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Surrogate markers of intestinal dysfunction associated with survival in advanced cancers

Roxanne Birebent^{a,b,c,*}, Damien Drubay^{a,d,e,*}, Carolina Alves Costa Silva^{a,b,c,*}, Federica Marmorino^{f,g,*}, Giacomo Vitali^{a,c,h}, Gianmarco Piccinnoⁱ, Yoan Hurtado^{a,b,c}, Adele Bonato^{a,c,f}, Lorenzo Belluomini^{a,c,j}, Meriem Messaoudene^{k,l}, Bertrand Routy^{k,l}, Marine Fidelle^{a,b,c}, Gerard Zalzman^m, Julien Mazieresⁿ, Clarisse Audigier-Valette^o, Denis Moro-Sibilot^p, François Goldwasser^{q,r,s}, Arnaud Scherpereel^t, Hervé Pegliasco^u, François Ghiringhelli^{v,w,x}, Anna Reni^{a,c,j}, Fabrice Barlesi^{a,b,y}, Laurence Albiges^{a,b,y}, David Plancharde^{a,b,y}, Stéphanie Martinez^z, Benjamin Besse^{a,b,y}, Nicola Segata^{i,aa}, Chiara Cremolini^{f,g,*}, Laurence Zitvogel^{a,b,c,bb,*}, Valerio Iebba^{a,y,*}, and Lisa Derosa^{id a,b,c,y,*}

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ABSTRACT

Deviations in the diversity and composition of the gut microbiota are called “gut dysbiosis”. They have been linked to various chronic diseases including cancers and resistance to immunotherapy. Stool shotgun based-metagenomics informs on the ecological composition of the gut microbiota and the prevalence of homeostatic bacteria such as *Akkermansia muciniphila* (Akk), while determination of the serum addressin MAdCAM-1 instructs on endothelial gut barrier dysfunction. Here we examined patient survival during chemo-immuno-therapy in 955 cancer patients across four independent cohorts of non-small cell lung (NSCLC), genitourinary (GU) and colorectal (CRC) cancers, according to hallmarks of gut dysbiosis. We show that Akk prevalence represents a stable and favorable phenotype in NSCLC and CRC cancer patients. Over-dominance of Akk above the healthy threshold was observed in dismal prognosis in NSCLC and GU and mirrored an immunosuppressive gut ecosystem and excessive intestinal epithelial exfoliation in NSCLC. In CRC, the combination of a lack of Akk and low sMAdCAM-1 levels identified a subset comprising 28% of patients with reduced survival, independent of the immunoscore. We conclude that gut dysbiosis hallmarks deserve integration within the diagnosis toolbox in oncological practice.

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Gut dysbiosis; *Akkermansia*; MAdCAM-1; biomarker; immune checkpoint inhibitors; chemotherapy; colorectal cancer; lung cancer; genitourinary cancers

Introduction

Since the advent of immune checkpoint inhibitors (ICI), identifying biomarkers has become essential for better predicting resistance risks. The Food and Drug Administration has approved Expression of Programmed Cell Death Protein 1 (PD-L1) and tumor mutational burden for anti-Programmed Cell Death Protein 1 (PD-1)/PD-L1 antibodies. PD-L1 was predictive in

about 29% of trials, not predictive in 53.3% and not tested in 17.8% of the cases.¹

Nine FDA approvals were linked to a specific PD-L1 threshold and companion diagnosis.¹ Cancer type, country, marker threshold, and methodology are key factors currently being validated to enhance biomarkers use in precision medicine.^{2,3}

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Other blood or tumor biomarkers, like myeloid-derived suppressor cells (MDSCs), circulating tumor cells (CTCs), CD8⁺ memory T-cells, T-cell receptor (TCR) diversity, tumor-infiltrating lymphocytes (TILs), and T-cell inflamed gene expression profiling, particularly in melanoma, also correlate with ICI response.⁴ In addition, gut microbiota composition is increasingly recognized for its association with ICI clinical benefit across solid and hematological malignancies.^{5–11}

Carcinogenesis, co-morbidities and co-medications (antibiotics, proton pump inhibitors, laxatives, chemotherapy) influence the gut microbiota composition.^{12–16} Studies on Gut OncoMicrobiome Signatures (GOMS) in meta-analyses reveal differences between cancer patients and healthy volunteers (HV), potentially aiding in the identification of responders from non-responders to ICI.¹⁰ In particular, *Akkermansia muciniphila*^{5,17} Lachnospiraceae (including *Roseburia spp.*, *Coprococcus spp.*, *Dorea spp.* among others), and Oscillospiraceae family members (such as *Faecalibacterium prausnitzii*, *R. bromii*, *R. bicirculans*) were associated with clinical benefit to ICI, while oral taxa (*Streptococcus spp.*, *Veillonella spp.*, *Actinomyces spp.*), *Hungatella hathewayi*, *Proteobacteria spp.* and the genus *Enterocloster* were associated with antibiotics¹⁸ and/or ICI resistance.^{10,19,19–22} The development of diagnostic tools for gut dysbiosis has been hampered by confounding factors affecting the consensual definition of clinically relevant GOMS. Technical considerations (sample collection, DNA extraction), patient geography, diet, lifestyle differences, varying clinical outcome parameters (e.g., objective response rate (ORR) versus progression-free (PFS) or overall survival (OS)) have limited the interpretation of microbial signals differentiating ICI responders from non-responders. Cancer type also contributes to discrepancies between studies.^{5,7,19}

To minimize these confounding factors, we focused on advanced non-small cell lung cancer (NSCLC), renal cell carcinoma (RCC) and urothelial cancer (UC) which share GOMS,^{5,17,18} in particular the immunogenic *Akkermansia muciniphila* (Akk) and two species interacting groups (SIG) of bacteria – one harmful (SIG1) and one beneficial (SIG2) for patient prognosis-, as summarized by a gut dysbiosis score (TOPOSCORE).²³ Another simple method to assess gut dysbiosis involves a gut-specific marker of endothelial barrier integrity, the soluble mucosal addressin cell adhesion molecule-1 (sMAdCAM-1).²⁴ Gut dysbiosis affects cancer immunosurveillance by, at least in part, decreasing expression of the endothelial counterpart MAdCAM-1 expression, as observed in the ileum after colonization by pathobionts such as *Enterocloster spp.* following antibiotic use²⁴ or tumor progression.¹² Since ileal MAdCAM-1 levels correlated with sMAdCAM-1 and deviated gut taxonomic composition, sMAdCAM-1 can serve as a reliable hallmark of gut dysbiosis and prognosis in NSCLC, RCC and UC patients treated with ICI.²⁴

Here we analyzed the inter-relationship between these hallmarks of gut dysbiosis in 771 patients across 3 tumor subtypes extending these findings to 184 patients with metastatic colorectal cancer (CRC) treated with chemo-/immunotherapy.

Methods

Study participant details

All patients provided written informed consent. GDPR and anonymization procedures were followed per Oncobiome H2020 at the ClinicoBiome, Gustave Roussy. Data and sample collection adhered to regulatory and ethical requirements and ICH E6(R2) Good Clinical Practice (GCP). Ancestry, race, ethnicity, socioeconomic were excluded due to France's Data Protection Act No. 78–17.

[https://www.advamed.org/sites/default/files/resource/112_112_code_of_ethics_0.pdf.]

