Generalized discrete truncated Wigner approximation for nonadiabatic quantum-classical dynamics

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ABSTRACT
Nonadiabatic molecular dynamics occur in a wide range of chemical reactions and femtochemistry experiments involving electronically excited states. These dynamics are hard to treat numerically as the system’s complexity increases, and it is thus desirable to have accurate yet affordable methods for their simulation. Here, we introduce a linearized semiclassical method, the generalized discrete truncated Wigner approximation (GDTWA), which is well-established in the context of quantum spin lattice systems, into the arena of chemical nonadiabatic systems. In contrast to traditional continuous mapping approaches, e.g., the Meyer–Miller–Stock–Thoss and the spin mappings, GDTWA samples the electron degrees of freedom in a discrete phase space and thus forbids an unphysical unbounded growth of electronic state populations. The discrete sampling also accounts for an effective reduced but non-vanishing zero-point energy without an explicit parameter, which makes it possible to treat the identity operator and other operators on an equal footing. As numerical benchmarks on two linear vibronic coupling models and Tully’s models show, GDTWA has a satisfactory accuracy in a wide parameter regime, independent of whether the dynamics is dominated by relaxation or by coherent interactions. Our results suggest that the method can be very adequate to treat challenging nonadiabatic dynamics problems in chemistry and related fields.

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I. INTRODUCTION
The phase space representation is a powerful tool for computing quantum dynamics, with various linearized approximation methods having been developed by diverse communities over the years, from quantum chemists to physicists.1–38 Physicists often subsume those methods under the name of Truncated Wigner Approximations (TWAs) with many family members,1–11 whereas chemists usually call them mapping approaches, including the Meyer–Miller–Stock–Thoss (MMST) mapping12–32 and spin mapping (SM).33–38 The key idea of these methods is to sample the quantum distribution of the initial states as the Wigner quasiprobability distribution and neglect higher-order quantum corrections of the Moyal bracket, thus rendering the evolution equations classical. One of the most important reasons that researchers are interested in these approaches is that the simulations using the classical dynamics are computationally cheap and the Monte Carlo sampling is trivially parallelizable. Hence, they can be applied to large systems, which is usually impossible for the numerically exact full quantum dynamics.39 Higher-order quantum corrections can also be introduced systematically.40,27,29 These approaches are exact in the classical limit and the noninteracting limit. They can also provide reliable qualitatively correct results for short time dynamics when the system is not far away from the classical limit, and it is possible to capture the long-time detailed-balance behavior or hydrodynamic phenomena for specific models. Typical interesting systems that
are suitable for these approaches include models from quantum optics,41–46 cold atoms,43–45 quantum spin chains,46,48,49 spin-boson models,50–53 and non-adiabatic molecular dynamics46 where the Born–Oppenheimer approximation breaks down.12,13,15,33,34,47,48

In essence, TWA approaches treat bosons in the same way as mapping approaches treat the nuclei degrees of freedom (DoFs), examples being the phonons in trapped-ion experiments and bosonic ultracold atoms for TWA and the nuclei in chemical reaction and photo-chemical experiments for mapping approaches. In contrast, there are several choices for the spin DoF (the electron subsystem). Consider an electron subsystem with N electronic states, [1], [2], . . . , [N]. The symmetry group of the electron DoF is SU(N). MMST mapping approaches and Schwinger boson cluster TWA (CTWA) map the electron DoF to a single excitation of N coupled Schwinger bosons, b1, b2, . . . , bN, or equivalently N coupled harmonic oscillators, X1, P1, X2, P2, . . . , XN, PN. A severe problem for MMST mapping approaches in the non-adiabatic dynamics is the physical phase space leakage problem, i.e., Schwinger bosons can escape from the single excitation phase space under the classical dynamics due to the uncontrolled zero-point energy (ZPE) flow between different DoFs. This problem is partially solved by introducing a ZPE parameter that modifies the interaction between electronic and nuclei DoFs or by a projection back to the single excitation Schwinger boson phase space.12,13,15,33,34,47,48

Instead, SM approaches, TWA, and operator CTWA sample the spin DoF in the natural phase space of the SU(2)31,32 or SU(N) group.46–49 All of the above methods use continuous DoFs to describe the electron subsystem. Recently, however, a novel TWA-related method based on Wootters’s discrete phase space9,50 for spins, the Discrete Truncated Wigner Approximation (DTWA),5 has been proposed and successfully generalized to higher spin systems (GDTWA).9 Physically, the discreteness of Wootters’s phase space is motivated by the discreteness of possible measurement results for spin degrees of freedom. DTWA can capture the revivals and entanglement dynamics in quantum spin lattice systems up to an astounding long time. Motivated by trapped-ion experiments, it has also been shown that DTWA is applicable to spin-boson models under the rotating wave approximation.10

Although GDTWA is very successful in the description of quantum spin lattice systems9,6 and spins coupled to condensed boson baths,10 the analysis and benchmarks of GDTWA for typical relevant chemical systems, for instance, the nuclei in scattering potentials12 and one spin coupled to few nuclei with non-trivial conical intersection,15 are still absent. The goal of this work is to extend the scope of GDTWA to chemical systems, including a detailed theoretical analysis and numerical benchmarks. Our theoretical analysis shows that the discrete phase space used in GDTWA is tailor-made to treat the discrete space of electronic states in molecules. Additional modifications often required to improve the accuracy of the existing mapping approaches, including a ZPE parameter,11,32 the projection back to the physical phase space,12,15,32,29 and the different treatment of identity and traceless operators,26,29 are unnecessary in GDTWA. The discrete phase space itself implicitly solves these mentioned issues. As our numerical results illustrate, GDTWA achieves an accuracy at least as good as existing state-of-the-art mapping approaches and outperforms them in some of the selected applications in this article.

This work is organized as follows: In Sec. II, we introduce the GDTWA, first in its original formulation. By rewriting it in a language similar to the formulation of mapping approaches in chemistry, we show how to implement the simulations of GDTWA practically. This reformulation makes it manifest that the electronic system can be represented with 4N degrees of freedom instead of the N2 scaling of the existing formulation. In Sec. III, we compare the GDTWA in the rewritten form with existing fully linearized methods to illustrate how GDTWA accounts for an effective ZPE without ZPE parameters, and we show how GDTWA differs from the partially linearized methods. In Sec. IV, we benchmark the GDTWA against the Partially Linear Density Matrix (PLDM), spin-PLDM, and Ehrenfest method using two Linear Vibronic Coupling (LVC) models featuring non-adiabatic dynamics at a conical intersection. Two Tully’s models are also displayed, and they show that GDTWA also has a fair accuracy on the long time population in the scattering problem. Section V contains our conclusions, and several Appendices complement the main text.

