Questioning the fetal microbiome and pitfalls of low biomass microbial studies

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- 3 Katherine M. Kennedy*1,2, Marcus C. de Goffau*3,4, Maria Elisa Perez-Muñoz⁵, Marie-Claire
- 4 Arrieta⁶, Fredrik Bäckhed^{7,8,9}, Peer Bork^{10, 11,12,13}, Thorsten Braun¹⁴, Frederic D. Bushman¹⁵, Joel
- 5 Dore¹⁶, Willem M. de Vos^{17,18}, Ashlee M. Earl¹⁹, Jonathan A. Eisen^{20,21,22}, Michal A. Elovitz, MD²³,
- 6 Stephanie C. Ganal-Vonarburg^{24,25}, Michael G. Gänzle⁵, Wendy S. Garrett^{26,27,28,29}, Lindsay J.
- 7 Hall^{30,31,32}, Mathias W. Hornef³³, Curtis Huttenhower^{26,29,34}, Liza Konnikova³⁵, Sarah Lebeer³⁶,
- 8 Andrew J. Macpherson²⁵, Ruth C. Massey^{37,38}, Alice Carolyn McHardy^{39,40,41}, Omry Koren⁴²,
- 9 Trevor D. Lawley⁴, Ruth E. Ley⁴³, Liam O'Mahony^{37,38,44}, Paul W. O'Toole^{37,38}, Eric G. Pamer⁴⁵,
- Julian Parkhill⁴⁶, Jeroen Raes^{47,48}, Thomas Rattei⁴⁹, Anne Salonen¹⁷, Eran Segal⁵⁰, Nicola
- 11 Segata^{51,52}, Fergus Shanahan^{37,44}, Deborah M. Sloboda^{1,2,53}, Gordon C.S. Smith^{54,55}, Harry
- Sokol^{56,57,58}, Tim D. Spector⁵⁹, Michael G. Surette^{1,2,60}, Gerald W. Tannock⁶¹, Alan W. Walker⁶²,
- 13 Moran Yassour^{63,64}, and Jens Walter^{37,38,44}

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- Department of Biochemistry and Biomedical Sciences, McMaster University, Ontario, Hamilton, Canada
- 2. Farncombe Family Digestive Health Research Institute, McMaster University, Hamilton, Ontario, Canada
- 3. Department of Vascular Medicine, Academic Medical Centre, University of Amsterdam, 1105 AZ Amsterdam, the Netherlands
- 4. Wellcome Sanger Institute, Cambridge, UK
 - 5. Department of Agriculture, Food and Nutrition Sciences, University of Alberta, Edmonton, AB, Canada
 - 6. International Microbiome Center, University of Calgary
- The Wallenberg Laboratory, Department of Molecular and Clinical Medicine, Institute of Medicine, Sahlgrenska Academy,
 University of Gothenburg, Gothenburg, Sweden
 - 8. Region Västra Götaland, Sahlgrenska University Hospital, Department of Clinical Physiology, Gothenburg, Sweden
- Novo Nordisk Foundation Center for Basic Metabolic Research, Faculty of Health Sciences, University of Copenhagen,
 Copenhagen, Denmark
 - 10. European Molecular Biology Laboratory, Structural and Computational Biology Unit, 69117 Heidelberg, Germany
 - 11. Max Delbrück Centre for Molecular Medicine, 13125 Berlin, Germany
 - 12. Yonsei Frontier Lab (YFL), Yonsei University, Seoul 03722, South Korea
 - 13. Department of Bioinformatics, Biocenter, University of Würzburg, 97074 Würzburg, Germany
- Department of Obstetrics and Experimental Obstetrics, Charité Universitätsmedizin Berlin, corporate member of Freie
 Universität Berlin and Humboldt-Universität zu Berlin, Germany
 - 15. Department of Microbiology Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA
 - 16. Université Paris-Saclay, INRAE, MetaGenoPolis, AgroParisTech, MICALIS, 78350, Jouy-en-Josas, France

- 17. Human Microbiome Research Program, Faculty of Medicine, University of Helsinki, Helsinki, Finland
- Laboratory of Microbiology Wageningen University, The Netherlands
- Infectious Disease & Microbiome Program, Broad Institute of MIT & Harvard, Boston, MA 02142
- 39 20. Department of Evolution and Ecology, University of California, Davis
 - 21. Department of Medical Microbiology and Immunology, University of California, Davis
- 41 22. UC Davis Genome Center, University of California, Davis

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- 42 23. Maternal and Child Health Research Center, Department of Obstetrics & Gynecology, University of Pennsylvania Perelman School of Medicine
 - 24. Universitätsklinik für Viszerale Chirurgie und Medizin, Inselspital, Bern University Hospital, University of Bern, Switzerland
 - 25. Department for Biomedical Research (DBMR), University of Bern, Bern, Switzerland
 - 26. Department of Immunology and Infectious Diseases, Harvard T. H. Chan School of Public Health, Boston, Massachusetts
- 47 27. Harvard T. H. Chan Microbiome in Public Health Center, Boston, Massachusetts
 - 28. Department of Medicine and Division of Medical Oncology, Dana-Farber Cancer Institute and Harvard Medical School, Boston, Massachusetts
 - 29. Broad Institute of Harvard and MIT, Cambridge, Massachusetts
 - 30. Quadram Institute Bioscience, Norwich Research Park, Norwich, NR4 7UQ, United Kingdom
 - 31. Norwich Medical School, University of East Anglia, Norwich Research Park, Norwich, NR4 7TJ, United Kingdom
- 53 32. Chair of Intestinal Microbiome, ZIEL Institute for Food & Health, School of Life Sciences, Technical University of Munich, Freising, Germany
 - 33. Institute of Medical Microbiology, RWTH University Hospital, 52074 Aachen, Germany
 - 34. Department of Biostatistics, Harvard T.H. Chan School of Public Health, Boston, MA, USA.
 - 35. Departments of Pediatrics and Obstetrics, Gynecology and Reproductive Sciences, Yale School of Medicine, New Haven, CT, USA
 - 36. University of Antwerp, Department of Bioscience Engineering, Groenenborgerlaan 171, 2020 Antwerp, Belgium
 - 37. APC Microbiome Ireland, University College Cork, Cork, Ireland
 - 38. School of Microbiology, University College Cork, Cork, Ireland
 - 39. Computational Biology of Infection Research, Helmholtz Centre for Infection Research, Braunschweig, Germany
 - 40. German Center for Infection Research (DZIF), Hannover Braunschweig site
 - 41. Braunschweig Integrated Centre of Systems Biology (BRICS), Technische Universität Braunschweig, Braunschweig, Germany
 - 42. Azrieli Faculty of Medicine, Bar-Ilan University, Safed, Israel
 - 43. Department of Microbiome Science, Max Planck Institute for Developmental Biology, 72076 Tübingen, Germany
 - 44. Department of Medicine, University College Cork, Cork, Ireland
 - 45. Duchossois Family Institute, University of Chicago, Chicago, Illinois, USA
 - 46. Department of Veterinary Medicine, University of Cambridge, Madingley Road, Cambridge, CB3 0ES, UK
 - 47. VIB Center for Microbiology, Herestraat 49, Leuven, Belgium
 - 48. Department of Microbiology, Immunology and Transplantation, Rega Institute, KU Leuven, Herestraat 49, Leuven, Belgium
 - 49. Centre for Microbiology and Environmental Systems Science, University of Vienna, Vienna, Austria
 - 50. Weizmann Institute of Science, Israel
 - 51. Department CIBIO, University of Trento, Trento, Italy
 - 52. IEO, European Institute of Oncology IRCCS, Milan, Italy
 - 53. Departments of Pediatrics, and Obstetrics and Gynecology, McMaster University, Hamilton, Ontario, Canada
 - 54. Department of Obstetrics and Gynaecology, University of Cambridge, UK
 - 55. NIHR Cambridge Biomedical Research Centre, Cambridge, CB2 0SW, UK
- 56. Sorbonne Université, INSERM, Centre de Recherche Saint-Antoine, CRSA, AP-HP, Saint Antoine Hospital,
 Gastroenterology department, F-75012 Paris, France