Clinical trials and regulatory approvals

Oncobiotics

NCT04567446, is a multicenter study evaluating the microbiome impact on outcome in advanced NSCLC and RCC treated with anti-PD-(L)1therapies, alone or in combination with chemotherapy or tyrosine-kinase inhibitors. Conducted at 14 centers in France and Canada, the study followed standard care until disease progression, unacceptable side effects, or 2 years of ICI treatment. Eligibility criteria and baseline data, including recent medications, are in the trial protocol and recorded in electronic case reports.²³

ATEZOTribe

The NCT03721653 phase 2 study enrolled 218 patients with unresectable stage IV CRC, randomized 2:1 to receive FOLFOXIRI+bevacizumab+atezolizumab (anti-PD-L1) or FOLFOXIRI+bevacizumab. At first stool collection, patients were treatment-naïve. Baseline samples were available for 184 patients: 172 stools, 162 plasma and 150 had both. Samples collection followed ONCOBIOTICS cohort guidelines.²⁵

IOPREDI/STRONG

NCT03084471, the French cohort of the STRONG phase IIIb trial,²⁶ treated bladder cancer patients, who progressed on chemotherapy, with durvalumab (150 mg every 4 weeks until progression). Baseline stool samples ($n = 133$) from AstraZeneca were used alone or pooled with the ONCOBIOTICS kidney cancer cohort.

Healthy volunteers

We used metagenomes of public databases (matched with cancer patients based on age, sex, and country) using the MetaPhlAn 4.0 pipeline as in.²³

Metagenomic analyses

Stool samples underwent DNA extraction and sequencing via Ion Proton technology following MetaGenoPolis protocols. Gene abundance was assessed using human²⁷ and oral²⁸ microbiome catalogs, with reads mapped to the gene catalogs and normalized for sequencing depth (20 M). Metagenomics Species Pangenomes (MSP) were defined as clusters of co-abundant genes²⁹ with profiles merged from gut and oral catalogs. Metagenomic microbial

species (MGS) and strains were retrieved by means of MetaPhlan4 (vJan21_CHOCOPHlan)³⁰ which, after removal of genus-level genome bins (GGBs), eukaryotic microbes, and a 2.5% prevalence cutoff, retrieved a total of 601 species and 2649 species-level genome bins (SGBs).

Plasma sample processing and sMAdCAM-1 analyses

sMAdCAM-1 levels in plasma or serum samples were measured with a commercial kit from R&D Systems and read with the Bio-Plex 200 systems, as previously described.²⁴

Clinical endpoints

Progression-free survival (PFS) was defined as time to progression or death and overall survival (OS) as the time to death, with patients censored at follow-up if no event occurred.

Statistical analyses

Microbial α (Shannon, richness metrics) and β diversity (Bray-Curtis dissimilarity) were analyzed using SciKit-learn v.1.6.1³¹ under the Python v.3.8 environment. Inter-cohort comparisons were employed with ANOSIM and PERMANOVA tests after a proper batch-correction was performed with MMUPHin.³² Discriminant species were identified via Partial Least Squared Discriminant Analysis coupled to Variable Importance (PLSDA-VIP). Survival analysis was performed using Kaplan-Meier curves, log-rank tests, and Cox regression, with significance defined as $p < 0.05$. For any biomarker that reached statistical significance, its predictive effect was assessed by examining its interaction with treatment. All analyses were conducted using R software (<http://www.R-project.org/>).

Results

Prevalence and clinical significance of fecal *Akkermansia muciniphila* in patients with advanced cancer

We analyzed data from our previous studies (NCT03084471), which included 499 NSCLC (1st or further therapy lines (L)) from France and Canada since 2017, 82 patients with RCC and 133 UC (1st L or further) in France²³ (Table S1). Moreover, we enrolled 57 NSCLC patients from the prospective IMMUNOLIFE1 study, not yet reported (Table S1) and data from the Phase II AtezoTRIBE trial, comparing

chemoimmunotherapy (FOLFOXIRI+bevacizumab+atezolizumab) with chemotherapy alone (FOLFOXIRI+bevacizumab) in metastatic CRC patients (Table S1).^{25,33} Among 955 patients, 943 stool samples were available before ICI initiation, allowing shotgun metagenomics (MGS) analysis defining microbiota composition (Table S2).

In a previous study of 338 NSCLC patients, we found that intestinal colonization with *Akkermansia muciniphila* was associated with increased ORR and OS.¹⁷ We extended this analysis to include new cohorts, and we examined the prevalence of three *Akkermansia* spp. (SGB9224, SGB9226 and SGB9228) using MetaPhlan4 profiling across all cancer histotypes versus healthy volunteers.³⁴ As previously reported, *Akkermansia muciniphila* SGB9226 (Akk9226) was the most prevalent species, followed by *Akkermansia massiliensis* SGB9228 (Akk9228) and *Akkermansia biwaensis* SGB9224 (Akk9224).³⁵ Notably, the prevalence of distinct SGB may vary by cancer type, with SGB9228 slightly more prevalent in urinary cancer (Table 1, Figure 1a).

To assess the stability of the most prevalent phenotype, the presence of intestinal Akk9226, we performed a longitudinal MGS follow-up on 141 NSCLC patients with 282 paired stools over time. Within 3 months, 9% (7/78) of Akk9226 negative (Akk9226^{Neg}) became positive (Akk9226^{Pos}) or vice versa in 17% (11/63) cases. Over 6 months or more, 17% of Akk9226^{Neg} (11 + 2/78) became Akk9226^{Pos} and 14% (5 + 4/63) of Akk9226^{Pos} became Akk9226^{Neg}. Overall, the Akk9226 phenotype remained relatively stable during the first 3 months of ICI treatment and eventually evolved with treatment response (Figure 1b).

We confirmed our initial observation in a larger cohort of 556 NSCLC patients, whereby the absence of gut Akk9226 at baseline was linked to a dismal prognosis in univariable analysis (Figure 2a, HR: 0.78 (0.61–0.98), $p = 0.033$, Table S2A) and tended to be associated with poor prognosis in multivariable analysis, mostly for 2nd L patients (Table S3A, HR: 0.80 (0.63–1.03), $p = 0.078$).

In CRC patients, similar results were observed for Akk9226 in univariable analysis (Figure 2b, HR: 0.63 (0.42–0.95), $p = 0.028$), yet not in multivariable analysis (not shown, $p = 0.315$). Conversely, the absence of Akk9226 at baseline was not associated with OS in both RCC and UC, either individually or combined (GU) (Figure 2c, GU-HR: 0.96

Table 1. Prevalence and relative abundances of three species of *Akkermansia* in study cohorts.

