



A method for retrospective estimation of natural head movement during structural MRI

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A method for retrospective estimation of natural head movement during structural MRI

Abstract

Background

Head motion during brain structural MRI scans biases brain morphometry measurements but quantitative retrospective methods estimating head motion from structural MRI have not been evaluated.

Purpose/Hypothesis

We hypothesized that two metrics retrospectively computed from MR images 1) average edge strength (AES, reduced with image blurring) and 2) entropy (ENT, increased with blurring and ringing artifacts) could be sensitive to in-scanner head motion during acquisition of T1-weighted MR images. Specific goals were to: i) Evaluate if these metrics differentiated two populations with expected natural head motion differences: Healthy Control (HC) and cognitively normal Parkinson Disease (PD) patients; ii) Investigate whether within the PD group, AES and ENT were associated with clinical tremor score (TS); iii) Test whether AES and ENT predict local or distributed brain morphometry parameters, including cortical thickness (CT), gray-white matter contrast (GWC) and gray matter density (GMx).

Study Type

Retrospective

Population/Subjects/Phantom/Specimen/Animal Model

83 HC and 120 PD patients

Field Strength/Sequence

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3 3D MPRAGE images at 3T
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6 **Assessment**
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9 We 1) compared AES and ENT distribution between HC and PD, 2) evaluated the correlation
10 between TS and AES (or ENT) in PD and 3) investigated cortical regions showing an
11 association between AES (or ENT) and local and network-level covariance measures of CT,
12 GWC and GMx.
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18 **Statistical Tests**
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21 1) Mann-Whitney (for AES) and T-student (for Ent) test. 2) Spearman's rank correlation. 3)
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23 General Linear Model and Partial Least Square analysis
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26 **Results**
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29 AES, but not ENT, differentiated HC and PD ($z=-1.72$, $p=0.04$). In PD AES correlated
30 negatively with TS ($\rho=-0.21$, $p=0.02$) and showed a significant relationship ($|Z|>3$, $p<0.001$)
31 with structural covariance of CT and GWC in 54 out of 68 cortical regions.
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36 **Data Conclusion**
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39 In clinical populations prone to head motion AES can provide a reliable retrospective index of
40 motion during structural scans, identifying brain areas whose morphometric measures co-vary
41 with motion.
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48 **Key words:** Head motion; brain structural MRI; brain morphometry; Parkinson's disease;
49 average edge strength; entropy
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INTRODUCTION

Head motion during the acquisition of structural brain images can produce artifacts such as image blurring or ringing (1). These artifacts may be particularly problematic when using structural T1-weighted MRI for morphometric analyses (2-4), and particularly when trying to understand morphometric changes in clinical populations. Recent studies, where participants were instructed to perform head movement during structural MRI acquisition, show that head motion significantly biases brain morphometry estimates and test-retest reliability (5-7). There is, therefore, an interest in identifying head motion and characterizing its potential effects such that morphometry biases can be minimized.

When considering prospective studies, several novel procedures can reduce head motion artifacts during the acquisition of structural MRI data. These include increasing participant cooperation through training, using advanced acquisition sequences that enable shorter MRI acquisition times (8), applying k-space trajectories optimized to mitigate motion artifacts (9), using online prospective motion correction tools such as MR navigator (10), or external motion tracking devices (11). These correction methods reduce motion-related biases and variance in voxel based morphometry (VBM) and cortical thickness (CT) studies (12).

However, in standard retrospective structural MRI studies performed without online head motion correction, image quality control (QC) tends to be qualitative and aimed at classifying whether the data is of sufficient quality (e.g. good, moderate, bad) to be further processed based on visual assessment of head motion related image artifacts (13,14). A more quantitative approach was suggested by recent studies is to relate head motion estimations derived from functional MRI scans with CT biases from structural MRI data acquired in the same session (13,15,16). This method may only be applied if both structural and functional data are available and assumes similar levels of motion across functional and structural scans.

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3 A previous study introduced two metrics for estimating whole-brain motion from the
4 full 3D structural T1 volume: 1) Average Edge Strength (AES) and 2) image Entropy (ENT)
5 (17). AES quantifies the average intensity of the contrast at the intensity edges of an image, so
6 it is sensitive to the amount of blurring. ENT quantifies the distribution of the image energy
7 over all voxels in an image. ENT increases when artifacts produce blurring, ghosting and
8 ringing. Both metrics have been shown to successfully quantify improvement in image quality
9 after prospective motion correction (17). However, in those studies participants were
10 instructed to perform specific head movements during the MRI acquisitions. It remains
11 unclear whether AES and ENT are sufficiently sensitive for capturing natural head motion
12 effects from structural MRI.
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26 To the best of our knowledge, no studies have investigated whether these retrospective
27 head motion metrics can be applied to evaluate if certain populations are associated with
28 greater head motion and if they co-vary with brain morphometric measures. This issue is
29 fundamental for structural analyses: on the technical level, identifying participants, sessions,
30 or groups for which there was strong motion during structural acquisition is essential for
31 improving the quality of structural data. On the theoretical level, being able to regress out
32 effects of head motion from univariate and multivariate analyses of structural data will
33 undoubtedly result in more valid conclusions about the meaningful (vs. nuisance) differences
34 in participants' brain anatomy. This issue is becoming of central importance, for example, in
35 the field of structural-networks where conclusions about group differences are made based on
36 differences in brain anatomy covariance structures (18,19). If such morphometric differences
37 are shown to be linked to magnitude of head motion (or alternatively, to be independent of it)
38 then this directly impacts the validity of the conclusions.
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55 In this study, we evaluated AES and ENT as retrospective head motion metrics in a
56 multicenter study including two clinical populations with expected different natural head
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3 motion characteristics (20): cognitively normal Parkinson's Disease (PD) patients and
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5 Healthy Controls (HC). Importantly, the PD group had clinical tremor scores (TS). The main
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7 goals of the study were the following: i) test if AES or ENT can be used to differentiate HC
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9 and PD groups; ii) test if the clinical TS in PD correlate with the AES or ENT metrics; and iii)
10
11 investigate the sensitivity of commonly used morphometric measures to these motion artifacts
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13 (we examined Freesurfer derived CT and gray-white matter contrast as well as SPM derived
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15 gray matter density maps and their structural covariance networks).
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23 **MATERIALS AND METHODS**

24 **Participants**

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27 This study's datasets were obtained from the Parkinson's Progressions Marker Initiative
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29 website (<http://www.ppmi-info.org/>). Written informed consent was obtained from all
30
31 subjects. The study was approved by Institutional Review Boards/Independent Ethics
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33 Committees.
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37 In order to make our analysis sensitive to head motion effects while minimizing
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39 potentially unrelated confounds for brain morphometry, we analyzed MRI and clinical data
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41 acquired on 120 PD subjects complying with the following three criteria: 1) diagnosis of
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43 resting tremor and 2) cognitive state classified as normal at the baseline visit; 3) T1-weighted
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45 MR images acquired during baseline visit at identical magnetic field and MRI system (3T
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47 Siemens Trio) using the same image acquisition sequence (3D-MPRAGE). We included in
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49 this study also data from 83 HC, who were selected to match the PD group on age and gender
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51 (see independent sample T-test and a Chi-square test in Table 1) and fulfilled the MR scan
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53 requirements described above for PD. Supplemental Table 1 reports the list of subject IDs
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55 included in the study.
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MRI acquisition protocol

All participants included in this study underwent a structural MRI scan on a 3T Siemens Trio scanner (Siemens Medical Solutions, Erlangen, Germany). 3D-MPRAGE T1-weighted images were acquired at 13 different sites using three protocols with identical acquisition plane (sagittal), $TI=900$ ms, $FA=9^\circ$ and minor differences in other acquisition parameters (Table 2).