82	57. Paris Center for Microbiome Medicine (PaCeMM) FHU, Paris, France
83	58. INRA, UMR1319 Micalis & AgroParisTech, Jouy en Josas, France
84	59. Department of Twin Research, Kings College London, London SE1 7EH, UK
85	60. Department of Medicine, McMaster University, Hamilton, Ontario, Canada
86	61. Department of Microbiology and Immunology, University of Otago, Dunedin, New Zealand
87	62. Gut Health Group, Rowett Institute, University of Aberdeen, Aberdeen, Scotland, UK, AB25 2ZD.
88	63. School of Computer Science and Engineering, The Hebrew University of Jerusalem, Jerusalem 91904, Israel.
89	64. Department of Microbiology and Molecular Genetics, IMRIC, Faculty of Medicine, The Hebrew University of Jerusalem,
90	Jerusalem 91121, Israel.
91	
92	
93	*These authors contributed equally
94	
95	Correspondence and requests for materials should be addressed to Jens Walter, Professor of
96	Ecology, Food, and the Microbiome, APC Microbiome Ireland, School of Microbiology, and
97	Department of Medicine, 4.05 Biosciences Building, University College Cork – National University
98	of Ireland, Cork, T12 YT20, Ireland. Phone: +353 (0)21 490 1773; Email: jenswalter@ucc.ie
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Preface

Whether the human fetus and the prenatal intrauterine environment (amniotic fluid, placenta) are stably colonised by microbial communities in a healthy pregnancy remains the subject of debate. Here, we evaluate recent studies that characterized microbial populations in human fetuses from the perspectives of reproductive biology, microbial ecology, bioinformatics, immunology, clinical microbiology, and gnotobiology, and assess possible mechanisms by which the fetus might interact with microbes. Our analysis indicates that the detected microbial signals are likely the result of contamination during the clinical procedures to obtain fetal samples, or during DNA extraction and DNA sequencing. Further, the existence of live and replicating microbial populations in healthy fetal tissues is not compatible with fundamental concepts of immunology, clinical microbiology, and the derivation of germ-free mammals. These conclusions are important to our understanding of human immune development and illustrate common pitfalls in the microbial analyses of many other low biomass environments. The pursuit of a fetal microbiome serves as a cautionary example of the challenges of sequence-based microbiome studies when biomass is low or absent and emphasizes the need for a trans-disciplinary approach that goes beyond contamination controls, also incorporating biological, ecological, and mechanistic concepts.

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Introduction

Fetal immune development prepares the neonate for life in a microbial world and underpins lifelong health¹⁻⁴. Neonates born at term are not immunologically naïve and are specifically adapted to cope with abrupt exposure to microbial, dietary, and environmental stimuli^{5,6}. Several research groups have characterized immune cell development in human fetal tissues⁷⁻⁹. However, our mechanistic understanding of how and when immune priming by microbes occurs, and the factors that drive it, is incomplete. The long-held view that the prenatal intrauterine environment (placenta, amniotic fluid, fetus) is protected from live microbes¹⁰ has been challenged recently¹¹-¹⁵, leading to the hypothesis that fetal immune development may be driven by the presence of live microbes at intrauterine sites¹⁶⁻²⁰. Some groups have reported the presence of a microbiota¹³, defined as a community of microorganisms in a defined habitat, or a microbiome¹⁵, referring to a microbiota as well as their constituent genes and metabolites, which form a dynamic and interactive micro-ecosystem that is integrated within environments including eukaryotic hosts²¹. However, these interpretations have been debated²²⁻²⁸ because several concurrent studies²⁹⁻³⁵ point to contaminating microbial DNA in sequencing data from sites of low microbial biomass³⁶⁻³⁸ as likely the only source of microbial DNA detected in the intrauterine environment. Since 2020, four studies have characterized the microbiology of the human fetus directly and resulted in opposing and irreconcilable conclusions. Two reports described viable low-density microbial populations in human fetal intestines³⁹ and organs⁴⁰, and linked these microbes to fetal immune development. In contrast, two other research groups, that include several of the authors of this perspective, reported no detectable microbes in fetal meconium and intestines^{30,41}.

Such disagreement over a fundamental aspect of human biology poses a challenge for scientific progress. The notion of a fetal microbiome, if proven correct, has implications for clinical medicine and would call for a comprehensive reappraisal of previous concepts and research. It would require radical revision of our understanding of the development of the immune system and other systems in early life and the anatomical and immunological mechanisms mediating host-microbe interactions within fetal tissues. Failure to resolve this issue risks diverting finite resources into research that results in no advancement for fetal and maternal health, and misguided attempts to therapeutically modify a non-existent fetal microbiome. The dilemma has further relevance for the characterization of the microbiota in other low biomass samples, such as those derived from blood, the brain, other internal organs, and cancer tissues. Therefore, we assembled a transdisciplinary group of scientists and clinician-scientists to examine experimental evidence relating to how and when the fetus becomes prepared for life with microbes, to identify research pitfalls and mitigation strategies, and to propose specific directions for future research.

Claims and counterclaims

Although disagreement over the presence of microbes in prenatal intrauterine locations (placenta and amniotic fluid) spans dozens of studies with contradictory findings^{12,14,15,23,29,31-34,37,42-44}, we focused our analysis on four recent studies since they provide a direct assessment of the fetus itself^{30,39-41}. Collection of human fetal samples is difficult and can only occur following pregnancy termination, or immediately prior to birth by C-section. Three of the studies used samples collected after vaginally delivered, elective, second trimester pregnancy terminations³⁹⁻⁴¹, and one collected samples from breech C-section deliveries immediately at birth³⁰.

Rackaityte *et al.*³⁹ reported 18 bacterial taxa as enriched in intestinal contents of vaginally delivered fetuses from 2nd trimester terminations compared to negative controls using 16S rRNA

gene amplicon sequencing (V4 region). To account for contamination, the authors removed Operational Taxonomic Units (OTUs) detected in >50% of procedural controls and then identified remaining contaminants *in silico* (using the decontam R package). They found that most fetal samples were microbiologically similar to negative controls (labelled as "other meconium", n=25), but that some samples, dominated by *Lactobacillus* (six samples) or Micrococcaceae (nine samples), had distinct bacterial profiles. The authors further detected low amounts of total bacteria by quantitative polymerase chain reaction (qPCR), fluorescent *in situ* hybridization (FISH), scanning electron microscopy (SEM), and culture (as discussed below).

Several of the study's conclusions have been challenged by de Goffau *et al.*⁴⁵, who re-analyzed the publicly available data and found no evidence for a distinct bacterial profile in the subset of samples with matched procedural controls, and concluded that the positive findings were caused by a sequencing batch effect (indicative of contamination) and further contamination during culture⁴⁵. In addition, the authors' suggestion that particles detected in SEM micrographs constitute micrococci³⁹ was disputed as their size exceeded that of known Micrococcaceae⁴⁵. Furthermore, the 16S rRNA gene sequence of the *Micrococcus luteus* cultured from the fetal samples differed from that detected by sequencing, further supporting contamination during culture (*Micrococcus luteus* is a common contaminant of clean rooms and surgical instruments^{46,47}).