Cohort	n	Akk9226		Akk9228		Co-colonized Akk9226 & Akk9228 Prevalence, n (%)	Absence Akk9226 & Akk9228 Prevalence, n (%)	Akk9224	
		Prevalence, n (%)	Mean (%) * SD	Prevalence, n (%)	Mean (%) * SD			Prevalence, n (%)	Mean (%) * SD
HV	124	62 (50)	1 ± 1.5	12 (9.7)	1.4 ± 1.5	7 (5.6)	57 (46)	4 (3.2)	1.6 ± 2.6
NSCLC	556	271 (48.7)	3.1 ± 6.8	60 (10.8)	3.6 ± 8.3	27 (4.9)	252 (45.3)	9 (1.6)	1.4 ± 2.2
France	471	233 (49.5)	3.2 ± 6.9	47 (10)	2.7 ± 6.0	21 (4.5)	212 (45)	6 (1.3)	1.7 ± 2.6
Canada	85	38 (44.7)	2.6 ± 6.0	13 (15.3)	6.9 ± 13.6	6 (7.1)	40 (47.1)	3 (3.5)	0.6 ± 0.8
UC	133	61 (45.9)	2.4 ± 3.9	20 (15)	3.3 ± 5.3	1 (0.8)	53 (39.8)	4 (3)	4.6 ± 6.8
RCC	82	45 (54.9)	1.7 ± 2.6	7 (8.5)	3.5 ± 4.2	3 (3.7)	33 (40.2)	3 (3.7)	0.4 ± 0.4
CRC	172	81 (47.1)	3.7 ± 5.4	10 (5.8)	4.1 ± 4.5	2 (1.2)	83 (48.3)	5 (2.9)	1.9 ± 2.5

considering only Akk^{Pos} patients.

HV: Healthy volunteers.

NSCLC: Non-small cell lung cancer.

UC :Urothelial cancer.

RCC : Renal cell carcinoma.

CRC: colorectal cancer.

Standard deviation (SD) quantifies the amount of variation.

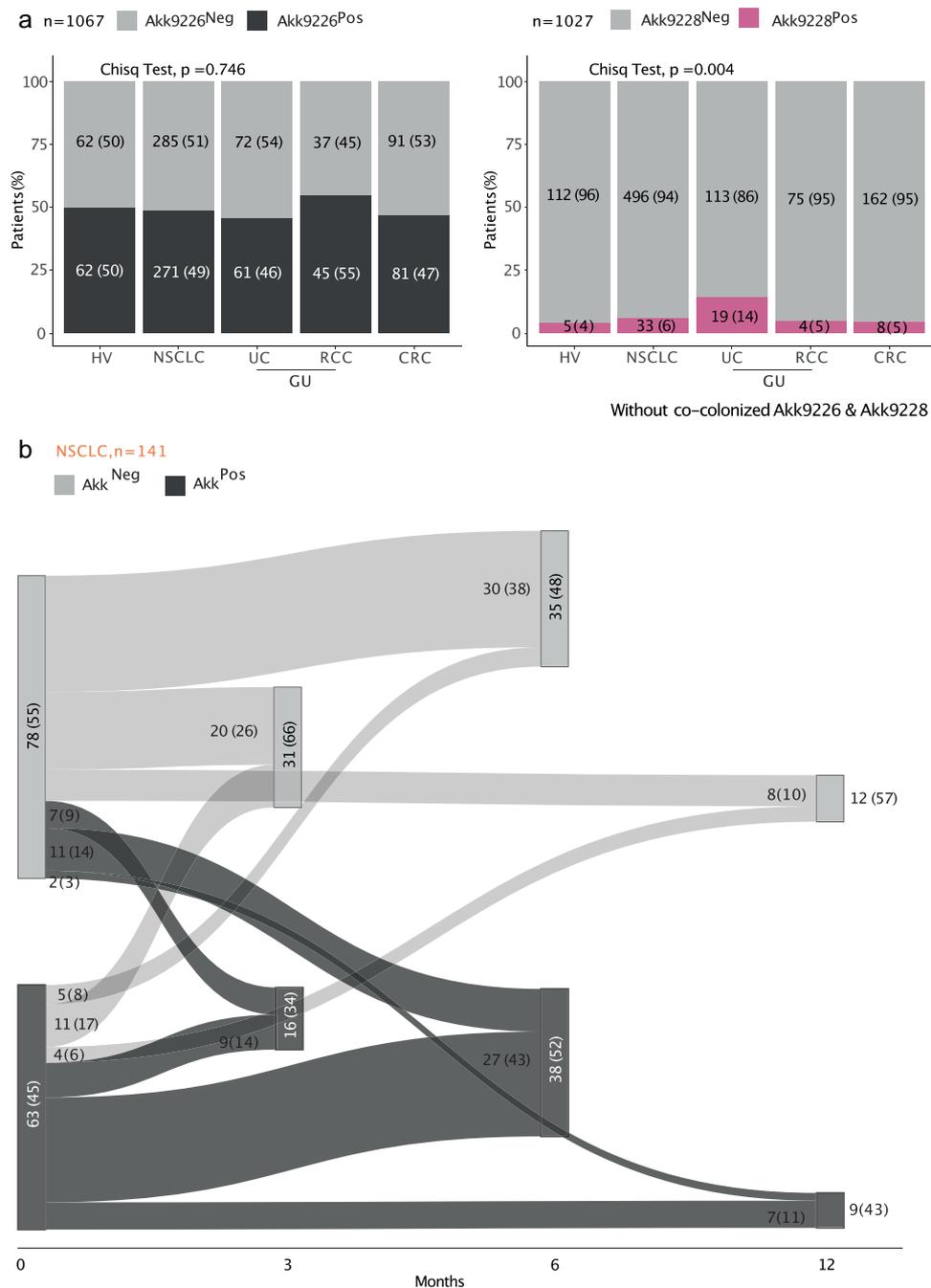


Figure 1. Prevalence of *Akkermansia* spp. in cancer patients and matched healthy volunteers (HV). a. Stool prevalence of Akk9226 (left) and 9228 (right) in cancer patients and sex and age matched French HV. b. Sankey diagram showing Akk prevalence (either 9226 and/or Akk9228) prevalence over time in paired specimens from 141 NSCLC patients followed longitudinally.

(0.68–1.34), $p = 0.792$; UC-HR: 1.13 (0.79–1.74), $p = 0.594$; RCC-HR: 0.98 (0.57–1.67), $p = 0.935$). Interestingly, when examining the compositional taxonomic differences in the gut microbiota of CRC patients segregated between Akk9226^{Pos} and Akk9226^{Neg}, we found a significant increase in alpha-diversity monitored using the Shannon ($p = 0.044$) and richness ($p = 0.0034$) metrics in Akk9226^{Pos} CRC patients (Figure S1A), as well as differences in the overall taxonomic composition between both groups (Figure S1B). Following PLSDA-VIP analysis, we found an overabundance of Oscillospiraceae and Lachnospiraceae family members in

Akk9226^{Pos} stools as previously reported,¹⁷ while feces from Akk9226^{Neg} patients were overabundant in the genus *Klebsiella* (*K. michiganensis* and *K. pneumoniae*) (Figure S1C) which have been extensively described as drug-resistant³⁶ and pro-inflammatory³⁷ bacteria.

In contrast to Akk9226, the presence of Akk9228 alone (excluding the 5% of double-positive patients, Table 1, Figure 1a right panel) did not confer a favorable prognostic value in NSCLC (Figure S2). However, the rare prevalence of Akk9228 limits the statistical power of this analysis across different cancer types (Figure S2).