Clinical tremor scores

Following Jankovic et al. (21) we calculated a clinical tremor score (TS) for each PD patient by averaging 11 scores from the part II and III of the Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS) reported in Table 3. Each score assesses the tremor at rest or during movement of different parts of the body with a 0 (no tremor) to 4 (severe tremor) rating system evaluated by an expert observer (with the exception of the MDS-UPDRS II patient's "self-assessment of tremor" score). This aggregated clinical TS for each PD participant was used as an independent clinical marker of head motion magnitude for his/her structural MRI scan.

Retrospective head motion estimates

Motion during acquisition of brain structural MRI causes blurring and aliasing (i.e. ghosting), which, in turn, can spread the image energy from one pixel to multiple pixels. Therefore, MRI measures of image contrast across edges (AES) and entropy (ENT) have been proposed as retrospective estimates of motion (17). Here we used the same definitions after normalizing the image intensity between the 5th and 95th percentile in order to remove potential biases related to intensity differences from the multisite data.

Average Edge Strength calculation

Average Edge Strength (AES) is a metric defined on 2D images. Because artifacts are more visible along the phase encoding directions (sagittal and coronal in our case) we calculated AES along the axial slices (22). We first performed skull stripping using the optiBET in FSL 5.0 (23). Then for each brain slice we calculated a binary mask of edges using the Canny edge detector. This algorithm first applies a Gaussian smoothing (kernel size= $\sqrt{2}$) on the original 2D image to obtain results robust against noise. Subsequently it computes the 2D gradient image on which one low and one high threshold are automatically determined. All the pixels above the high threshold are included in the edge mask and the pixels with gradient values between the low and the high threshold are added to the mask if connected to pixels above the high threshold. Then for each slice we calculated AES as follows (17):

$$AES(k) = \frac{\sqrt{\sum_{i,j} E(I_{i,j}^k) \left[\left(G_x(I_{i,j}^k) \right)^2 + \left(G_y(I_{i,j}^k) \right)^2 \right]}}{\sum_{i,j} E(I_{i,j}^k)}$$

where k : slice number, i,j : pixel index; $E(I_{i,j})$ is the binary mask of Edges; G_x and G_y are gradient kernels of 1 pixel size in each direction ($[-1 \ -1 \ -1; \ 0 \ 0 \ 0; \ 1 \ 1 \ 1]$ and $[-1 \ 0 \ 1; \ -1 \ 0 \ 1; \ -1 \ 0 \ 1]$ for G_x and G_y respectively). AES provides a quantification of the average blurring at the edges detected on a 2D image: when blurring increases, for example as a result of increased head motion, AES decreases.

Entropy calculation

Image entropy (ENT) was defined as follows (17):

$$ENT = - \sum_{\rho=1}^n \frac{I_{\rho}}{I_{tot}} \ln \left(\frac{I_{\rho}}{I_{tot}} \right)$$

where ρ is the image voxel index, n is the number of voxels in the image, I_{ρ} is image intensity in pixel ρ . I_{tot} is the total image energy:

$$I_{tot} = \sqrt{\sum_{\rho=1}^n I_{\rho}^2}$$

ENT is independent of the image size, and we therefore derived a single value for the entire 3D image. ENT is minimum (i.e. equal to 0) when all the image energy is concentrated in one voxel. When the image energy is uniformly distributed, i.e. all voxels have the same gray scale value, its entropy is maximal and equal to:

$$E_{max} = \frac{1}{2} \sqrt{n_{\rho}} \ln(n_{\rho})$$

Increased head motion will increase image entropy as described above. Thus, lower entropy implies fewer motion artifacts. Because ENT is sensitive to head motion artifacts extending outside the brain, such as ghosting and ringing, we calculated it on the whole image before skull stripping.

Retrospective head motion metrics: group and clinical TS effects

We tested the hypothesis that T1-weighted images are more affected by head motion related image artifacts in PD than HC by comparing AES and ENT between the two groups. AES values were not normally distributed (across all the slices and all the participants; Lilliefors test, $K=0.351$, $p<0.01$ for HC and $K=0.304$, $p<=0.001$ for PD). We therefore compared the distribution of AES values between HC and PD using the non-parametric Mann-Whitney test.

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3 For ENT (a single value per participant) after verifying that it was normally distributed for
4
5 HC and PD we compared the two groups means using an independent samples T-test.
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7 We then assessed whether AES and ENT can be used as proxy of head motion
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9 quantification by measuring their Spearman's correlation with clinical TS (in PD patients
10 only). Since AES is calculated separately for each image slice, it was necessary to select one
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12 AES value to represent the whole brain volume of each subject and its corresponding clinical
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14 TS. The mean AES across slices was discarded because AES did not show a normal
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16 distribution. Instead, since AES was found to differ significantly between HC and PD when
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18 considering all slices (Results), we determined the AES percentile for each subject by
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20 computing the empirical cumulative distribution function (eCDF) of AES, separately for HC
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22 and PD. From these, the percentile AES value that better discriminated the eCDFs of the two
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24 groups was chosen (Supplemental Figure 1). We found that the AES eCDF diverged for the
25
26 two groups (HC and PD) above the 90th percentile. This value was therefore chosen as a
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28 representative AES for each participant. A comparison it between HC and PD using a Mann-
29
30 Whitney test confirmed, that using the 90th percentile, AES gave a statistically significant
31
32 lower AES for PD than HC ($z=-2.06$, $p=0.02$, $dof=201$, Supplemental Figure 2). We therefore
33
34 used the 90th percentile AES value of each subject to compute the correlation between AES
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36 and clinical TS in PD. All statistical tests were performed in Matlab 8.1.0.
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45 **Brain morphometry with Freesurfer and VBM**