II. THEORY

We first give the original form of the GDTWA. We then derive an equivalent form analogous to traditional mapping methods and the Ehrenfest method. This pedagogical rewriting allows us not only to implement the simulations with a lower computational cost, but as further discussed in Sec. III, it also permits us to reveal special advantages of GDTWA, including the effective non-zero reduced ZPE and the mitigation of physical space leakage.

A. Basics of GDTWA

Consider a non-adiabatic Hamiltonian $\hat{H}$ describing N electronic states, [1], [2], . . . , [N], coupled to a nuclear DoF (the generalization to several nuclear DoFs is straightforward). In the diabatic representation, we can write

$$\hat{H} = \frac{\hat{P}^2}{2m} + \hat{V}(\hat{x}) = \frac{\hat{p}^2}{2m} + \sum_{kl} |k\rangle V_{kl}(\hat{x}) \langle l|,$$  \hspace{1cm} (1)

where $m$ is the mass of nuclei and $\hat{x}$ and $\hat{p}$ are the nuclear coordinate and momentum operators. In this paper, we focus on initial product states of the form $\rho(0) = \rho_{\text{init}}(0) \otimes \rho_{\text{el}}(0)$. These can appear, e.g., in molecular systems with only one populated electronic state, such as the ground electronic state, or electronically excited systems prepared by a laser pulse shorter than the timescale for nuclear displacements.

The density matrix of the electronic DoFs and the nucleus–electron interaction $\hat{V}(\hat{x})$ are matrices with $D = N \times N$ elements. We can define $D$ Hermitian operators $\hat{A}_\mu$ using the Generalized Gell–Mann Matrices (GGMs) for SU(N)51 and the identity matrix $I$ as a complete basis for the electron DoF,
The explicit form of the $\hat{\Lambda}_\mu$ for $N = 2$ and $N = 3$ is listed in the supplementary material. The basis elements are orthonormal, $\text{tr} \hat{\Lambda}_\mu \hat{\Lambda}_\nu = \delta_{\mu\nu}$, with the commutation relation $[\hat{\Lambda}_\mu, \hat{\Lambda}_\nu] = if_{\mu\nu\xi} \hat{\Lambda}_\xi$, where $f_{\mu\nu\xi}$ are the structure constants,

$$if_{\mu\nu\xi} = \text{tr}(\hat{\Lambda}_\xi [\hat{\Lambda}_\mu, \hat{\Lambda}_\nu]),$$

and the Einstein notation has been used. We are going to use these basis elements to derive a semiclassical description.

Any operator $\hat{O}_\lambda$ acting on the electron DoF can be expanded as $\sum_{\mu} c_\mu \hat{\Lambda}_\mu$, with $c_\mu = \text{tr} \hat{O}_\lambda \hat{\Lambda}_\mu$. Then, the Hamiltonian in Eq. (1) can be expressed as

$$\hat{H} = \frac{\hbar^2}{2m} \sqrt{N} \hat{\Lambda} + v_p(x) \hat{\Lambda},$$

with $v_p(x) = \text{tr} \hat{V}(x) \hat{\Lambda}$. The Heisenberg equations of motion (EOMs) of the operators are

$$\dot{x}_\mu = \frac{p_\mu}{m},$$

$$\dot{p}_\mu = -\partial_{x_\mu} v_p(x_\lambda) \hat{\Lambda}_\mu(t),$$

$$\dot{\hat{\Lambda}}_\mu(t) = f_{\mu\nu\xi} v_\nu(x_\lambda) \hat{\Lambda}_\xi(t).$$

As in the usual linearized semiclassical methods, GDTWA approximates the observables as statistical averages over trajectories of the phase space variables whose equations of motion are classical and formally identical to the quantum Heisenberg EOMs. Define $x_\mu, p_\mu,$ and $\lambda_\mu(t)$ as the time-dependent classical phase variables for $\hat{x}, \hat{p},$ and $\hat{\Lambda}_\mu$, respectively. Then, their EOMs are

$$\dot{x}_\mu = \frac{p_\mu}{m},$$

$$\dot{p}_\mu = -\partial_{x_\mu} v_p(x_\lambda) \lambda_\mu(t),$$

$$\dot{\lambda}_\mu(t) = f_{\mu\nu\xi} v_\nu(x_\lambda) \lambda_\xi(t)$$

with initial condition $x_{\mu,0} = x_\mu$ and $p_{\mu,0} = p_\mu$. At this stage, the correlators between nuclei and electrons are taken as classical, which amounts to taking the mean-field form of the Heisenberg EOMs in each single trajectory. This approach effectively truncates the order of the EOMs. Although the EOMs of GDTWA in each single trajectory are formally identical to the mean-field method, GDTWA is still a method beyond the mean-field theory because the quantum fluctuations are partially accounted for in the initial statistical distributions of the phase space variables, which is similar to traditional TWA and mapping approaches.}

The sampling of GDTWA for the initial nuclear phase variables are identical to the ordinary linearized semiclassical methods,

$$W_{\text{nuc}}(x_0, p_0) = \frac{1}{2\pi} \int d\eta [x_0 - \eta \hat{\rho}_{\text{nuc}}(0)x_0 + \eta \hat{\rho}_{\text{nuc}}(0)]^{\eta \hat{\rho}_{\text{nuc}}}. \quad (7)$$

The novelty of GDTWA is to sample the initial $\lambda_\mu$ as a discrete distribution. The details are as follows: First, $\hat{\Lambda}_\mu$ can be decomposed as $\hat{\Lambda}_\mu = \sum_{\eta_\mu} a_\eta (\hat{\rho}_{\text{nuc}}(0)) a_\eta$. Then, the initial distribution of $\lambda_\mu(0)$ is $\lambda_\mu(0) \in \{a_\eta\}$ with probabilities

$$p(\lambda_\mu(0) = a_\eta) = \text{tr} [\hat{\rho}_{\text{nuc}}(0) a_\eta]. \quad (8)$$