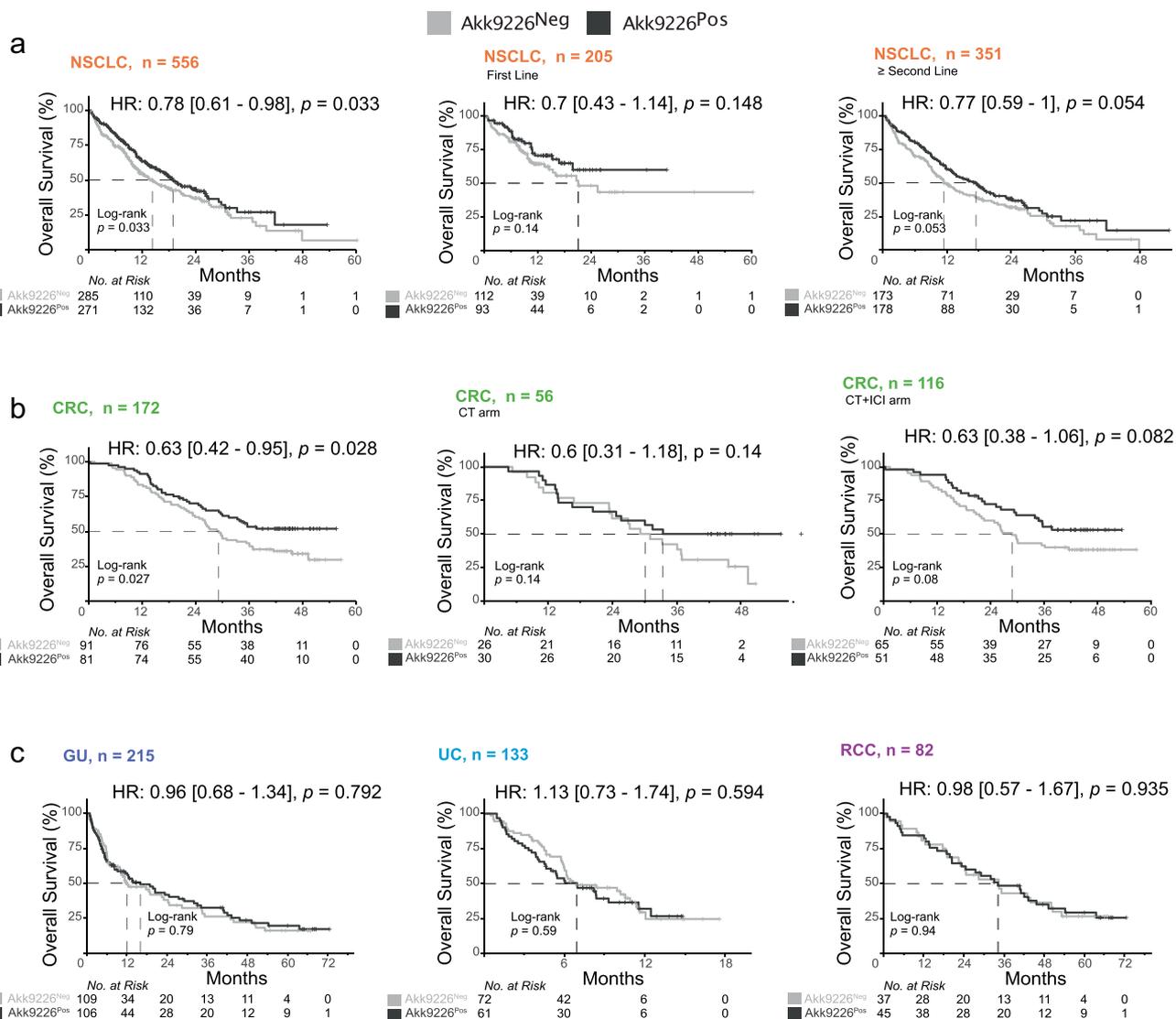


Figure 2. Prevalence of Akk9226 is associated with clinical benefit to therapies in NSCLC and CRC. Kaplan-Meier curves and Cox regression analyses of OS. A. NSCLC $n = 556$ by Akk9226 prevalence (left), 1st L of treatment (middle), 2nd line (right). See Table S3A for multivariable analyses. B. CRC $n = 172$ by Akk9226 status (left); chemotherapy $n = 56$ (middle), chemo-immunotherapy $n = 116$ (right). C. GU $n = 215$, including UC ($n = 133$, middle), RCC ($n = 82$, right), by Akk9226 status. Between groups, survival comparisons were performed using the two-sided log-rank test. The hazard ratios correspond to the related univariable Cox regression analyses. The conclusions were similar in the multivariable (also refer to Table S3A) $p = 0.071$.

Altogether, a deficit in gut Akk9226 is a poor prognostic factor in NSCLC and CRC, where it is associated with intestinal pathobionts and inflammatory bacteria.

High relative abundance of Akk9226 is associated with gut dysbiosis in NSCLC and GU

Based on our previous report on 338 NSCLC patients [17] showing that overabundance of Akk. spp. (exceeding the >4.799%, the 77%ile) conferred primary resistance to immunotherapy in NSCLC,¹⁷ we next analyzed OS and PFS in the three groups stratified according to the relative abundance Akk9226. Of note, among bacteria with >2.5% prevalence, only Akk9226 displayed a trichotomic distribution, with both Akk9226^{Neg} and Akk9226^{High} correlating with dismal prognosis.²³ Here, 9% (48/556) of NSCLC patients exhibited Akk9226^{High} (Figure 3a). This phenotype, albeit not influencing PFS ($p = 0.1$), conferred a significant reduction in OS ($p =$

0.0019) compared to Akk9226^{Low} in first ($p = 0.065$) or further lines of therapy ($p = 0.016$) (Figure 3b–e) in uni- and multivariate analysis (Table S3B, HR:1.79 (1.18–2.73), $p = 0.007$) in NSCLC.

Previous work stated that lower richness or higher alpha diversity correlated with reduced ORR in metastatic melanoma patients.⁶ To investigate whether high relative abundance of Akk9226 was an indirect proxy of a more complex gut dysbiosis, we next computed the taxonomic alpha and beta diversity of NSCLC patient stools in the three groups (Akk^{Neg}, Akk^{Low}, Akk^{High}) using the MetaPhlan4 pipeline. A significant drop in the richness of the microbial ecosystem was observed in Akk9226^{Neg} compared with Akk9226^{Pos} patients and in Akk9226^{High} patients versus Akk9226^{Low} patients (Figure 4a). Abnormal Akk9226^{Neg} or Akk9226^{High} ecosystems contained a relative overrepresentation of species from the immunosuppressive genus Enterocloster (*E. aldensis*, *E. clostridioformis*,