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47 For brain morphometry estimates from the structural MRI of each subject we used two
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49 software tools: Freesurer (5.1.0) for CT and Gray to White Matter (GWC) measurements and
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51 SPM12 for Gray Matter density (GMx) maps (24-26).
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54 For each participant we obtained the CT and GWC vertex-wise values on the
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56 FreeSurfer average template and their average in each ROIs of the Desikan cortical
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3 parcellation atlas (27). GWC was calculated at each vertex along the cortical surface as
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5 $[(\text{white} - \text{gray})/(\text{white} + \text{gray})]$ at 0.5 mm above versus below the gray/white interface with
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7 trilinear interpolation of the images (24). GWC values were between 0 and 1, with values
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9 closer to 0 indicating less contrast and thus more blurring of the gray/white boundary. For
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11 subsequent group analyses we registered both CT and GWC maps to an average template
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13 after smoothing (with 15 mm FWHM and 10 mm FWHM respectively for CT and GWC)
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15 across the surface.
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19 GMx values were obtained voxel-wise on the Dartel template and their average for
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21 each cortical ROIs of the AAL atlas (28). For GMx maps computation we corrected the raw
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23 images for bias-field inhomogeneity and segmented into gray matter, white matter and
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25 cerebrospinal fluid using standard default settings (2). Then we aligned the GMx maps to
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27 common space using a high-dimensional DARTEL normalization modulating for nonlinear
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29 effects and smoothed using a 9-mm FWHM Gaussian smoothing kernel.
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36 **Brain morphometry: head motion effects**

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38 The aim of this analysis was to investigate the effect of head motion as retrospectively
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40 measured by AES on CT, GWC and GMx. The 90th percentile AES value was chosen as
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42 representative for each subject, as done in the correlation analysis with clinical TS. We will
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44 simply refer to this value as AES for the rest of morphometry analysis description.
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48 We did not evaluate the effects of ENT on brain morphometry metrics because ENT
49
50 did not correlate with clinical TS in PD patients and the ENT distributions for HC and PD
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52 were not significantly different (Results).
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56 *Univariate Whole-Brain Analysis*

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3 We identified cortical regions where AES correlated with CT (or GWC) and where the
4 correlation was different for HC and PD. We used the following linear regression model,
5 identical for CT and GWC (here shown for CT), on each vertex of the reconstructed cortical
6 surface:
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$$10 \quad CT = \beta_0 + \beta_1 Group + \beta_2 Age + \beta_3 AES + \beta_4 (Group * AES) + \varepsilon$$

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18 The model attempts to explain the group variance of CT (or GWC) by assigning
19 weights to a linear combination of multiple explanatory terms: subject group (HC or PD), age,
20 head motion (AES) and an interaction between head motion and group. The error ε denotes
21 the unexplained variance. The regressors of interest were β_3 (AES correlation slope) and β_4
22 (group-by-AES interaction, or the slope difference between the groups). We obtained vertex-
23 wise regressors with their p-value, applied a single vertex $p < 0.01$ threshold and performed
24 cluster-wise correction for multiple comparison on the surface at the $p < 0.05$ level (29).
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34 For GMx maps the same linear regression model as above was applied in SPM12
35 adding as a covariate the total GM volume to control for individual differences (30). Voxels
36 with GM density < 0.2 were excluded from the regression analysis. We thresholded the
37 regressors of interest (β_3 and β_4) at $p < 0.05$ level with Family Wise Error correction for
38 multiple comparisons.
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47 *Multivariate analysis*

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49 Univariate analyses may not detect subtle effects at a distributed topographic-level
50 (31). For this reason, we investigated the hypothesis that a network-level covariance pattern
51 of CT, GWC and GMx predicts AES using a Partial Least Squares (PLS) approach.
52 Specifically, we used the CT (and GWC) average values in the 68 cortical regions of the
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3 Desikan Atlas to generate an N (number of participants either in HC or PD group) \times 68
4 (number of cortical ROIs) matrix representing the explanatory data. We implemented PLS
5 using the package available in *R* software (32).
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10 We first determined, using a leave-one-out validation procedure, that the first
11 component of the explanatory data predicted most of the covariance between CT (GWC) and
12 AES. To this aim, we constructed 500 permutations of the explanatory data keeping the
13 original CT matrix while randomly assigning AES to the participants in each permutation and
14 verified that the prediction error of AES by CT was significantly below what would be
15 expected by chance ($p < 0.001$). Subsequently, to identify which brain regions reliably
16 predicted the CT (GWC) vs. AES relationship, we used a bootstrapping procedure that was
17 run 100 times (33). In each instance, we bootstrapped, with replacement, rows from the
18 original $[N \times 68]$ CT (GWC)-value matrix, to populate a proxy $[N \times 68]$ matrix. For each
19 proxy matrix, PLS was run, and the loadings for the estimated first component was retrieved.
20 This loading matrix was rotated to match the direction of the loadings in the original data via
21 a Procrustes Rotation, and the Y-loadings (AES loadings) for the first component saved.
22 Finally, we calculated the standard deviation of the 100 bootstrap loadings, per region, and
23 then obtained a Z-score per region [region loading/sd(loading)]. Only regions that passed a Z-
24 score of ± 3.0 were considered significantly ($p < 0.001$) “salient.”
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43 We performed identical analysis to investigate the prediction of AES by GMx. We
44 obtained the explanatory data matrix for GMx calculating average GMx values in 82 cortical
45 regions of the AAL atlas. We run the PLS analysis described above for CT, GWC and GMx
46 separately for HC and PD.
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RESULTS

We used visual inspection of representative data to qualitatively evaluate the relation between AES and ENT scores in images with different degree of visually recognized motion artifacts. Figure 1 shows the sensitivity of AES and its inverse relation to image blurring (Figure 1a, left HC with lower blurring, right PD with higher blurring) and the sensitivity of ENT to motion artifacts like ringing (Figure 1b, left HC with no artifacts and right PD with ringing artifact).

Retrospective MRI-derived head motion metrics: sensitivity to clinical differences

The group analysis demonstrated a subtle yet statistically significant reduction of AES (Mann Whitney $z=-1.72$, $p=0.04$, $dof=28541$), measures (slice by slice) in PD relative to HC. This result indicates increased head motion in the PD group as compared to the HC group: the reduced edge intensity contrast (i.e. AES) is consistent with higher level of image blurring at the edges, a common motion related artifact.