Mishra *et al.*⁴⁰ detected a low but consistent microbial signal across tissues of vaginally delivered fetuses from 2nd trimester terminations by 16S rRNA gene amplicon sequencing (V4-V5 region), with seven genera enriched in fetal samples (*Lactobacillus*, *Staphylococcus*, *Pseudomonas*, *Flavobacterium*, *Afipia*, *Bradyrhizobium*, and *Brevundimonas*). The 16S rRNA gene sequencing data were accompanied by SEM, RNA-*in situ* hybridization (RNA-ISH), and culture. In recognition

of the high risk of contamination, all samples were processed in isolation with negative controls collected during sample processing. In contrast to Rackaityte *et al.*, Mishra *et al.* found *Micrococcus* to be enriched in phosphate buffered saline (PBS) reagent controls and reported it as a contaminant, with the *M. luteus* cells detected by culture being consistent with the size and morphology of the coccoid structures found by SEM⁴⁰.

Both Rackaityte *et al.* and Mishra *et al.* included assays of fetal immune development and concluded that the microbes detected could contribute to immune maturation. In Rackaityte *et al.*³⁹ this conclusion was based on differences in T cell composition and epithelial transcription between fetal intestines in which Micrococcaceae was observed to be dominant and those in which this taxa was absent, leading to the suggestion that bacterial antigens may contribute to T cell activation and immunological memory *in utero*. Mishra *et al.*⁴⁰ employed flow cytometry to expand on previous findings of effector (TNF-α /IFN-γ producing) memory (CD45RO+) T cells in fetal tissues, including gut tissue and mesenteric lymph nodes. Bacterial isolates cultured from the fetal samples, including *Staphylococcus* and *Lactobacillus* strains, induced *in vitro* activation of memory T cells isolated from fetal mesenteric lymph nodes.

In contrast to these reports, Li *et al.*⁴¹, who also investigated fetal intestinal tissue from second trimester terminations, did not detect bacterial DNA by PCR (V4 region of the 16S rRNA gene, 35 cycles) based on visual inspection of agarose gels in any of the 101 samples tested. The authors detected a diverse set of microbially-derived metabolites present and enriched in the fetal intestinal samples and hypothesized that these microbiota-derived metabolites are passed via the mother's blood through the placenta to 'educate' the fetal immune system. This conclusion is supported by research in mice that showed that fetal immune education can be driven in the

absence of direct microbial exposure by trans-placental passage of microbial metabolites originating from the maternal gut^{48,49}.

Kennedy *et al.*³⁰ used a different approach and collected samples using rectal swabs during elective C-section for breech presentation at term gestation³⁰. Comparisons with environmental and reagent-negative controls from two independent sequencing runs were included to account for contamination and stochastic noise. No microbial signal distinct from negative controls was detected, and aerobic and anaerobic bacteria (*Staphylococcus epidermidis* and *Cutibacterium acnes* [formerly *Propionibacterium acnes*]) detected by culture of fetal samples were identified by the authors as skin contaminants.

To compare these reports, we re-analysed the publicly available unfiltered relative abundance data associated with the three publications that reported sequence data and determined the relative abundance of each detected genus. While there was good agreement between the two studies using 2nd trimester vaginally delivered fetuses^{39,40}, the bacterial taxa detected in fetuses derived by C-section³⁰ were significantly different (Figure 1). The number of genera was much lower in C-section-derived fetuses, and entire groups of microbes, especially those usually found in the vagina, were absent. Most importantly, in the studies that claimed fetal microbial colonisation^{39,40}, every genus detected in fetal samples was also detected in a majority of control samples.

Reproductive biology and obstetrics perspectives

The embryo and fetus develop within the uterus but not in the uterine cavity *per se*. The early embryo invades the maternal decidua and is completely embedded by 10 days post-fertilization. The fetus grows within the amniotic cavity, which originates between the trophoblast and inner

cell mass in the second week post fertilization, surrounded by two layers of reproductive membranes and bathed in amniotic fluid. Hence, even if microbes were present in the uterine cavity⁵⁰, they would have to pass through to the amniotic cavity and enter the amniotic fluid to colonise the fetus. Amniotic fluid has antimicrobial properties, being enriched for example in lysozyme⁵¹, human beta-defensin 2⁵², and Gp340/Dmbt1⁵³, which binds and agglutinates diverse Gram-negative and Gram-positive bacteria.

The placenta mediates communication between the fetus and the mother and is a potent immune organ that protects the fetus. Historically, the placenta has been considered sterile (defined here as free from living microorganisms), but in 2014 a complex but low biomass placental microbiome was detected by DNA sequencing. The proposed placental microbiome showed some similarity with sequencing data of microbial communities of the oral cavity¹⁵. Contamination controls were not included in this early study, and subsequent evaluation of the work found that most genera detected were also common contaminants^{26,36,38,54}. Several detected taxa, such as *Gloeobacter*, a genus of photosynthetic cyanobacteria, appeared biologically implausible as a component of a putative placental microbiome^{24,55}. Since this early report, dozens of studies have conducted a sequence-based microbial analysis of placenta tissues, with opposing conclusions (as reviewed by Bolte *et al.*²⁰).

Regardless of whether placental samples are collected by biopsy via the vagina, clinically by chorionic villus sampling, or after delivery, it is always necessary to control for contamination, particularly from the tissues through which a placenta must pass prior to sampling. Accordingly, de Goffau *et al.*²⁹ carried out a comprehensive study of the possible placental microbiome, using samples from uncomplicated and complicated (pre-eclampsia and small for gestational age) pregnancies that were delivered both at term and pre-term either vaginally or via c-section.

Sampling was confined to the placental terminal villi (fetal tissue), as this represents the site of exchange (across the vasculosyncytial membrane) between the fetus and the mother's blood and tissues. The authors detected a range of species known to dominate the vaginal microbiota⁵⁶, such as *Lactobacillus iners*, *L. jensenii*, *L. crispatus*, *L. gasseri*, and *Gardnerella vaginalis*. When the presence of vaginal microbes and those in the laboratory reagents (the "kitome") were accounted for, there was no evidence for a placental microbiome, which is in agreement with several additional recent studies^{23,29,31-34,37}.

Pathogenic infection of the placenta by viral or bacterial pathogens is a well-recognized clinical phenomenon that contributes to preterm birth and neonatal sepsis⁵⁷. de Goffau *et al.* detected *Streptococcus agalactiae* in around 5% of cases as the only verifiable bacterial signal in placentas obtained by C-section deliveries conducted prior to rupture of the fetal membranes and the onset of labor²⁹. The presence of this species is plausible as it colonises the genital tract of about 20% of women and has invasive potential, being an important cause of maternal and neonatal sepsis⁵⁸. However, the ability of specific pathogens to colonise and/or infect the placenta is distinct from the presence of an indigenous microbiota, that is, a prevalently stable, non-pathogenic, complex microbial community that is metabolically active²¹.