This distribution can represent arbitrary quantum expectation values exactly as a statistical average,

$$\langle \hat{O}_\lambda \rangle = \sum_{\mu,\eta_\mu} c_\mu(\hat{\Lambda}_\mu) = \sum_{\mu,\eta_\mu} c_\mu p(\lambda_\mu(0) = a_\eta) a_\eta. \quad (9)$$

We are now in a position to give the formula to evaluate arbitrary observables $\hat{O} = \hat{O}_{\text{nuc}} \otimes \hat{O}_\lambda$ under the GDTWA framework,

$$\langle \hat{O}(t) \rangle \approx \sum_{\mu,\eta_\mu} \int dx_0 dp_0 W_{\text{nuc}}(x_0, p_0) O_{\text{nuc}}(x_0, p_0) \times c_\mu p(\lambda_\mu(0) = a_\eta) \lambda_\mu(t), \quad (10)$$

where $O_{\text{nuc}}$ is the Wigner transformation of the operator $\hat{O}_{\text{nuc}},$

$$O_{\text{nuc}}(x, p) = \int d\eta [x - \eta \hat{\rho}_{\text{nuc}} x + \eta \hat{\rho}_{\text{nuc}}]^{\eta \hat{\rho}_{\text{nuc}}}. \quad (11)$$

The above sampling can be applied to arbitrary electronic initial states with the accuracy $\mathcal{O}(t^2)$. However, some specific initial electronic states result in a higher accuracy $\mathcal{O}(t^3)$ than others. Namely, an increased accuracy is achieved for initial states for which the statistical sampling reproduces the initial intra-correlation of the electron states, i.e., for the observables

$$\langle \hat{\Lambda}_{\mu} \hat{\Lambda}_{\nu} + \hat{\Lambda}_{\nu} \hat{\Lambda}_{\mu} \rangle_{2} = \sum_{\mu,\eta_\mu} p(\lambda_\mu(0) = a_\eta) p(\lambda_\nu(0) = a_\eta) a_\eta^2 a_\mu \text{ for } \mu \neq \nu, \quad (12)$$

$$\langle \hat{\Lambda}_{\mu}^2 \rangle = \sum_{\eta_\mu} p(\lambda_\mu(0) = a_\eta) a_\eta^2.$$}

A detailed analysis of the sampling of initial conditions can be found in Appendix B.
Generally, it has been proven that the GDTWA sampling distribution can reproduce the intra-electron correlation for the diagonal states \(|m\rangle|m\rangle, 1 \leq m \leq N\). For convenience, we only consider the initial state |1⟩⟨1| in this article. All the other initial pure states can be converted to this state by unitary transformations, and all expectation values of observables of mixed states can be expressed as the summation over the expectation value of pure states.

B. Reformulation of GDTWA in the language of mapping approaches

In the following, we re-write the GDTWA in a completely equivalent form that not only reduces the computational cost by reducing the classical DoFs used to describe the electronic subsystem from \(N^2 - 1\) to \(4N\) but also reveals important concepts such as ZPE (see Sec. III A), thus enabling a direct comparison to the formalism of linearized semiclassical methods (see Secs. III A and III B).

At the core of GDTWA lies a sampling over trajectories. In the original formulation of GDTWA, this is achieved via sampling over the continuous initial phase space of the nuclear degree of freedom as well as the discrete electronic initial phase space variables \(\lambda^{(a)}(0)\), where we used the index \(a\) to label the diverse electronic initial conditions in the discrete phase space. In the formulation we develop here, the role of \(\lambda^{(a)}(0)\) is assumed by the so-called discrete quasi-phase point operators \(A_a(0)\), which are used to describe the electronic DoFs using the transformation

\[
A_a(t) = \sum_p \lambda_p^{(a)}(t) \hat{\Lambda}_p, \tag{13}
\]

For convenience, we will use the notation \(A_a(0)\) to express \(A_a(t)\) in this article when there is no ambiguity.

The sampling of the initial condition \(A_a(0)\) is achieved via a sampling of the initial \(\lambda^{(a)}(0)\) as in Eq. (8), which using the transformation [Eq. (13)] translates into

\[
A_a(0) = \begin{pmatrix}
1 & \delta_1 - i\sigma_1 & \cdots & \delta_N - i\sigma_N \\
\delta_1 + i\sigma_1 & 2 & \cdots & 0 \\
\vdots & \ddots & \ddots & \vdots \\
\delta_N + i\sigma_N & 0 & \cdots & 2
\end{pmatrix}, \tag{14}
\]

with \(\delta_i, \sigma_i = \pm 1\) being independent and identically distributed discrete uniform variables on the integers \(\pm 1\). The initial density matrix of the electron subsystem is expanded as \(\rho_{el}(0) = |1⟩⟨1| = \sum_a w_a A_a(0)\), where \(w_a = 2^{-(2N-1)}\) for all \(a\). The GDTWA sampling strategy for the electron subsystem is converted to generating the initial discrete phase points by sampling \(\delta_i\) and \(\sigma_i\) accordingly. In fact, \(A_a(0)\) is nothing but the quasi-phase point operator in Wootters’s discrete phase space representation.\(^{50,51,54}\)

Physically, the discrete sampling of the electronic DoFs is motivated by the fact that a measurement of the observable \(\hat{A}_a\) will yield only discrete results. This property is faithfully represented by the discrete phase space and is fundamentally different from the mapping approaches where electronic DoFs are described by the continuous phase variables of harmonic oscillators. It is also different from focused-sampling approaches where sampling of a continuous phase space is restricted to some parameter regions for computational convenience.\(^{26}\) Instead, GDTWA faithfully samples a discrete phase space that is physically motivated from the discreteness of possible eigenvalues of spin degrees of freedom.

