also a classification of *non-degenerate* two-step nilpotent *complex* Lie algebras (extracted from Galitski and Timashev [13]). We can compare our tables with results of Ren and Zhu [29] and Yan and Deng [39], who classify *indecomposable* two-step nilpotent complex Lie algebras of dimension 8. Using the method of characteristics (see [38, Section 4.1], [7, Section 5.4]) we can check which complex tensors (structures of two-step nilpotent Lie algebras) are equivalent. It turns out that the classifications of [29, 39] are equivalent to ours, except for that they omit the isomorphism class of Lie algebra 5 in our Table 1 and the class of Lie algebra 11 in our Table 2. This is because these two Lie algebras are decomposable:

Lie
$$(e_{12\uparrow 8} + e_{34\uparrow 7} + e_{56\uparrow 7})$$
 = Lie $(e_{12\uparrow 8}) \oplus$ Lie $(e_{34\uparrow 7} + e_{56\uparrow 7})$,
Lie $(e_{14\uparrow 7} + e_{15\uparrow 8} + e_{23\uparrow 6})$ = Lie $(e_{14\uparrow 7} + e_{15\uparrow 8}) \oplus$ Lie $(e_{23\uparrow 6})$



16 Page 10 of 27 M. Borovoi et al.

where $\text{Lie}(\cdot)$ denotes the Lie algebra defined by the tensor in the parentheses. It follows that our Tables 1, 2 and 3 with these two Lie algebras removed, give a classification of *indecomposable* two-step nilpotent *real* Lie algebras.

4 Real algebraic groups and real Galois cohomology

Let G be a real linear algebraic group. In the coordinate language, one may regard G as a subgroup in the general linear group $GL(N, \mathbb{C})$ (for some natural number N) defined by polynomial equations with *real* coefficients in the matrix entries; see Borel [4, Section 1.1]. More conceptually, one may assume that G is an affine group scheme of finite type over \mathbb{R} ; see Milne [24, Definition 1.1]. With any of these two equivalent definitions, G defines a covariant functor

$$A \mapsto \mathbf{G}(A)$$

from the category of commutative unital \mathbb{R} -algebras to the category of groups. We apply this functor to the \mathbb{R} -algebra \mathbb{R} and obtain a real Lie group $\mathbf{G}(\mathbb{R})$. We apply this functor to the \mathbb{R} -algebra \mathbb{C} and to the morphism of \mathbb{R} -algebras

$$\gamma: \mathbb{C} \to \mathbb{C}, \quad z \mapsto \overline{z} \quad \text{for } z \in \mathbb{C},$$

and obtain a complex Lie group $G(\mathbb{C})$ together with an anti-holomorphic involution $G(\mathbb{C}) \to G(\mathbb{C})$, which we denote by σ_G . The Galois group Γ naturally acts on $G(\mathbb{C})$; namely, the complex conjugation γ acts by σ_G . We have $G(\mathbb{R}) = G(\mathbb{C})^{\Gamma}$ (the subgroup of fixed points).

We shall consider the linear algebraic group $G := \mathbf{G} \times_{\mathbb{R}} \mathbb{C}$ obtained from \mathbf{G} by extension of scalars from \mathbb{R} to \mathbb{C} . Since G is an affine group scheme over \mathbb{C} , we have the ring of regular function $\mathbb{C}[G] = \mathbb{R}[\mathbf{G}] \otimes_{\mathbb{R}} \mathbb{C}$. Our anti-holomorphic involution $\sigma_{\mathbf{G}}$ of $\mathbf{G}(\mathbb{C})$ is *anti-regular* in the following sense: when acting on the ring of holomorphic functions on G (by acting by $\sigma_{\mathbf{G}}^{-1}$ on the argument of a function, and by complex conjugation on the value) it preserves the subring $\mathbb{C}[G]$ of regular functions. An anti-regular involution of G is called also a *real structure on* G.

Remark 4.1 If G is a *reductive* algebraic group over \mathbb{C} (not necessarily connected), then any anti-holomorphic involution of G is anti-regular. The hypothesis that G is reductive is necessary. For details and references see [9, Section 1].

A morphism of real linear algebraic groups $G \to G'$ induces a morphism of pairs $(G, \sigma_G) \to (G', \sigma_{G'})$. In this way we obtain a functor $G \mapsto (G, \sigma_G)$. By Galois descent this functor is an equivalence of categories; for details and references see [9, Section 1] or [6, Appendix A]. In particular, any pair (G, σ) , where G is a complex linear algebraic group and σ is a real structure on G, is isomorphic to a pair coming from a real linear algebraic group G, and any morphism of pairs $(G, \sigma) \to (G', \sigma')$ comes from a unique morphism of the corresponding real algebraic groups.



When computing the Galois cohomology H^1G for a *real* algebraic group G, we shall actually work with the pair (G, σ) , where G is a *complex* algebraic group and σ is a real structure on G. We shall shorten "real linear algebraic group" to " \mathbb{R} -group".

Let $G = (G, \sigma)$ be a real algebraic group (not necessarily connected or reductive). The Galois group $\Gamma = \{1, \gamma\}$ acts on G by

$$^{\gamma}g = \sigma(g)$$
 for $g \in G$.

We define the *first Galois cohomology set* $H^1(\mathbb{R}, \mathbf{G})$ by

$$H^1(\mathbb{R},\mathbf{G})=Z^1\mathbf{G}/{\sim}.$$

Here $Z^1\mathbf{G} = \{z \in G \mid g \cdot {}^{\gamma}g = 1\}$ is the *set of* 1-cocycles, and two cocycles $z, z' \in Z^1\mathbf{G}$ are *equivalent* (we write $z \sim z'$) if $z = g^{-1} \cdot z' \cdot {}^{\gamma}g$ for some $g \in G$. We shorten $H^1(\mathbb{R}, \mathbf{G})$ to $H^1\mathbf{G}$.

For details see [7, Section 3.3] or [6, Section 4]. See Serre's book [33] for the Galois cohomology $H^1(\mathbb{k}, \mathbf{G})$ for an algebraic group \mathbf{G} over an arbitrary field \mathbb{k} .

5 Action on the based root datum

Let G be a connected reductive group over an algebraically closed field \mathbb{R} . Let $T \subset G$ be a maximal torus, and let $B \subset G$ be a Borel subgroup containing T. We consider the *based root datum*

$$BRD(G, T, B) = (X, X^{\vee}, \mathcal{R}, \mathcal{R}^{\vee}, \mathcal{S}, \mathcal{S}^{\vee}).$$

Here

- $X = X^*(T)$ is the character group of T;
- $X^{\vee} = X_*(T)$ is the cocharacter group of T;
- $\Re = \Re(G, T) \subset X$ is the root system;
- $\mathbb{R}^{\vee} = \mathbb{R}^{\vee}(G, T) \subset X^{\vee}$ is the coroot system;
- $S = S(G, T, B) \subset \mathbb{R}$ is the system of simple roots;
- $S^{\vee} = S^{\vee}(G, T, B) \subset \mathbb{R}^{\vee}$ is the system of simple coroots.

For details see Springer [34, Sections 1 and 2].

Recall that the root system \Re is defined in term of the root decomposition

$$\operatorname{Lie} G = \operatorname{Lie} T \oplus \bigoplus_{\alpha \in \mathcal{R}} \mathfrak{g}_{\alpha}$$

where \mathfrak{g}_{α} is the eigenspace corresponding to the root α . For each $\alpha \in S$ we choose a nonzero element $x_{\alpha} \in \mathfrak{g}_{\alpha}$. We write $\mathfrak{P} = \{x_{\alpha} \mid \alpha \in S\}$ and say that \mathfrak{P} is a *pinning* of (G, T, B).

We write $S = \{\alpha_1, \dots \alpha_r\}$ and consider the Cartan matrix with entries $a_{ij} = \langle \alpha_i, \alpha_j^{\vee} \rangle$. Recall that the *Dynkin diagram* Dyn(G) is the graph whose set of vertices



is the set of simple roots S and whose set of edges is defined in the usual way using the Cartan matrix; see, for instance, [17, Section 3.1.7].

We say that (T, B, \mathcal{P}) is a Borel triple in G. It is well known that if (T', B', \mathcal{P}') is another Borel triple, then there exists a unique element $g^{\mathrm{ad}} = gZ(G) \in \mathrm{Inn}(G) := G/Z(G)$ such that $gTg^{-1} = T', gBg^{-1} = B', g\mathcal{P}g^{-1} = \mathcal{P}'$. This element g^{ad} induces an isomorphism $g^{\mathrm{ad}*}$: BRD $(G, T', B') \stackrel{\sim}{\longrightarrow} \mathrm{BRD}(G, T, B)$. Moreover, this induced isomorphism $g^{\mathrm{ad}*}$ does not depend on the choice of the pinning \mathcal{P} as above. Thus for given G we can canonically identify the based root data $\mathrm{BRD}(G, T, B)$ for all Borel pairs (T, B). We obtain the canonical based root datum $\mathrm{BRD}(G)$.