Research claiming the presence of viable low-density microbial communities in the fetal intestine³⁹ and fetal organs⁴⁰ likewise calls for an evaluation of the sampling process. Mishra *et al.* obtained fetal tissues after medical termination of pregnancy in the 2nd trimester with prostaglandins⁴⁰. This procedure typically involves the individual going through hours of labour and often leads to the rupture of the fetal membranes hours prior to vaginal delivery. Even with a standardized approach, labour may be prolonged and may be accompanied by infection and fever, which are common with 2nd trimester terminations^{59,60}. Both Li *et al.*⁴¹ and Rackaityte *et al.*³⁹ also used 2nd trimester

terminations but obtained the fetal tissues from core facilities. The tissues used by Li *et al.* were from surgical terminations (14-23 weeks) performed with mechanical dilation. Unfortunately, Rackaityte *et al.*⁶¹ did not provide sufficient information to determine if fetuses were obtained through surgical procedures or medical inductions. While the latter increases the risk of the fetus being exposed to vaginal microbes during labour, both procedures involve vaginal delivery of the fetus. As outlined below, the reported microbiology of these fetuses primarily reflects the sources of microbes to which they are exposed during these procedures.

Microbial ecology perspectives

Host-microbe relationships range from mutualism (a prolonged symbiotic association from which both benefit) to commensalism (the host is unaffected), to pathogenesis where the microbe harms the host. Although claims for fetal microbial exposure^{39,40} have not established the nature of the host-microbe interaction, and the duration of exposure or colonisation, they have suggested a beneficial role for live organisms in fetal immune development, thereby implying a symbiosis. The microbiological approaches applied by Rackaityte *et al.*³⁹ and Mishra *et al.*⁴⁰ are, in large part, robust, and well suited to study symbiotic microbial populations. The combination of 16S rRNA gene sequencing, qPCR, microscopy, FISH, and culture is laudable, as the approaches are complementary. Next-generation sequencing of 16S rRNA gene amplicons provides a broad community overview and can detect microbes that escape cultivation, while qPCR, microscopy, and bacterial cultures have a high dynamic range, low detection limits, and reasonable specificity. The DNA sequence-based microbiota composition data in both studies is quite consistent (Figure 1), suggesting that several of the bacterial taxa detected were present in the samples and not artifacts derived from laboratory reagents or DNA-isolation kit contamination. However, although the microbiological analyses of samples were sound, the sampling procedures allowed the

introduction of contaminant species, and critical controls to determine whether contamination occurred were missing.

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In agreement with the unavoidable vaginal exposure of fetuses obtained by 2nd trimester abortions (see above), both Rackaityte et al.39 and Mishra et al.40 found the genera Lactobacillus and Gardnerella, which dominate the vaginal microbiota⁵⁶, among their most consistent findings (Figure 1). The species cultured by Mishra et al., G. vaginalis, L. iners and L. jensenii, are largely restricted to the human vagina⁶². Other microbes detected such as Staphylococcus species and Cutibacterium acnes, are skin commensals. As shown in Figure 1, abundances of Lactobacillus, Gardnerella, and Staphylococcus found by Mishra et al. showed gradients with high population levels in fetal samples exposed to sources of contaminants (placenta and skin) and lower levels in internal samples (gut, lung, spleen, thymus). The omission of vaginal controls by both Rackaityte et al. and Mishra et al. to determine the microbiota of vaginally delivered fetuses is a considerable limitation that casts doubt on the authors' conclusion that the microbes originate from the womb. Indeed, Li et al.41, obtained samples from 2nd trimester surgical terminations using mechanical dilatation, which reduces the risk of bacterial exposure to the fetus during sampling. In this study, positive bacterial PCR results were not reported, which raises the possibility that sampling contamination may be a serious confounder in both of the other studies claiming the presence of microbes at these sites.

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Although vaginal controls were not included by Rackaityte *et al.*³⁹ and Mishra *et al.*⁴⁰, direct comparisons of their findings with those by Kennedy *et al.*³⁰ also provide evidence for vaginal contamination of terminated fetuses (Figure 1). The C-section derived fetal samples in Kennedy *et al.*, which were not exposed to the vagina, carried no *Gardnerella* or *Lactobacillus*, but instead contained skin and reagent contaminants^{30,54}. Despite attempts to reduce contamination, C-

section derived fetal meconium had at least one positive culture³⁰. Kennedy *et al.* did not consider these microbes of fetal origin, as they were skin commensals, and half of the samples, as well as many culture replicates, did not show growth. The authors concluded that such inconsistencies point to stochastic contamination and not colonisation by a stable functional microbial community.

In addition to the potential of contaminant detection, the bacterial load found in terminated fetuses was extremely low^{39,40}. Signals derived from qPCRs were only marginally higher than those of controls, with Mishra *et al.* reporting cycle thresholds (Ct) of >30 cycles, with Ct values for negative controls around 31-32 cycles. Cell counts as detected by both microscopy and culture were also low. Mishra *et al.* reported fewer than 100 colonies on average per entire fetus, with high inconsistencies among individual fetuses and tissues (see Table S6 in the original publication⁴⁰). Such findings are more likely a result of contamination rather than colonisation.

Neonatal meconium samples have been studied for a century by culture-based methods, and more recently by DNA sequencing. Evaluations of such samples are also associated with contradictory findings^{11,43,44,63}, likely due to contamination⁶⁴ and because postnatal colonisation may occur before the first passage of meconium²⁶. However, when meconium is passed soon after birth, culturable bacteria are seldom detected (as reviewed by Perez-Munoz *et al.*²⁶). In agreement with this, an analysis of meconium samples collected from extremely premature infants⁶⁵ showed that taxa regularly identified as contaminants^{36,38} make up a large proportion of sequences collected within the first 3 days after delivery and which drop to levels below 1% of the total microbiota profile in most samples at days 4-6 (Figure 2). This indicated that bacterial sequences that cannot be assigned to contamination are initially rare in early meconium, which is consistent with a recent study that applied strict controls for sequencing and culture and did not detect a meconium microbiota⁶⁴.

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Members of an authentic fetal microbiota should be, in theory, detectable in early life faecal samples independent of birth mode. There is indeed some overlap between the reported fetal microbial taxa in vaginal versus C-section deliveries^{39,40}, e.g. staphylococci, enterococci, lactobacilli, and enterobacteria, and the microbiota detected in infant faecal samples in the first week of life⁶⁶⁻⁶⁸. However, there have been few attempts to track species and strains to confirm fetal origin. One study investigated gastric aspirates of newborn infants collected immediately after birth⁶⁹, which should in theory detect in utero bacterial exposure as the fetus swallows amniotic fluid (as demonstrated by the detection of pathogenic *Ureaplasma* species⁷⁰). However, aspirates from vaginally-born infants contained the specific Lactobacillus species (L. iners and L. crispatus) that also dominate the microbiota of the vagina, while most samples from C-section deliveries contained low microbial loads near the detection limit and clustered with negative controls⁶⁹. This finding is consistent with vaginal transfer of microbes to a sterile fetus during delivery. In addition, many of the genuine bacterial signals that were detected in early meconium⁶⁵ were typical maternal skin representatives (Staphylococcus spp. and Corynebacterium spp.) and were strongly associated with C-section, or in the case of vaginal deliveries, species that are common in the maternal faecal microbiota (Escherichia coli & Bacteroides fragilis) (Figure 2), indicating that these genuine signals were derived from microbes acquired ex-utero.

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Research is beginning to determine the origin of post-partum neonatal microbial colonisers and has shown a delay in appearance of bacterial species presumed to originate from the mother's gut (e.g. *Bifidobacterium* and *Bacteroides* species) in early faecal samples of infants born by C-sections^{66,67,71-73}. A substantial proportion of strains acquired by infants postnatally can be traced back to their mother's faecal samples⁷³⁻⁷⁵, and faecal microbiota transplant (FMT) from the mother restores the microbiome in C-section delivered infants⁷⁶. Thus, the published evidence, although

incomplete, suggests that the early life microbiota in humans is acquired through the vertical and horizontal transfer of microbes whose origin is faecal or environmental (from outside) rather than fetal (from inside).