To evaluate correlations between AES and TS scores of individuals in the PD group, it was necessary to define a single AES per participant. AES distributions were found to be non-normal and skewed in both groups (PD: Lilliefors Test $K=0.351$, $p<0.001$; HC: Lilliefors Test $K=0.304$, $p<0.001$). Therefore, a percentile-determined definition was preferred as a single-subject AES summary. We chose the 90th percentile because the main differences in AES eCDF emerged above this threshold (Supplemental Figure 1) and we verified that this value was statistically significantly different between HC and PD (Supplemental Figure 2). The Spearman correlation between the AES 90th percentile and clinical TS in PD was statistically significant ($\rho=-0.21$, $p=0.02$, $dof=118$, Figure 2), consistent with the hypothesis that AES is sensitive to motion, and that PD patients with a higher clinical TS were more prone to head

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3 motion in the scanner. We note that the main purpose of this analysis was to identify an AES
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5 percentile that tracks a tremor score in order to then evaluate the relationship between these
6
7 AES values and morphometric data.
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10 As opposed to AES, ENT was not statistically different between HC and PD ($t=0.72$,
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12 $p=0.47$, $dof=201$). In addition, there was no significant correlation between ENT and TS of
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14 PD patients ($\rho=-0.12$, $p=0.19$, $dof=118$). For this reason only the AES 90th percentile (to
15
16 which we will simply refer as AES) was considered in the morphometry analyses.
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19 20 21 **Retrospective MRI-derived head motion metrics: effects on brain morphometry**

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23 The results of the whole-brain univariate analysis are shown in Figure 3. For the
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25 relationship between AES and CT, we found a negative association in the right superior
26
27 parietal gyrus, supramarginal gyrus, lateral occipital gyri and the left paracentral gyrus and a
28
29 positive association the right fusiform gyrus. We did not find any region where the
30
31 relationship between AES and CT was significantly different for HC and PD (Group-by-AES
32
33 interaction). There were no regions where the relationship between AES and GWC or
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35 between AES and GMx was statistically significant. We did not find any region where the
36
37 interaction between AES and group was statistically significant for either GWC or GMx.
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41 The multivariate PLS analysis identified, for the PD group, a set of 54 regions, out of
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43 68, of the Desikan atlas (Figure 4), where the loadings for the first CT component predicted
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45 AES with strong salience ($|z| > 3$, $p < 0.001$). This set of ROIs included all the regions, except
46
47 the right fusiform gyrus, where significant association between AES and CT was found by the
48
49 univariate analysis and also extended to a vast portion of the cortical surface.
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52 A comparable analysis revealed a set of regions where GWC covariance predicted
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54 AES in the PD population. These included the ones found for CT in addition to 9 others
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56 (Figure 5).
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3 As opposed to the above-presented findings for PD, for HC the covariance of CT or
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5 GWC did not predict AES. Finally we did not find any network-level covariance pattern for
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7 GM that predicted AES either in PD or HC.
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10 11 **DISCUSSION** 12

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16 The main findings of this study are: i) AES (and not ENT) gave subtle but significant
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18 differences between the PD and HC groups, consistently with higher head motion in the PD
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20 group; ii) AES (and not ENT) correlated negatively with clinical tremor scores, consistently
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22 associating higher head motion with higher tremor scores; and iii) in PD, AES revealed
23
24 patterns of CT and GWC covariance across a large portions of the cortical surface.
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28 The lower AES values (more image blurring) found in PD patients are consistent with
29
30 previous results showing that AES is sensitive to head motion during structural MRI (17,34).
31
32 Indeed, the PD patients in the current study who were not taking medication suffered from
33
34 tremor or dystonia, a condition that prevents them from lying absolutely still in the scanner
35
36 (20). While it is generally accepted that PD patients do not have head tremor, they experience
37
38 head movement as a result of trunk or limb tremor transmitted to the head (35). This explains
39
40 the higher blurring (i.e. lower AES) measured on T1-weighted MRI in the PD patients relative
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42 to the HC group. Previous studies using prospective motion correction tools on structural MR
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44 images demonstrated the sensitivity of AES to intra-subject head motion variability because
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46 AES decreased after motion correction (17,34). Here we extend those findings showing that
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48 AES is also sensitive for detecting natural head motion group differences between PD and age
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50 and gender matched HCs. This result suggests that AES could be used to test the level of
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52 head-motion differences across populations in cross-sectional brain morphometry studies.
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3 We found a significant negative correlation between AES and the clinical TS in PD,
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5 with an effect size $\rho=-0.21$. This finding is consistent with a previous study that showed
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7 how individual differences in impulsivity score predict head motion retrospectively measured
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9 on resting state fMRI data (36). In our study we show, for the first time, an association
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11 between a clinical motion metric and an image based retrospective estimation of head motion
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13 for structural MR images. This finding thus suggests that AES is a reliable QC measure to
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15 detect inter-individual differences in head motion. However, the small effect size in
16
17 correlation with TS reported in this study suggests that these findings need to be replicated
18
19 using different datasets before AES can be widely adopted as a QC metric for head motion
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21 estimation on structural images.
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25 Image entropy did not demonstrate as much head motion sensitivity as AES. The
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27 higher sensitivity of AES relative to entropy may be related to the fact that AES is calculated
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29 on 2D slices and we chose the slice orientation most sensitive to motion from our 3D images
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31 (axial slices, which had two phase encoding directions) (22). Entropy was calculated from the
32
33 whole 3D volume potentially making the measure less sensible to subtle motion.
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36 Investigating the biases of AES as proxy of head motion on morphometry measures
37
38 we found that AES was a predictor of CT in five regions of the brain. This result marginally
39
40 confirms previous studies that have demonstrated a strong association between CT and GMx
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42 measures and the amount of head motion in larger portions of the cerebral cortex (6,12). The
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44 weaker linear relationship between morphometry measures and head motion found in our
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46 dataset may be due to study design: the above mentioned studies were conducted with the
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48 participants guided to perform substantial head motion during image acquisition whereas the
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50 images analyzed in this study were acquired on subjects instructed to stay still as much as
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52 they could.
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3 We found that despite the head motion differences between the PD and HC groups, no
4 brain areas showed significant interactions between head motion and morphometry data using
5 the univariate analyses. This suggests that the morphometry tools used are overall robust in
6 comparing morphometry measures between populations with small but statistically significant
7 different head motion characteristics ($z=-1.72$, $p=0.04$ in our case). However future studies
8 performed on different datasets could investigate the sensitivity of AES to different types of
9 motion (e.g. nodding and free) and quantify the relationship between measured head motion
10 and AES. This may help determine the minimum AES necessary to induce morphometry
11 group differences caused by image artefacts.
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23 The results of the multivariate analysis indicate that in a clinical population with
24 expected motion degraded MR image quality, a brain distributed covariance pattern for both
25 CT and GWC can emerge that is related to head motion. This is in line with previous
26 literature findings that have demonstrated that although multivariate connectivity methods can
27 detect connections which cannot be observed in standard univariate analysis, they are more
28 sensitive to voxel specific noise (37). Specifically, a study by Geerligs et al. showed
29 association with increased head motion for a multivariate (Distance correlation) and not for a
30 univariate (Pearson's correlation) measure of functional connectivity (38). In our study we
31 extend those findings demonstrating also the strong sensitivity of multivariate structural
32 connectivity analyses to head motion.
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45 Our results thus underline the need for using QC tools to verify the reliability of
46 structural covariance measurements and indicate that AES could be used retrospectively for
47 this purpose. This is potentially relevant for the neuroimaging community because an
48 increasing number of studies are looking at large-scale structural networks effects rather than
49 local morphometric measures to investigate potential anatomical imaging biomarkers for PD
50 (18) and other brain pathologies (19).
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5 This work has a number of limitations: first of all we compared two retrospective head
6 motion metrics, AES and ENT because they have been defined to be sensitive to two very
7 commonly found head motion related image artifacts (i.e. blurring and ringing). However
8 further image based metrics exist in the literature, such as the gray level co-occurrence matrix,
9 that could be tested in future studies alone or in combination with AES to the same aim of
10 discerning motion from disease due structural differences between different clinical
11 populations (34). Second, we could have included in our analysis an additional morphometry
12 measure, the Brain Boundary Shift Integral (BBSI), that computes volume changes due to the
13 shifting of boundaries between brain tissue and cerebral spinal fluid over time (39). By its
14 definition BBSI should be more sensitive than CT, GWC or GM to head motion because it
15 directly maps voxel intensity changes over time, i.e., repeated measures. However, the first
16 longitudinal structural images available in the PPMI database were performed 12 months after
17 the baseline scan, at a time when disease evolution or Parkinson's drug effects may have
18 caused cortical changes (40). For this reason we did not use the longitudinal PD data available
19 in the database, which will make difficult to disentangle head motion effects related to disease
20 progression and/or with treatment effects. Third, we correlated AES with the clinical TS that
21 provides an overall assessment of tremor in different parts of the body. It would have been
22 beneficial to compare AES with a more specific behavioral measure of head motion, such as
23 the lip/jaw TS that is one of the MDS-UPDRS part III ratings used in the calculation of the
24 TS. However, head tremor is in general absent in PD patients (35) and so it was in our study
25 where only 7 patients in the database we analyzed had a lip/jaw TS different than 0. Future
26 studies in patients with Parkinson's disease or different clinical populations correlating AES
27 with a more specific or possibly direct measure of head motion should be performed to
28 confirm its specificity for quantifying head motion. Nevertheless, the TS showed better
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3 correlation with AES than the MDS-UPDRS Part III score (results not shown) because the
4 ratings used to calculate the TS mainly pertain to postural and rest tremor. The MDS-UPDRS
5 III score instead consists of a more global evaluation of the motor signs of PD including
6 features like patient's flowing speech, rising from the chair or finger tapping. Therefore, the
7 TS is more likely to be indicative of the tremor scenario during the MR scan.
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16 In conclusion the results of this work suggest that: i) AES may provide a reliable
17 metric to retrospectively and quantitatively estimate head motion occurred during the
18 acquisition of T1-weighted structural images, thus offering an objective metric for
19 normalizing head motion across populations; ii) AES can be used as a head-motion related
20 QC metric for structural MRI data studies investigating large-scale structural covariance
21 effects. This evaluation will be particularly important when head motion may not be easy to
22 match across clinical groups.
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Table 1: Summary demographic information of the HC and PD patients included in this study. The statistical scores in the bottom row indicate that the groups were matched for age and gender.