The automorphism group Aut(G) naturally acts on BRD(G), and so we obtain a canonical homomorphism

$$\phi: \operatorname{Aut}(G) \to \operatorname{Aut} \operatorname{BRD}(G)$$
.

We describe ϕ . Choose a pinning $\mathcal{P} = (x_{\alpha})$ of (G, B, T). Write

$$BRD(G) = BRD(G, T, B).$$

Consider the Borel triple (T, B, P). Let $a \in \operatorname{Aut}(G)$. Then (a(T), a(B), a(P)) is again a Borel triple in G, and therefore there exists $g_a \in G$ such that

$$g_a \cdot a(T) \cdot g_a^{-1} = T$$
, $g_a \cdot a(B) \cdot g_a^{-1} = B$, $g_a \cdot a(P) \cdot g_a^{-1} = P$.

We see that the automorphism $\operatorname{inn}(g_a) \circ a$ of G preserves the Borel triple (T, B, \mathbb{P}) and thus induces an automorphism $\phi(a)$ of BRD(G, T, B). One checks that the obtained automorphism $\phi(a)$ does not depend on the choice of \mathbb{P} and g_a as above. For details see [8, Section 3]. By construction, the subgroup $\operatorname{Inn}(G) \subseteq \operatorname{Aut}(G)$ acts on BRD(G) trivially, and so we obtain an action of $\operatorname{Out}(G) := \operatorname{Aut}(G)/\operatorname{Inn}(G)$ on BRD(G). The action of $\operatorname{Out}(G)$ on BRD(G), in particular, on \mathbb{S} and \mathbb{S}^{\vee} , induces an action on $\operatorname{Dyn}(G)$.

We embed X^{\vee} into $\mathfrak{t} := \text{Lie } T$ as follows. Let $\nu \in X^{\vee}$, $\nu \colon \mathbb{k}^{\times} \to T$. Consider $d\nu \colon \mathbb{k} \to \mathfrak{t}$ and set $h_{\nu} = (d\nu)(1) \in \mathfrak{t}$.

Consider the center Z(G), its identity component $Z(G)^0$ (which is a torus), and the cocharacter group $X_Z^\vee = X_*(Z(G)^0)$. We can identify

$$X_Z^{\vee} = \{ \nu \in X^{\vee} \mid \langle \alpha, \nu \rangle = 0 \text{ for all } \alpha \in \mathbb{S} \}.$$

The group $\operatorname{Out}(G)$ naturally acts on the torus $Z(G)^0$ and on its cocharacter group X_Z^{\vee} . Moreover, it acts on the Lie algebra $\mathfrak{z} := \operatorname{Lie} Z(G)$ and on the lattice $\{h_{\nu} \in \mathfrak{z} \mid \nu \in X_Z^{\vee}\}$.

For $\alpha \in \mathbb{S} \subset X$, we consider $\alpha^{\vee} \in \mathbb{S}^{\vee} \subset X^{\vee}$, and by abuse of notation we write h_{α} for $h_{\alpha^{\vee}} \in \mathfrak{t}$. The set $\{h_{\alpha} \mid \alpha \in \mathbb{S}\}$ is a basis of the Lie algebra $\mathfrak{t} \cap [\mathfrak{g}, \mathfrak{g}]$ where $[\mathfrak{g}, \mathfrak{g}]$ is the derived subalgebra of \mathfrak{g} .

For each $\alpha \in S$ we choose a nonzero vector $x_{\alpha} \in \mathfrak{g}_{\alpha}$. Then we have $\mathrm{Ad}(t)x_{\alpha} = \alpha(t) \cdot x_{\alpha}$ for $t \in T$, whence

$$[h_{\beta}, x_{\alpha}] = (d\alpha)(h_{\beta^{\vee}}) \cdot x_{\alpha} = (d\alpha)(d\beta^{\vee}(1)) \cdot x_{\alpha} = d(\alpha \circ \beta^{\vee})(1) \cdot x_{\alpha} = \langle \alpha, \beta^{\vee} \rangle \cdot x_{\alpha}$$



because $(\alpha \circ \beta^{\vee})(t) = t^{\langle \alpha, \beta^{\vee} \rangle}$ for $t \in \mathbb{C}^{\times}$.

We choose $y_{\alpha} \in \mathfrak{g}_{-\alpha}$ such that $[x_{\alpha}, y_{\alpha}] = h_{\alpha}$. Then $[h_{\alpha}, y_{\alpha}] = -2y_{\alpha}$. Note that the set $\{x_{\alpha}, y_{\alpha} \mid \alpha \in \mathcal{S}\}$ generates the subalgebra $[\mathfrak{g}, \mathfrak{g}]$ of \mathfrak{g} .

The set h_{α} , x_{α} , y_{α} for $\alpha \in S$ has the following properties (see [19, Section 2.9.3, formulas (2.1)]):

$$[h_{\alpha}, h_{\beta}] = 0;$$

$$[x_{\alpha}, y_{\beta}] = \delta_{\alpha\beta}h_{\alpha};$$

$$[h_{\beta}, x_{\alpha}] = \langle \alpha, \beta^{\vee} \rangle x_{\alpha};$$

$$[h_{\beta}, y_{\alpha}] = -\langle \alpha, \beta^{\vee} \rangle y_{\alpha} \text{ for } \alpha, \beta \in \mathbb{S}.$$

We say that h_{α} , x_{α} , y_{α} is a canonical generating set for $[\mathfrak{g}, \mathfrak{g}]$.

Now let G be a reductive group, not necessarily connected, over an algebraically closed field \mathbbm{k} . We consider the action of G on the Dynkin diagram Dyn $G^0 := \mathrm{Dyn}\,G^{0,\mathrm{ad}}$, where $G^{0,\mathrm{ad}} = (G^0)^{\mathrm{ad}} := G^0/Z(G^0)$ denotes the adjoint group corresponding to the identity component G^0 of the reductive group G.

As above, we choose a maximal torus $T \subset G^{0,\mathrm{ad}}$ and a Borel subgroup $B \subset G^{0,\mathrm{ad}}$ containing T. We consider the based root datum

$$BRD(G^{0,ad}, T, B) = (X, X^{\vee}, \mathcal{R}, \mathcal{R}^{\vee}, S, S^{\vee}).$$

For each simple root $\alpha \in \mathbb{S}$ we choose canonical generators x_{α} , y_{α} , $h_{\alpha} \in \text{Lie } G^{0,\text{ad}} = [\mathfrak{g}, \mathfrak{g}]$ as explained in Sect. 6, where $\mathfrak{g} = \text{Lie } G$. Observe that the set $\{x_{\alpha}, y_{\alpha} \mid \alpha \in \mathbb{S}\}$ generates the semisimple Lie algebra $[\mathfrak{g}, \mathfrak{g}]$.

Consider the action of G by conjugation on G^0 and on $G^{0,\mathrm{ad}}$. We obtain a homomorphism

$$G \to \operatorname{Aut} G^{0,\operatorname{ad}}$$
.

Lemma 5.1 Consider the homomorphism

$$\phi_{\mathrm{Dyn}} \colon G \to \mathrm{Aut}(\mathrm{Dyn}\,G^0) = \mathrm{Aut}(\mathrm{Dyn}\,G^{0,\mathrm{ad}})$$

and the group

$$A_1 = \{ g \in G \mid \operatorname{Ad}(g) x_{\alpha} = x_{\alpha}, \operatorname{Ad}(g) y_{\alpha} = y_{\alpha} \text{ for all } \alpha \in S \}.$$

Then $\ker \phi_{\mathrm{Dyn}} = G^0 \cdot A_1$.

Corollary 5.2 *We have* $A_1 \subset \ker \phi_{\mathrm{Dyn}}$, and the homomorphism

$$\pi_0(A_1) \to \pi_0(\ker \phi_{\mathrm{Dyn}})$$

induced by the inclusion homomorphism $A_1 \hookrightarrow \ker \phi_{Dyn}$ is surjective.

This corollary gives us a method to compute $\pi_0(\ker \phi_{\mathrm{Dyn}})$.