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Bioinformatic and data science perspectives

Characterization of low biomass samples by 16S rRNA gene amplicon sequencing is challenging as DNA contamination can occur from the microbial DNA present in reagents, labware, tools, instruments, and DNA isolation kits,36-38 and through cross-contamination between PCR tubes/wells, sequencing runs, or sequencing lanes³⁷. A common misconception in the field of low microbial biomass samples is that the use of negative controls is sufficient to account for all kinds of contaminants. Commonly, imperfect negative controls are used that account only for a limited number of the sample processing steps or are not spread evenly amongst all batches (thus not accounting for processing days, reagent batches and different sequencing runs), leading to batch effects that may be mistaken for genuine signals⁴⁵. Overreliance on or under-analysis of such negative controls, in combination with the misapplication of contamination removal programs like Decontam⁷⁷, specifically by not having negative controls in all batches, frequently results in false positive signals owing to the detection of contaminants⁴⁵. Even with appropriate controls, it is challenging to separate genuine signals from low abundance contaminants as signals may appear sporadically in samples and negative controls⁷⁸. Thus, suboptimal processing of sequencing control samples may not reveal the full spectrum of contaminants because only the most abundant contaminant species are consistently detected. On the other hand, potentially genuine sample-associated signals sometimes also erroneously appear in negative control samples through cross-contamination during the PCR or sequencing steps (machine contamination)³⁷.

In the case of both Rackaityte *et al.*³⁹ and Mishra *et al.*⁴⁰ many of the taxa reported are common contaminants (Figure 1). The most obvious case is *Bradyrhizobium*, which is one of the most dominant and consistent contaminants found in sequencing studies^{38,79}. Rackaityte *et al.* interpreted the presence of *Micrococcus* and *Lactobacillus* as genuine fetal inhabitants, but a reanalysis of the data suggested that these findings were a result of batch effects (indicative of contamination⁴⁵). Although the authors rejected this interpretation[AU: ok?]⁶¹, this batch effect is clearly visible if the findings of the different batches are plotted together (Figure 3). Furthermore, in the study by Mishra *et al.*, the authors concluded that *Micrococcus* was a likely ⁴⁰contaminant⁴⁰, while the genera *Afipia*, *Flavobacterium*, *Pseudomonas* and *Brevundimonas* were reported as part of the fetal microbiota.⁴⁰, although these taxa are also commonly detected as kit or laboratory reagent contaminants^{36,38}.

Mishra *et al.* and Rackaityte *et al.* also reported marginally higher total bacterial load in fetal samples, as compared to controls, using qPCR^{39,40}. However, nucleic acids (DNA, RNA, and tRNA) in tissue samples (which is absent in negative controls) might have a DNA carrier effect⁸⁰, leading to a more efficient DNA precipitation of prokaryotic material. In addition, bacterial PCR primers that target the 16S rRNA gene can also amplify mitochondrial DNA⁸¹, which is evolutionarily of bacterial origin. Together these factors offer alternative explanations for a higher microbial burden in samples from low biomass sites compared to controls. Rackaityte *et al.* removed human mitochondrial DNA (mtDNA) from their 16S rRNA gene sequence-based results that co-amplified in the PCR, but neither study accounted for mtDNA in their qPCR analysis, although their qPCR primers targeted the 16S rRNA gene and were therefore potentially susceptible to cross-reactivity^{39,40}.

Immunological perspective

The enteric microbiota is a potent driver of adaptive mucosal immune maturation and priming in the adult host⁸²⁻⁸⁵. Besides their intrinsic immunogenic nature, microorganisms also generate metabolites that promote and shape immune maturation and priming⁸⁶⁻⁸⁸. Although the early fetal immune system is immature, recent research demonstrates migration of fetal dendritic cells (DCs) to the mesenteric lymph nodes; somatic hypermutation in fetal B cells; and an expansion of T cell receptor repertoire diversity, evenness and activation during late fetal development^{7,89,90}.

The existence of metabolically active microbes in the fetus could, in principle, provide one possible explanation for these findings. Mishra *et al.*⁴⁰ used an autologous T cell expansion assay to show that fetal DCs loaded with antigen from bacteria that had been isolated from fetal tissues stimulated proliferation of CD45RO+ and CD69+ T cells. T cell proliferation was reduced but still detectable in the absence of DC-derived cytokine release suggesting an activated memory response⁴⁰. Demonstration that the fetal T cell memory response is specific for the bacteria present in one individual fetus would be necessary to strengthen the interpretation that specific immune responses are routinely driven by fetal bacterial colonisation.

There are alternative explanations for fetal immune responses apart from *bona fide* microbial colonisation. Maternal antigen-IgG complexes have been detected in cord blood, and transplacental immune priming of the fetal immune system in early gestation has been demonstrated^{91,92} Cross-reactivity, as observed for microbiota reactive enteric secretory immunoglobulin A, would support fetal priming by maternal microbial antigens⁸⁷. Similarly, maternal microbiota-derived molecules partly bound to IgG stimulated innate immune maturation of the murine fetal gut⁴⁸, and maternal intestinal carriage of *Prevotella* has been reported to protect the offspring from food allergy in humans⁹³. Thus, maternal microbiota-derived antigens and

metabolites can pass the placental filter directly or bound to IgG and offer an alternative explanation for the observed fetal immune responses⁹⁴.

The hypothesis of a low biomass fetal microbiome requires the identification of host mechanisms that control and tolerate bacterial populations and prevent overt inflammation and tissue destruction in the presence of viable microorganisms, many of which are opportunistic pathogens (see below). Alongside this, mechanisms by which the commensal or symbiotic microbes survive the immune response and antimicrobial effector molecules would also have to be identified, and it is unclear how the fetal immune system would differentiate between pathogens and symbionts once protective barriers are breached⁵⁷. Given that such immunological and anatomical mechanisms have not been identified or even proposed²⁸, the observed immune maturation and priming during fetal development is most likely not induced through colonisation of the fetus with live microbes. Instead, fetal immune development might be driven through maternal immune components or microbial fragments and metabolites crossing the placenta, which protects the sterile fetus from live microbes through multiple layers of immunological defence⁵⁷.

Clinical microbiology perspective

No part of the human body is impregnable to bacterial invasion. Transient bloodstream bacteraemia can result from innocuous activities such as tooth brushing⁹⁵, and most host tissues can tolerate occasional ingress by microbes. However, to avoid serious pathology, bacteraemia must be rapidly cleared by innate immune mechanisms and inflammation. Some pathogens establish persistent infections that may be asymptomatic either by evading the immune system or by forming persister cells in response to antibiotic treatment⁹⁶. The claims for non-pathogenic fetal microbial exposure^{39,40} have not established whether host-microbe interactions reflect small scale translocation, asymptomatic infection, persistent symbiosis, or mutualism.