Groups	Age (years)	Gender
HC: n=83	59.6 ± 10.9	M 53/F 30
PD: n=120	60.4 ± 9.8	M 75/F 45
Group difference statistics	T=-0.44, p=0.66	X ² =0.10, p=0.75

M: male. F: female. HC: Healthy controls. PD: Parkinson's disease subjects.

Table 2: Main structural brain MRI acquisition parameters for the 3 PPMI protocols used to acquire the 3D T1-weighted images analyzed in this study.

Protocol name	TR (ms)	TE (ms)	FOV (mm)	Voxel size (mm ³)	Sites per protocol	Samples per protocol
MPRAGE GRAPPA	2300	2.98	240x256x176	1.0x1.0x1.0	12	173 (HC=66,PD=107)
MPRAGE T1 SAG	2300	2.52	256x256x176	0.98x0.98x1.0	1*	10 (HC=5,PD=5)
SAG T1 3D MPRAGE	1900	2.27	256x256x176	0.98x0.98x1.0	1	20 (HC=12,PD=8)

PPMI: Parkinson's Progressions Marker Initiative. HC: Healthy controls. PD: Parkinson's disease subjects.

*In site #32, according to the PPMI database, 15 datasets were acquired with the MPRAGE GRAPPA protocol and 10 with the MPRAGE T1 SAG protocol

Table 3: Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS) evaluations (parts II and III) used to calculate the clinical tremor score for PD patients.

Index	MDS-UPDRS Part	Acronym	Name
1	II	NP2TRMR	Self-assessment of tremor
2	III	NP3PTRMR	Postural tremor of right hand
3	III	NP3PTRML	Postural tremor of left hand
4	III	NP3KTRMR	Kinetic tremor of right hand
5	III	NP3KTRML	Kinetic tremor of left hand
6	III	NP3RTARU	Rest tremor amplitude of right upper limbs
7	III	NP3RTALU	Rest tremor amplitude of left upper limbs
8	III	NP3RTARL	Rest tremor amplitude of right lower limbs
9	III	NP3RTALL	Rest tremor amplitude of left lower limbs
10	III	NP3RTALJ	Rest tremor amplitude of lip/jaw
11	III	NP3RTCON	Constancy of rest tremor

PD: Parkinson's disease subjects.

Figure Legends

Figure 1: Head motion artifact examples in healthy controls (HC) and Parkinson's disease (PD) subjects with the corresponding quantitative characterization given by the average edge strength (AES, upper panel) and image entropy (ENT, lower panel) metrics derived from the corresponding structural T1-weighted images. Figure 1a (upper panel) shows an example where the head motion differences are quantitatively dominated by lower AES (32% lower due to higher image blurring) in the PD (right) relative to the HC (left), while both images have comparable ENT values across the two examples (5% difference). Figure 1b (lower panel) shows the converse, an example where head motion quantitative differences are dominated by higher ENT (11% higher due to more ringing artifacts) in the PD (right) relative to the HC (left), while AES was comparable across the two examples (3% difference).

Figure 2: Binned scatter plot of the average edge strength (AES, 90th percentile), as quantitative head motion metric derived from structural T1-images, and clinical tremor scores (TS) in Parkinson's disease patients. To avoid overlap for participants with similar clinical TSs, participants are binned into equal sized 10 TS groups. Average AES and TS for each group are plotted. Error bars indicate standard error of the mean (s.e.m). Clinical TSs showed a significant negative correlation between AES and clinical TS, indicating increased image blurring (consistent with higher motion artifacts) with tremor severity.