16 Page 14 of 27 M. Borovoi et al.

Proof of Lemma 5.1 Consider the canonical homomorphism

$$\psi: G \to \operatorname{Aut} G^{0,\operatorname{ad}} = \operatorname{Aut}(\operatorname{Lie} G^{0,\operatorname{ad}}).$$

Since the elements x_{α} , y_{α} generate $[\mathfrak{g}, \mathfrak{g}] = \text{Lie } G^{0,\text{ad}}$, we have $A_1 = \text{ker } \psi$. On the other hand, we can factor the homomorphism ϕ_{Dyn} as follows:

$$\phi_{\mathrm{Dyn}} \colon G \xrightarrow{\psi} \mathrm{Aut} \, G^{0,\mathrm{ad}} \to \mathrm{Aut} \, \mathrm{BRD}(G^{0,\mathrm{ad}},T,B) = \mathrm{Aut}(\mathrm{Dyn} \, G^{0,\mathrm{ad}}).$$

It follows that $A_1 = \ker \psi \subseteq \ker \phi_{\mathrm{Dyn}}$. Writing Out $G^{0,\mathrm{ad}} = \operatorname{Aut} G^{0,\mathrm{ad}}/\operatorname{Inn} G^{0,\mathrm{ad}}$, we have that the homomorphism

Aut
$$G^{0,ad} \rightarrow \text{Aut BRD}(G^{0,ad}, T, B)$$

induces an isomorphism

Out
$$G^{0,ad} \xrightarrow{\sim} \text{Aut BRD}(G^{0,ad}, T, B)$$
:

see Conrad [12, Proposition 1.5.5]. It follows that $\ker \phi_{\mathrm{Dyn}}$ is the preimage in G of the subgroup $G^{0,\mathrm{ad}} = \mathrm{Inn}\ G^{0,\mathrm{ad}} \subseteq \mathrm{Aut}\ G^{0,\mathrm{ad}}$. Since $G^{0,\mathrm{ad}}$ is the identity component of $\mathrm{Aut}\ G^{0,\mathrm{ad}}$, we have $\psi(G^0) = G^{0,\mathrm{ad}}$, and hence for any $g \in \ker \phi_{\mathrm{Dyn}}$ there exists $g_0 \in G^0$ such that $\psi(g_0g) = 1 \in \mathrm{Aut}\ G^{0,\mathrm{ad}} = \mathrm{Aut}[\mathfrak{g},\mathfrak{g}]$, that is, $g_0g \in \ker \psi = A_1$, as required.

6 Vinberg's θ -representations

Vinberg [36, 37] introduced a class of representations of algebraic groups which share many properties with the adjoint representation of a semisimple Lie algebra. This makes it possible to classify the orbits corresponding to such a representation. These representations are constructed from a $\mathbb{Z}/m\mathbb{Z}$ -grading or a \mathbb{Z} -grading of a split semisimple Lie algebra over a field \mathbb{R} . In this paper we deal exclusively with \mathbb{Z} -gradings of split semisimple real Lie algebras.

Let \mathfrak{g} be a split semisimple Lie algebra over \mathbb{R} . We construct a class of \mathbb{Z} -gradings of \mathfrak{g} . Fix a split Cartan subalgebra \mathfrak{t} of \mathfrak{g} with corresponding root system $\mathbb{R}=\mathbb{R}(\mathfrak{g},\mathfrak{t})$. For $\alpha\in\mathbb{R}$, we denote the corresponding root space by \mathfrak{g}_{α} . Fix a basis of simple roots $\mathbb{S}=\{\alpha_1,\ldots,\alpha_\ell\}$ of \mathbb{R} . Let (d_1,\ldots,d_ℓ) be a sequence of non-negative integers. We define a function

$$d: \mathbb{R} \to \mathbb{Z}, \quad d\left(\sum_{i=1}^{\ell} m_i \alpha_i\right) = \sum_{i=1}^{\ell} m_i d_i.$$

We let \mathfrak{g}_0 be the subspace of \mathfrak{g} spanned by \mathfrak{t} and all subspaces \mathfrak{g}_{α} with $d(\alpha)=0$. Furthermore, for $i\in\mathbb{Z}, i\neq 0$, we let \mathfrak{g}_i be the subspace of \mathfrak{g} spanned by all \mathfrak{g}_{α} with $d(\alpha)=i$. Then



$$\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}_i$$

is a \mathbb{Z} -grading of \mathfrak{g} . We observe that \mathfrak{g}_0 is a reductive Lie subalgebra; see [19, Theorem 8.3.1]. If

$$\alpha = m_1 \alpha_1 + \dots + m_\ell \alpha_\ell \in \mathbb{R}$$
 with $m_i \in \mathbb{Z}_{\geq 0}$

is a positive root with $m_i > 0$ for some i such that $d_i > 0$, then $d(\alpha) \ge m_i d_i > 0$ and hence $\mathfrak{g}_{\alpha_i} \cap \mathfrak{g}_0 = 0$. It follows that the simple roots α_i such that $d_i = 0$ form a basis of the root system of \mathfrak{g}_0 with respect to \mathfrak{t} .

Let G be the inner automorphism group of \mathfrak{g} . This algebraic \mathbb{R} -group is also known as the adjoint group of \mathfrak{g} , or as the identity component of the automorphism group of \mathfrak{g} . For $x \in \mathfrak{g}$ we denote its adjoint map by ad x, so $(\operatorname{ad} x)(y) = [x, y]$. The Lie algebra of G is ad $\mathfrak{g} = \{\operatorname{ad} x \mid x \in \mathfrak{g}\}$. Consider the Lie subalgebra ad $\mathfrak{g}_0 = \{\operatorname{ad} x \mid x \in \mathfrak{g}_0\}$. Let $\mathbf{T} \subset G$ be the Cartan subgroup (maximal torus) of G with Lie algebra ad G, and let G0 denote the algebraic subgroup of G0 generated by G1 and the elements G2 expands G3 with G4 G5 is the connected real algebraic subgroup of G5 whose Lie algebra is ad G6. Since G7 is the action of G8 on G9 in G9 are subspaces G9 invariant. The representation of G9 in G9 so obtained is called a G9-representation, and G9 together with its action on G9 is called a G9-group.

For $x \in \mathfrak{g}_1$ and $y \in \mathfrak{g}_i$, we have $(\operatorname{ad} x)^k(y) \in \mathfrak{g}_{i+k}$. Since the grading is a \mathbb{Z} -grading, we have $\mathfrak{g}_{i+k} = 0$ for sufficiently big k, implying that ad x is nilpotent. It can be shown that each real element $x \in \mathfrak{g}_1$ lies in a real *homogeneous* \mathfrak{sl}_2 -*triple* (h, x, y), where $h \in \mathfrak{g}_0$ and $y \in \mathfrak{g}_{-1}$ and

$$[h, x] = 2x, [h, y] = -2y, [x, y] = h;$$

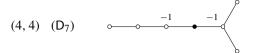
see [19, Lemma 8.3.5]. The proof of [19, Lemma 8.3.5] shows how to construct a homogeneous \mathfrak{sl}_2 -triple (h, x, y) for a complex element x. When applied to a real element x, this method gives a real \mathfrak{sl}_2 -triple.

Consider two elements $x, x' \in \mathfrak{g}_1$ lying in the homogeneous \mathfrak{sl}_2 -triples (h, x, y), (h', x', y'). Then x, x' are G_0 -conjugate if and only if the two triples are G_0 -conjugate if and only if h, h' are G_0 -conjugate; see [19, Theorem 8.3.6]. It is possible to devise an algorithm for classifying the G_0 -orbits in \mathfrak{g}_1 based on this fact; see [19, Section 8.4.1]. An alternative method for this is based on Vinberg's theory of *carrier algebras*; see [37]. Since a θ -group for a \mathbb{Z} -grading or a $\mathbb{Z}/m\mathbb{Z}$ -grading has a finite number of *nilpotent* orbits in $\mathfrak{g}_{1,\mathbb{C}}$ (see [19, Corolary 8.3.8]), and in the case of a \mathbb{Z} -grading all G_0 -orbits in \mathfrak{g}_1 are nilpotent, for a \mathbb{Z} -grading there are finitely many G_0 -orbits in \mathfrak{g}_1 , \mathbb{C}

Now we describe the \mathbb{Z} -gradings that are relevant to this paper. They are constructed using a sequence (d_1, \ldots, d_ℓ) where precisely one of the d_i is 1, and all others are 0. We give such a grading by giving the Dynkin diagram of \mathfrak{g} where the node corresponding to α_i with $d_i = 1$ is colored black. We consider the following three \mathbb{Z} -gradings:



16 Page 16 of 27 M. Borovoi et al.



$$(5,3)$$
 (E_7) -1 -1

$$(6,2) \ (\mathsf{E}_7) \qquad \stackrel{-1}{\circ} \qquad \stackrel{-1}{\circ} \qquad \stackrel{\circ}{\circ} \qquad \stackrel{\circ$$

Consider such a \mathbb{Z} -grading labeled (m, n) with (m, n) taking values (4, 4), (5, 3), (6, 2). Write $\mathbf{G}_0^{\text{der}} = [\mathbf{G}_0, \mathbf{G}_0]$, the derived subgroup of \mathbf{G}_0 . We see from the Dynkin diagram that the semisimple group $\mathbf{G}_0^{\text{der}}$ is of type $A_{m-1} \times A_{n-1}$. Therefore, the universal cover $\widetilde{\mathbf{G}}_0$ of $\mathbf{G}_0^{\text{der}}$ is a split simply connected semisimple group of type $A_{m-1} \times A_{n-1}$ and hence it is isomorphic to $\mathrm{SL}_{m,\mathbb{R}} \times \mathrm{SL}_{n,\mathbb{R}}$; we fix this isomorphism. We obtain an isogeny (surjective homomorphism with finite kernel)

$$SL_{m,\mathbb{R}} \times SL_{n,\mathbb{R}} \xrightarrow{\sim} \widetilde{\mathbf{G}}_0 \twoheadrightarrow \mathbf{G}_0^{\mathrm{der}}.$$

Of course, to specify this isogeny we need to work with Lie algebras.