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The 'fetal-enriched taxa' reported include Micrococcus, Lactobacillus, Flavobacterium, Staphylococcus, Escherichia, Enterococcus, Afipia, Pseudomonas, Bradyrhizobium, and Brevundimonas^{39,40}. Mishra *et al.* also report successful culturing of lactobacilli and staphylococci from fetal tissue⁴⁰, but the lack of unambiguous species-level taxonomic identification of the cultured organisms is an unfortunate and significant technical limitation. Bacteria such as Micrococcus, which were detected in fetal intestines by Rackaityte et al.61, rarely cause invasive infection in humans. Their prolonged presence within healthy tissues and transmission through the placenta would require bacterial mechanisms of resistance against antimicrobial effector molecules of the host innate immune system⁵⁷. Such mechanisms have not been described for the genus Micrococcus, which is an environmental organism found in water, dust, and soil, and is also a common contaminant^{46,47}. Lactobacilli are usually of low pathogenic potential, they inhabit external mucosal surfaces of healthy humans, including the nose⁹⁷ and vagina⁵⁶, and they are often used as probiotics98. However, some strains and species of lactobacilli do express potential virulence factors⁹⁹⁻¹⁰¹, resist oxidative stress¹⁰² and grow in the absence of iron¹⁰³, which allows them to cause serious infections such as endocarditis when provided with the opportunity to access the bloodstream 104,105. This raises potential problems with the interpretation of lactobacilli being asymptomatic colonisers of fetal tissue rather than contaminants that are picked up during vaginal delivery.

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An even greater challenge arises when species of the genus *Staphylococcus* are considered, particularly strains that were cultured from fetal tissue and that exhibit high-level 16S rRNA gene sequence identity (99-100%) to *Staphylococcus* aureus and several closely related coagulasenegative *Staphylococcus* species (CoNS)⁴⁰. These organisms can be long-term colonisers of external mucosal surfaces of humans ^{106,107} and do not typically cause disease unless the mucosal

barrier is breached. However, once they bypass mucosal barriers, they can deploy a more extensive repertoire of virulence factors to invade tissues by degrading connective tissues and, in the case of *S. aureus*, a repertoire of over a dozen cytolytic toxins that kill human cells^{108,109}. CoNS, on the other hand, are ubiquitous skin colonisers. Their detection in clinical diagnostic laboratories is so common that it is considered a major diagnostic challenge^{110,111} and is usually assumed to reflect contamination from the patient and occasionally the healthcare worker, in the absence of other reasons to suspect a CoNS infection⁷⁷⁻⁷⁹. There are, however, distinct clinical scenarios where the presence of CoNS and their pathogenic capacity are considered critical: for example, in patients with indwelling devices and in preterm neonates, they are the most common cause of late-onset neonatal sepsis¹¹². Therefore, given that they are either contaminants or overt pathogens, the detection of staphylococci, no matter whether *S. aureus* or CoNS, is difficult to reconcile with *in utero* colonisation of a healthy fetus.

Other bacteria identified as part of a notional "fetal microbiome", such as *Enterococcus faecalis* and *Klebsiella pneumoniae*, are equally problematic. These belong to a group known as "ESKAPE pathogens", which include *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Enterobacter* species. The lethality of tissue colonisation with ESKAPE pathogens is well documented, and these microbes are leading causes of healthcare-acquired infections worldwide with significant mortality and morbidity, even when treated with antibiotics¹¹³. Several ESKAPE pathogens readily survive in adverse conditions outside of vertebrate hosts, including drying, oxidative stress, and exposure to heat or sanitation chemicals¹¹⁴. They are likely to persist on inanimate surfaces including utensils or clinical fabrics^{115,116}, thereby increasing their likelihood of being contaminants. While these microorganisms were not reported at the species level⁴⁰, it is noteworthy that closely related

organisms can also cause neonatal sepsis¹¹⁷⁻¹¹⁹, which makes them unlikely colonisers of a healthy fetus.

A consideration prompted by a notional fetal microbiome is the possibility that the fetus might cope better with nosocomial pathogens than neonates or even adults. However, there is ample evidence to show that amniotic fluid, the placenta and fetal tissues are highly susceptible to bacterial infection, and the outcomes of infections with *Streptococcus agalactiae* or *Listeria monocytogenes* are often catastrophic^{120,121}. Importantly, in *L. monocytogenes* infections that occur during the third trimester of pregnancy, fetal infection progresses while the mother's infection can be cleared, indicating that the fetus does not have greater resistance to infection than an adult human. Therefore, from a clinical perspective, most interpretations brought forward in recent publications^{39,40} on the presence of microbes in fetuses seem to be biologically difficult to reconcile, as it is highly plausible that they would result in harm or death of the fetus. In agreement with this conclusion, in a series of well-controlled studies in various clinical settings, DiGiulio and co-workers found no evidence for microbes in amniotic fluid except when associated with neonatal morbidity and mortality¹²²⁻¹²⁵.

Gnotobiology perspective

The traditional assumption that the human fetus is free from other life forms *in utero* is based primarily on the observation that, with few exceptions, bacterial and viral pathogens that infect the mother are incapable of crossing the placental barrier to infect the fetus¹²⁶⁻¹²⁸. Additionally, the amnio-chorionic membranes enclosing the fetus in the uterine cavity, as well as the cervical mucus plug, protect the fetus from external microbes. Sterility of the fetus is the basis for the derivation by hysterectomy of germ-free mammals (mainly mice and rats, but also pigs and other species²⁶), which have long been used to study the biochemical, metabolic, and immunological

influences of microbes on their mammalian hosts¹²⁹⁻¹³¹. The primary consideration is whether germ-free animals are truly 'free of all demonstrable forms of microbial life'¹³². If they lack microbial associates, there cannot be a fetal microbiome. Testing germ-free animals for contaminating microbes uses microscopic observation of stained faecal smears, culture of faeces in nutrient media under various conditions of temperature and gaseous atmosphere^{127,132-134}, PCR using 'universal bacterial' primers^{133,135}, and serological assays for viral infections¹³⁶. These tests consistently demonstrate an absence of microbial associates. Therefore, gnotobiology provides strong evidence that the fetus *in utero* is sterile.

A healthy human fetus is sterile

Through multiple angles of explanatory considerations, we conclude that the evidence is strongly in favour of the "sterile womb" hypothesis. Although it is impossible to disprove the occasional presence of live microbes in a healthy human fetus, the available data does not support stable, abundant colonisers under normal, non-pathogenic circumstances. We are aware that our position conflicts with dozens of publications that claim evidence for *in utero* microbial populations²⁰, but we are confident in the validity of our multi-layered approach.

The processes by which the fetus matures and becomes immunologically equipped for life in a microbial world have life-long implications. Aside from the caution and safeguards recommended in this perspective, our aim here is not to dissuade scientists from exploring the microbial drivers of fetal immune development. We agree with proposals that there is a need to better understand microbial interactions at the maternal-fetal interface²⁰, but do not think that symbiotic microbial populations in the placenta or fetus play a role in this. Paradoxically, we contend that sterile tissues are both immunologically and microbiologically fascinating but require an adjustment of the methodological approaches used. How does the fetus mature and become immunologically

equipped for life in a microbial world in the absence of direct exposure to live microbes? Are maternal-derived microbial metabolites sufficient for fetal immune education? Future research could include exploration of how maternal microbial-derived metabolites and small molecules, as well as maternal immune components, prepare the fetus for the microbial challenges of post-natal life⁹⁴.

Lessons for low biomass research

Contamination is always a potential confounder in microbiology but is of particular concern for those studying low- or no biomass samples^{36,38}. The issue has been highlighted by recent reports of human tissues, such as blood, brain, and cancers (Box 1), previously thought to contain no, or very little, bacterial biomass that apparently harbour diverse microbial communities. As with intrauterine studies described above, these microbial populations are often discussed considering their perceived importance for human diseases and health.