Figure 3: Univariate analysis showing gray matter clusters where cortical thickness (CT) has a significant linear relationship with average edge strength (AES, $p < 0.05$ corrected for multiple comparisons). Group results are shown on the inflated cortex and are not different between healthy controls and Parkinson's disease patients. Red/yellow indicates thickness

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3 gain and blue indicates thickness loss with increased AES. R: right hemisphere. L: left
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5 hemisphere. Upper panel: lateral views. Lower panel: medial views.
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10 **Figure 4:** Multivariate partial least square (PLS) covariance analysis of cortical thickness
11 (CT) and average edge strength (AES) in Parkinson's disease (PD) The regions shown are
12 where CT predicts AES (CT loadings showed low variance across AES bootstrap solutions
13 ($|z|>3$, $p<0.001$). R: right hemisphere. L: left hemisphere. Upper panel: lateral views. Lower
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15 panel: medial views.
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24 **Figure 5:** Multivariate partial least square (PLS) covariance analysis of gray-white contrast
25 (GWC) and average edge strength (AES) in Parkinson's disease (PD). The regions shown are
26 those where GWC predicts AES (GWC loadings showed low variance across AES bootstrap
27 solutions ($|z|>3$, $p<0.001$). R: right hemisphere. L: left hemisphere. Upper panel: lateral
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29 views. Lower panel: medial views.
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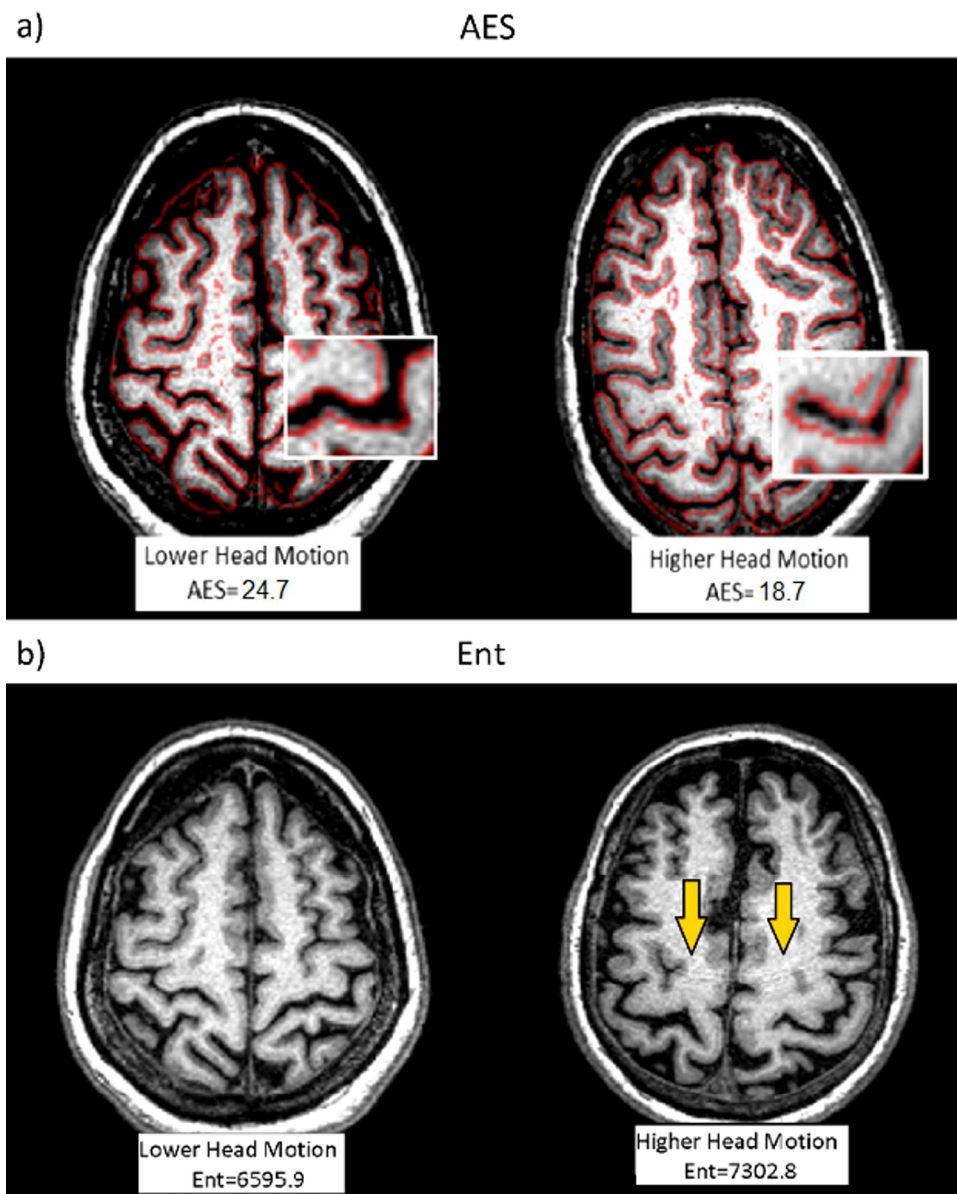


Figure 1: Head motion artifact examples in healthy controls (HC) and Parkinson's disease (PD) subjects with the corresponding quantitative characterization given by the average edge strength (AES, upper panel) and image entropy (ENT, lower panel) metrics derived from the corresponding structural T1-weighted images. Figure 1a (upper panel) shows an example where the head motion differences are quantitatively dominated by lower AES (32% lower due to higher image blurring) in the PD (right) relative to the HC (left), while both images have comparable ENT values across the two examples (5% difference). Figure 1b (lower panel) shows the converse, an example where head motion quantitative differences are dominated by higher ENT (11% higher due to more ringing artifacts) in the PD (right) relative to the HC (left), while AES was comparable across the two examples (3% difference).

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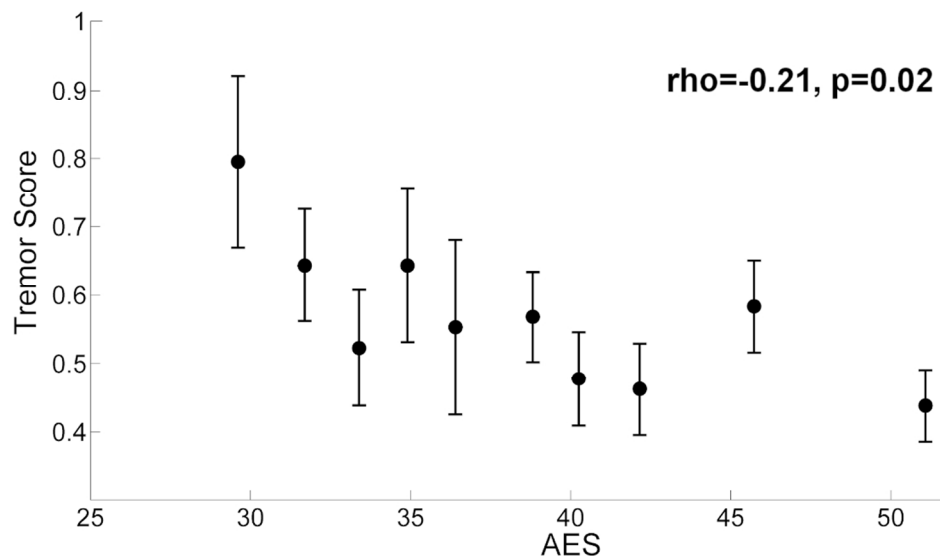


Figure 2: Binned scatter plot of the average edge strength (AES, 90th percentile), as quantitative head motion metric derived from structural T1-images, and clinical tremor scores (TS) in Parkinson's disease patients. To avoid overlap for participants with similar clinical TSs, participants are binned into equal sized 10 TS groups. Average AES and TS for each group are plotted. Error bars indicate standard error of the mean (s.e.m). Clinical TSs showed a significant negative correlation between AES and clinical TS, indicating increased image blurring (consistent with higher motion artifacts) with tremor severity.