Since \mathbf{G} is of adjoint type, the set of simple roots $\{\alpha_1,\ldots,\alpha_\ell\}$ (with $\ell=7$) is a basis of the character group $\mathsf{X}^*(\mathbf{T})$. Write $\mathbf{T}_0=\mathbf{T}\cap\mathbf{G}_0$; then the set $\{\alpha_1,\ldots,\alpha_\ell\}\setminus\{\alpha_{i_0}\}$ is a basis of $\mathsf{X}^*(\mathbf{T}_0)$, and $\mathbf{T}=\mathbf{T}_0\times\mathbf{T}^1$, where $\mathbf{T}^1=Z(\mathbf{G}_0)\cong\mathbb{G}_{m,\mathbb{R}}$ is a 1-dimensional split \mathbb{R} -torus with character group $\mathsf{X}^*(\mathbf{T}^1)=\mathbb{Z}\cdot\alpha_{i_0}$. Write

$$G_{m,n} = SL_{m,\mathbb{R}} \times SL_{n,\mathbb{R}} \times \mathbb{G}_{m,\mathbb{R}};$$

we obtain an isogeny $G_{m,n} woheadrightarrow G_0$. Since \mathfrak{g}_1 is the direct sum of eigenspaces \mathfrak{g}_{α} of **T** in \mathfrak{g} where α runs over the roots

$$\alpha = m_1 \alpha_1 + \dots + m_\ell \alpha_\ell$$
 with $m_{i_0} = 1$,

we see that $t \in \mathbf{T}^1(\mathbb{C}) = \mathbb{C}^\times$ acts on \mathfrak{g}_1 by multiplication by t. We compute the representation of $\mathbf{T}_0^{\mathrm{der}}$ in \mathfrak{g}_1 . For $1 \le i \le \ell = 7$, let

$$x_i \in \mathfrak{g}_{\alpha_i}, \ y_i \in \mathfrak{g}_{-\alpha_i}, \ h_i \in \mathfrak{t}$$

be *canonical generators* of \mathfrak{g} . For the root systems of types D_7 and E_7 , this means that each (h_i, x_i, y_i) is an \mathfrak{sl}_2 -triple, that for $i \neq j$ we have $[h_i, x_j] = -x_j, [h_i, y_j] = y_j$ if i, j are connected in the Dynkin diagram, otherwise $[h_i, x_j] = [h_i, y_j] = 0$, and that $[x_i, y_j] = 0$. Let i_0 be such that $d_{i_0} = 1$. Then the elements h_i, x_i, y_i for $i \neq i_0$ form a canonical generating set of the semisimple part $\mathfrak{g}_0^{\text{der}} = [\mathfrak{g}_0, \mathfrak{g}_0]$ of \mathfrak{g}_0 . In the



three cases above it is easy to check that α_{i_0} is the unique lowest weight of \mathfrak{g}_1 . This means that (1) for every $i \neq i_0$ we have that $\alpha_{i_0} - \alpha_i$ is not a root, and (2) for every root $\alpha \neq \alpha_{i0}$ with $d(\alpha) = 1$, there is $i \neq i_0$ such that $\alpha - \alpha_i$ is a root. Here (1) is obvious, because any root must be a linear combination of simple roots with non-negative coefficients, or a linear combination of simple roots with non-positive coefficients. Assertion (2) can be checked using a list of the positive roots of the root systems of type D₇ and E₇, respectively. (See [36, Section 8] for a more conceptual approach.) The positive roots can be listed using a computer program like GAP; alternatively, they can be found in Bourbaki [11]. Hence $x_{i_0} \in \mathfrak{g}_1$ is the unique (up to scalar) lowest weight vector (here "lowest weight vector" means that $[y_i, x_{i_0}] = 0$ for $i \neq i_0$). Furthermore, $[h_i, x_{i_0}] = -x_{i_0}$ if i and i_0 are connected in the Dynkin diagram, and $[h_i, x_{i_0}] = 0$ otherwise. Hence the \mathfrak{g}_0 -module \mathfrak{g}_1 is irreducible and we know its lowest weight λ_l ; the numerical labels $\langle \lambda_l, \alpha_i^{\vee} \rangle$ are given on the Dynkin diagrams above. From this we can determine the highest weight of \mathfrak{g}_1 . Namely, let τ be the only nontrivial automorphism of the Dynkin diagram A_{ℓ} when $\ell > 1$, and the trivial automorphism of A_{ℓ} for $\ell = 1$. Then the highest weight λ_h is given by $\lambda_h = -\tau(\lambda_{\ell})$; see [17, Section 3.2.6, Proposition 2.3 and Theorem 2.13]. We see that the numerical labels of λ_h are 1 at the node neighboring an extreme node of A_m , and 1 at an extreme node of A_n for $m \ge n$. This means that there exists an isomorphism $\widetilde{\mathbf{G}}_0 \xrightarrow{\sim} \mathrm{SL}_{m,\mathbb{R}} \times \mathrm{SL}_{n,\mathbb{R}}$ with $m \ge n$ such that \mathfrak{g}_1 is isomorphic to $(\wedge^2 \mathbb{R}^m)^* \otimes \mathbb{R}^n$ as a $G_{m,n}$ -module; see [26, Table 5]. An element $(a_m, b_n, c_1) \in G_{m,n}$ acts on $(\wedge^2 \mathbb{C}^m)^* \otimes \mathbb{C}^n$ as follows:

$$(a_m, b_n, c_1) \cdot (\phi \otimes v) = c_1(a_m \cdot \phi) \otimes (b_n \cdot v) \text{ for } \phi \in (\wedge^2 \mathbb{C}^m)^*, v \in \mathbb{C}^n.$$

We note that we have a canonical isomorphism $(\wedge^2 \mathbb{R}^m)^* \otimes \mathbb{R}^n \cong \text{Hom}(\wedge^2 \mathbb{R}^m, \mathbb{R}^n)$.

7 Using Galois cohomology

Set

$$\mathbf{Y} = \operatorname{Hom}(\wedge^2 \mathbf{U}, \mathbf{V}) = \operatorname{Hom}(\wedge^2 \mathbb{R}^m, \mathbb{R}^n), \quad Y := \mathbf{Y} \otimes_{\mathbb{R}} \mathbb{C} = \operatorname{Hom}(\wedge^2 \mathbb{C}^m, \mathbb{C}^n)$$

with the standard action of the Galois group $Gal(\mathbb{C}/\mathbb{R})$ on Y. Write

$$\mathbf{G} = \mathrm{GL}_{m,\mathbb{R}} \times \mathrm{GL}_{n,\mathbb{R}}, \quad G = \mathrm{GL}(m,\mathbb{C}) \times \mathrm{GL}(n,\mathbb{C}),$$

$$\mathbf{G}' = \mathrm{SL}_{m,\mathbb{R}} \times \mathrm{GL}_{n,\mathbb{R}}, \quad G' = \mathrm{SL}(m,\mathbb{C}) \times \mathrm{GL}(n,\mathbb{C}),$$

$$\mathbf{G}'' := \mathbf{G}_{m,n} = \mathrm{SL}_{m,\mathbb{R}} \times \mathrm{SL}_{n,\mathbb{R}} \times \mathbb{G}_{m,\mathbb{R}},$$

$$G'' := G_{m,n} = \mathrm{SL}(m,\mathbb{C}) \times \mathrm{SL}(n,\mathbb{C}) \times \mathbb{C}^{\times}.$$

See Notation in Introduction for the notations $GL_{m,\mathbb{R}}$ and $GL(m,\mathbb{C})$. The group **G** acts on **Y** in the standard way, and we have a composite homomorphism

$$\mathbf{G}'' \xrightarrow{p} \mathbf{G}' \hookrightarrow \mathbf{G}.$$

16 Page 18 of 27 M. Borovoi et al.

Here the surjective homomorphism p is given by

$$p: \mathbf{G}'' \to \mathbf{G}', \quad (a_m, b_n, c_1) \mapsto (a_m, c_1 b_n),$$
 (7.1)

where $a_m \in SL(m, \mathbb{C})$, $b_n \in SL(n, \mathbb{C})$, $c_1 \in \mathbb{C}^{\times}$, and we write c_1b_n for the product of the scalar c_1 and the matrix b_n . Then

$$\ker p = \{(I_m, \lambda^{-1}I_n, \lambda) \mid \lambda^n = 1\} \cong \mu_n,$$

where μ_n denotes the group of *n*-th roots of unity.