In studies on low biomass samples, it is challenging to identify relevant signals from among contaminating noise. In instances of contamination, a tissue may be misjudged as non-sterile, whereas in others, a real microbiological signal may be obfuscated by contamination. The removal of all sequences present in negative-control samples or that have been previously identified as contaminants in the literature may result in loss of authentic signals. Post-sequencing contamination removal using software packages such as Decontam⁷⁷ or other statistical approaches^{36,137} have been developed to remove the more abundant contaminants, leading to microbiome profiles that are more likely to reflect the real community. Practical examples of contamination removal in 16S rRNA gene sequence data is provided by Heida *et al.*⁶⁵, Saffarian *et al.*¹³⁸, and Jorissen *et al.*¹³⁹ and we expand on these examples in Box 1.

We draw attention to the distinction between "low biomass" and "no (zero) biomass" samples. This has practical significance; true "low (microbial) biomass" samples are amenable to contamination-removal approaches but "no (microbial) biomass" samples require a different approach (Box 1). For credible proposals of the presence of microbes, multiple layers of evidence are required, first with quantitative, sensitive (lower detection limit) approaches, such as qPCR with strict controls before contamination-sensitive sequencing approaches are applied. Since contamination removal will provide data regardless of whether microbes are present or absent, the starting proposition should be the null hypothesis to avoid confirmation bias, particularly when results are inconsistent and at the outer technical limits for detection, or if results defy mechanistic plausibility.

Given the limitation of sequencing approaches, confirmation by alternative methods, such as FISH and culture, are required. However, as demonstrated with recent studies of fetal samples, even a combination of approaches has the potential to produce false findings, as contamination during sampling is a considerable challenge. We posit that studies on all low biomass samples can benefit from a similar trans-disciplinary assessment, as applied above for fetal samples, to interpret findings considering biological and mechanistic explanations²⁸. When obligately photosynthetic, psychrophilic, thermophilic, halophilic, or chemolithoautotrophic bacteria are found in human tissues that do not provide the growth conditions for such organisms^{24,140}, or if the detected genera are known contaminants of laboratory kits/reagents (such as readily culturable Proteobacteria like *Pseudomonas and E. coli* for example)¹⁴¹⁻¹⁴³, the authenticity of such signals should be questioned.

Figure legends

Figure 1. Relative abundance of bacterial taxa from three recent fetal studies. Distribution and mean relative abundance (%) of taxa present in fetal samples from three recent studies^{30,39,40} investigating the fetal microbiome and their corresponding abundance in control samples. Taxa were selected based on the following criteria: Genera that were cultured from or detected as enriched in fetal samples as described by Mishra et al.40 (indicated by ^) or by Rackaityte et al.39 (indicated by *, including the family Micrococcaceae); all genera detected in fetal samples from Kennedy et al.³⁰; and the PBS-enriched genus Ralstonia⁴⁰. Taxa were grouped by potential source of contamination (see left-hand side illustrations) in agreement with the likely origin of genera (for skin microbes) and previous studies that characterized sources of contamination³⁶⁻³⁸. Publicly available unfiltered relative abundance data associated with each publication were merged into a single phyloseq object (RRID:SCR 01380). Amplicon Sequence Variants (ASVs) were grouped at the genus or family level (for Micrococcaceae). The mean relative abundance of each taxon was calculated for each sample type within each study and plotted in R (tidyverse, ggplot2; RRID:SCR 014601). Dot size corresponds to the mean relative abundance by sample type and study (mean relative abundances <0.0001% were excluded). Dots are coloured by sample type: reagent controls in lightest blue (Mishra: PBS n=42, Reagent n=23; Rackaityte: Buffer n=11; Kennedy Reagent n=2); sampling negatives in light blue (Kennedy: Swab n=1; Rackaityte: Air swab n=19; Procedural swab n=16; Moistened swab n=17) and environmental negatives in sky blue (Mishra: Environment n=47, Operator n=12), internal controls in dark blue (Mishra: Thymus n=27, Spleen n=12; Rackaityte: Kidney n=16), fetal lung in pink (Mishra, n=25), fetal gut in purple (Kennedy: n=20; Mishra: n=44; Rackaityte: Proximal n=41, Mid n=45, Distal n=42), and external tissues in red (Mishra: Skin n=35, Placenta n=16). Parts of this figure were created with BioRender.com.

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Figure 2. Reagent contamination in meconium samples of extremely premature infants. a) Representation of the % of reagent contamination in the first meconium of extremely premature infants collected in a previous study⁶⁵ in relation to the day of procurement of said samples (Day 1-3 or Day 4-6) or in regard to the mode of delivery (C-section or Vaginal). Colours indicate the percentage of reagent contamination reads (legend on top). The day of procurement is significantly correlated with the % of reagent contamination reads (p = 0.005 MW-U test or p = 0.01 Spearman rho test) and the mode of delivery shows a trend (p = 0.07 MW-U test). The number of samples is noted below each category (n). b) Lists of reagent contaminants shown together in Figure 2a (top) and of the most abundant sample-associated-signals and their association (or lack thereof due to limited size of cohort) with vaginal (V) or C-section (C) delivery (bottom).

Figure 3. Relative abundance of bacterial taxa in samples from *Rackaityte et al.*³⁹. Distribution and mean relative abundance (%) of taxa present in fetal and control samples from Rackaityte *et al.*³⁹ by batch as defined by *Rackaityte et al.*⁶¹. Dominant taxa were selected as described in Fig. 1. Publicly available unfiltered relative abundance data associated with each publication were merged into a single phyloseq object (RRID:SCR_01380). ASVs were grouped at the genus or family (for Micrococcaceae) level. The mean relative abundance of each taxon was calculated for each sample type within each batch and plotted in R (tidyverse, ggplot2; RRID:SCR_014601). Dot size corresponds to the mean relative abundance by sample type and batch. Dots are coloured by sample type: reagent controls in lightest blue (Buffer), sampling negative controls in light blue, internal controls in dark blue (Kidney), and fetal gut samples in purple.

Box 1: Experimental considerations for low biomass research

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- High biomass samples
- 707 **Examples:** Faeces, dental plaque, wastewater, soil.
- 708 Impact of contamination: Very low: The high microbial biomass derived from the sample
- dominates the signal from background contamination, meaning most observations are robust.
- 710 Mitigations: Experimental design seldom needs to be significantly adjusted to account for
- 711 contamination. Inclusion of "blank" negative sequencing controls and removing samples with
- significant contamination levels using basic post sequencing analysis is nevertheless prudent.

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- Low biomass samples
- 715 Examples: Skin swabs, nasal tract swabs, breastmilk, most respiratory tract samples, tissue
- 716 biopsies & mucosal samples, including intestinal crypts.
- 717 *Impact of contamination*: Low to High: Contaminated samples are progressively affected with
- 718 reducing input microbial biomass³⁸.
- 719 *Mitigations*: Inclusion of multiple controls for contamination recognition. Ideally, samples should
- 720 be concentrated before processing to increase input biomass. Consideration of potential sources
- 721 of contamination during the sample acquisition stage is always recommended. After sample
- 722 collection, processing should be carried out in a clean-room environment, preferably with all
- 723 surfaces bleached and UV-treated. DNA extraction may benefit from use of non-kit-based
- methods (e.g., phenol-chloroform extractions) where plasticware andreagents can be UV-treated
- 725 prior to use. Contamination from DNA isolation and PCR kits is usually identifiable, particularly if
- well-defined batches are created⁶⁴ and controlled using different lot numbers of kits. Regardless
- of the DNA extraction method, the presence of contaminants should be monitored by including
- "blank" negative controls. Inclusion of controls generated by serial dilution of DNA of known

composition (e.g., mock community) will indicate the biomass level at which contamination becomes a dominant feature of sequencing results. Contamination may also be estimated prior to sequencing by qPCR using serially diluted known quantities of spiked input DNA. Post-sequencing analyses, using programs like Decontam, and analysis steps described by de Goffau et al.³⁶ and used by Heida et al.⁶⁵ will usually identify contaminants.