99x55mm (300 x 300 DPI)

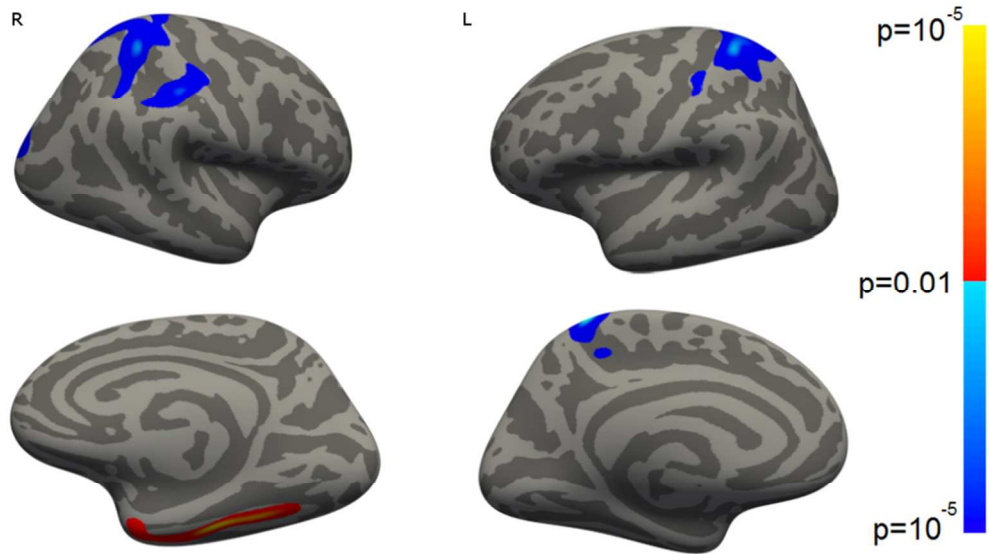


Figure 3: Univariate analysis showing gray matter clusters where cortical thickness (CT) has a significant linear relationship with average edge strength (AES, $p < 0.05$ corrected for multiple comparisons). Group results are shown on the inflated cortex and are not different between healthy controls and Parkinson's disease patients. Red/yellow indicates thickness gain and blue indicates thickness loss with increased AES. R: right hemisphere. L: left hemisphere. Upper panel: lateral views. Lower panel: medial views.

87x50mm (300 x 300 DPI)

VIEW ONLY

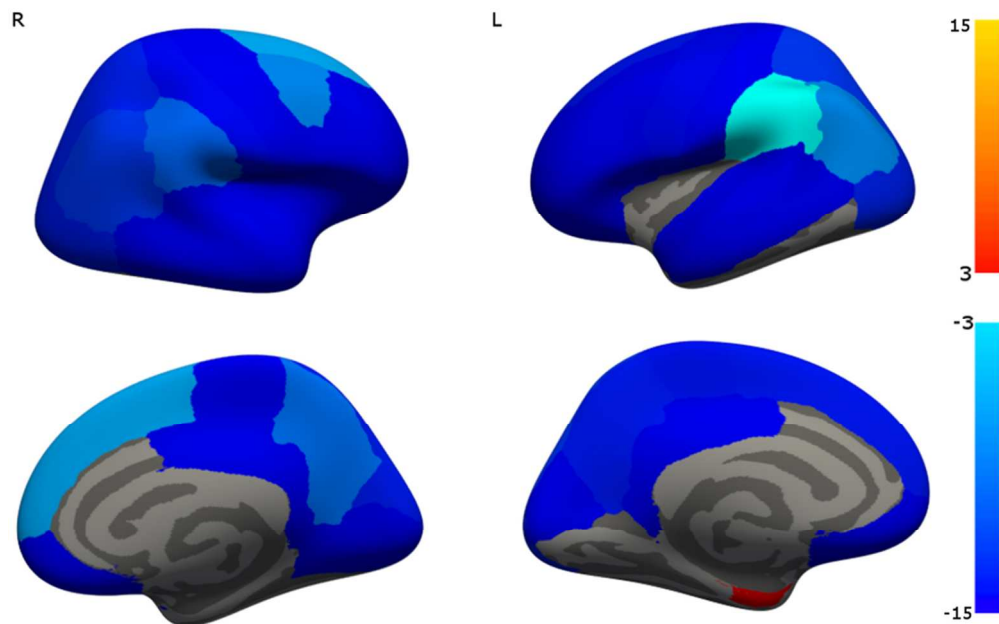


Figure 4: Multivariate partial least square (PLS) covariance analysis of cortical thickness (CT) and average edge strength (AES) in Parkinson's disease (PD) The regions shown are where CT predicts AES (CT loadings showed low variance across AES bootstrap solutions ($|z| > 3$, $p < 0.001$). R: right hemisphere. L: left hemisphere. Upper panel: lateral views. Lower panel: medial views.

96x60mm (300 x 300 DPI)

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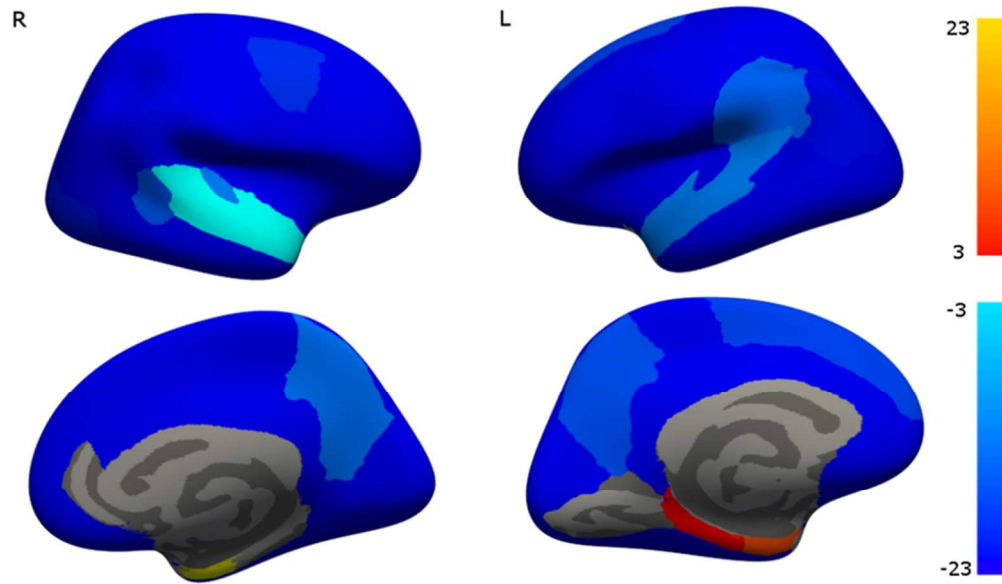