Let $e \in \mathbf{Y} = \operatorname{Hom}(\wedge^2\mathbb{R}^m, \mathbb{R}^n)$, which we view as embedded into $Y := \mathbf{Y} \otimes_{\mathbb{R}} \mathbb{C}$. As seen in Sect. 6, Lie G'' is isomorphic to $\hat{\mathfrak{g}}_0$, the zero component of a \mathbb{Z} -graded simple complex Lie algebra $\hat{\mathfrak{g}}$. Moreover, Y is isomorphic as a G''-module to $\hat{\mathfrak{g}}_1$ (in Sect. 6 it was denoted by \mathfrak{g}_1). We use the machinery of \mathfrak{sl}_2 -triples, as indicated in Sect. 6, to classify the G''-orbits in Y. Let t = (h, e, f) be a real homogeneous \mathfrak{sl}_2 -triple containing e, where $h \in \hat{\mathfrak{g}}_0$, $f \in \hat{\mathfrak{g}}_{-1}$. We write

$$\mathbf{G}_e = \operatorname{Stab}_{\mathbf{G}}(e), \quad \mathbf{G}'_e = \operatorname{Stab}_{\mathbf{G}'}(e), \quad \mathbf{G}''_e = \operatorname{Stab}_{\mathbf{G}''}(e), \quad \mathbf{G}''_t = \operatorname{Stab}_{\mathbf{G}''}(t).$$

Let $e \in \mathbf{Y}$. We denote by \mathfrak{g}_t'' the centralizer (stabilizer) of a real \mathfrak{sl}_2 -triple t = (h, e, f) in the real Lie algebra $\mathfrak{g}'' = \operatorname{Lie} \mathbf{G}'' = \mathfrak{sl}(m, \mathbb{R}) \times \mathfrak{sl}(n, \mathbb{R}) \times \mathbb{R}$. These stabilizers for the real orbits are tabulated in fourth column of each of Tables 1, 2 and 3, where by t we denote the Lie algebra of a one-dimensional *split* torus of \mathbf{G} , and by \mathfrak{u} we denote the Lie algebra of a one-dimensional *compact* torus of \mathbf{G} .

Lemma 7.1 Assume that $e \in Y$, and consider G_e , which is a real algebraic group. Then there is a canonical bijection between H^1G_e and the set of real orbits (the orbits of $G(\mathbb{R})$ in Y) contained in the complex orbit $G \cdot e$.

Proof By Serre [33, Section I.5.4, Proposition 36], see also [7, Proposition 3.6.5], we have a canonical bijection between ker $[H^1\mathbf{G}_e \to H^1\mathbf{G}]$ and the set of orbits of $\mathbf{G}(\mathbb{R})$ in $G \cdot e \cap \mathbf{Y}$. Moreover, since $\mathbf{G} = \mathrm{GL}_{m,\mathbb{R}} \times \mathrm{GL}_{n,\mathbb{R}}$, we have $H^1\mathbf{G} = 1$ (see Serre [32, Section X.1, Proposition 3]), and the lemma follows.

We specify the map of the lemma. Recall that $H^1\mathbf{G}_e = Z^1\mathbf{G}_e/\sim$ where $Z^1\mathbf{G}_e$ is the set of 1-cocycles; see Sect. 4. Here we write $z \sim z'$ if there exists $g \in G_e$ such that $z = g^{-1}z'^{\gamma}g$. Let $[z] \in H^1\mathbf{G}_e$ be the cohomology class represented by a cocycle z. Since $H^1\mathbf{G} = 1$, there exists $g \in G$ such that

$$z = g^{-1} \cdot 1 \cdot {}^{\gamma}g$$
, that is, ${}^{\gamma}g = gz$.

One can easily find such an element g using computer or by hand. We have

$$^{\gamma}(g \cdot e) = {}^{\gamma}g \cdot e = gz \cdot e = g \cdot e,$$

because $z \in G_e$. Thus $g \cdot e$ is real (contained in Y), and to [z] we assign the $G(\mathbb{R})$ -orbit of $g \cdot e$.



8 The stabilizer of e and the centralizer of t = (h, e, f)

By Lemma 7.1 we can use H^1G_e in order to classify orbits of $G(\mathbb{R})$ in Y. Therefore, we need to compute H^1G_e . To compute H^1G_e , we embed e into an \mathfrak{sl}_2 -triple t=(e,h,f) as in Sect. 7. We compute G''_t using the theory of θ -groups. Below we describe some relations between G''_t and G_e .

By [7, Theorem 4.3.16] we have

$$\mathbf{G}_{\varrho}^{"} = R_{\mathsf{u}}(\mathbf{G}_{\varrho}^{"}) \times \mathbf{G}_{t}^{"} \tag{8.1}$$

where R_u denotes the unipotent radical. By Sansuc's lemma ([31, Lemma 1.13], see also [5, Proposition 3.2] and [6, Proposition 7.1]) the inclusion $\mathbf{G}''_t \hookrightarrow \mathbf{G}''_e$ induces a bijection $\mathbf{H}^1\mathbf{G}''_t = \mathbf{H}^1\mathbf{G}''_e$.

Lemma 8.1 For the homomorphism p of (7.1), we have $\ker p \subseteq Z(G''_t)$ where $Z(G''_t)$ denotes the center of G''_t .

Proof Clearly, ker $p \subset G''_e$. Since ker $p \subseteq Z(G'')$, we have ker $p \subseteq Z(G''_e)$. Each element $x \in \ker p$ is semisimple, and in view of (8.1) there exists $g \in G''_e$ such that $gxg^{-1} \in G''_t$; see Hochschild [21, Theorem VIII.4.3]. Since $x \in Z(G''_e)$, we see that $x \in G''_t$ and that $x \in Z(G''_t)$, as required.

Since the homomorphism p is surjective, from (8.1) we obtain that

$$G'_{e} = p(G''_{e}) = p(R_{u}(G''_{e}) \times G''_{t}) = p(R_{u}(G''_{e})) \times p(G''_{t}), \tag{8.2}$$

where $p(R_{\rm u}(G_e'')) \cong R_{\rm u}(G_e'')$ because by Lemma 8.1 we have ker $p \subset G_t''$. Now consider G_e . Write

$$\mathbf{D} = \{(xI_m, x^2I_n) \mid x \in \mathbb{C}^{\times}\} \subset \mathbf{G}.$$

Lemma 8.2 $G_e = D \cdot G'_e$.

Proof Clearly $D \subseteq G_e$ for any $e \in \operatorname{Hom}(\bigwedge^2 \mathbb{C}^m, \mathbb{C}^n)$, whence $D \cdot G'_e \subseteq G_e$. Conversely, if $g = (g_m, g_n) \in G_e \subset \operatorname{GL}(m, \mathbb{C}) \times \operatorname{GL}(n, \mathbb{C})$, we choose $x \in \mathbb{C}^\times$ such that $x^m = \det(g_m)$, and we set

$$d = (xI_m, x^2I_n), \quad g' = (x^{-1}g_m, x^{-2}g_n) = d^{-1}g.$$

Then $\det(x^{-1}g_m) = 1$, and therefore we have $g' \in G'$. Since $g' = d^{-1}g$ where $d \cdot e = e$ and $g \cdot e = e$, we see that $g' \cdot e = e$. Thus $g' \in G'_e$, $d \in D$, and g = dg', as required. \square

We denote $\mathbf{P}_t = \mathbf{D} \cdot p(\mathbf{G}_t'')$.

Corollary 8.3 $G_e = p(R_u(G''_e)) \rtimes P_t$.



16 Page 20 of 27 M. Borovoi et al.

Proof We use Lemma 8.2 and formula (8.2), and obtain

$$G_e = D \cdot G'_e = D \cdot \left(p(R_{\mathbf{u}}(G''_e)) \rtimes p(G''_t) \right)$$

= $p(R_{\mathbf{u}}(G''_e)) \rtimes (D \cdot p(G''_t)) = p(R_{\mathbf{u}}(G''_e)) \rtimes P_t$,

as required.

Proposition 8.4 The inclusion $P_t \hookrightarrow G_e$ induces a bijection

$$H^1 \mathbf{P}_t = H^1 \mathbf{G}_e.$$

Proof Since the group $p(R_{\mathbf{u}}(\mathbf{G}''_{e}))$ is unipotent, by Sansuc's lemma we have

$$\mathrm{H}^{1}\mathbf{P}_{t} = \mathrm{H}^{1}(p(R_{\mathrm{u}}(\mathbf{G}_{e}^{\prime\prime})) \rtimes \mathbf{P}_{t}).$$

By Corollary 8.3 we have $H^1(p(R_{\mathbf{u}}(\mathbf{G}''_e)) \times \mathbf{P}_t) = H^1\mathbf{G}_e$, as required.

In order to compute $H^1\mathbf{P}_t$ we need the Lie algebra of \mathbf{P}_t . We have an isomorphism Lie $G''_t \to \text{Lie}(G''_t/\text{ker } p)$ given by

$$(g_m, g_n, c_1) \mapsto (g_m, c_1 I_n + g_n).$$

Then Lie $P_t = \text{Lie } D + \text{Lie } (p(G_t''))$, where Lie $D = \mathbb{C} \cdot (I_m, 2I_n)$. Write $\mathfrak{g}'' = \text{Lie } (G'')$, $\mathfrak{g}' = \text{Lie } (G')$. For the differential $dp \colon \mathfrak{g}'' \to \mathfrak{g}'$ we have $dp(a_m, b_n, c_1) = (a_m, b_n + c_1)$. Now Lie $(p(G_t'')) = dp(\text{Lie } (G_t'')) = dp(\mathfrak{g}_t'')$, where \mathfrak{g}_t'' is the centralizer of t in \mathfrak{g}'' . We see that it is straightforward to compute using computer the Lie subalgebra

Lie
$$P_t \subset \mathfrak{gl}(m, \mathbb{C}) \times \mathfrak{gl}(n, \mathbb{C})$$
.