The ansatz of GDTWA in this form is that the Wigner function evolves along the classical stationary trajectories

\[
W(x, p, A, t) = \sum_a \int dx dp w_a W_{nuc}(x_0, p_0) \times \delta(x - x_t) \delta(p - p_t) \otimes A_a(t), \tag{15}
\]

where the EOMs of the variables are

\[
\dot{x}_i = p_i/m, \\
\dot{p}_i = -\partial_{x_i} \text{Tr} \{ A_a(t) \hat{V} (x_i) \}, \tag{16}
\]

with initial condition \(# x_0 = x_0^{(a)} \) and \(p_{nuc} = p^{(a)}_{nuc} \). Any observable \(\hat{O} = \hat{O}_{nuc} \otimes \hat{\Omega}_{el}\) can be evaluated as

\[
\langle \hat{O}(t) \rangle \approx \text{Tr} \int dx dp W(x, p, A, t) O_{nuc}(x, p) \otimes \hat{O}_{el} \\
= \sum_a \int dx dp w_a W_{nuc}(x_0, p_0) O_{nuc}(x, p) \text{Tr} \{ A_a(t) \hat{O}_{el} \}. \tag{17}
\]

Again, we point out that the product structure in the ansatz only appears in each single trajectory. The summation over index \(a\) already accounts for nontrivial quantum fluctuations beyond Ehrenfest theory.

The GDTWA in this form, with the EOMs given by Eq. (16) and the expectation values in Eq. (17), has some formal resemblances to the Ehrenfest method. In both approaches, each trajectory of the nucleus evolves in the mean potential resulting from the populated electronic states. However, there are two main differences between these two methods. First, GDTWA trajectories start from a discrete sampling in the space of the quasi-phase point operators rather than from a uniquely defined electron state. Second, GDTWA trajectories evolve the quasi-phase point operator \(A_a(t)\) rather than \(\rho_{el}(t)\) in each individual trajectory.

To implement the simulation, we require the spectral decomposition for the quasi-phase point operator \(A_a\). It is easy to check that the spectral decomposition of Eq. (14) is

\[
\lambda_a = \frac{1 \pm \sqrt{2N - 1}}{2}, \tag{18}
\]

with the amplitudes of the associated eigenvectors

\[
\langle 1 | \Psi^a_{\pm}(0) \rangle = \sqrt{\frac{\lambda^2}{\lambda^2_a + (N - 1)/2}}, \tag{19}
\]

\[
\langle j | \Psi^a_{\pm}(0) \rangle = \sqrt{\frac{\lambda^2}{\lambda^2_a + (N - 1)/2}}, \quad \forall j > 1.
\]
The eigenvalues of the quasi-phase point operator can be interpreted as quasi-probabilities since \( \lambda_+ + \lambda_- = 1, \lambda_+ > 0 \), and \( \lambda_- < 0 \). Such quasi-probabilities constitute the spectrum of \( A_\alpha \) and are conserved during the propagation. We can propagate \(|\Psi_\alpha(t)\rangle\) rather than \( A_\alpha(t) \) using the EOMs
\[
\frac{id}{dt}|\Psi_\alpha(t)\rangle = \hat{V}(x_\alpha)|\Psi_\alpha(t)\rangle
\]
and \( A_\alpha(t) = \lambda_+|\Psi_\alpha(t)\rangle\langle\Psi_\alpha(t)| + \lambda_-|\Psi_\alpha(t)\rangle\langle\Psi_\alpha(t)|. \) This completely equivalent reformulation reduces the number of electronic subsystem DoFs from \( N^2 \) to 1 to 4\( N \).

**III. DISCUSSION**

In this section, we compare the GDTWA with established fully and partially linearized semiclassical methods. The form of the EOMs of GDTWA is similar to fully linearized methods but with a computational cost close to partially linearized methods. Readers who are only interested in the numerical performance of GDTWA may skip this section.

**A. Zero-point energy treatment within the GDTWA approach and mitigation of physical space leakage**

Because of the discrete sampling, GDTWA accounts for a non-zero effective reduced ZPE without introducing an explicit ZPE parameter. It is well known that both full ZPE (approaches based on MMST mapping without empirical ZPE parameters) and zero ZPE (Ehrenfest method) are harmful for numerical accuracy. One possible solution to this problem is to introduce an adjusted ZPE parameter to make the classical dynamics and phase space of the mapping variables of the harmonic oscillators of the electronic DoFs mimic the spin as much as possible. GDTWA solves this problem with a fundamentally different logic, i.e., GDTWA never introduces such a parameter but tames the ZPE only through a judiciously designed initial sampling procedure.

To illustrate how GDTWA accounts for an effective non-zero reduced ZPE, it is convenient to first review how existing methods, including symmetrical quasi-classical windowing and generalized spin mapping, account for the ZPE. The EOMs of fully linearized mapping approaches can also be written in the form of Eq. (16),
\[
\dot{x}_\alpha = p_\alpha/m,
\]
\[
\dot{p}_\alpha = -\partial_\alpha \text{Tr}[B_\alpha(t)\hat{V}(x_\alpha)],
\]
\[
\dot{B}_\alpha(t) = \{B_\alpha(t), \hat{V}(x_\alpha)\},
\]
where
\[
B_\alpha(t) = R^{2}\Gamma|\Psi_\alpha(t)\rangle\langle\Psi_\alpha(t)| - \frac{V}{2},
\]
with \( \gamma \) being the ZPE parameter, usually chosen from 0 (zero ZPE treatment) to 1 (full ZPE treatment), and \(|\Psi_\alpha(t)\rangle\) being the normalized electronic wave function. Furthermore, \( R_\alpha \) is the square root of the radius of the mapping variables, which in the ordinary harmonic oscillator MMST mapping notation, with position \( X_\alpha \) and momentum \( P_\alpha \) for state \( n \), is defined by
\[
X_\alpha(t) + iP_\alpha(t) = \sqrt{2}R_\alpha(n)|\Psi_\alpha(t)\rangle,
\]
\[
\sum_n X_\alpha(t)^2 + P_\alpha(t)^2 = 2R_\alpha^2.
\]
\( R_\alpha \) and \( \gamma \) are conserved during the propagation, and the EOM of \(|\Psi_\alpha(t)\rangle\) is
\[
\frac{d}{dt}|\Psi_\alpha(t)\rangle = \hat{V}(x_\alpha)|\Psi_\alpha(t)\rangle.
\]

Different mapping approaches use different sampling strategies for \( R_\alpha \) and \(|\Psi_\alpha(0)\rangle\) and evaluate the expectation values of the observables in different manners. For each single trajectory, \( B_\alpha(t) \) has one non-degenerate eigenvalue \( R^{2}\gamma - \gamma/2 \) and \( N-1 \) degenerate eigenvalues \( -\gamma/2 \), as can be seen immediately from the definition of \( B_\alpha(t) \) in Eq. (22). In this sense, the ZPE parameter in the traditional fully linearized method is a negative diagonal energy correction term for the nucleus-electron interactions. The nuclei always see a modified average potential energy during the evolution in each single trajectory, whence mapping approaches with a nonzero ZPE parameter already account for some quantum effects in their EOMs.