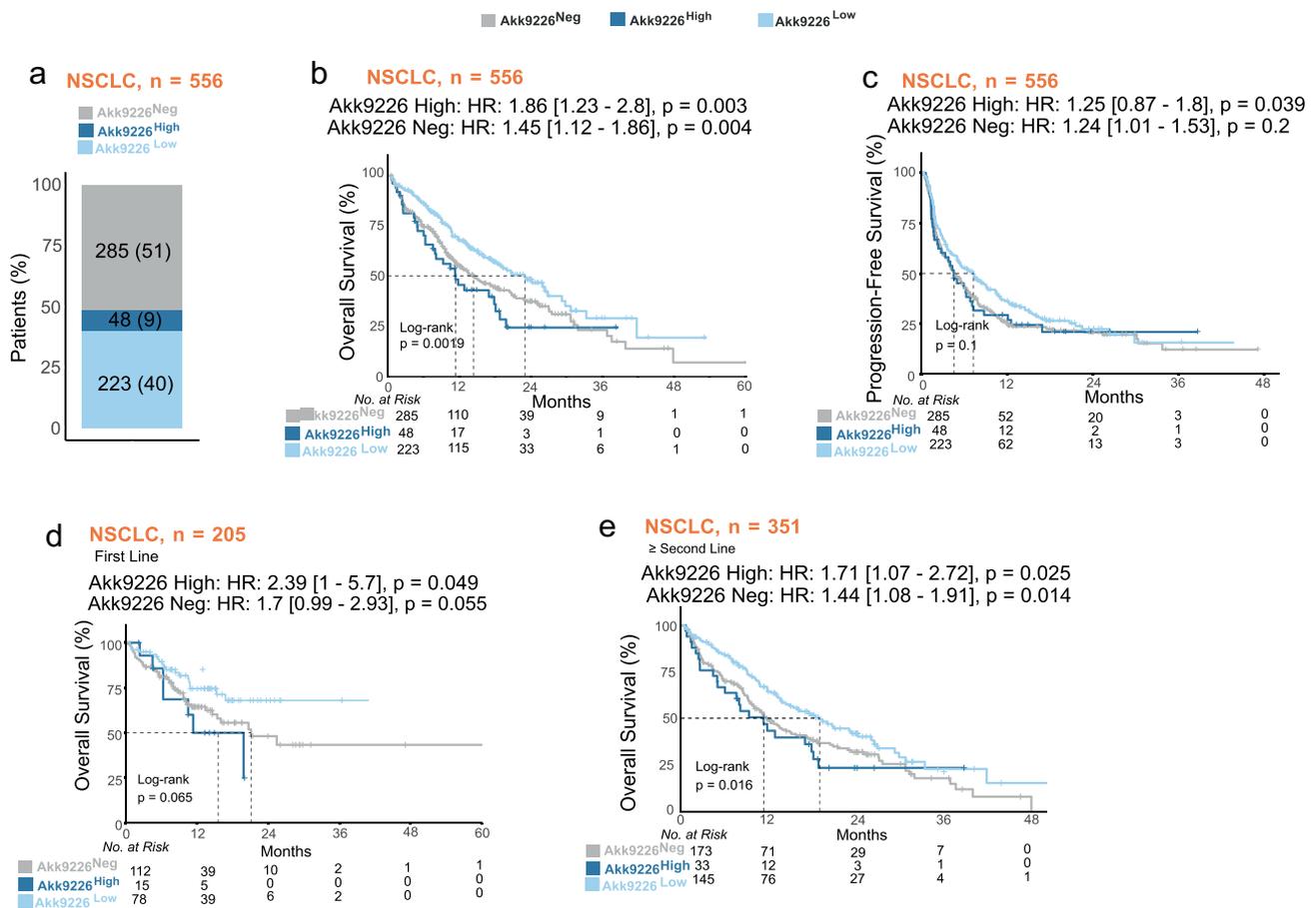


Figure 3. Low levels of Akk9226 are associated with favorable prognosis in NSCLC. A. Distribution of Akk9226 relative abundance in NSCLC patients: Akk9226^{Neg} (undetectable), Akk9226^{Low} (0–4.799%), Akk9226^{High} (>4.799%). B–C. Kaplan-Meier curves and Cox model for OS (B) and PFS (C) in 556 NSCLC patients by Akk9226 status. D–E. Same for 1st (D) and 2nd (E) ICI in NSCLC. Between groups, survival comparisons were performed using the two-sided log-rank test. The hazard ratios correspond to the related univariable Cox analyses. The conclusions were similar in the multivariable (also refer to Table S3B, $p = 0.014$ vs Akk9226^{Neg} and $p = 0.007$ vs Akk9226^{High}).

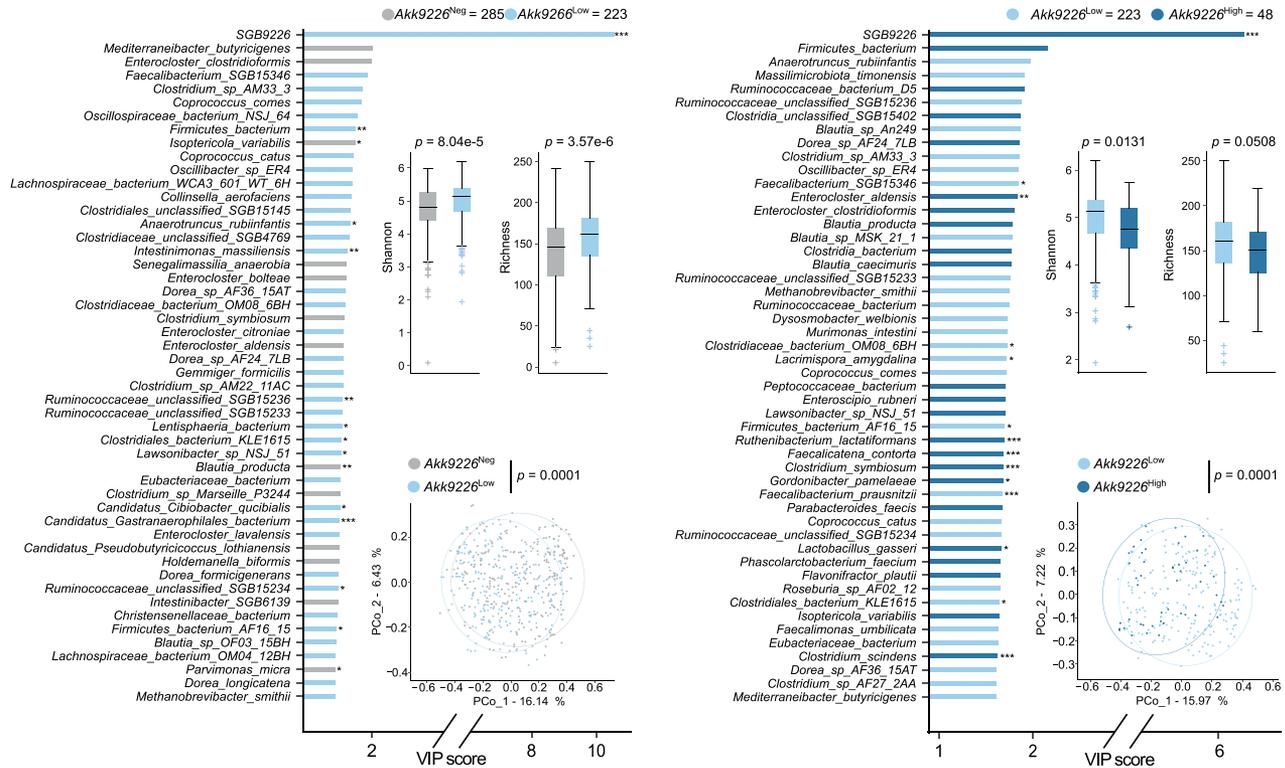
E. bolteae), bacteria related to antibiotics usage (*Clostridium symbiosum*, *C. scindens*) and immunoresistance to ICI (*Anaerostipes caccae*, *Blautia producta*)²³ (Figure 4a). We could extend these conclusions when admixing these 556 NSCLC patient stool metagenomics with that of 82 RCC and 133 UC subjects (total $N = 771$ patients). A significant drop in the richness of the microbial ecosystem was observed in Akk9226^{Neg} compared with Akk9226^{Pos} patients and in Akk9226^{High} patients versus Akk9226^{Low} patients (Figure S3A). In addition, beta-diversities revealed distinct intestinal ecosystems among these three patients' groups (Figure S3B), suggesting different taxonomic compositions that were further analyzed with PLSDA-VIP (Figure S3C). Akk9226^{Neg} or Akk9226^{High} ecosystems differed significantly from Akk9226^{Low} health-related microbiota, with a relative overrepresentation of species from the SIG1 group²³ (*Clostridium symbiosum*, *F. plautii*, *Anaerostipes caccae*, *Limosilactobacillus fermentum*, and *Blautia producta*) and immunosuppressive genus *Enterocloster* (*E. aldensis*, *E. bolteae*, *E. clostridioformis*) (Figure S3C).