For classification of $G(\mathbb{R})$ -orbits in $Y = \text{Hom}(\bigwedge^2 \mathbb{R}^m, \mathbb{R}^n)$, we need H^1G_e . For this end we need a real basis of Lie P_t (which is computed by our program) and the component group $\pi_0(P_t)$.

Proposition 8.5 Consider the composite homomorphism

$$\varphi \colon G''_t \twoheadrightarrow p(G''_t) \hookrightarrow P_t.$$

Then the induced homomorphism φ_* : $\pi_0(G''_t) \to \pi_0(P_t)$ is surjective.

Proof The homomorphism $D \times G''_t \to D \cdot p(G''_t) = P_t$ is surjective. It follows that the homomorphism of the component groups

$$\pi_0(G'') \xrightarrow{\sim} \pi_0(D \times G''_t) \to \pi_0(P_t)$$

is surjective, as required.

We have reduced computing $H^1\mathbf{G}_e$ to computing $\pi_0(P_t)$. Moreover, in view of Proposition 8.5, computing $\pi_0(P_t)$ reduces to computing $\pi_0(G_t'')$; see the end of Sect. 9 below.



9 Computing H¹G_e

We start with a tensor e from Tables 1, 2 and 3. These are the tensors in the rows 1–6 (but not 1-bis) in Table 1, in the rows 1–12 in Table 2, and in the rows 1–3 in Table 3. We can compute the stabilizer $\mathfrak{g}_e = \operatorname{Lie} G_e$ of our tensor e in the real Lie algebra $\operatorname{Lie} \mathbf{G} = \mathfrak{gl}(m, \mathbb{R}) \times \mathfrak{gl}(n, \mathbb{R})$. This is a linear problem, and we can easily do that using computer.

We need the Galois cohomology $H^1\mathbf{G}_e := H^1(\mathbb{R}, \mathbf{G}_e)$. We have a computer program [20] described in [6] for calculating the Galois cohomology $H^1\mathbf{H}$ of a real linear algebraic group \mathbf{H} , not necessarily connected or reductive. We call this program "the H^1 -program". We assume that $\mathbf{H} \subseteq \mathrm{GL}(N,\mathbb{C})$ (for some natural number N) is a real algebraic subgroup (that is, defined by polynomial equations with real coefficients). The input of the H^1 -program is the real Lie algebra Lie $\mathbf{H} \subset \mathfrak{gl}(N,\mathbb{R})$ given by a (real) linear basis, and the component group $\pi_0(H)$ given by a set of representatives $h_1, \ldots, h_r \in \mathrm{GL}(N,\mathbb{C})$.

However, when working on this paper, we had only an older version of the H^1 -program. This older H^1 -program computes H^1H when H is *reductive* (not necessarily connected). Therefore, we reduce our calculation of H^1G_e to the reductive case, see below.

Using computer, we embed our tensor e into a homogeneous \mathfrak{sl}_2 -triple (h, e, f) in a \mathbb{Z} -graded Lie algebra as in Sect. 6, and we consider the reductive group $\mathbf{P}_t = \mathbf{D} \cdot p(\mathbf{G}_t'') \subset \mathbf{G}_e$, not necessarily connected; see Sect. 8. By Proposition 8.4 we have a canonical bijection $\mathbf{H}^1\mathbf{P}_t \xrightarrow{\sim} \mathbf{H}^1\mathbf{G}_e$. It remains to compute $\mathbf{H}^1\mathbf{P}_t$.

In order to compute $H^1\mathbf{P}_t$ using the older H^1 -program, we need a basis of the real Lie algebra $\mathrm{Lie}(\mathbf{P}_t)$ and the component group $\pi_0(P_t)$. Using computer, we can easily compute a basis of $\mathrm{Lie}(\mathbf{P}_t)$.

It remains to compute $\pi_0(P_t)$. By Proposition 8.5, the homomorphism $\pi_0(G_t'') \to \pi_0(P_t)$ is surjective. It remains to find representatives of $\pi_0(G_t'')$ up to equivalence in P_t . Here we say that $g_1, g_2 \in G_t''$ are equivalent in P_t if $p(g_1)p(g_2)^{-1}$ is contained in the identity component P_t^0 of P_t .

We have a computer program checking whether an element h of a reductive algebraic group $H \subset GL(N, \mathbb{C})$ (not necessarily connected) with Lie algebra \mathfrak{h} is contained in the identity component H^0 of H. Using this program, from a set of representatives of $\pi_0(G_t'')$ we obtain a set of representatives of $\pi_0(P_t)$. It remains to compute $\pi_0(G_t'')$.

10 Computing $\pi_0(G_t'')$

The group G_t'' acts by conjugation on its identity component $G_t''^0$, whence we obtain a homomorphism

$$\pi_0(G_t'') = G_t''/G_t''^0 \to \text{Aut } G_t''^0/\text{Inn } G_t''^0 = \text{Out } G_t''^0,$$

where $\operatorname{Inn} G_t''^0$ denotes the group of inner automorphisms of $G_t''^0$. The group of outer automorphisms $\operatorname{Out} G_t''^0$ naturally acts on the based root datum $\operatorname{BRD}(G_t''^0)$. In



16 Page 22 of 27 M. Borovoi et al.

particular, it acts on the Dynkin diagram $\mathcal{D} = \text{Dyn } G_t''^0$ and on the free abelian group $X_Z^{\vee} = X_*(Z(G_t''^0))$; see Sect. 5. We obtain a homomorphism

$$\pi_0(G_t'') \to \operatorname{Aut}(\operatorname{Dyn} G_t''^0) \times \operatorname{Aut}(X_Z^{\vee}).$$
 (10.1)

The action of $\pi_0(G''_t)$ on $\mathrm{Dyn}(G''_t)^0$ preserves the sets of highest weights of the representations of the derived Lie algebra $\mathfrak{g}_t''^{\mathrm{der}} = [\mathfrak{g}_t'', \mathfrak{g}_t'']$ in $U = \mathbb{C}^m$ and in $V = \mathbb{C}^n$. The group $\mathrm{Aut}(\mathrm{Dyn}\,G_t''^0)$ in (10.1) is finite, but $\mathrm{Aut}(X_Z^\vee)$ is infinite when

The group $\operatorname{Aut}(\operatorname{Dyn} G_t''^0)$ in (10.1) is finite, but $\operatorname{Aut}(X_Z^\vee)$ is infinite when $\dim Z(G_t''^0) \geqslant 2$. We construct a finite subgroup of $\operatorname{Aut}(X_Z^\vee)$ containing the image of $\pi_0(G_t'')$ in $\operatorname{Aut}(X_Z^\vee)$. Consider the symmetric bilinear form on \mathfrak{g}

$$\mathfrak{F}(x, y) = \operatorname{Tr}(xy), \quad x, y \in \mathfrak{g} = \mathfrak{gl}(m, \mathbb{R}) \times \mathfrak{gl}(n, \mathbb{R})$$

where xy denotes the product of matrices. This symmetric bilinear form is G-invariant, hence G''_t -invariant. By abuse of notation, we denote again by \mathcal{F} the restriction of the form to $\mathfrak{F}:=\mathrm{Lie}\,Z(G''_t{}^0)$; it is G''_t -invariant and hence $\pi_0(G''_t)$ -invariant.

We observe that in all our examples, the bilinear form \mathcal{F} on the real vector space \mathfrak{z} is positive definite. Indeed, one can see from Tables 1, 2 and 3 that the center \mathfrak{z} of the Lie algebra $\mathfrak{g}''_t = \operatorname{Lie} G''_t$ is split, that is, it can be diagonalized over \mathbb{R} . It follows that any matrix $x \in \mathfrak{z}$ can be diagonalized over \mathbb{R} , and therefore $\mathcal{F}(x,x) = \operatorname{Tr}(x^2) \geqslant 0$; moreover, if $x \neq 0$ then $\mathcal{F}(x,x) = \operatorname{Tr}(x^2) > 0$, as required.

We embed $X_Z^{\vee} \hookrightarrow \mathfrak{z}$: to any $v \in X_Z^{\vee}$ we assign the element $h_v = (dv)(1) \in \mathfrak{z}$ as in Sect. 5. We obtain a $\pi_0(G_t'')$ -invariant positive definite bilinear form \mathfrak{F}_X on X_Z^{\vee} :

$$\mathfrak{F}_X(\nu_1, \nu_2) = \mathfrak{F}(h_{\nu_1}, h_{\nu_2}) \text{ for } \nu_1, \nu_2 \in X_Z^{\vee}.$$

Since \mathcal{F}_X is definite, the group $\operatorname{Aut}(X_Z^{\vee}, \mathcal{F}_X)$ is finite. We can write the homomorphism (10.1) as

$$\pi_0(G_t'') \to \operatorname{Aut}(\operatorname{Dyn} G_t''^0) \times \operatorname{Aut}(X_Z^\vee, \mathfrak{F}_X)$$

where both automorphism groups are finite.