Although Eqs. (16) and (21) are formally identical, it is impossible to express \( A_\alpha \) in the form \( R^{2}\gamma|\Psi_\alpha(t)\rangle\langle\Psi_\alpha(t)| - \frac{V}{2}I \) and thus to construct the ZPE parameter, except for the case of \( N = 2 \), in which case \( \gamma = \sqrt{3} - 1 \). We can nevertheless identify an effective ZPE parameter governing the evolution of \( A_\alpha \). Namely, the ZPE parameter in the traditional fully linearized methods can also be constructed by the following strategy. Note that \( \text{tr}(B_\alpha) = R^{2}\gamma - \frac{N}{2} \) and \( \text{tr}(B^{2}_\alpha) = R^{2}\gamma - \gamma R^{2}_\alpha + \frac{V}{\pi}N \) only depend on \( R_\alpha \) and \( \gamma \). Hence, the ZPE parameter in the traditional fully linearized methods can be expressed as
\[
\gamma_{\text{eff}} = \frac{2\sqrt{N+1} - 2}{N}.
\]

Interestingly, this reduced effective ZPE parameter coincides with the ZPE in recent works using the SM approach. The reason of such identical ZPE is that both GDTWA and SM start from the phase space of the electronic DoFs, rather than the phase space of Schwinger bosons. The ZPE of SM and GDTWA can however be different when the Hamiltonian is block diagonal; see the discussions in Appendix B.

A further feature of the implicit ZPE treatment is that GDTWA treats the traceless and identity operators of electronic states in a unified way. No other trick or a specific implementation for the identity operator is required. In this sense, GDTWA seems a more natural approach to obtain the observables of the electronic DoF.

Another advantage related to the spin phase space of GDTWA is that the method does not suffer from the severe physical space leakage problem of the electronic subsystem and thus eliminates the additional projection that is necessary in the linearized semiclassical initial value representation and Poisson bracket mapping equation approaches. The EOMs and initial sampling constructions ensure that the \( A_\alpha(t) \) trajectories are almost trapped in

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this tailor-made electronic phase space, similar to what is achieved for $B_0(t)$ in the recently proposed SM approach, although they do not fully exclude an unphysical negative population in general.

B. Comparison with partially linearized methods

The nuclei in both GDTWA and partially linearized methods move on a mean-field potential, which is the average potential of two effective electronic states, in each single trajectory. Nevertheless, GDTWA has a significantly different logic from that of traditional partially linearized methods, such as the Forward-Backward Trajectory solution (FBT), PLDM, and spin-PLDM as we illustrate now.

The EOMs of the family of partially linearized methods can be written as

$$\dot{x}_i = p_i/m,$$

$$\dot{p}_i = -\frac{\hat{R}_{1i}^2}{2}\partial_{x_i}\langle \Psi_{1,a}(t) | \hat{V}(x_i) | \Psi_{1,a}(t) \rangle - \frac{\hat{R}_{2i}^2}{2}\partial_{x_i}\langle \Psi_{2,a}(t) | \hat{V}(x_i) | \Psi_{2,a}(t) \rangle,$$

$$\frac{d}{dt} \langle \Psi_{1,a}(t) | = \hat{V}(x_i) | \Psi_{1,a}(t) \rangle,$$

$$\frac{d}{dt} \langle \Psi_{2,a}(t) | = \hat{V}(x_i) | \Psi_{2,a}(t) \rangle,$$

where $| \Psi_{1,a}(t) \rangle$ and $| \Psi_{2,a}(t) \rangle$ are the forward and backward normalized electronic wavefunctions (or electronic trajectories), respectively, and $\hat{R}_{1,a}$ and $\hat{R}_{2,a}$ are the square root of the radius of the corresponding mapping variables. In the ordinary harmonic oscillator MMST mapping notation,

$$X_{i,a}(t) + ip_{i,a}(t) = \sqrt{2}\hat{R}_{i,a}(t)| \Psi_{i,a}(t) \rangle,$$

$$\sum_{n} X_{i,a}(t)^2 + P_{i,a}(t)^2 = 2\hat{R}_{i,a}^2 \text{ for } j = 1, 2.$$

Different partially linearized methods have different formulas to evaluate expectation values and different sampling strategies for the initial radius and electronic trajectories. The electronic subsystem in each single trajectory of different partially linearized methods is also different. A typical electronic subsystem in partially linearized methods takes the form $| \Psi_{1,a}(t) \rangle \langle \Psi_{2,a}(t) |$, which, unlike $A_0(t)$ and $B_0(t)$, is not Hermitian. Specifically, the sampling of $| \Psi_{1,a}(0) \rangle$ and $| \Psi_{2,a}(0) \rangle$ must be uncorrelated. As a comparison, there is no forward and backward electronic trajectory concept in GDTWA. Hence, the two electronic wavefunctions for GDTWA are the spectral decomposition of the quasi-phase point operator. The initial conditions for two electronic states in GDTWA in a single trajectory are necessarily correlated. In this sense, GDTWA is a method with hybrid features of fully linearized methods and partially linearized methods, i.e., GDTWA has the same form of EOMs as the fully linearized methods but two electronic wavefunctions in each single trajectory. In conjunction with the inclusion of an effective ZPE as well as two electronic states in each single trajectory, this makes GDTWA an extremely efficient and surprisingly reliable numerical method, as we will see in the numerical computations of Sec. IV.

IV. NUMERICAL RESULTS

In this section, we perform numerical benchmarks on the GDTWA for prototypical non-adiabatic dynamics problems in chemistry. Since each GDTWA trajectory evolves the classical nuclei and two coupled electronic time-dependent states, its numerical complexity is close to the partially linearized approach and slightly larger than the fully linearized mapping approach. We may thus expect that GDTWA should be considered as an alternative approach to partially linearized methods, which is indeed confirmed by the numerics reported in this section.