We then focused on the “exfoliome”, originally described in a melanoma cohort,¹⁹ where noninvasive transcriptomics of shed intestinal epithelial cells were analyzed in fecal samples.

Here, we extended this concept to stool DNA sequencing by calculating the contamination of prokaryotic DNA by mammalian eukaryotic DNA, establishing the ratio between the number of human reads and the total number of reads in each sample. We observed that this exfoliation was more pronounced in abnormal Akk9226^{Neg} or Akk9226^{High} ecosystems compared with normal Akk9226^{Low} microbiota, mostly for 2nd L patients (Figure 4b), suggesting that dysbiosis accompanies epithelial barrier dysfunction.

Next, we studied the clinical relevance of abnormal Akk9226 relative abundances in the other cohorts of solid cancers. The proportion of Akk9226^{High} (exceeding the >4.799%) patients was between 9% and 12%, significantly higher than that of healthy volunteers (Figure 5a, Table S2B). The Cox regression analysis of GU malignancies corroborated the result obtained in NSCLC patients (Figure 5b, $p = 0.0056$). In both RCC and UC patients, albeit not significant because of size effect, the Akk9226^{High} stool phenotype conferred shorter OS ($p = 0.0011$ for UC and $p = 0.47$ for RCC) but did not affect PFS ($p = 0.11$ for UC and $p = 0.22$ for RCC, Figure S4A–B). Contrasting with other cancer histotypes, the proportions of Akk9226^{High} were higher in CRC patients and tended to convey a favorable prognosis (Figure 5c, $p = 0.073$).

a NSCLC



b NSCLC

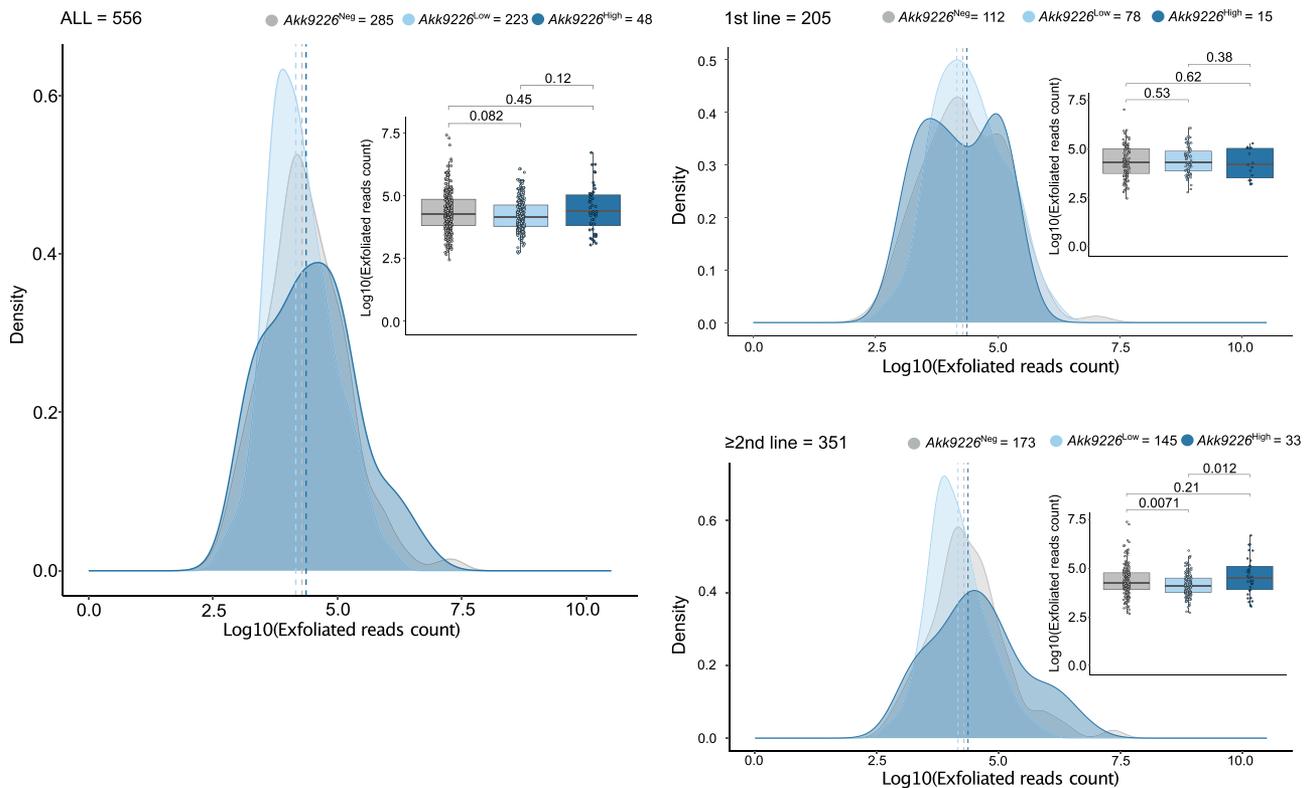


Figure 4. High Akk9226 relative abundance is a surrogate hallmark of gut dysbiosis and epithelial exfoliation. A. CoA of fecal taxonomic composition (inset), Shannon and richness indices and VIP score for Akk9226^{Neg} versus Akk9226^{Low} (left) and Akk9226^{Low} versus Akk9226^{High} (right). ANOSIM and PERMANOVA assess group separation significance after 999 permutations. PLS-DA and VIP identify key microbial species by importance with bar color depicting the cohort with the highest mean relative abundance. Mann-Whitney test for significance (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$). B. Kernel density plot of the exfoliated human reads (Log₁₀ scale) in three Akk9226 groups according to lines of therapy in NSCLC and significance between medians was computed with Kruskal-Wallis's test and Dunn's test.