11 Details of computation of Tables 1, 2 and 3

We describe the last two columns in our Tables 1, 2 and 3. We take a representative $e \in \mathbf{Y} = \operatorname{Hom}(\wedge^2 \mathbf{U}, \mathbf{V})$ and embed it into a homogeneous \mathfrak{sl}_2 -triple t = (h, e, f). We consider the centralizer (stabilizer) \mathfrak{g}_t'' ; see Sect. 7. The Lie algebra \mathfrak{g}_t'' is reductive. Let \mathfrak{g}_t'' denote its derived subalgebra. The inclusion homomorphism

$$\mathfrak{g}''^{\text{der}}_{\scriptscriptstyle f} \hookrightarrow \mathfrak{g}''_{\scriptscriptstyle f} \hookrightarrow \text{Lie}(\mathbf{G}'') = \mathfrak{sl}(\mathbf{U}) \times \mathfrak{sl}(\mathbf{V}) \times \mathbb{R}$$

induces complex representations of $\mathfrak{g}_t''^{\text{der}}$ in U and V. We compute the highest weights of these representations and write them in the corresponding columns "Rep. in U" and "Rep. in V" of the tables.



For example, in the row 1 of Table 1, we have $\mathfrak{g}_t'' \simeq \mathfrak{sl}_2 \times \mathfrak{sl}_2 \times \mathfrak{sl}_2$, and the representation of $\mathfrak{g}_t''^{\text{der}} = \mathfrak{g}_t''$ in $U = \mathbb{R}^6$ is the direct sum of the three 2-dimensional irreducible representations with the highest weights (1,0,0), (0,1,0), and (0,0,1). The representation in V is the trivial 1-dimensional irreducible representation (with highest weight (0,0,0)) with multiplicity 2.

Let e be one of the tensors in the rows 2, 3, 4, 5, 6 of Table 1. Looking at the last three columns of the table, we see that the Dynkin diagram Dyn $G_t''^0$ has no non-trivial automorphisms preserving the highest weights of the representations in U and V. Thus $\pi_0(G_t'')$, when acting on $G_t''^0$, acts trivially on Dyn $G_t''^0$. Write r for the semisimple rank of $G_t''^0$, and let $x_1, \ldots, x_r, y_1, \ldots, y_r, h_1, \ldots, h_r$ be canonical generators of the semisimple Lie algebra $[\mathfrak{g}_t'', \mathfrak{g}_t'']$ as in Sect. 6. We add the equations

$$Ad(g) x_i = x_i$$
, $Ad(g) y_i = y_i$ for $i = 1, ..., r$,

to the equations defining G_t'' , and compute the Gröbner basis. A calculation shows that the obtained subgroup $H'' = \ker[G_t''] \to \operatorname{Aut} \mathfrak{g}_t''] \subset G''$ is contained in the diagonal maximal torus of G''. This means that H'' is given as the intersection of a finite number of characters of the maximal torus. This makes it possible to work with H'' using the machinery of finitely-generated abelian groups. In particular we can use the Smith form of an integral matrix to find generators of $\pi_0(H'')$. Here we do not go into the details but refer to [19, Proposition 3.9.7] and its proof. A calculation shows that the images of all these representatives are contained in the identity component P_t^0 of P_t . By Corollary 5.2 the image of $\pi_0(H'')$ in $\pi_0(P_t)$ is the whole $\pi_0(P_t)$, and we conclude that $\pi_0(P_t) = 1$ in all these cases. A calculation (by hand or using computer) shows that $H^1\mathbf{P}_t = 1$, and thus $H^1\mathbf{G}_e = 1$, in all these cases. Similarly, we obtain that for the cases 8, 9, 10, 11 of Table 2 and the cases 2, 3 of Table 3 we have $\pi_0(P_t) = 1$ and $H^1\mathbf{G}_e = 1$. Thus the complex orbit $G \cdot e$ contains only one real orbit $\mathbf{G}(\mathbb{R}) \cdot e$, and the corresponding complex two-step nilpotent Lie algebra has only one real form.

In the case 1 of Table 2 we have $\pi_0(P_t) = 1$, but $\# H^1 \mathbf{P}_t = 2$. Thus there are two real orbits in the complex orbit, and we computed (using computer) a representative of the second real orbit; see the row 1-bis in Table 2.

One can see that in the row 1 of Table 1, the automorphism group of the Dynkin diagram $Dyn(G_t'')^0$ together with the highest weights of the representations in U and V is the symmetric group S_3 . A calculation shows that the homomorphisms

$$\pi_0(P_t) \longleftarrow \pi_0(G_t'') \longrightarrow \operatorname{Aut}(\operatorname{Dyn} G_t''^0)$$

are isomorphisms, whence $\pi_0(P_t) \simeq S_3$. A calculation (using the H¹-program or by hand) shows that $H^1\mathbf{G}_e = H^1\mathbf{P}_t \cong H^1(\Gamma, S_3)$ and $\#H^1\mathbf{G}_e = 2$. Thus there are two real orbits in the complex orbit $G \cdot e$; see the row 1-bis in Table 1 for a representative of the second real orbit.

Similarly, in the row 1 of Table 3, we have $\pi_0(G_2) \cong C_2$ (a group of order 2), and

$$H^1$$
G_e = H^1 **P**_t \cong H^1 (Γ , C_2) = {[1], [c]}

where $c \in C_2$, $c \neq 1$. Again we have two real orbits in the complex orbit $G \cdot e$.



16 Page 24 of 27 M. Borovoi et al.

We consider the rows 2, 3, 4, 5, 6, 7, 11 of Table 2. We see from the table that $G_t''^0$ is a torus of dimension 2 or 3. We computed the Gröbner basis of the equations defining G_t'' . In the cases 4, 6, 7, 11 we obtain a subgroup contained in the diagonal maximal torus of G'', and the image of this subgroup in P_t is contained in the identity component. We see that $\pi_0(P_t) = 1$, and therefore \mathbf{P}_t is a split torus. Thus $H^1\mathbf{G}_e = H^1\mathbf{P}_t = 1$, and the complex orbit $G \cdot e$ contains only one real orbit $\mathbf{G}(\mathbb{R}) \cdot e$.

It remains to consider the cases 2, 3, 5 of Table 2, in which $G_t''^0$ is a torus and the group G_t'' is not diagonal. We provide details for the case 5; the cases 2 and 3 are similar (and easier). In the case 5 the group $G_t''^0$ is a 3-dimensional torus, and hence X_Z^{\vee} is a free abelian group of rank 3. We chose a basis e_1, e_2, e_3 of X_Z^{\vee} and computed the Gram matrix $Gr(\mathcal{F}_X) = (a_{ij})$ where $a_{ij} = \mathcal{F}_X(e_i, e_j)$. We obtained the matrix

$$\begin{pmatrix} 42 & 0 \\ 24 & 0 \\ 0031 \end{pmatrix}$$
.

Using the function AutomorphismGroup of Magma, we computed the automorphism group $\mathcal{A} = \operatorname{Aut}(X_Z^{\vee}, \mathcal{F}_X)$. It is a group of order 24 with generators

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & -1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \quad \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

We computed the list of elements of \mathcal{A} , and for each $a \in \mathcal{A}$ we computed the Gröbner basis for G_t'' with additional equations saying that the element $g \in G_t''$ acts on X_Z^\vee as a. For 18 elements a we got the trivial Gröbner basis {1}, which means that the corresponding enlarged system of equations has no solutions. For the following six elements:

$$\begin{pmatrix} -1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} -1 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & -1 & 0 \\ 1 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & -1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

we obtained nontrivial Gröbner bases, which meant that the corresponding enlarged system did have a solution, and then it was easy to find a solution by a computer-assisted calculation. From this we obtained that $\pi_0(G_t'') \simeq S_3$ and $H^1\mathbf{G}_e = H^1\mathbf{P}_t \simeq H^1(\Gamma, S_3)$ is of cardinality 2. Similarly, we obtained that $\pi_0(G_e) \simeq C_2$ in cases 2 and 3 of Table 2. In these two cases we also obtained that $\#H^1\mathbf{G}_e = 2$. Thus the complex orbit $G \cdot e$ contains exactly two real orbits, and in each case we computed a representative of the other orbit.

A Appendix: Signature (4, 4): the duality approach

We use the duality approach; see Gauger [15, Section 3] or Galitski and Timashev [13, Section 1.2]. Let U and V be finite dimensional spaces over a field \mathbb{k} of characteristic different from 2. To each surjective linear map $\beta \colon \bigwedge^2 U \to V$ we assign the natural



surjective linear map

$$\beta^* : \wedge^2 U^* \to \wedge^2 U^* / (\ker \beta)^{\perp}$$

where $(\ker \beta)^{\perp}$ denotes the orthogonal complement (annihilator) to $\ker \beta \subset \bigwedge^2 U$ in the dual space $\bigwedge^2 U^*$ to $\bigwedge^2 U$. This approach reduces classification of surjective skew-symmetric bilinear maps $\mathbb{R}^m \times \mathbb{R}^m \to \mathbb{R}^{n_1}$ to classification of surjective skew-symmetric bilinear maps $\mathbb{R}^m \times \mathbb{R}^m \to \mathbb{R}^{n_2}$ where $n_2 = \binom{m}{2} - n_1$. When $(m, n_1) = (4, 4)$, we obtain $n_2 = \binom{4}{2} - 4 = 6 - 4 = 2$. This reduces the problem of classification of two-step nilpotent Lie algebras over \mathbb{R} of signature (4, 4) to the well-known cases of signatures (4, 2) and (3, 2) (note that the signatures (2, 2) and (1, 2) are impossible).