A. Tully’s models

The standard scattering benchmarks for the semi-classical methods are Tully’s models. It is well known that all the popular mapping approaches can capture the final populations of Tully’s single avoided crossing model 1 and Tully’s dual avoided crossing model 2 quantitatively in the high momentum region and qualitatively in the low momentum region, but fail for the Tully’s extended coupling model 3. GDTWA, as a linearized mapping approach, also fails when the quantum tunneling and interference are crucial. Therefore, we present the transmission probabilities of Tully’s single avoided crossing model 1 and Tully’s dual avoided crossing model 2. GDTWA results in this subsection are obtained by the statistical average of 104 trajectories. Tully’s single avoided crossing model 1 is a one-dimensional nucleus with mass 2000 coupled to two-state electronic Hamiltonian,

$$H_{11}(x) = \begin{cases} A(1 - e^{-Bx}), & x > 0, \\ -A(1 - e^{Bx}), & x < 0, \end{cases},$$

$$H_{12}(x) = H_{21}(x) = Ce^{-Dx^2},$$

where $A = 0.01$, $B = 1.6$, $C = 0.005$, and $D = 1$. All the units in this subsection are in atomic units. Tully’s dual avoided crossing model 2 is also a one-dimensional nucleus with mass 2000 coupled to two-state electronic Hamiltonian,

$$H_{11}(x) = 0,$$

$$H_{12}(x) = H_{21}(x) = E_0 - Ae^{-Bx^2},$$

$$H_{12}(x) = H_{21}(x) = Ce^{-Dx^2},$$

where $A = 0.1$, $B = 0.28$, $C = 0.015$, $D = 0.06$, and $E_0 = 0.05$. Both initial states for the two models are prepared as

$$exp(iP_{0,x}\exp[-(x-x_{0})^2/\sigma^2]),$$

with $x_{0} = -20$ and $\sigma = 20/P_0$, where $P_0$ is the initial nuclear momentum.

Figure 1 represents the transmission probabilities to the upper surface $T_{11}$ (dashed line) and the lower surface $T_{12}$ (solid line) of Tully’s model 1, calculated by GDTWA (blue) and quantum results (black), respectively. It becomes apparent that GDTWA is accurate in the high momentum region $P_0 > 10$ and has a fair performance in the low momentum region $P_0 < 10$, which coincides with the previous observations on the other mapping approaches.


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focused sampling since they are at least as accurate as the focused method. Specifically, we consider PLDM and spin-PLDM without GDTWA. For all the methods, we run $10^6$ trajectories to ensure convergence, although GDTWA starts to converge already with $10^4$ trajectories, a number comparable with the Ehrenfest method. We will show numerical benchmarks for two LVC models, comparing the selected linearized semiclassical methods with numerically converged multi-configuration time-dependent Hartree (MCTDH) calculations.

The LVC Hamiltonian in the diabatic basis is given by

$$H = \frac{1}{2} \sum_j \omega_j p_j^2 + \sum_{kl} W_{kl}(I),$$  \hfill (31)$$

where $W_{kl}$ is obtained by the Taylor expansion with respect to the electronic ground state equilibrium geometry,

$$W_{kl} = E_k + \frac{1}{2} \sum_j \omega_j x_j^2 + \sum_j \lambda_j^{(k)} x_j,$$  \hfill (32)$$

and

$$W_{kl} = \sum_j \lambda_j^{(k)} x_j, \quad k \neq l,$$  \hfill (33)$$

where $x_j$ and $p_j$ are the dimensionless position and momentum for the vibronic mode $j$ and $\omega_j$ is the corresponding frequency. Furthermore, $E_k$ is the vertical transition energy of the diabatic state $\ket{k}$ and $\lambda_j^{(k)}$ and $\lambda_j^{(l)}$ are the gradients of $W_{kl}$ and $W_{kl}$, respectively.

In this article, we focus on the time dependence of observables for the initial product state of the vibrational ground state $\Psi = \prod_j \frac{1}{\sqrt{2\pi m_j}} \exp(-x_j^2/2) \ket{\text{excited}}$ which is the experimentally most relevant initial state (though the method can capture also other initial conditions; see the discussion in Sec. II A). Model II is a five-mode three-state model based on the benzene radical cation. It includes two tuning coordinates $x_1$ and $x_6a$ and one coupling coordinate $x_{10a}$, and the initial electron wave function is prepared in the second diabatic state $\ket{2}$, which is the experimentally most relevant initial state (though the method can capture also other initial conditions; see the discussion in Sec. II A). Model II is a five-mode three-state model based on the benzene radical cation. It includes two tuning coordinates $x_2$, $x_{16}$, and $x_{18}$ and two coupling coordinates $x_{8}$ and $x_{19}$, and the electron wave function is initialized in the third diabatic state $\ket{3}$.

Due to symmetry, all the off-diagonal elements of the electron density matrix of the two models vanish. In Fig. 2, we show the population of the second diabatic state of model I. The GDTWA result compares fairly well to the exact quantum dynamics. It seems to underestimate the amplitude of oscillations around the mean and reaches a long-time average that lies slightly below the exact value. The functional form seems to be better reproduced than with the Ehrenfest method, and the curve lies closer to the exact result than the curve computed using the spin-PLDM method. Finally, the

![Figure 1](image1.png) Transmission probabilities to the upper surface $T_{11}$ (dashed line) and the lower surface $T_{12}$ (solid line) for Tully’s single avoided crossing model 1. GDTWA (blue) fits the quantum results (black) nearly perfect in the high momentum region ($P_0 > 10$) and is qualitatively correct in the low momentum region.

![Figure 2](image2.png) Transmission probabilities to the lower surface $T_{11}$ (dashed line) and the upper surface $T_{12}$ (solid line) for Tully’s dual avoided crossing model 1. GDTWA (blue) fits the quantum results (black) nearly perfect in the high energy region and is qualitatively correct in the low energy region.