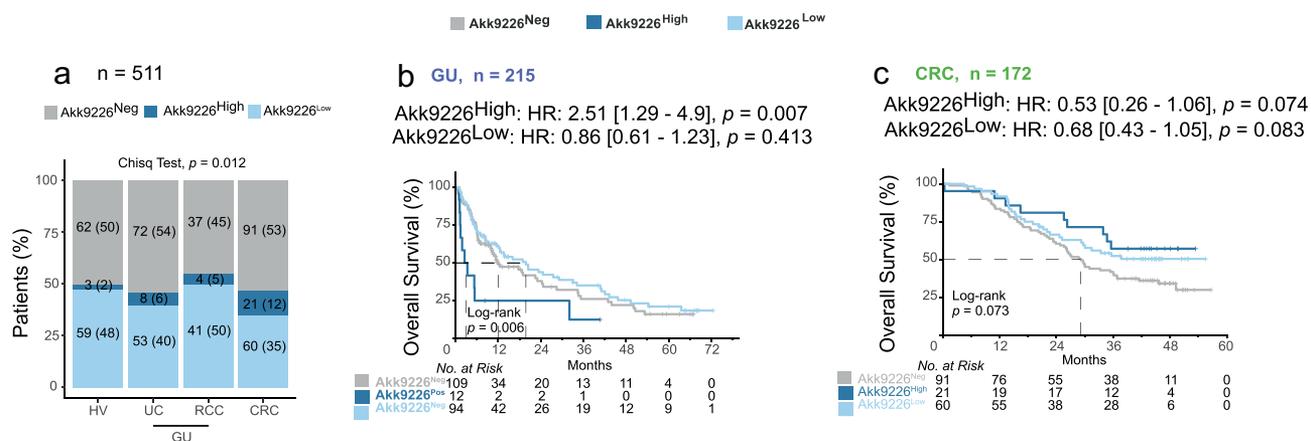


Figure 5. High levels of Akk9226 are associated with dismal prognosis in urinary tract cancers (GU) but not CRC. a distribution of Akk9226 relative abundance in HV, GU and CRC patients: Akk9226^{Neg} (undetectable), Akk9226^{Low} (0–4.799%), Akk9226^{High} (>4.799%). P -value are fisher exact test with FDR correction. b, c. Kaplan-Meier curves and Cox model for OS in 215 GU patients (b) and 172 CRC patients (c) by Akk9226 status (Akk9226^{Neg}, Akk9226^{Low}, and Akk9226^{High}). Between groups, survival comparisons were performed using the two-sided log-rank test. The hazard ratios correspond to the related univariable Cox analyses.

Altogether, GU or NSCLC patients presenting Akk9226 relative abundances superior to >4.799% exhibit reduced OS compared with patients harboring normal pretreatment Akk9226 levels.

Coordinated defects in sMAdCAM-1 and Akk are risk factors for shorter survival in CRC

We previously found that higher plasma sMAdCAM-1 levels were associated with favorable prognosis in NSCLC, RCC and UC cancers.²⁴ We stratified patients based on Akk9226 (Pos versus Neg) and sMAdCAM-1 levels (\geq or $<$ median, sMAdCAM^{High} or sMAdCAM^{Low} respectively) in each cancer type and found no clear association between their distribution, suggesting independent prognostic values (Figure S5A-C, Figure S6A-B). Moreover, Cox regression analyzes taking into account the two variables did not show any significant impact on PFS and OS in NSCLC (Figure S6A) or UC patients (Figure S6B).

However, again, the exception tended to be CRC where we observed a higher proportion of sMAdCAM-1^{High} within the Akk9226^{High} patients (32.4% in CRC vs 9.1% and 6.5% in UC and NSCLC, respectively, $\chi^2 = 4.72$, $p = 0.094$, not shown), further supporting the distinct significance of Akk9226^{High} in this cancer type. We evaluated sMAdCAM-1 in the AtezoTRIBE CRC cohort and found no significant differences in the two treatment arms at baseline (Figure 6a). As reported in other solid cancers, higher sMAdCAM-1 levels were associated with better OS in CRC, in univariable (Figure 6b, HR: 0.53 (0.35–0.8), $p = 0.0021$) in the whole cohort and in each treatment arm (Figure 6c, HR: 0.54 (-0.27–1.06), $p = 0.071$ in chemotherapy, HR: 0.53 (0.32–0.9), $p = 0.018$ in chemo-immunotherapy). However, the combination of sMAdCAM-1^{Low} and Akk^{Neg} identified a subgroup of 28% patients with a dismal prognosis for OS ($p < 0.001$, Figure 6d) and, to some extent, for PFS ($p = 0.21$, Figure 6e). The multivariable analysis confirmed sMAdCAM-1^{Low} as an independent risk factor when combined with Akk^{Neg} (Table S4, HR: 2.05 (1.28–3.30), $p =$

0.003), compared to patients with sMAdCAM-1^{High} or those with sMAdCAM-1^{Low} and either Akk^{Low} or Akk^{High}. Independently of sMAdCAM-1 and Akk variables, a high immunoscore identified a subset of favorable outcomes, but only in the chemoimmunotherapy arm (Table S4, HR: 0.24 (0.09–0.60), $p = 0.002$). In other words, an interaction between chemoimmunotherapy arm and Immunoscore was observed, as previously described,³³ but not with sMAdCAM-1 nor Akk levels.

Altogether, advanced CRC patients could be stratified not only based on the immunoscore, but also on gut biomarkers (Akk, sMAdCAM-1) for guide future interventions and optimize therapeutic strategies.³⁸

Discussion

This work highlights the negative impact of gut microbiota imbalances on the clinical outcome of advanced cancer patients and the potential of gut-related surrogate biomarkers or “intestinal dysbiosis” to stratify patients in the chemoimmunotherapy regimen. We dedicated a special focus to distinct microbial species at the strain level as simple proxies for dysbiosis given their potential therapeutic role as live biotherapeutics.

Here we confirmed in 556 NSCLC patients that the absence of *Akkermansia muciniphila* SGB9226 (49.5%) confers shorter survival, while 9% exhibiting an Akk9226^{High} phenotype presented a deviated repertoire of the gut microbiota associated with exacerbated epithelial exfoliation culminating in increased death rates.

A. muciniphila (“MucT”) is under-represented in overweight individuals, linking its deficiency to metabolic disorders like obesity, Type 2 diabetes (T2D), nonalcoholic fatty liver disease and cardiovascular diseases.^{39,39–43} Cani’s group explored these connections in rodents and in T2D/obese patients in Microbes4U© study.⁴⁴ Daily administration of pasteurized MucT in mice reversed fat-mass gain, metabolic endotoxaemia, adipose tissue inflammation, reduced cholesterol levels and serum triglyceride levels and insulin resistance.^{41,45}