In [18] one can find a classification of 6-dimensional nilpotent Lie algebras over a field k of characteristic different from 2. This gives, in particular, a classification of surjective skew-symmetric bilinear maps

$$\beta: \mathbb{k}^4 \times \mathbb{k}^4 \to \mathbb{k}^2$$

over such fields. These are representatives of the orbits with the numbering of [18, Section 4].

$$\beta_{6,8} = e_{12\uparrow 5} + e_{13\uparrow 6}$$
 of signature (3, 2),
 $\beta_{6,22}(\epsilon) = e_{12\uparrow 5} + e_{13\uparrow 6} + \epsilon e_{24\uparrow 6} + e_{34\uparrow 5}$ for $\epsilon \in \mathbb{k}$ of signature (4, 2).

Here $\beta_{6,22}(\delta)$ is equivalent to $\beta_{6,22}(\epsilon)$ if $\delta = \alpha^2 \epsilon$ for some $\alpha \in \mathbb{R}^{\times}$. Thus for $\mathbb{R} = \mathbb{C}$ we obtain three equivalence classes with representatives

$$\beta_{6.8}$$
, $\beta_{6.22}(0)$, $\beta_{6.22}(1)$,

and for $k = \mathbb{R}$ we obtain four equivalence classes with representatives

$$\beta_{6.8}$$
, $\beta_{6.22}(0)$, $\beta_{6.22}(1)$, $\beta_{6.22}(-1)$,

which is compatible with our Table 3.

Author Contributions MB and BAD computed Tables 1, 2 and 3 using programs developed by WAdG. MB and WAdG. wrote the manuscript text, which was reviewed by all co-authors.

Funding Open access funding provided by Tel Aviv University.

Data availability All data generated or analyzed during this study are included in this published article.

Declarations

Conflict of interest The authors declare that they have no conflict of interest.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- Ancochéa-Bermúdez, J.M., Goze, M.: Classification des algèbres de Lie nilpotentes complexes de dimension 7. Arch. Math. (Basel) 52(2), 175–185 (1989)
- 2. Artin, E.: Geometric Algebra. Wiley Classics Library. Wiley, New York (1988)
- Belitskii, G., Lipyanski, R., Sergeichuk, V.: Problems of classifying associative or Lie algebras and triples of symmetric or skew-symmetric matrices are wild. Linear Algebra Appl. 407, 249–262 (2005)
- Borel, A.: Linear algebraic groups. Borel, A., Mostow, G.D. (eds.) Algebraic Groups and Discontinuous Subgroups. Proceedings of Symposia in Pure Mathematics, vol. 9, pp. 3–19. American Mathematical Society, Providence (1966)
- Borovoi, M., Daw, C., Ren, J.: Conjugation of semisimple subgroups over real number fields of bounded degree. Proc. Amer. Math. Soc. 149(12), 4973–4984 (2021)
- Borovoi, M., de Graaf, W.A.: Computing Galois cohomology of a real linear algebraic group (2023). arXiv:2308.04962
- 7. Borovoi, M., de Graaf, W.A., Lê, H.V.: Real graded Lie algebras, Galois cohomology and classification of trivectors in \mathbb{R}^9 (2021). arXiv:2106.00246
- 8. Borovoi, M., Kunyavskiĭ, B., Lemire, N., Reichstein, Z.: Stably Cayley groups in characteristic zero. Int. Math. Res. Not. IMRN **2014**(19), 5340–5397 (2014)
- Borovoi, M., Timashev, D.A.: Galois cohomology of real semisimple groups via Kac labelings. Transform. Groups 26(2), 433–477 (2021)
- Bosma, W., Cannon, J., Playoust, C.: The Magma algebra system. I. The user language. J. Symbolic Comput. 24(3-4), 235-265 (1997)
- Bourbaki, N.: Groupes et Algèbres de Lie. Chapitres 4–6. Éléments de Mathématique. Masson, Paris (1981)
- Conrad, B.: Reductive group schemes. In: Brochard, S., Conrad, B., Oesterlé, J., Autour des Schémas en Groupes. Vol. I, pp. 93–444. Panoramas et Synthèses 42/43. Société Mathématique de France, Paris (2014)
- Galitski, L.Yu., Timashev, D.A.: On classification of metabelian Lie algebras. J. Lie Theory 9(1), 125–156 (1999)
- The GAP Group, GAP—Groups, Algorithms, and Programming, Version 4.12.2 (2022). https://www.gap-system.org
- Gauger, M.A.: On the classification of metabelian Lie algebras. Trans. Amer. Math. Soc. 179, 293–329 (1973)
- Gong, M.: Classification of Nilpotent Lie Algebras of Dimension 7 (Over Algebraically Closed Field and R). Ph.D. Thesis, UWSpace (1998). http://hdl.handle.net/10012/1148
- Gorbatsevich, V.V., Onishchik, A.L., Vinberg, E.B.: Lie Groups and Lie Algebras, Vol. III: Structure
 of Lie Groups and Lie Algebras. Encyclopaedia of Mathematical Sciences, vol. 41. Springer, Berlin
 (1994)
- de Graaf, W.A.: Classification of 6-dimensional nilpotent Lie algebras over fields of characteristic not
 J. Algebra 309(2), 640–653 (2007)
- de Graaf, W.A.: Computation with Linear Algebraic Groups. Monographs and Research Notes in Mathematics. CRC Press, Boca Raton (2017)
- 20. de Graaf, W.A.: Computer program GalCohom (2023). https://degraaf.maths.unitn.it/galcohom.html
- Hochschild, G.P.: Basic Theory of Algebraic Groups and Lie Algebras. Graduate Texts in Mathematics, vol. 75. Springer, New York (1981)



- Lang, S.: Algebra. Graduate Texts in Mathematics, vol. 211, Revised 3rd edn. Springer, New York (2002)
- Magnin, L.: Determination of 7-dimensional indecomposable nilpotent complex Lie algebras by adjoining a derivation to 6-dimensional Lie algebras. Algebr. Represent. Theory 13(6), 723–753 (2010)
- Milne, J.S.: Algebraic Groups: The Theory of Group Schemes of Finite Type Over a Field. Cambridge Studies in Advanced Mathematics, vol. 170. Cambridge University Press, Cambridge (2017)
- Morozov, V.V.: Classification of nilpotent Lie algebras of sixth order. Izv. Vyssh. Uchebn. Zaved. Matematika 4, 161–171 (1958) (in Russian)
- Onishchik, A.L., Vinberg, E.B.: Lie Groups and Algebraic Groups. Springer Series in Soviet Mathematics. Springer, Berlin (1990)
- Patera, J., Sharp, R.T., Winternitz, P., Zassenhaus, H.J.: Invariants of real low dimension Lie algebras.
 J. Math. Phys. 17(6), 986–994 (1976)
- Plebański, J.F., Przanowski, M.: Generalizations of the quaternion algebra and Lie algebras. J. Math. Phys. 29(3), 529–535 (1988)
- Ren, B., Zhu, L.: Classification of 2-step nilpotent Lie algebras of dimension 8 with 2-dimensional center. Comm. Algebra 39(6), 2068–2081 (2011)
- 30. Romdhani, M.: Classification of real and complex nilpotent Lie algebras of dimension 7. Linear Multilinear Algebra 24(3), 167–189 (1989)
- Sansuc, J.-J.: Groupe de Brauer et arithmétique des groupes algébriques linéaires sur un corps de nombres. J. Reine Angew. Math. 327, 12–80 (1981)
- 32. Serre, J.-P.: Local Fields. Graduate Texts in Mathematics, vol. 67. Springer, New York (1979)
- 33. Serre, J.-P.: Galois Cohomology. Springer Monographs in Mathematics. Springer, Berlin (2002)
- 34. Springer, T.A.: Reductive Groups. In: Borel, A. Casselman, W. (eds.) Automorphic Forms, Representations and *L*-functions. Proceedings of Symposia in Pure Mathematics, vol. 33.1, pp. 3–27. American Mathematical Society, Providence (1979)
- Stroppel, M.: The Klein quadric and the classification of nilpotent Lie algebras of class two. J. Lie Theory 18(2), 391–411 (2008)
- 36. Vinberg, E.B.: The Weyl group of a graded Lie algebra. Math. USSR-Izv. 10, 463–495 (1976)
- Vinberg, E.B.: Classification of homogeneous nilpotent elements of a semisimple graded Lie algebra. Selecta Math. Sov. 6, 15–35 (1987)
- 38. Vinberg, E.B., Elashvili, A.G.: A classification of the trivectors of nine-dimensional space. Selecta Math. Sov. 7, 63–98 (1988)
- Yan, Z., Deng, S.: The classification of two step nilpotent complex Lie algebras of dimension 8.
 Czechoslovak Math. J. 63 (138) (3), 847–863 (2013)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