### Table I. Parameters of model I that is based on pyrazine. All quantities are given in eV.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>$E_k$</th>
<th>$\omega_1$</th>
<th>$\lambda_1^{(k)}$</th>
<th>$\lambda_{10a}$</th>
<th>$\lambda_{10a}$</th>
<th>$\lambda$</th>
</tr>
</thead>
<tbody>
<tr>
<td>[1]</td>
<td>3.94</td>
<td>0.126</td>
<td>0.037</td>
<td>0.074</td>
<td>-0.105</td>
<td>0.118</td>
</tr>
<tr>
<td>[2]</td>
<td>4.84</td>
<td>0.126</td>
<td>-0.254</td>
<td>0.074</td>
<td>0.149</td>
<td>0.118</td>
</tr>
</tbody>
</table>
PLDM methods produce the best estimate of the long-time average but considerably overestimate the damping of the oscillations. GDTWA fits the quantum result rather well at short times and has a fair performance at longer times, although it does not outperform the other approaches in this regime. Figure 4 shows the dynamics of the two tuning coordinates, \( \langle x_1 \rangle \) and \( \langle x_{6a} \rangle \). Although GDTWA does not entirely capture the correct amplitude, it does match very well the frequency of the occurring oscillation. This behavior is similar to the spin-PLDM method, while PLDM significantly underestimates the oscillation amplitude and the Ehrenfest method loses half a period within about five to ten oscillations. We have also computed the propagation of \( \langle x_1^2 \rangle \), \( \langle x_{6a}^2 \rangle \), and \( \langle x_{10a}^2 \rangle \); see the figures in the supplementary material. In general, we should not expect the linearized semi-classical methods to work reliably for such higher-order correlations. As the numerical results suggest, spin-PLDM and GDTWA nevertheless still give qualitatively satisfactory results, while PLDM and the Ehrenfest method rather quickly accumulate uncontrolled errors.

The relaxation dynamics of the more complex model II is considerably more challenging for the linearized semi-classical methods because several states are involved simultaneously in the relaxation dynamics. GDTWA is the only one among the selected semi-classical methods to qualitatively correctly capture the relaxation dynamics, as seen in the diabatic populations in Fig. 5. In Fig. 6, we show the populations of the tuning coordinates. The results of diagonal second-order correlations of the tuning coordinates and the coupling coordinates are listed in the supplementary material. For nuclear observables including first- and second-order correlations, PLDM and the Ehrenfest method with zero ZPE display significant deviations from the exact dynamics. In contrast, GDTWA yields surprisingly accurate predictions for some observables, even slightly but noticeably better than spin-PLDM. In summary, GDTWA has a good performance on both electronic populations and nuclear populations and correlations in this challenging model.

### TABLE II. Parameters of model II based on the benzene radical cation. All quantities are given in eV.

<table>
<thead>
<tr>
<th></th>
<th>( E_k )</th>
<th>( \omega_2 )</th>
<th>( k_2^{(4)} )</th>
<th>( \omega_{16} )</th>
<th>( k_{16}^{(4)} )</th>
<th>( \omega_{18} )</th>
<th>( k_{18}^{(4)} )</th>
<th>( \omega_8 )</th>
<th>( \lambda_8^{(12)} )</th>
<th>( \omega_9 )</th>
<th>( \lambda_9^{(23)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>9.75</td>
<td>0.123</td>
<td>-0.042</td>
<td>0.198</td>
<td>-0.246</td>
<td>0.075</td>
<td>-0.125</td>
<td>0.088</td>
<td>0.164</td>
<td>0.12</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>11.84</td>
<td>0.123</td>
<td>-0.042</td>
<td>0.198</td>
<td>0.242</td>
<td>0.075</td>
<td>0.1</td>
<td>0.088</td>
<td>0.12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>12.44</td>
<td>0.123</td>
<td>-0.301</td>
<td>19.8</td>
<td>0</td>
<td>0.075</td>
<td>0</td>
<td>0.088</td>
<td>0.12</td>
<td>0.154</td>
<td></td>
</tr>
</tbody>
</table>
V. CONCLUSIONS

In this paper, we have introduced a recently developed method from the TWA family, GDTWA, to chemical non-adiabatic systems. The novelty and strength of GDTWA are to sample the electron DoF in a discrete phase space. GDTWA with the faithful sampling of the initial electronic conditions can yield a higher accuracy and can be applied to any initial states, including pure and mixed states. We have also rewritten the GDTWA in a form similar to the Ehrenfest method, with the aim of showcasing similarities and differences to more conventional methods. Formally, the EOMs of GDTWA are identical to fully linearized mapping approaches. By the spectral decomposition of the electron EOM, we demonstrate that the fundamental difference between GDTWA and traditional approaches is that GDTWA has two coupled correlated electron states in each single classical trajectory and hence can be regarded as a partially linearized approach. GDTWA also accounts for an effective ZPE without an explicit ZPE parameter. Numerical benchmarks show the validity of GDTWA for non-adiabatic systems. For the two Tully’s models, GDTWA shows reasonable accuracy, in line with other mapping approaches. For the two benchmark LVC models considered, GDTWA displays qualitative and quantitative accuracy compared to the fully quantum description. For one of the considered models, it even outperforms the spin-PLDM, which is the only other of the considered methods to display an at least qualitative agreement for most of the considered situations.

Various extensions of the GDTWA are in progress, namely, the extension of GDTWA to simulations in the adiabatic representation, which will enable, e.g., on-the-fly simulations in conjunction with usual electronic structure packages for the electronic structure. The performance of the method in such scenarios will be reported in future works.

SUPPLEMENTARY MATERIAL

See the supplementary material for the explicit matrix form of the discrete phase space operators $\hat{A}_n$ for $N = 2$ and 3, followed by additional numerical results for second-order correlation populations.