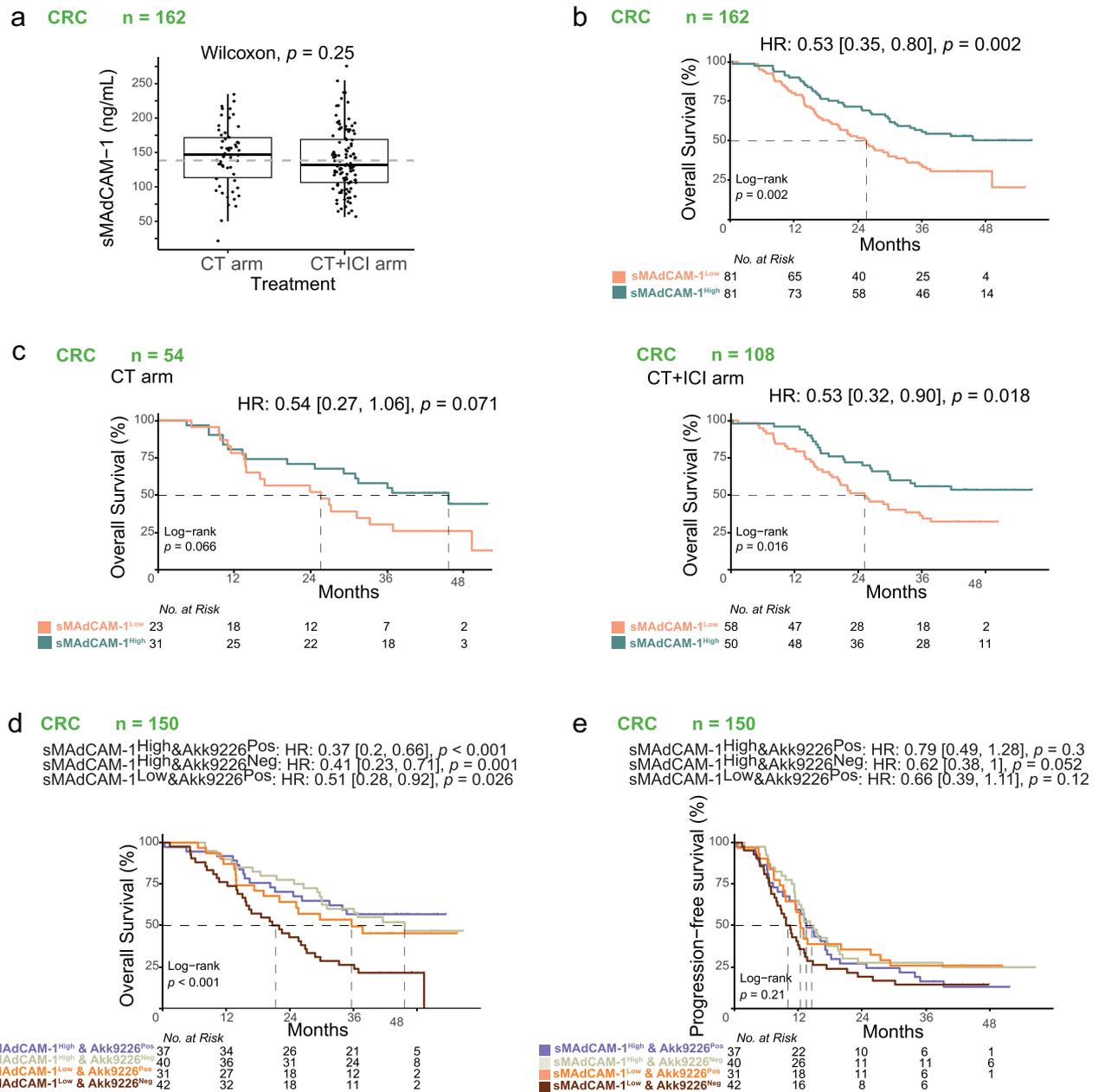


Figure 6. Interaction between sMAdCAM-1 and Akk9226 as a prognostic signature in CRC. a. Plasma sMAdCAM-1 levels by treatment arm in CRC. Each dot is one patient/plasma. b-c. Kaplan-Meier curves and multivariable Cox model for OS in CRC $n = 162$, in chemotherapy $n = 54$ (C, left) and in chemo-immunotherapy $n = 108$ (C, right) by sMAdCAM-1 levels according to the cohort median ($\text{Low} \leq 138.4803 \text{ ng mL}^{-1}$). d-e. Kaplan-Meier curves and Cox model for PFS (D) and OS (E) in 150 patients with paired stool and plasma samples according to sMAdCAM-1 levels and Akk9226 prevalence (sMAdCAM-1^{Low} or ^{High}, Akk9226^{Pos} or Akk9226^{Neg}). Between groups, survival comparisons were performed using the two-sided log-rank test. The hazard ratios correspond to the related univariable Cox analyses.

Restoring *A. muciniphila* levels also reduced the severity of viral infections,⁴⁶ inflammatory bowel and alcoholic liver diseases, colitis-associated tumorigenesis and progeria in mice.^{47–50} A proof-of-concept study with 40 patients, showed that pasteurized, but not alive, MucT (10^{10} cells per day for 3 months) markedly improved insulin sensitivity and cholesterol levels, altered metabolic profiles, and promoted lipid beta-oxidation. It did not modulate the taxonomic composition of the microbiota.^{44,51} This pioneering and successful trial using this food supplement in metabolic disorders paves the way to the development of live biotherapeutics as potential drugs to be applied in the subset of cancer patients

lacking endogenous *A. muciniphila*. A Phase I trial is currently underway at Gustave Roussy (NCT05865730) aimed at compensating Akk9226^{Neg} and Akk9228^{Neg} double-negative patients (45% cases in NSCLC, Table 1) with an immunogenic strain of *Akkermansia* p2261 (named **Oncobax®_AK**) to address this question in RCC and NSCLC.

The deleterious association between Akk9226^{High} and dismal outcome in NSCLC was extended to GU malignancies. The stool Akk^{High} phenotype most likely does not reflect cell intrinsic biological dysfunction of Akk, as exemplified by passive transfer of FMT Akk^{High} in avatar tumor bearers that failed to confer resistance to ICI, but rather a consequence of

a profound gut barrier dysfunction in the cancer patient leading to a protracted and severe intestinal dysbiosis.^{12,17} Indeed, Akk^{High} NSCLC and RCC patients displayed a similar taxonomic profile observed in SIG1 or antibiotics-treated patients dominated by Enterocloster and oral taxa mediating tolerogenic effects.^{23,52} In CRC patients, where 12% are Akk9226^{High} and 53% Akk9226^{Neg}, only patients with Akk9226^{Neg} exhibited a reduced overall survival. This may be due to distinct microbiota and biofilms associated with the colon tumorigenesis,⁵³ and/or to a relative loss of health-associated bacteria such as Christensenellaceae, Oscillospiraceae, Lachnospiraceae family members.⁵⁴

The shedding of MAdCAM-1, first described 20 years ago, occurs in the gut lymphoid tissues but also in the liver (in humans but not in mice).⁵⁵ It can be upregulated by inflammatory signals like TNF α , beta7 integrins, and vascular adhesion protein 1 (VAP-1). Methylamine (from food and cigarette smoke) could synergize with TNF α to promote MAdCAM-1 shedding *in vitro*.⁵⁵ In tumor-bearing mice, secondary bile acids (like lithocholic acid (LCA) and ursodeoxycholic acid (UDCA) downregulate ileal MAdCAM-1,²⁴ potentially affecting ICI efficacy. Recent studies unveiled that Farnesoid X receptor (FXR) signaling influenced by biliary acids impacts T cell functions and clinical outcomes in graft-versus-host disease (GVHD).⁵⁶ Chenodeoxycholic acid (CDCA) stimulated T cell activation, while UDCA inhibited it, paving the way to the potential efficacy of UDCA to reduce alloreactivity-related death rates during allogeneic hematopoietic stem cell transplantation.^{57,58} While FXR inhibition reduces GVHD, it is tempting to speculate that FXR activation could enhance ICI effects in settings of gut dysbiosis or low MAdCAM-1. Low levels of sMAdCAM-1 in advanced NSCLC, RCC and UC were associated with poor survival despite immunotherapy.²⁴ This result is now extended to 1st line metastatic CRC, as depicted in this manuscript. What causes ileal MAdCAM-1 loss and/or shedding in the circulation remain an open conundrum. While *Akkermansia* sp2261 could maintain or upregulate ileal MAdCAM-1 expression in tumor bearing mice,²⁴ prevalence or relative abundance of Akk9226 did not coincide with higher sMAdCAM-1 in our cohorts.

Altogether, precision oncology could benefit from gut-derived biomarkers to improve immunotherapy trial design. Stratifying patients based on gut dysbiosis markers (Akk prevalence, sMAdCAM-1, S score/Toposcore) at baseline may help identify those individuals deemed to fail immunotherapy and suitable for microbiota-centered interventions, such as live biotherapeutics, fecal microbiota transplant (FMT), and possibly distinct bile acids.

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