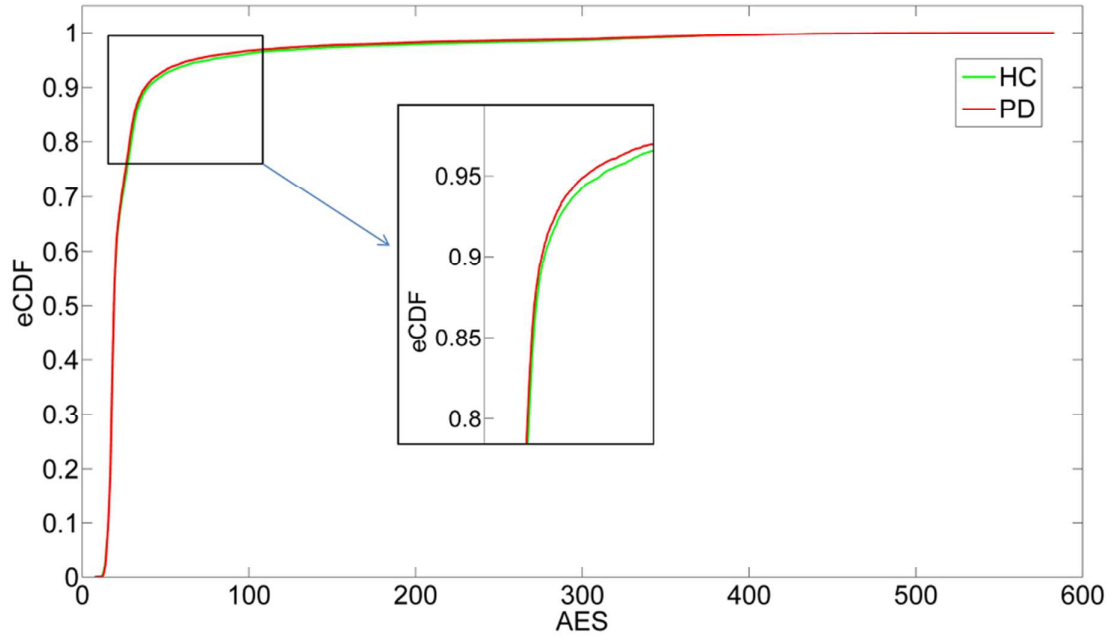
Figure 5: Multivariate partial least square (PLS) covariance analysis of gray-white contrast (GWC) and average edge strength (AES) in Parkinson's disease (PD). The regions shown are those where GWC predicts AES (GWC loadings showed low variance across AES bootstrap solutions ($|z| > 3$, $p < 0.001$). R: right hemisphere. L: left hemisphere. Upper panel: lateral views. Lower panel: medial views.

88x51mm (300 x 300 DPI)

Supplemental Table 1: ID code and clinical group for the subjects included in the study obtained from the Parkinson's Progression Marker Initiative (PPMI) dataset: PD: Parkinson's disease. HC: healthy controls.

ID	Group	ID	Group	ID	Group	ID	Group	ID	Group
3101	PD	3191	HC	3550	PD	3756	HC	3852	HC
3104	HC	3300	HC	3551	HC	3759	HC	3853	HC
3105	PD	3301	HC	3554	HC	3765	HC	3854	HC
3106	HC	3304	PD	3555	HC	3767	HC	3855	HC
3108	PD	3305	PD	3556	PD	3768	HC	3857	HC
3111	PD	3307	PD	3565	HC	3769	HC	3860	PD
3112	HC	3309	PD	3567	PD	3770	PD	3869	PD
3113	PD	3310	HC	3569	HC	3775	PD	4001	PD
3114	HC	3311	PD	3570	HC	3778	PD	4004	HC
3115	HC	3316	HC	3572	HC	3779	HC	4005	PD
3118	PD	3320	HC	3573	PD	3780	PD	4010	HC
3119	PD	3322	PD	3574	PD	3781	PD	4012	PD
3122	PD	3324	PD	3575	PD	3784	PD	4018	HC
3123	PD	3325	PD	3577	PD	3788	PD	4020	PD
3124	PD	3326	PD	3580	PD	3789	PD	4021	PD
3125	PD	3328	PD	3581	PD	3790	PD	4022	PD
3127	PD	3332	PD	3582	PD	3803	HC	4023	PD
3128	PD	3350	HC	3584	PD	3804	HC	4024	PD
3129	PD	3353	HC	3585	PD	3805	HC	4025	PD
3130	PD	3354	PD	3588	PD	3806	HC	4026	PD
3131	PD	3355	HC	3591	PD	3807	HC	4029	PD
3132	PD	3357	HC	3593	PD	3808	PD	4030	PD
3150	PD	3358	HC	3600	HC	3809	HC	4031	PD
3151	HC	3360	PD	3603	PD	3811	HC	4032	HC
3154	PD	3361	HC	3604	PD	3812	HC	4035	PD
3156	HC	3362	HC	3607	PD	3813	HC	4064	PD
3160	HC	3364	PD	3608	PD	3815	PD	4066	PD
3161	HC	3365	PD	3610	HC	3816	HC	4067	HC
3165	HC	3367	PD	3611	HC	3817	HC	4081	PD
3168	PD	3368	HC	3612	PD	3820	PD	4082	PD
3169	HC	3369	HC	3613	HC	3822	PD	4083	PD
3170	PD	3370	HC	3614	HC	3823	PD	4084	PD
3171	HC	3372	PD	3615	HC	3824	PD	4085	HC
3172	HC	3373	PD	3617	PD	3825	PD	4136	PD
3173	PD	3375	PD	3620	HC	3826	PD	4139	HC
3175	PD	3377	PD	3622	PD	3828	PD		
3176	PD	3380	PD	3624	HC	3829	PD		
3181	PD	3383	PD	3627	HC	3830	PD		
3182	PD	3386	PD	3634	PD	3831	PD		
3188	HC	3389	HC	3635	HC	3836	PD		
3189	PD	3390	HC	3636	HC	3850	HC		
3190	PD	3392	PD	3637	HC	3851	HC		

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3 **Supplemental Figure 1:** Empirical cumulative distributive function (eCDF) of the average edge strength (AES) computed from T1-weighted structural images from healthy controls (HC, green)
4 and Parkinson's disease patients (PD, red). The differences across the two groups emerge when
5 AES is at the 90th percentile (value below which 90% of the AES values may be found).
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Supplemental Figure 2: Head motion group differences between Parkinson's disease (PD) patients and healthy controls (HC, age and gender matched) as measured by the average edge strength (AES, 90th percentile) computed from T1-weighted structural scans. The results are consistent with a relative increased image blurring (lower AES) in PD patients relative HC.