- Samples in which the existence of microbes is not established (potential "No (zero)
- 736 biomass" samples)
- **Examples:** Placental and fetal tissues, amniotic fluid, meconium, brain tissue and cerebrospinal
- 738 fluid, blood, bone, and internal cancer tissues, healthy middle ear samples.
- *Impact of contamination*: High and potentially up to 100% unless infection/injury is present.

Mitigations: Experimental design should be directed specifically against contamination. Initial assessment using quantitative methods (e.g., qPCR) with low detection limit and microscopic visualisation (e.g., Gram staining/labelling by FISH) is required to determine if microbes are present, before embarking on sequencing approaches. Such techniques are still susceptible to sample contamination and other artefacts (e.g., non-specific staining or auto-fluorescence from mucins can sometimes appear "microbe-like" in size and shape)^{45,144}. All mitigations outlined for "Low biomass" samples above should be adopted. Repeating sample processing with different DNA extraction kits/methods³² and/or at different days can be informative¹⁴⁵. These will track the presence of species in sequencing profiles associated with specific kits/reagents or environment. Species that are repeatedly detected regardless of technical approach are more likely to be genuine signals, unless they were introduced during sample collection. Binary statistics (absence/presence) are recommended. The presence of microbes identified by sequencing should be verified with a different technique such as cultivation, another sequencing technique

with sufficient taxonomic resolution, and/or species-specific qPCR or FISH using high magnification to visualize the size and morphology of individual microbial cells.

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Competing Interests

The authors declare no competing interests in relation to this manuscript.

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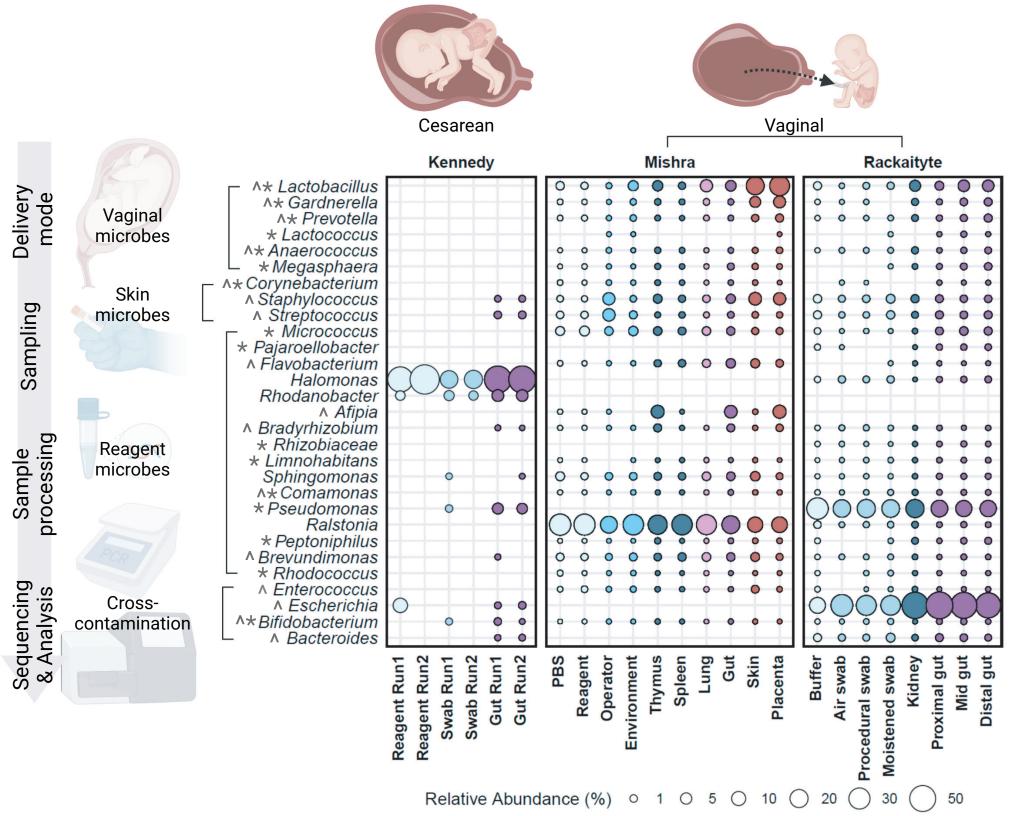
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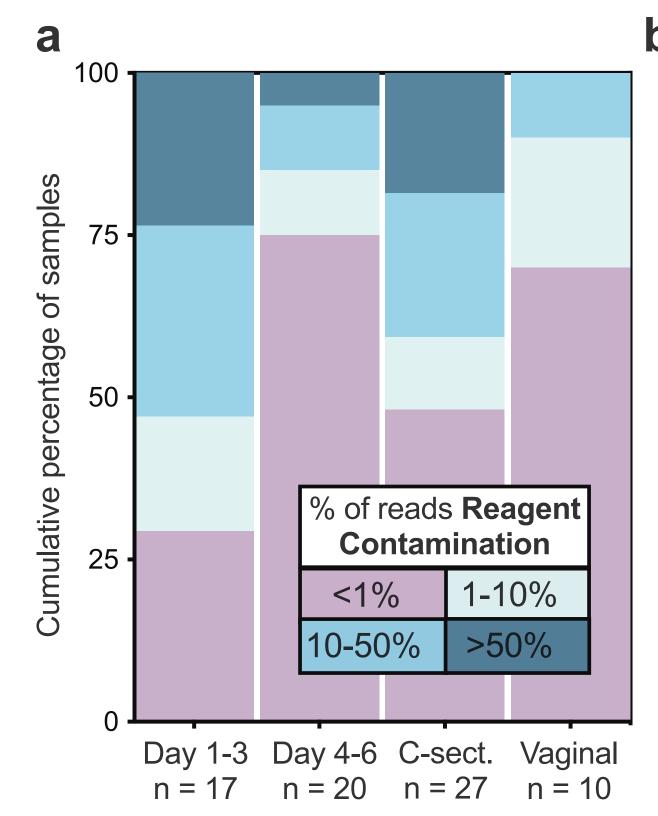
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Reagent contaminants

Undibacterium oligocarboniphilum
Acinetobacter guillouiae
Curvibacter lanceolatus
Sphingomonas echinoides
Ralstonia pickettii
Sphingomonas kyeonggiensis
Methylorubrum extorquens
Phyllobacterium myrsinacearum
Sphingomonas panni
Sphingomonas faeni
Sediminibacterium salmoneum
Rhodococcus erythropolis
Pelomonas saccharophila

Main genuine signals

Staphylococcus epidermidis (C)
Staphylococcus warneri (C)
C. tuberculostearicum (C)
Klebsiella pneumoniae (C)
Klebsiella oxytoca (C)
Enterobacter cloacae (C)
Enterococcus faecalis
Streptococcus salivarius
Clostridium perfringens
Clostridium paraputrificum
Clostridioides difficile
Bifidobacterium longum
Bacteroides fragilis (V)
Escherichia (coli) (V)