ACKNOWLEDGMENTS

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APPENDIX A: SAMPLING OF THE INTRA-ELECTRONIC CORRELATION

The faithful sampling for the intra-electronic correlation is crucial for the accuracy of GDTWA for non-adiabatic dynamics. The reason is that once there is a non-zero nucleus–electron coupling, the intra-electron correlation terms appear in the higher-order time derivatives of the EOMs. We report the detailed analysis for the adiabatic basis in this appendix to show how the intra-electronic correlations affect the accuracy of GDTWA. After a lengthy but straightforward calculation, we obtain the second- and the third-order time derivative of $\lambda_n$ and $\hat{A}_n$, respectively:

$$\frac{d^2 \lambda_n(t)}{dt^2} = f_{\rho(x)} \left[ \partial_x v_2(x) \frac{p_x}{m} \lambda_n + v_1(x) \frac{p_t}{m} f_{\rho(x)} v_3(x) \lambda_n \right],$$

$$\frac{d^2 \hat{A}_n(t)}{dt^2} = f_{\rho(x)} \left[ \partial_x v_2(x) \frac{p_x}{2m} \hat{A}_n + \frac{v_1(x)}{2m} f_{\rho(x)} v_3(x) \hat{A}_n \right] + \text{h.c.,}$$

$$\frac{d^3 \lambda_n(t)}{dt^3} = f_{\rho(x)} \left[ \partial_x v_2(x) \frac{p_x}{m} \lambda_n - \partial_x v_2(x) \frac{1}{m} \partial_x v_1(x) \lambda_n \hat{A}_n + \partial_x v_2(x) \frac{p_t}{m} f_{\rho(x)} v_3(x) \lambda_n \right],$$

$$\frac{d^3 \lambda_n(t)}{dt^3} = f_{\rho(x)} \left[ \partial_x v_2(x) \frac{p_x}{8m^2} \lambda_n - \partial_x v_2(x) \frac{1}{2m} \partial_x v_1(x) \lambda_n \hat{A}_n + \frac{v_1(x)}{2m} f_{\rho(x)} v_3(x) \hat{A}_n \right] + \text{h.c.,}$$

where h.c. is the Hermitian conjugate. We focus on the short time $t \sim 0$ accuracy. As for the separable initial state $\rho(0)$, the statistical average of Eq. (A1) is identical to the quantum expectation value of Eq. (A2), and the GDTWA is at least accurate up to $\mathcal{O}(t^2)$. Meanwhile, the statistical average of Eq. (A3) equals the quantum expectation value of Eq. (A4) if Eq. (12), the condition of faithful statistical sampling of the initial intra-electron correlations, is fulfilled. Thus, in this case, the accuracy of GDTWA is improved for the short time dynamics, as it is ensured to be exact at least up to and including $\mathcal{O}(t^3)$.

We stress that “intra-electron correlation” here denotes only a feature of statistical sampling, to be distinguished from the correlation between nuclear and electronic DoFs or the static correlation and dynamical correlation in the electronic structure theory. We illustrate how the discrete sampling fails to represent the intra-electronic correlation at the example of an explicit state without the nucleus–electron correlation. Consider the state $|\Psi(t)\rangle = \sum_{\mu=1}^{N} \lambda_{\mu}(t) \phi_{\mu}(x)$.
\[ |\psi\rangle = (|1\rangle + e^{i\theta}|2\rangle)/\sqrt{2} \] for a two-level system, where the discrete sampling gives the probability distribution

\[
\begin{align*}
 p\left(\lambda_1 = \pm \frac{1}{\sqrt{2}}\right) &= \frac{1 \pm \cos \chi}{2}, \\
p\left(\lambda_2 = \pm \frac{1}{\sqrt{2}}\right) &= \frac{1 \pm \sin \chi}{2}, \\
p\left(\lambda_3 = \pm \frac{1}{\sqrt{2}}\right) &= \frac{1}{2}.
\end{align*}
\] (A5)

With an explicit calculation, we obtain \[ \sum_{a_1,a_2} p(\lambda_1 = a_1)p(\lambda_2 = a_2) = \frac{\sin 2\chi}{4}, \] (A6)

which means the discrete sampling of this state is faithful for the intra-electron correlation only if \( \chi = 0, \pi, \text{or} \pm \pi/2. \)

**APPENDIX B: DIFFERENT ZPE BETWEEN SM AND GDTWA FOR BLOCK DIAGONAL HAMILTONIANS**

Although SM and GDTWA have an identical dimension dependency of the ZPE, they may behave differently when the Hamiltonian is block diagonal. Consider a simple \( N \times N \) Hamiltonian with the elements \( H_{ll} = 0 \) for \( M < k \leq N, 1 \leq l \leq M \) and \( 1 \leq k \leq M, M < l \leq N \). The first \( M \) diabatic states are decoupled from the others. Again, we consider the initial state \(|1\rangle\). As before, we denote the electron phase space variable of the \( N \times N \) full electron system as \( A_\alpha(t) \) and \( B_\alpha(t) \) while the submatrix \( A_\alpha(t)[1,2,\ldots,M;1,2,\ldots,M] \) is indicated as \( A^M_\alpha(t) \) (and analogously for \( B_\alpha(t) \)).

Since the first \( M \) diabatic states are decoupled from the others, it is also possible to sample the \( M \times M \) subsystem directly. We use \( \tilde{A}^M(t) \) and \( \tilde{B}^M(t) \) to represent the electron phase space variables obtained by sampling from the \( M \times M \) subsystem. It is easy to check that the initial distributions of \( A^M_\alpha(t) \) and \( \tilde{A}^M_\alpha(t) \) are identical. Moreover, the classical trajectories satisfy \( \tilde{A}^M_\alpha(t) = \hat{A}^M_\alpha(t) \) if their initial conditions are the same. Thanks to the implicit ZPE parameter of GDTWA, all the physical quantities are invariant independent of whether we use the \( N \times N \) full electron system or the \( M \times M \) subsystem.

The above arguments become much more subtle for the SM approach with the dimension dependent ZPE parameter. The initial distribution of \( B^M_\alpha(t) \) becomes different, as do the classical trajectories, even when the same initial conditions are applied. This difference may affect the observables, though it is difficult to give a general statement under which circumstances this is the case.

**DATA AVAILABILITY**

The data that support the findings of this study are available within the article and its supplementary material.

**REFERENCES**


47. ZPE leakage also happens between nuclei DoFs and causes the violation of detailed balance. GDTWA is a novel sampling strategy for the electronic DoFs and therefore cannot improve the ZPE leakage of the nuclei DoFs. We thus restrict the discussion of leakage to the electronic phase space.


52. Here, we call $A_4(0)$ a discrete quasi-phase point operator because it plays the same role as the discrete phase point operator in Wootters’s discrete phase space representation but without the orthogonality $\text{Tr} \{ A_4(0) A_4(0) \} = \delta_{\alpha \beta}$.


